

Calculation of local Fourier transforms for formal connections

Jiangxue Fang

Chern Institute of Mathematics, Nankai University, Tianjin 300071, P. R. China
fangjiangx@yahoo.com.cn

Abstract We calculate the local Fourier transforms for formal connections. In particular, we verify an analogous conjecture of Laumon and Malgrange ([6] 2.6.3).

Mathematics Subject Classification (2000): Primary 14F40.

1 Introduction

Let k be an algebraic closed field of characteristic zero and let $k((t))$ be the field of formal Laurent series in the variable t . A formal connection on $k((t))$ is a pair $(M, t\partial_t)$ consisting of a finite dimensional $k((t))$ -vector space M and a k -linear map $t\partial_t : M \rightarrow M$ satisfying

$$t\partial_t(fm) = t\partial_t(f)m + ft\partial_t(m)$$

for any $f \in k((t))$ and $m \in M$. In [2], S. Bloch and H. Esnault define local Fourier transforms $\mathcal{F}^{(0,\infty)}$, $\mathcal{F}^{(\infty,0)}$, $\mathcal{F}^{(\infty,\infty)}$ for formal connections, by analogy with the ℓ -adic local Fourier transform considered in [6]. In [6], 2.6.3, Laumon and Malgrange give conjectural formulas of local Fourier transforms for a class of \mathbb{Q}_ℓ -sheaf. This results are proved by Lei Fu ([4]). In this paper, we prove an analogous conjecture of local Fourier transform for formal connections. Actually, we can calculate local Fourier transforms for any formal connections.

A key technical tool for the definitions of local Fourier transforms of formal connections is the notion of good lattices pairs. By definition in [3], Lemma 6.21, a *pair of good lattices* \mathcal{V} , \mathcal{W} of M is a pair of lattices in M satisfying the following conditions

- (1) $\mathcal{V} \subset \mathcal{W} \subset M$
- (2) $t\partial_t(\mathcal{V}) \subset \mathcal{W}$
- (3) For any $k \in \mathbb{N}$, the natural inclusion of complexes

$$(\mathcal{V} \xrightarrow{t\partial_t} \mathcal{W}) \rightarrow \left(\frac{1}{t^k}\mathcal{V} \xrightarrow{t\partial_t} \frac{1}{t^k}\mathcal{W}\right)$$

is a quasi-isomorphism.

Good lattices pairs \mathcal{V} , \mathcal{W} exist. The number $\dim_k \mathcal{W}/\mathcal{V}$ is independent of the choice of good lattices pairs of M , and is called the irregularity of M .

For any $f \in k((t))$, denote by $[f]$ the formal connection on $k((t))$ consisting of a one dimensional $k((t))$ -vector space with a basis e and a k -linear map $t\partial_t : k((t))e \rightarrow k((t))e$ satisfying

$$t\partial_t(ge) = (t\partial_t(g) + fg)e$$

for any $g \in k((t))$. Two such objects $[f]$ and $[f']$ are isomorphic if and only if $f - f' \in tk[[t]] + \mathbb{Z}$.

Therefore the non-negative integer

$$\max(0, -\text{ord}_t(f))$$

is a well-defined invariant of the isomorphic class of $[f]$, and is called the slope of $[f]$. Let p be the slope of $[f]$. One can verify $k[[t]]e$, $t^{-p}k[[t]]e$ is a good lattices pair of $[f]$. So the irregularity coincides with the slope for any one dimensional formal connection. The definition of slopes for arbitrary formal connections is given in [5], (2.2.5). The irregularity of a formal connection coincide with the sum of its slopes. Any formal connection has a unique slope decomposition. So the slope of an irreducible formal connection is equal to its irregularity divided by its dimension. A formal connection is called regular if the irregularity of this connection is equal to 0.

Throughout this paper, r and s are to be positive integers. Let t' be the Fourier transform coordinate of t . Write $z = \frac{1}{t}$ and $z' = \frac{1}{t'}$. Let

$$[r] : k((t)) \hookrightarrow k((\sqrt[r]{t}))$$

be the natural inclusion of fields. Let $T = \sqrt[r]{t}$ and let α be a formal Laurent series in $k((T))$ of order $-s$ with respect to T . Let R be a regular formal connection on $k((T))$. In this paper, we calculate the local Fourier transform

$$\mathcal{F}^{(0,\infty)}\left([r]_*\left([T\partial_T(\alpha)] \otimes_{k((T))} R\right)\right).$$

Similarly, let $k((z))$ be the field of formal Laurent series in the variable z . Let

$$[r] : k((z)) \hookrightarrow k\left(\left(\frac{1}{\sqrt[r]{t}}\right)\right)$$

be the natural inclusion of fields. Let $Z = \frac{1}{\sqrt[r]{t}}$ and let α be a formal Laurent series in $k((Z))$ of order $-s$ with respect to Z . Let R be a regular formal connection on $k((Z))$. We also calculate

the local Fourier transforms

$$\begin{aligned} & \mathcal{F}^{(\infty,0)}\left([r]_*\left([Z\partial_Z(\alpha)] \otimes_{k((Z))} R\right)\right) \text{ if } r > s; \\ & \mathcal{F}^{(\infty,\infty)}\left([r]_*\left([Z\partial_Z(\alpha)] \otimes_{k((Z))} R\right)\right) \text{ if } r < s. \end{aligned}$$

We refer the reader to [2] for the definitions and properties of local Fourier transforms. The main results of this paper are the following three theorems.

Theorem 1. *Given a formal Laurent series α in $k((\sqrt[r]{t}))$ of order $-s$ with respect to $\sqrt[r]{t}$, consider the following system of equations*

$$\begin{cases} \partial_t(\alpha(\sqrt[r]{t})) + t' = 0, \\ \alpha(\sqrt[r]{t}) + tt' = \beta\left(\frac{1}{r+\sqrt[r]{t'}}\right). \end{cases} \quad (1.1)$$

Using the first equation, we find an expression of $\sqrt[r]{t}$ in terms of $\frac{1}{r+\sqrt[r]{t'}}$. We then substitute this expression into the second equation to get $\beta\left(\frac{1}{r+\sqrt[r]{t'}}\right)$, which is a formal Laurent series in $k\left(\left(\frac{1}{r+\sqrt[r]{t'}}\right)\right)$ of order $-s$ with respect to $\frac{1}{r+\sqrt[r]{t'}}$. Let $T = \sqrt[r]{t}$ and let $Z' = \frac{1}{r+\sqrt[r]{t'}}$. For any regular formal connection R on $k((T))$, we have

$$\mathcal{F}^{(0,\infty)}\left([r]_*\left([T\partial_T(\alpha)] \otimes_{k((T))} R\right)\right) = [r+s]_*\left([Z'\partial_{Z'}(\beta) + \frac{s}{2}] \otimes_{K((Z'))} R\right),$$

where the right R means the formal connection on $k((Z'))$ after replacing the variable T with Z' .

Theorem 2. *Suppose $r > s$. Given a formal Laurent series α in $k\left(\left(\frac{1}{\sqrt[r]{t}}\right)\right)$ of order $-s$ with respect to $\frac{1}{\sqrt[r]{t}}$, consider the following system of equations*

$$\begin{cases} \partial_t(\alpha(\frac{1}{\sqrt[r]{t}})) + t' = 0, \\ \alpha(\frac{1}{\sqrt[r]{t}}) + tt' = \beta\left({}^r\sqrt[t']{t}\right). \end{cases} \quad (1.2)$$

Using the first equation, we find an expression of $\frac{1}{\sqrt[r]{t}}$ in terms of ${}^r\sqrt[t']{t}$. We then substitute this expression into the second equation to get $\beta\left({}^r\sqrt[t']{t}\right)$, which is formal Laurent series in $k\left(\left({}^r\sqrt[t']{t}\right)\right)$ of order $-s$ with respect to ${}^r\sqrt[t']{t}$. Let $Z = \frac{1}{\sqrt[r]{t}}$ and let $T' = {}^r\sqrt[t']{t}$. For any regular formal connection R on $k((Z))$, we have

$$\mathcal{F}^{(\infty,0)}\left([r]_*\left([Z\partial_Z(\alpha)] \otimes_{k((Z))} R\right)\right) = [r-s]_*\left([T'\partial_{T'}(\beta) + \frac{s}{2}] \otimes_{k((T'))} R\right),$$

where the right R means the formal connection on $k((T'))$ after replacing the variable Z with T' .

Theorem 3. *Suppose $r < s$. Given a formal Laurent series α in $k((\frac{1}{\sqrt{t}}))$ of order $-s$ with respect to $\frac{1}{\sqrt{t}}$, consider the following system of equations*

$$\begin{cases} \partial_t(\alpha(\frac{1}{\sqrt{t}})) + t' = 0, \\ \alpha(\frac{1}{\sqrt{t}}) + tt' = \beta(\frac{1}{s-\sqrt{t'}}). \end{cases} \quad (1.3)$$

Using the first equation, we find an expression of $\frac{1}{\sqrt{t}}$ in terms of $\frac{1}{s-\sqrt{t'}}$. We then substitute this expression into the second equation to get $\beta(\frac{1}{s-\sqrt{t'}})$, which is a formal Laurent series in $k((\frac{1}{s-\sqrt{t'}}))$ of order $-s$ with respect to $\frac{1}{s-\sqrt{t'}}$. Let $Z = \frac{1}{\sqrt{t}}$ and let $Z' = \frac{1}{s-\sqrt{t'}}$. For any regular formal connection R on $k((Z))$, we have

$$\mathcal{F}^{(\infty, \infty)}\left([r]_*\left([Z\partial_Z(\alpha)] \otimes_{k((Z))} R\right)\right) = [s-r]_*\left([Z'\partial_{Z'}(\beta) + \frac{s}{2}] \otimes_{k((Z'))} R\right),$$

where the right R means the formal connection on $k((Z'))$ after replacing the variable Z with Z' .

When R is trivial, the above three theorems are conjectured by Laumon and Malgrange ([6] 2.6.3) except the term $\frac{s}{2}$ is missing in the conjecture. Any formal connection on $k((t))$ is a direct sum of indecomposable connections. As in [1], section 5.9, any indecomposable connection $M = N \otimes R$, where R is regular and $N = [d]_*L$ where L is a one dimensional connection on a finite extension $[d] : k((t)) \rightarrow k((t^{\frac{1}{a}}))$. So we can calculate local Fourier transform for all formal connections.

Acknowledgements. It is a great pleasure to thank my advisor Lei Fu for his guidance and support during my graduate studies. In [8], Claude Sabbah proves these results of local Fourier transforms for formal connections with a geometric method. Our method is elementary and directly.

2 Proofs of Theorems 1, 2

Given a formal Laurent series α in the variable \sqrt{t} of order $-s$, consider the system of equations (1.1). We express \sqrt{t} as a formal Laurent series in $\frac{1}{r+s\sqrt{t'}}$ of order 1 using the first equation and then substitute this expression into the second equation to get $\beta \in k((\frac{1}{r+s\sqrt{t'}}))$. We have

$$\begin{aligned} \partial_{t'}(\beta) &= \partial_{t'}(\alpha(\sqrt{t}) + tt') = \partial_t(\alpha(\sqrt{t})) \frac{dt}{dt'} + t' \frac{dt}{dt'} + t \\ &= \left(\partial_t(\alpha(\sqrt{t})) + t'\right) \frac{dt}{dt'} + t = t. \end{aligned} \quad (2.1)$$

It follows that β is a formal Laurent series in $\frac{1}{r+s\sqrt{t'}}$ of order $-s$. Let $T = \sqrt{t}$ and $Z' = \frac{1}{r+s\sqrt{t'}}$. Set

$$a(T) = -T^s t \partial_t(\alpha) \text{ and } b(Z') = Z'^s t' \partial_{t'}(\beta).$$

Then $a(T)$ is a formal power series in T of order 0 and $b(Z')$ is a formal power series in Z' of order 0. From the system of equations (1.1) and (2.1), we get

$$\begin{cases} a(T) = \left(\frac{T}{Z'}\right)^{r+s} \\ b(Z') = \left(\frac{T}{Z'}\right)^r. \end{cases} \quad (2.2)$$

To prove Theorem 1, it suffices to prove the following theorem.

Theorem 1'. *Given a formal power series $a(T) = \sum_{i \geq 0} a_i T^i$ with $a_i \in k$ and $a_0 \neq 0$, solve the system of equations (2.2) to get $b(Z') = \sum_{i \geq 0} b_i Z'^i$ for some $b_i \in k$. Then $b_s = \frac{r}{r+s} a_s$ and*

$$\begin{aligned} & \mathcal{F}^{(0,\infty)}\left([r]_*[-r(a_0 T^{-s} + a_1 T^{1-s} + \dots + a_s)]\right) \\ &= [r+s]_*[-(r+s)(b_0 Z'^{-s} + b_1 Z'^{1-s} + \dots + b_s) + \frac{s}{2}]. \end{aligned}$$

In fact, suppose Theorem 1' holds. Let c be an element in k . By remark 2.2 we shall prove later, for $a(T) = -T^s t \partial_t(\alpha) - \frac{c}{r} T^s$, we can get a solution $b(Z')$ of the system of equations (2.2) such that

$$b(Z') \equiv Z'^s t' \partial_{t'}(\beta) - \frac{c}{r+s} Z'^s \pmod{Z'^{s+1}}.$$

Then

$$\begin{aligned} & \mathcal{F}^{(0,\infty)}\left([r]_*[T \partial_T(\alpha) + c]\right) \\ &= \mathcal{F}^{(0,\infty)}\left([r]_*[-r T^{-s}(-T^s t \partial_t(\alpha) - \frac{c}{r} T^s)]\right) \\ &= [r+s]_*[-(r+s)Z'^{-s}(Z'^s t' \partial_{t'}(\beta) - \frac{c}{r+s} Z'^s)] \\ &= [r+s]_*[Z' \partial_{Z'}(\beta) + c]. \end{aligned}$$

So Theorem 1 holds for $R = [c]$. As in [1], section 5.9, every irreducible regular formal connection N on $k((T))$ is $[d]_* L$, where L is a one dimensional formal connection on a finite extension $[d] : k((T)) \rightarrow k((T^{\frac{1}{d}}))$. So L is regular, we have $L = [c]$ for some $c \in k$. Then $N = [d]_* [c] = \bigoplus_{1 \leq i \leq d} [c + \frac{i}{d}]$. We have $d = 1$ because N is irreducible. This shows that every irreducible regular formal connection is isomorphic to the one dimensional connection $[c]$ for some $c \in k$. So every regular formal connection is a successive extension of connections of the type $[c]$. Since $\mathcal{F}^{(0,\infty)}$ is functoriel and exact, Theorem 1 holds for any regular formal connection R on $k((T))$.

Remark 2.1. If $a_s = 0$, then there exists $\alpha \in k((\sqrt[r]{t}))$ such that $a(T) = -T^s t \partial_t(\alpha)$. Using the first equation of (2.2), we find an expression of T in terms of Z' . We then substitute this expression into the second equation of (2.2) to get $b(Z')$. This expression also satisfies the first equation of

(1.1). We then substitute this expression into the second equation of (1.1) to get $\beta(Z')$. By (2.1), we have

$$b(Z') = \sum_{i \geq 0} b_i Z'^i = Z'^s t' \partial_{t'}(\beta).$$

This shows $b_s = 0$.

Remark 2.2. Solving the first equation of (2.2), we get $T = \sum_{i \geq 0} \lambda_i Z'^{i+1}$ with $\lambda_0 = \sqrt[r+s]{a_0}$. The solution is not unique and different solutions differ by an $r + s$ -th root of unity. As long as λ_0 is chosen to be an $r + s$ -th root of a_0 , for each i , λ_i depends only on a_0, \dots, a_i . We have $b(Z') = (\sum_{i \geq 0} \lambda_i Z'^i)^r$, and for each i , b_i depends only on $\lambda_0, \dots, \lambda_i$. Therefore as long as we fix an $r + s$ -th root of a_0 , for each i , b_i depends only on a_0, \dots, a_i . So to prove Theorem 1', we can assume $a(T) = \sum_{0 \leq i \leq s} a_i T^i$.

Remark 2.3. Solving the first equation of (2.2), we get $T = \sum_{i \geq 0} \lambda_i Z'^{i+1}$ for some $\lambda_j \in k$. Then λ_0 is an $r + s$ -th root of a_0 . Then $\sum_{i \geq 0} b_i Z'^i = (\sum_{i \geq 0} \lambda_i Z'^i)^r$. Choose $a'_0, \dots, a'_s \in k$ such that $a'_i = a_i$ for all $0 \leq i < s$ and $a'_s = 0$. For $a(T_1) = \sum_{0 \leq i \leq s} a'_i T_1^i$, consider the system of equations (2.2) if the variable T is changed by T_1 . Using the first equation, we can express T_1 as $\sum_{i \geq 0} \lambda'_i Z'^{i+1}$ with $\lambda'_0 = \lambda_0$. Then we have $\sum_{i \geq 0} b'_i Z'^i = (\sum_{i \geq 0} \lambda'_i Z'^i)^r$. Remark 2.1 shows $b'_s = 0$. Since $a_i = a'_i$ for $0 \leq i < s$, we have $\lambda_i = \lambda'_i$ for all $0 \leq i < s$. That is,

$$T \equiv T_1 \pmod{Z'^{s+1}} \text{ and } T \equiv T_1 \equiv \lambda_0 Z' \pmod{Z'^2}.$$

Comparing coefficients of Z'^s on both sides of

$$\sum_{i \geq 0} a_i T^i = \left(\sum_{i \geq 0} \lambda_i Z'^i \right)^{r+s} \text{ and } \sum_{0 \leq i \leq s} a'_i T_1^i = \left(\sum_{i \geq 0} \lambda'_i Z'^i \right)^{r+s},$$

we have

$$a_s \lambda_0^s = (a_s - a'_s) \lambda_0^s = (r + s)(\lambda_s - \lambda'_s) \lambda_0^{r+s-1}.$$

Comparing coefficients of Z'^s on both sides of

$$\sum_{i \geq 0} b_i Z'^i = \left(\sum_{i \geq 0} \lambda_i Z'^i \right)^r \text{ and } \sum_{i \geq 0} b'_i Z'^i = \left(\sum_{i \geq 0} \lambda'_i Z'^i \right)^r,$$

we have

$$b_s = b_s - b'_s = r(\lambda_s - \lambda'_s) \lambda_0^{r-1}.$$

This proves $b_s = \frac{r}{r+s} a_s$.

Remark 2.4. Set $f = a_0T^{-s} + a_1T^{1-s} + \dots + a_s$. Let

$$H = \{\sigma \in \text{Gal}(k((T))/k((t))) \mid \sigma(f) = f\}.$$

We call f is irreducible with respect to the Galois extension $k((T))/k((t))$ if $\#H = 1$. Then f is irreducible if and only if the connection $[r]_*[-rf]$ is irreducible.

Lemma 2.5. *If Theorem 1' holds for irreducible f , then it holds for all f .*

Proof. By Remark 2.2, we can assume $a(T) = \sum_{0 \leq i \leq s} a_i T^i$. Keep the notation in Remark 2.4. Set $p = \#H$. Then $p \mid r$. Let η be a primitive r -th root of unity in k . Then $a_i \eta^{\frac{r}{p}(i-s)} = a_i$ for all $0 \leq i \leq s$. So $a_i = 0$ or $p \mid i - s$. In particular, $p \mid s$ since $a_0 \neq 0$. Let $\tau = T^p$ and $\tau' = Z'^p$. Then

$$f = a_0 \tau^{-\frac{s}{p}} + a_p \tau^{1-\frac{s}{p}} + \dots + a_s$$

and it is irreducible with respect to the Galois extension $k((\tau))/k((t))$. For $a(\tau) = \sum_{0 \leq i \leq \frac{s}{p}} a_{pi} \tau^i$, suppose $b(\tau') = \sum_{i \geq 0} b_{pi} \tau'^i$ is a solution of the following system of equation

$$\begin{cases} a(\tau) = \left(\frac{\tau}{\tau'}\right)^{\frac{r+s}{p}} \\ b(\tau') = \left(\frac{\tau}{\tau'}\right)^{\frac{s}{p}}. \end{cases} \quad (2.3)$$

Then $b_s = \frac{r}{r+s} a_s$ and $b(Z') = \sum_{i \geq 0} b_{pi} Z'^{pi}$ is a solution of the system of equations (2.2). For $a(\tau) = \sum_{0 \leq i \leq \frac{s}{p}} a_{pi} \tau^i - \frac{j}{r} \tau^{\frac{s}{p}}$ ($1 \leq j \leq p$), by Remark 2.2 and 2.3, we can find a solution $b(\tau')$ of the system of equations (2.3) such that

$$b(\tau') \equiv \sum_{0 \leq i \leq \frac{s}{p}} b_{pi} \tau'^i - \frac{j}{r+s} \tau'^{\frac{s}{p}} \pmod{\tau'^{\frac{s}{p}+1}}.$$

Applying Theorem 1' to the system of equations (2.3) for $a(\tau) = \sum_{0 \leq i \leq \frac{s}{p}} a_{pi} \tau^i - \frac{j}{r} \tau^{\frac{s}{p}}$ ($1 \leq j \leq p$), we have

$$\begin{aligned} & \mathcal{F}^{(0,\infty)}\left([r]_*[-rf]\right) \\ &= \mathcal{F}^{(0,\infty)}\left(\left[\frac{r}{p}\right]_*[p]_*[-r(a_0T^{-s} + a_pT^{p-s} + \dots + a_s)]\right) \\ &= \bigoplus_{1 \leq j \leq p} \mathcal{F}^{(0,\infty)}\left(\left[\frac{r}{p}\right]_*\left[-\frac{r}{p}(a_0\tau^{-\frac{s}{p}} + a_p\tau^{\frac{p-s}{p}} + \dots + a_s) + \frac{j}{p}\right]\right) \\ &= \bigoplus_{1 \leq j \leq p} \left[\frac{r+s}{p}\right]_*\left[-\frac{r+s}{p}(b_0\tau'^{-\frac{s}{p}} + b_p\tau'^{\frac{p-s}{p}} + \dots + b_s) + \frac{j}{p} + \frac{s}{2p}\right] \\ &= \left[\frac{r+s}{p}\right]_*[p]_*\left[-(r+s)(b_0Z'^{-s} + b_pZ'^{p-s} + \dots + b_s) + \frac{s}{2}\right] \\ &= [r+s]_*\left[-(r+s)(b_0Z'^{-s} + b_pZ'^{p-s} + \dots + b_s) + \frac{s}{2}\right]. \end{aligned}$$

□

From now on, we assume f is irreducible.

Let's describe the connection $\mathcal{F}^{(0,\infty)}([r]_*[-rf])$ on $k((z'))$.

The formal connection $[-rf]$ on $k((T))$ consist of a one dimensional $k((T))$ -vector space with a basis e and a k -linear map $T\partial_T : k((T))e \rightarrow k((T))e$ satisfying

$$T\partial_T(ge) = (T\partial_T(g) - rfg)e$$

for any $g \in k((T))$. Since the formal connection $[-rf]$ on $k((T))$ has slope s , we get $k[[T]]e, T^{-s}k[[T]]e$ is a good lattices pair for it. Identify $[r]_*[-rf]$ with $k((T))e$ as $k((t))$ -vector spaces. Then the formal connection $[r]_*[-rf]$ has pure slope $\frac{s}{r}$ and $k[[T]]e, T^{-s}k[[T]]e$ is a good lattices pair for this connection. The action of the differential operator $t\partial_t$ on $k((T))e$ is given by

$$t\partial_t(ge) = (t\partial_t(g) - fg)e$$

for any $g \in k((T))$. So we have

$$\begin{aligned} (\partial_t \circ t)(T^{-i}e) &= \frac{r-i}{r}T^{-i}e - (a_0T^{-(s+i)}e + \dots + a_sT^{-i}e) \quad (1 \leq i \leq r), \\ t \cdot T^{-i}e &= T^{-(i-r)}e \quad (r+1 \leq i \leq r+s). \end{aligned}$$

By [2], Proposition 3.7, the map

$$\iota : k((T))e \rightarrow \mathcal{F}^{(0,\infty)}([r]_*[-rf])$$

is an isomorphism of k -vector spaces. By [2], Lemma 2.4, $(\iota T^{-1}e, \dots, \iota T^{-(r+s)}e)$ is a basis of $\mathcal{F}^{(0,\infty)}([r]_*[-rf])$ over $k((z'))$. Then by the relation $\iota \circ t = -z'^2\partial_{z'} \circ \iota$ and $\iota \circ \partial_t = -\frac{1}{z'} \circ \iota$ in [2], Proposition 3.7, the matrix of the connection $\mathcal{F}^{(0,\infty)}([r]_*[-rf])$ with respect to the differential operator $z'\partial_{z'}$ and the basis $(\iota T^{-1}e, \dots, \iota T^{-(r+s)}e)$ is

$$- \begin{pmatrix} \overbrace{a_s}^r & & & \overbrace{\frac{1}{z'}}^s & & & \\ & a_{s-1} & \ddots & & \ddots & & \\ & \vdots & \ddots & & & & \frac{1}{z'} \\ & a_0 & & a_{s-1} & & & \\ & & \ddots & \vdots & & & \\ & & & a_0 & & & \end{pmatrix} + \text{diag}\left\{\frac{r-1}{r}, \dots, \frac{1}{r}, 0, \dots, 0\right\}.$$

Then the matrix of the connection

$$[r+s]^* \left(\mathcal{F}^{(0,\infty)} \left([r]_*[-rf] \right) \right) = k((Z')) \otimes_{k((z'))} \mathcal{F}^{(0,\infty)} \left([r]_*[-rf] \right)$$

with respect to the differential operator $Z'\partial_{Z'}$ and the basis $(Z' \otimes \iota T^{-1}e, \dots, Z'^{r+s} \otimes \iota T^{-(r+s)}e)$ is

$$-\frac{r+s}{Z'^s} \begin{pmatrix} a_s Z'^s & & & & 1 \\ a_{s-1} Z'^{s-1} & \ddots & & & \ddots \\ \vdots & \ddots & a_s Z'^s & & 1 \\ a_0 & \ddots & a_{s-1} Z'^{s-1} & & \\ & \ddots & \vdots & & \\ & & a_0 & & \end{pmatrix} \\ + (r+s) \text{diag} \left\{ \frac{r-1}{r}, \dots, \frac{1}{r}, 0, \dots, 0 \right\} + \text{diag} \{1, \dots, r+s\}.$$

We can write this matrix as $(r+s)B - (r+s) \sum_{0 \leq i \leq s} Z'^{i-s} A_i$ for some matrices A_i and B with entries in k , where

$$A_0 = \begin{pmatrix} 0 & I_s \\ a_0 I_r & 0 \end{pmatrix}, \\ B = \text{diag} \left\{ \frac{r-1}{r}, \dots, \frac{1}{r}, 0, \dots, 0 \right\} + \frac{1}{r+s} \text{diag} \{1, \dots, r+s\}.$$

Let V be the k -vector subspace of $[r+s]^* \left(\mathcal{F}^{(0,\infty)} \left([r]_*[-rf] \right) \right)$ generated by $Z'^i \otimes \iota T^{-i}e$ ($1 \leq i \leq r+s$). With respect to this basis, V can be identified with the k -vector space of column vectors in k of length $r+s$. The action of the differential operator $Z'\partial_{Z'}$ on elements of V can be written as

$$Z'\partial_{Z'}(v) = (r+s)B(v) - (r+s) \sum_{0 \leq i \leq s} Z'^{i-s} A_i(v).$$

Lemma 2.6. *Suppose f is irreducible in the sense of Remark 2.4. Given $\alpha_0, \dots, \alpha_s \in k$, the following three conditions are equivalent:*

- (1) $\mathcal{F}^{(0,\infty)}([r]_*[-rf]) = [r+s]_*[-(r+s) \sum_{0 \leq i \leq s} \alpha_i Z'^{i-s}]$.
- (2) $[-(r+s) \sum_{0 \leq i \leq s} \alpha_i Z'^{i-s}]$ is a subconnection of $[r+s]^* \left(\mathcal{F}^{(0,\infty)} \left([r]_*[-rf] \right) \right)$.
- (3) There exist an integer N and $v_0, \dots, v_s \in V$ such that $v_0 \neq 0$ and

$$\begin{cases} \sum_{0 \leq i \leq k} (A_i - \alpha_i) v_{k-i} = 0 \quad (0 \leq k \leq s-1); \\ \sum_{0 \leq i \leq s-1} (A_i - \alpha_i) v_{s-i} + (A_s - B - \alpha_s - \frac{N}{r+s}) v_0 = 0. \end{cases} \quad (2.4)$$

Proof. Since f is irreducible, the connection $[r]_*[-rf]$ on $k((t))$ is irreducible with pure slope $\frac{s}{r}$. By [2], Proposition 3.14, the connection $\mathcal{F}^{(0,\infty)} \left([r]_*[-rf] \right)$ on $k((z'))$ is irreducible with pure slope

$\frac{s}{r+s}$. As in the proof of [2], Lemma 3.3, we have

$$\mathcal{F}^{(0,\infty)}\left([r]_*[-rf]\right) = [r+s]_*[-(r+s) \sum_{0 \leq i \leq s} \varrho_i Z'^{i-s}]$$

for some $\varrho_0, \dots, \varrho_s \in k$ with $\varrho_0 \neq 0$. Let μ be a primitive $(r+s)$ -th root of unity in k . Then

$$\begin{aligned} & [r+s]^*\left(\mathcal{F}^{(0,\infty)}\left([r]_*[-rf]\right)\right) \\ &= \bigoplus_{1 \leq j \leq r+s} [-(r+s)(\mu^{-js} \varrho_0 Z'^{-s} + \mu^{j(1-s)} \varrho_1 Z'^{1-s} + \dots + \varrho_s)]. \end{aligned}$$

So there are $r+s$ one dimensional subconnections of $[r+s]^*\left(\mathcal{F}^{(0,\infty)}\left([r]_*[-rf]\right)\right)$ which are not isomorphic to each other.

(1) \Rightarrow (2) is trivial. For (2) \Rightarrow (1), assume that $[-(r+s) \sum_{0 \leq i \leq s} \alpha_i Z'^{i-s}]$ is a subconnection of $[r+s]^*\left(\mathcal{F}^{(0,\infty)}\left([r]_*[-rf]\right)\right)$. Then

$$[-(r+s) \sum_{0 \leq i \leq s} \alpha_i Z'^{i-s}] = [-(r+s) \sum_{0 \leq i \leq s} \mu^{j(i-s)} \varrho_i Z'^{i-s}]$$

for some $1 \leq j \leq r+s$. Then

$$\begin{aligned} \mathcal{F}^{(0,\infty)}\left([r]_*[-rf]\right) &= [r+s]_*[-(r+s) \sum_{0 \leq i \leq s} \mu^{j(i-s)} \varrho_i Z'^{i-s}] \\ &= [r+s]_*[-(r+s) \sum_{0 \leq i \leq s} \alpha_i Z'^{i-s}]. \end{aligned}$$

For (2) \Rightarrow (3), assume that $[-(r+s) \sum_{0 \leq i \leq s} \alpha_i Z'^{i-s}]$ is a subconnection of $[r+s]^*\left(\mathcal{F}^{(0,\infty)}\left([r]_*[-rf]\right)\right)$.

This means that there is a nonzero map of connections

$$\phi : [-(r+s) \sum_{0 \leq i \leq s} \alpha_i Z'^{i-s}] \rightarrow [r+s]^*\left(\mathcal{F}^{(0,\infty)}\left([r]_*[-rf]\right)\right).$$

The connection $[-(r+s) \sum_{0 \leq i \leq s} \alpha_i Z'^{i-s}]$ consist of a one dimensional $k((Z'))$ -vector space with a basis ε and a k -linear map $Z' \partial_{Z'} : k((Z'))\varepsilon \rightarrow k((Z'))\varepsilon$ satisfying

$$Z' \partial_{Z'}(g\varepsilon) = \left(Z' \partial_{Z'}(g) - (r+s)g \sum_{0 \leq i \leq s} \alpha_i Z'^{i-s} \right) \varepsilon$$

for any $g \in k((Z'))$. Suppose $\phi(\varepsilon) = \sum_{0 \leq i \leq s} Z'^{i+N} v_i$ for some integer N and some $v_i \in V$ with

$v_0 \neq 0$. Then

$$\begin{aligned}
& -(r+s) \sum_{0 \leq i \leq s} \alpha_i Z^{i-s} \sum_{0 \leq i} Z^{i+N} v_i = \phi(Z' \partial_{Z'}(\varepsilon)) \\
& = Z' \partial_{Z'}(\phi(\varepsilon)) = Z' \partial_{Z'}\left(\sum_{0 \leq i} Z^{i+N} v_i\right) \\
& = \sum_{0 \leq i} Z^{i+N} ((r+s)B + i + N) v_i - (r+s) \sum_{0 \leq i} Z^{i+N} \sum_{0 \leq j \leq s} Z^{j-s} A_j(v_i).
\end{aligned}$$

Comparing coefficients of Z^i , for $N-s \leq i \leq N$ on each side, we get the system of equations (2.4). This proves (2) \Rightarrow (3). So for $\alpha_0 = \mu^{-sj} \varrho_0, \alpha_1 = \mu^{(1-s)j} \varrho_1, \dots, \alpha_s = \varrho_s$, the system of equations (2.4) holds for some $N \in \mathbb{Z}$ and some $v_0, \dots, v_s \in V$ with $v_0 \neq 0$. These $(s+1)$ -tuples $(\mu^{-sj} \varrho_0, \mu^{(1-s)j} \varrho_1, \dots, \varrho_s)$ ($1 \leq j \leq r+s$) are pairwise distinct, since f is irreducible. Lemma 2.7 shows that there are at most $r+s$ $(s+1)$ -tuples $(\alpha_0, \dots, \alpha_s)$ such that the system of equations (2.4) holds for $N=0$ and some $v_0, \dots, v_s \in V$ with $v_0 \neq 0$. This proves (3) \Rightarrow (2). \square

Hensel's lemma. *Let E be a finite dimensional k -vector space. Suppose D is a $k[[t]]$ -linear endomorphism of $E \otimes_k k[[t]]$. Write the action of D on elements of E :*

$$D(v) = \sum_{i \geq 0} t^i D_i(v), \text{ for unique elements } D_i \in \text{End}_k(E).$$

Suppose the characteristic polynomial of D_0 has a simple root α_0 in k . Then

(1) *The equation*

$$(D - \alpha)(u) = 0$$

has a solution $\alpha \in k[[t]]$ with constant term α_0 and $0 \neq u \in E \otimes_k k[[t]]$. In this case, α is uniquely determined by α_0 .

(2) *Let k be a positive integer. The following systems of equations*

$$\sum_{0 \leq i \leq j} (D_i - \alpha_i) u_{j-i} = 0 \quad (0 \leq j \leq k)$$

has a solution $\alpha_1, \dots, \alpha_k \in k; u_0, \dots, u_k \in E$ with $u_0 \neq 0$. In this case, $\alpha_1, \dots, \alpha_k$ are uniquely determined by α_0 .

Proof. The proof is similar to that of [9], Proposition 7, p. 34. \square

Lemma 2.7. *Given $\alpha_0, \dots, \alpha_s \in k$, there exist $v_0, \dots, v_s \in V$ such that $v_0 \neq 0$ and*

$$\begin{cases} \sum_{0 \leq i \leq k} (A_i - \alpha_i) v_{k-i} = 0 \quad (0 \leq k \leq s-1), \\ \sum_{0 \leq i \leq s-1} (A_i - \alpha_i) v_{s-i} + (A_s - B - \alpha_s) v_0 = 0 \end{cases} \quad (2.5)$$

if and only if there exist $v'_0, \dots, v'_s \in V$ such that $v'_0 \neq 0$ and

$$\begin{cases} \sum_{0 \leq i \leq k} (A_i - \alpha_i) v'_{k-i} = 0 \quad (0 \leq k \leq s-1), \\ \sum_{0 \leq i \leq s-1} (A_i - \alpha_i) v'_{s-i} + (A_s - \frac{2r+s}{2r+2s} - \alpha_s) v'_0 = 0. \end{cases} \quad (2.6)$$

Moreover, there are at most $r+s$ $(s+1)$ -tuples $(\alpha_0, \dots, \alpha_s)$ in k such that the system of equations (2.5) (resp. (2.6)) holds for some $v_0, \dots, v_s \in V$ with $v_0 \neq 0$ (resp. $v'_0, \dots, v'_s \in V$ with $v'_0 \neq 0$).

Proof. Let μ be a primitive $(r+s)$ -th root of unity in k . We fix an $(r+s)$ -th root $a_0^{\frac{1}{r+s}}$ of a_0 . For any $1 \leq j \leq r+s$, set e_j to be the column vector $(\mu^j a_0^{\frac{1}{r+s}}, \dots, \mu^{j(r+s-1)} a_0^{\frac{r+s-1}{r+s}}, a_0)$ and ε_j the row vector $(\mu^{-j} a_0^{-\frac{1}{r+s}}, \dots, \mu^{-j(r+s-1)} a_0^{-\frac{r+s-1}{r+s}}, a_0^{-1})$. Then

$$A_0 \cdot e_j = \mu^{rj} a_0^{\frac{r}{r+s}} \cdot e_j, \quad \varepsilon_j \cdot A_0 = \mu^{rj} a_0^{\frac{r}{r+s}} \cdot \varepsilon_j, \quad \varepsilon_i \cdot e_j = (r+s) \delta_{ij}.$$

Set $d = (r, s)$. We get $\ker(A_0 - \mu^{rj} a_0^{\frac{r}{r+s}})$ is generated by those e_k with $r+s \mid (k-j)d$, and $\text{im}(A_0 - \mu^{rj} a_0^{\frac{r}{r+s}})$ is generated by the other e_k 's. Then

$$\text{im}(A_0 - \mu^{rj} a_0^{\frac{r}{r+s}}) = \{v \in V \mid \varepsilon_k \cdot v = 0 \text{ for all } k \text{ satisfying } r+s \mid (k-j)d\}.$$

For the only if part, suppose the system of equations (2.5) holds for some $v_0, \dots, v_s \in V$ with $v_0 \neq 0$. In particular, $(A_0 - \alpha_0)v_0 = 0$. Then $\alpha_0 = \mu^{rj} a_0^{\frac{r}{r+s}}$ for some integer j and then $v_0 = \sum_{r+s \mid (i-j)d} \gamma_i e_i$ for some $\gamma_i \in k$. For any $1 \leq k, l \leq r+s$, we have

$$\begin{aligned} & \varepsilon_k \cdot (B - \frac{2r+s}{2r+2s}) e_l \\ &= \sum_{1 \leq i \leq r} \frac{r-i}{r} \mu^{i(l-k)} + \sum_{1 \leq i \leq r+s} \frac{i}{r+s} \mu^{i(l-k)} - \frac{2r+s}{2r+2s} \sum_{1 \leq i \leq r+s} \mu^{i(l-k)}. \end{aligned}$$

If $k = l$,

$$\varepsilon_k \cdot (B - \frac{2r+s}{2r+2s}) e_l = \sum_{1 \leq i \leq r} \frac{r-i}{r} + \sum_{1 \leq i \leq r+s} \frac{i}{r+s} - \frac{2r+s}{2r+2s} \sum_{1 \leq i \leq r+s} 1 = 0.$$

Suppose $k \neq l$ and $r+s \mid (l-k)d$. Let $\xi = \mu^{l-k}$. Then $\xi^d = 1$ and $\xi \neq 1$. For any $d \mid n$, we have $\sum_{1 \leq i \leq n} \xi^i = 0$ and hence $\sum_{1 \leq i \leq n} i \xi^i = \frac{n}{d} \sum_{1 \leq i \leq d} i \xi^i$. So we have

$$\begin{aligned} \varepsilon_k \cdot (B - \frac{2r+s}{2r+2s}) e_l &= -\frac{1}{r} \sum_{1 \leq i \leq r} i \xi^i + \frac{1}{r+s} \sum_{1 \leq i \leq r+s} i \xi^i \\ &= -\frac{1}{r} \frac{r}{d} \sum_{1 \leq i \leq d} i \xi^i + \frac{1}{r+s} \frac{r+s}{d} \sum_{1 \leq i \leq d} i \xi^i = 0. \end{aligned}$$

So $\varepsilon_k \cdot (B - \frac{2r+s}{2r+2s}) v_0 = 0$ if $r+s \mid (k-j)d$. Therefore $(B - \frac{2r+s}{2r+2s}) v_0 = (A_0 - \alpha_0)v$ for some $v \in V$.

Then $v'_0 = v_0, \dots, v'_{s-1} = v_{s-1}, v'_s = v_s - v$ satisfy the system of equations (2.6). Reversing the

and

$$\left(\Gamma - \sum_{0 \leq i \leq s} \rho_i Z^i\right) \left(\sum_{0 \leq i \leq s} u_i Z^i\right) \equiv 0 \pmod{Z^{s+1}}. \quad (2.8)$$

Since ρ_0 is a simple root of the characteristic polynomial of Γ_0 , by Hensel's lemma, ρ_1, \dots, ρ_s are uniquely determined by ρ_0 . So $\alpha_0, \dots, \alpha_s$ are uniquely determined by $\rho_0 = \mu^j a_0^{\frac{1}{r+s}}$ ($1 \leq j \leq r+s$). This proves the last assertion. \square

Now we are ready to prove Theorem 1'. By Remark 2.2, we assume that $a(T) = \sum_{0 \leq i \leq s} a_i T^i$. Then the first equation of (2.2) means that $\frac{T}{Z'}$ is a root in $k[[Z']]$ of the polynomial

$$\lambda^{r+s} - \sum_{0 \leq i \leq s} a_i Z^i \lambda^i \in k[[Z']][\lambda].$$

This polynomial is exactly the characteristic polynomial of Γ . The characteristic polynomial of Γ_0 is the polynomial $\lambda^{r+s} - a_0$ which has no multiple roots, then by Hensel's lemma, Γ has an eigenvector $\sum_{i \geq 0} Z^i v_i$ corresponding this eigenvalue $\frac{T}{Z'}$ with $v_0 \neq 0$. Since $\sum_{0 \leq i \leq s} Z^i A_i = \Gamma^r$, we have

$$\left(\sum_{0 \leq i \leq s} Z^i A_i\right) \left(\sum_{0 \leq i} Z^i v_i\right) = \left(\frac{T}{Z'}\right)^r \left(\sum_{0 \leq i} Z^i v_i\right) = \left(\sum_{0 \leq i} b_i Z^i\right) \left(\sum_{0 \leq i} Z^i v_i\right).$$

So

$$\sum_{0 \leq i \leq k} (A_i - b_i) v_{k-i} = 0 \text{ for any } 0 \leq k \leq s.$$

Recall that $\sum_{0 \leq i \leq s} a_i T^{i-s}$ is assumed to be irreducible. Then by Lemma 2.6 and 2.7, we have

$$\begin{aligned} & \mathcal{F}^{(0, \infty)} \left([r]_* [-r(a_0 T^{-s} + a_1 T^{1-s} + \dots + a_s)] \right) \\ &= [r+s]_* \left[-(r+s)(b_0 Z'^{-s} + b_1 Z'^{1-s} + \dots + b_s - \frac{2r+s}{2r+2s}) \right] \\ &= [r+s]_* \left[-(r+s)(b_0 Z'^{-s} + b_1 Z'^{1-s} + \dots + b_s) + \frac{s}{2} \right]. \end{aligned}$$

Suppose $r > s$. Given a formal Laurent series α in the variable $\frac{1}{\sqrt[r]{t}}$ of order $-s$, consider the system of equations (1.2). We express $\frac{1}{\sqrt[r]{t}}$ as a formal power series in $\sqrt[r-s]{t'}$ of order 1 using the first equation, and then substitute this expression into the second equation to get $\beta \in k((\sqrt[r-s]{t'}))$. Similar to equation (2.1), we have $\partial_{t'}(\beta) = t$. It follows that β is a formal Laurent series in $\sqrt[r-s]{t'}$ of order $-s$. Let $Z = \frac{1}{\sqrt[r]{t}}$ and let $T' = \sqrt[r-s]{t'}$. Set

$$a(Z) = Z^s t \partial_t(\alpha) \text{ and } b(T') = -T'^s t' \partial_{t'} \beta.$$

Then $a(Z)$ is a formal power series in Z of order 0 and $b(T')$ is a formal power series in T' of order 0. From the system of equations (1.2), we get

$$\begin{cases} a(Z) = -\left(\frac{T'}{Z}\right)^{r-s} \\ b(T') = -\left(\frac{T'}{Z}\right)^r. \end{cases} \quad (2.9)$$

Similar to Theorem 1 and 1', to prove Theorem 2, it suffices to show the following theorem.

Theorem 2'. Suppose $r > s$. Given a formal power series $a(Z) = \sum_{i \geq 0} a_i Z^i$ with $a_i \in k$ and $a_0 \neq 0$, suppose $b(T') = \sum_{i \geq 0} b_i T'^i$ with $b_i \in k$ is a solution of the system of equations (2.9). We have $b_s = \frac{r}{r-s} a_s$ and

$$\begin{aligned} & \mathcal{F}^{(\infty,0)}\left([r]_*[-r(a_0 Z^{-s} + a_1 Z^{1-s} + \dots + a_s)]\right) \\ &= [r-s]_*[-(r-s)(b_0 T'^{-s} + b_1 T'^{1-s} + \dots + b_s) + \frac{s}{2}]. \end{aligned}$$

Proof. The proof of $b_s = \frac{r}{r-s} a_s$ is similar to that of Theorem 1'. Using the first equation of (2.9), we can express Z as a formal power series in the variable T' of order 1. We then substitute this expression into the second equation to get $b(T')$ is a formal power series in T' with nonzero constant term. That is, $b_0 \neq 0$. Let ζ be an r -th root of -1 in k and let $Z = \zeta \cdot Z_1$. Let $[-] : k((z)) \rightarrow k((z))$ be the automorphism of k -algebra defined by $z \mapsto -z$. From the system of equations (2.9), we get

$$\begin{cases} \sum_{i \geq 0} b_i T'^i = \left(\frac{T'}{Z_1}\right)^r \\ \sum_{i \geq 0} \zeta^{i-s} a_i Z_1^i = \left(\frac{T'}{Z_1}\right)^{r-s}. \end{cases}$$

Since $b_0 \neq 0$, by Theorem 1', we have

$$\begin{aligned} & \mathcal{F}^{(0,\infty)}\left([r-s]_*[-(r-s)(b_0 T'^{-s} + b_1 T'^{1-s} + \dots + b_s) + \frac{s}{2}]\right) \\ &= [r]_*[-r(\zeta^{-s} a_0 Z^{-s} + \zeta^{1-s} a_1 Z^{1-s} + \dots + a_s) + \frac{s}{2} + \frac{s}{2}] \\ &= [-]^*[r]_*[-r(a_0 Z^{-s} + a_1 Z^{1-s} + \dots + a_s)] \\ &= \mathcal{F}^{(0,\infty)}\left(\mathcal{F}^{(\infty,0)}\left([r]_*[-r(a_0 Z^{-s} + a_1 Z^{1-s} + \dots + a_s)]\right)\right). \end{aligned}$$

The theorem holds by [2], Proposition 3.10. □

3 Proof of Theorem 3

Suppose $r < s$. Given a formal Laurent series α in the variable $\frac{1}{\sqrt[r]{t}}$ of order $-s$, consider the system of equations (1.3). We express $\frac{1}{\sqrt[r]{t}}$ as a formal Laurent series in $\frac{1}{s-\sqrt[r]{t}}$ of order 1 using the

first equation and then substitute this expression into the second equation to get $\beta \in k((\frac{1}{s-\sqrt[r]{t}}))$. Similar to equation (2.1), we have $\partial_{t'}(\beta) = t$. It follows that β is a formal Laurent series in $\frac{1}{s-\sqrt[r]{t}}$ of order $-s$. Let $Z = \frac{1}{\sqrt[r]{t}}$ and $Z' = \frac{1}{s-\sqrt[r]{t}}$. Set

$$a(Z) = Z^s t \partial_t(\alpha) \text{ and } b(Z') = Z'^s t' \partial_{t'}(\beta).$$

Then $a(Z)$ is a formal power series in Z of order 0 and $b(Z')$ is a formal power series in Z' of order 0. From the system of equations (1.3), we get

$$\begin{cases} a(Z) = -(\frac{Z}{Z'})^{s-r} \\ b(Z') = (\frac{Z'}{Z})^r. \end{cases} \quad (3.1)$$

Similar to Theorem 1 and 1', to prove Theorem 3, it suffices to show the following theorem.

Theorem 3'. Suppose $s > r$. Given a formal power series $a(Z) = \sum_{i \geq 0} a_i Z^i$ with $a_i \in k$ and $a_0 \neq 0$, solve the system of equations (3.1) to get $b(Z') = \sum_{i \geq 0} b_i Z'^i$ for some $b_i \in k$. Then $b_s = \frac{r}{s-r} a_s$ and

$$\begin{aligned} & \mathcal{F}^{(\infty, \infty)}\left([r]_*[-r(a_0 Z^{-s} + a_1 Z^{1-s} + \dots + a_s)]\right) \\ &= [s-r]_*[-(s-r)(b_0 Z'^{-s} + b_1 Z'^{1-s} + \dots + b_s) + \frac{s}{2}]. \end{aligned}$$

Lemma 3.1. Set $h = a_0 Z^{-s} + a_1 Z^{1-s} + \dots + a_s$. We can reduce Theorem 3' to the case where $s \geq 2r$ and where h is irreducible with respect to the Galois extension $k((Z))/k((z))$.

Proof. The proof of $b_s = \frac{r}{s-r} a_s$ is similar to that of Theorem 1' and the proof of the last assertion is similar to that of Lemma 2.5. If $s < 2r$, then $s > 2(s-r)$. Let ζ be an r -th root of -1 in k and let $Z = \zeta \cdot Z_1$. From the system of equations (3.1), we get

$$\begin{cases} \sum_{i \geq 0} b_i Z'^i = -(\frac{Z'}{Z_1})^r \\ \sum_{i \geq 0} \zeta^{i-s} a_i Z_1^i = (\frac{Z_1}{Z'})^{s-r}. \end{cases}$$

We prove $b_0 \neq 0$ similarly as in Theorem 2'. Applying this theorem to $[s-r]_*[-(s-r)(b_0 Z'^{-s} + \dots + b_s) + \frac{s}{2}]$, we have

$$\begin{aligned} & \mathcal{F}^{(\infty, \infty)}\left([s-r]_*[-(s-r)(b_0 Z'^{-s} + b_1 Z'^{1-s} + \dots + b_s) + \frac{s}{2}]\right) \\ &= [r]_*[-r(\zeta^{-s} a_0 Z^{-s} + \zeta^{1-s} a_1 Z^{1-s} + \dots + a_s) + \frac{s}{2} + \frac{s}{2}] \\ &= [-]^*[r]_*[-r(a_0 Z^{-s} + a_1 Z^{1-s} + \dots + a_s)] \\ &= \mathcal{F}^{(\infty, \infty)}\left(\mathcal{F}^{(\infty, \infty)}([r]_*[-rh])\right). \end{aligned}$$

The lemma holds by [2], Proposition 3.12 (iv). □

From now on, we assume h is irreducible.

Let's describe the formal connection $\mathcal{F}^{(\infty, \infty)}([r]_*[-rh])$ on $k((z'))$.

The formal connection $[-rh]$ on $k((Z))$ consist of a one dimensional $k((Z))$ -vector space with a basis e' and a k -linear map $Z\partial_Z : k((Z))e' \rightarrow k((Z))e'$ satisfying

$$Z\partial_Z(ge') = (Z\partial_Z(g) - rhg)e'$$

for any $g \in k((Z))$. Since the formal connection $[-rh]$ on $k((Z))$ has slope s , we get $k[[Z]]e'$, $Z^{-s}k[[Z]]e'$ is a good lattices pair for it. Identify $[r]_*[-rh]$ with $k((Z))e'$ as $k((z))$ -vector spaces. So the connection $[r]_*[-rh]$ on $k((z))$ has pure slope $\frac{s}{r}$ and $k[[Z]]e'$, $Z^{-s}k[[Z]]e'$ is a good lattices pair for this connection. The action of the differential operator $z\partial_z$ on $k((Z))e'$ is given by

$$z\partial_z(ge') = (z\partial_z(g) - hg)e'$$

for any $g \in k((Z))$. Then for any $i \in \mathbb{Z}$, we have

$$z^2\partial_z(Z^{-(r+i)}e') = -\frac{r+i}{r}Z^{-i}e' - (a_0Z^{-(i+s)}e' + \dots + a_sZ^{-i}e').$$

By [2], Proposition 3.12 (ii), the map

$$\iota : k((Z))e' \rightarrow \mathcal{F}^{(\infty, \infty)}([r]_*[-rh])$$

is an isomorphism of k -vector spaces. As in [2], Proposition 3.14, $(\iota Z^{-1}e', \dots, \iota Z^{-(s-r)}e')$ is a basis of $\mathcal{F}^{(\infty, \infty)}([r]_*[-rh])$ over $k((z'))$. By the relation $\iota \circ z^2\partial_z = \frac{1}{z'} \circ \iota$ and $-\iota \circ \frac{1}{z} = z'^2\partial_{z'} \circ \iota$ in [2], Proposition 3.12 (iii), we have

$$\begin{aligned} z'^2\partial_{z'}(\iota Z^{-(i+s-r)}e') &= -\iota Z^{-(i+s)}e' \\ &= \frac{a_s}{a_0}\iota Z^{-i}e' + \dots + \frac{a_1}{a_0}\iota Z^{-(i+s-1)}e' + \frac{1}{a_0 z'}\iota Z^{-(r+i)}e' + \frac{r+i}{ra_0}\iota Z^{-i}e'. \end{aligned}$$

Let

$$A = \begin{pmatrix} 0 & & & & & -\frac{a_s}{a_0} \\ & 1 & & & & \vdots \\ & & \ddots & & & -\frac{a_{s-r+1}}{a_0} \\ & & & \ddots & & -\frac{a_{s-r}}{a_0} - \frac{1}{a_0 z'} \\ & & & & \ddots & -\frac{a_{s-r-1}}{a_0} \\ & & & & & \vdots \\ & & & & & 1 \\ & & & & & -\frac{a_1}{a_0} \end{pmatrix}.$$

For any $i \in \mathbb{Z}$, let B_i be the $s \times s$ -matrix whose entries are all zero except the $(1, s)$ -th entry which is valued by $-\frac{r+i}{ra_0}$. We have

$$(\iota Z^{-(i+1)} e', \dots, \iota Z^{-(i+s)} e') = (\iota Z^{-i} e', \dots, \iota Z^{-(i+s-1)} e')(A + B_i).$$

So

$$\begin{aligned} z'^2 \partial_{z'}(\iota Z^{-1} e', \dots, \iota Z^{-s} e') &= -(\iota Z^{-(r+1)} e', \dots, \iota Z^{-(r+s)} e') \\ &= -(\iota Z^{-1} e', \dots, \iota Z^{-s} e') \prod_{1 \leq i \leq r} (A + B_i). \end{aligned}$$

Consider the connection

$$[s-r]^* \left(\mathcal{F}^{(\infty, \infty)} \left([r]_* [-rh] \right) \right) = k((Z')) \otimes_{k((z'))} \mathcal{F}^{(\infty, \infty)} \left([r]_* [-rh] \right).$$

Set $\wedge = \text{diag}\{Z', \dots, Z'^s\}$ and $\varepsilon' = (Z' \otimes \iota Z^{-1} e', \dots, Z'^s \otimes \iota Z^{-s} e')$. We have

$$\begin{aligned} Z' \partial_{Z'}(\varepsilon') &= \varepsilon' \cdot \left(\text{diag}\{1, \dots, s\} - \frac{s-r}{z'} \wedge^{-1} \left(\prod_{1 \leq i \leq r} (A + B_i) \right) \wedge \right) \\ &= \varepsilon' \cdot \left(\text{diag}\{1, \dots, s\} - \frac{s-r}{Z'^s} \prod_{1 \leq i \leq r} \left(Z' \wedge^{-1} (A + B_i) \wedge \right) \right). \end{aligned}$$

We have

$$Z' \wedge^{-1} A \wedge = \begin{pmatrix} 0 & & & & & -\frac{a_s}{a_0} Z'^s \\ 1 & & & & & \vdots \\ & \ddots & & & & -\frac{a_{s-r+1}}{a_0} Z'^{s-r+1} \\ & & \ddots & & & -\frac{a_{s-r}}{a_0} Z'^{s-r} - \frac{1}{a_0} \\ & & & \ddots & & -\frac{a_{s-r-1}}{a_0} Z'^{s-r-1} \\ & & & & \ddots & \vdots \\ & & & & & 1 & -\frac{a_1}{a_0} Z' \end{pmatrix}$$

and $Z' \wedge^{-1} B_i \wedge$ is the $s \times s$ -matrix whose entries are all zero except the $(1, s)$ -th entry which is valued by $-\frac{r+i}{ra_0} Z'^s$. So we can write

$$\text{diag}\{1, 2, \dots, s\} - \frac{s-r}{Z'^s} \prod_{1 \leq i \leq r} \left(Z' \wedge^{-1} (A + B_i) \wedge \right) = -(s-r) \sum_{i \geq 0} Z'^{i-s} C_i$$

and

$$\left(Z' \wedge^{-1} A \wedge \right)^r = \sum_{i \geq 0} Z'^i C'_i$$

for some matrices C_i and C'_i with entries in k . Then $C_i = C'_i$ for all $0 \leq i \leq s-1$ and

$$C'_s - C_s = \text{diag}\left\{ \frac{1}{s-r}, \dots, \frac{s}{s-r} \right\} - P$$

where P is the $s \times s$ -matrix whose entries are all zero except the $(i, i + s - r)$ -th entry which is valued by $-\frac{r+i}{ra_0}$ ($1 \leq i \leq r$). Let W be the k -vector space of column vectors in k of length s . We have

Lemma 3.2. *Suppose $s \geq 2r$ and h is irreducible with respect to the Galois extension $k((Z))/k((z))$.*

Given $\alpha_0, \dots, \alpha_s \in k$ with $\alpha_0 \neq 0$, the following three conditions are equivalent:

- (1) $\mathcal{F}^{(\infty, \infty)}([r]_*[-rh]) = [s-r]_*[-(s-r) \sum_{0 \leq i \leq s} \alpha_i Z^{i-s}]$.
- (2) $[-(s-r) \sum_{0 \leq i \leq s} \alpha_i Z^{i-s}]$ is a subconnection of $[s-r]^* \mathcal{F}^{(\infty, \infty)}([r]_*[-rh])$.
- (3) *There exist $N \in \mathbb{Z}$ and $w_0, \dots, w_s \in W$ such that $w_0 \neq 0$ and*

$$\begin{cases} \sum_{0 \leq i \leq k} (C_i - \alpha_i) w_{k-i} = 0 \quad (0 \leq k \leq s-1), \\ \sum_{0 \leq i \leq s-1} (C_i - \alpha_i) w_{s-i} + (C_s - \alpha_s - \frac{N}{s-r}) w_0 = 0. \end{cases} \quad (3.2)$$

Proof. Set $U = W \otimes_k k((Z'))$ and $\mathcal{W} = W \otimes_k k[[Z']]$. Let $u = (u_1, \dots, u_s)$ be the canonical basis of W . There exists a unique connection $(U, Z' \partial_{Z'})$ such that the action of $Z' \partial_{Z'}$ on elements of W can be written as

$$Z' \partial_{Z'}(w) = -(s-r) \sum_{i \geq 0} Z'^{i-s} C_i(w).$$

The map of $k((Z'))$ -vector spaces

$$U \rightarrow [s-r]^* \left(\mathcal{F}^{(\infty, \infty)}([r]_*[-rh]) \right)$$

which maps each u_i to $Z'^i \otimes Z^{-i} e^i$ is a surjective morphism of connections. We have $Z'^{s+1} \partial_{Z'}(\mathcal{W}) \subset \mathcal{W}$. Let $\psi : \mathcal{W} \rightarrow \mathcal{W}/Z' \mathcal{W} \cong W$ be the canonical map. The k -linear action on $W \cong \mathcal{W}/Z' \mathcal{W}$ induced by $Z'^{s+1} \partial_{Z'}$ is $-(s-r)C_0$. Write

$$Z' \wedge^{-1} A \wedge = \sum_{i \geq 0} Z'^i D_i$$

for some matrices D_i with entries in k . The characteristic polynomial of D_0 is $\lambda^s + \frac{1}{a_0} \lambda^r$. So W is the direct sum of two subspaces W_0 and W_1 , invariant under D_0 , and such that $D_0|_{W_0}$ is nilpotent, $D_0|_{W_1}$ is invertible. Then $\dim W_0 = r$ and $\dim W_1 = s-r$. Since $C_0 = D_0^r$, we have W_0 and W_1 are C_0 -invariant, and then $C_0|_{W_0} = 0$, $C_0|_{W_1}$ is invertible. By the splitting lemma in [7], 2, \mathcal{W} is the direct sum of two free submodules \mathcal{W}_0 and \mathcal{W}_1 , invariant under $Z'^{s+1} \partial_{Z'}$, and such that $W_0 = \psi(\mathcal{W}_0)$, $W_1 = \psi(\mathcal{W}_1)$. Let U_0, U_1 be the subconnections of U generated by $\mathcal{W}_0, \mathcal{W}_1$, respectively. Then $U = U_0 \oplus U_1$. The induced action of $Z'^{s+1} \partial_{Z'}$ on W_0 is 0, so the slopes of the

connection U_0 are all $< s$. But $[s-r]^* \left(\mathcal{F}^{(\infty, \infty)} \left([r]_* [-rh] \right) \right)$ is an $s-r$ dimensional connection on $k((Z'))$ with pure slope s , we have

$$\mathrm{Hom}_{\mathrm{conn.}} \left(U_0, [s-r]^* \left(\mathcal{F}^{(\infty, \infty)} \left([r]_* [-rh] \right) \right) \right) = (0)$$

and then

$$U_1 \cong [s-r]^* \left(\mathcal{F}^{(\infty, \infty)} \left([r]_* [-rh] \right) \right).$$

For any one dimensional formal connection L on $k((Z'))$ with slope s , we have

$$\mathrm{Hom}_{\mathrm{conn.}}(L, U_0) = (0)$$

and then

$$\begin{aligned} \mathrm{Hom}_{\mathrm{conn.}}(L, U) &= \mathrm{Hom}_{\mathrm{conn.}}(L, U_1) \bigoplus \mathrm{Hom}_{\mathrm{conn.}}(L, U_0) \\ &= \mathrm{Hom}_{\mathrm{conn.}} \left(L, [s-r]^* \left(\mathcal{F}^{(\infty, \infty)} \left([r]_* [-rh] \right) \right) \right). \end{aligned}$$

So to find a one dimensional subconnection in $[s-r]^* \left(\mathcal{F}^{(\infty, \infty)} \left([r]_* [-rh] \right) \right)$ is equivalent to finding a one dimensional subconnection in U of slope s . By Lemma 3.3, the remainder proof is similar to that of Lemma 2.6. \square

Lemma 3.3. *Suppose $s \geq 2r$. Given $\alpha_0, \dots, \alpha_s \in k$ with $\alpha_0 \neq 0$, there exist $w_0, \dots, w_s \in W$ such that $w_0 \neq 0$ and*

$$\sum_{0 \leq i \leq k} (C_i - \alpha_i) w_{k-i} = 0 \quad (0 \leq k \leq s) \quad (3.3)$$

if and only if there exist $w'_0, \dots, w'_s \in W$ such that $w'_0 \neq 0$ and

$$\begin{cases} \sum_{0 \leq i \leq k} (C'_i - \alpha_i) w'_{k-i} = 0 \quad (0 \leq k \leq s-1), \\ \sum_{0 \leq i \leq s-1} (C'_i - \alpha_i) w'_{s-i} + (C'_s - \alpha_s - \frac{s-2r}{2s-2r}) w'_0 = 0. \end{cases} \quad (3.4)$$

Moreover, there are at most $s-r$ $(s+1)$ -tuples $(\alpha_0, \dots, \alpha_s)$ in k such that $\alpha_0 \neq 0$ and the system of equations (3.3) (resp. (3.4)) holds for some $w_0, \dots, w_s \in W$ with $w_0 \neq 0$ (resp. $w'_0, \dots, w'_s \in W$ with $w'_0 \neq 0$).

Proof. Let η be a primitive $(s-r)$ -th root of unity in k . We fix an $(s-r)$ -th root $(-a_0)^{\frac{1}{s-r}}$ of $-a_0$. For any $1 \leq j \leq s-r$, set e'_j to be the column vector $(0, \dots, 0, \eta^{(r+1)j} (-a_0)^{\frac{r+1}{s-r}}, \dots, \eta^{sj} (-a_0)^{\frac{s}{s-r}})$ and ε'_j the row vector $(\eta^{-j} (-a_0)^{-\frac{1}{s-r}}, \dots, \eta^{-sj} (-a_0)^{-\frac{s}{s-r}})$. We have

$$C_0 \cdot e'_j = \eta^{-rj} (-a_0)^{-\frac{r}{s-r}} \cdot e'_j, \quad \varepsilon'_j \cdot C_0 = \eta^{-rj} (-a_0)^{-\frac{r}{s-r}} \cdot \varepsilon'_j, \quad \varepsilon'_i \cdot e'_j = (s-r) \delta_{ij}.$$

Set $d = (r, s)$. Let W_0 be as in Lemma 3.2. We have $C_0|_{W_0} = 0$. Then $\ker(C_0 - \eta^{-rj}(-a_0)^{-\frac{r}{s-r}})$ is generated by those e'_k with $s-r|(k-j)d$, and $\text{im}(C_0 - \eta^{-rj}(-a_0)^{-\frac{r}{s-r}})$ is generated by W_0 and the other e'_k 's. So

$$\text{im}(C_0 - \eta^{-rj}(-a_0)^{-\frac{r}{s-r}}) = \{w \in W | \varepsilon'_k \cdot w = 0 \text{ for all } k \text{ satisfying } s-r|(k-j)d\}.$$

For the only if part, suppose the system of equations (3.3) holds for some $w_0, \dots, w_s \in W$ with $w_0 \neq 0$. So $\alpha_0 = \eta^{-rj}(-a_0)^{-\frac{r}{s-r}}$ for some integer j and then $w_0 = \sum_{s-r|(i-j)d} \sigma_i e'_i$ for some $\sigma_i \in k$. Since $s \geq 2r$, for any $1 \leq k, l \leq s-r$, we have

$$\begin{aligned} & \varepsilon'_k \cdot (\text{diag}\{\frac{1}{s-r}, \dots, \frac{s}{s-r}\} - P - \frac{s-2r}{2s-2r})e'_l \\ &= \sum_{r+1 \leq i \leq s} \frac{i}{s-r} \eta^{(l-k)i} - \sum_{1 \leq i \leq r} \frac{r+i}{r} \eta^{(l-k)i} - \frac{s-2r}{2} \delta_{kl}. \end{aligned}$$

If $k = l$, then

$$\begin{aligned} & \varepsilon'_k \cdot (\text{diag}\{\frac{1}{s-r}, \dots, \frac{s}{s-r}\} - P - \frac{s-2r}{2s-2r})e'_l \\ &= \sum_{r+1 \leq i \leq s} \frac{i}{s-r} - \sum_{1 \leq i \leq r} \frac{r+i}{r} - \frac{s-2r}{2} = 0. \end{aligned}$$

If $k \neq l$ and $s-r|(l-k)d$, then $(\eta^{l-k})^d = 1$ and $\eta^{l-k} \neq 1$. We have

$$\begin{aligned} & \varepsilon'_k \cdot (\text{diag}\{\frac{1}{s-r}, \dots, \frac{s}{s-r}\} - P - \frac{s-2r}{2s-2r})e'_l \\ &= \frac{s-r}{d} \sum_{1 \leq i \leq d} \frac{i}{s-r} \eta^{(l-k)i} - \frac{r}{d} \sum_{1 \leq i \leq d} \frac{i}{r} \eta^{(l-k)i} = 0. \end{aligned}$$

So $\varepsilon'_k \cdot (\text{diag}\{\frac{1}{s-r}, \dots, \frac{s}{s-r}\} - P - \frac{s-2r}{2s-2r})w_0 = 0$ if $s-r|(k-j)d$. Therefore

$$(\text{diag}\{\frac{1}{s-r}, \dots, \frac{s}{s-r}\} - P - \frac{s-2r}{2s-2r})w_0 = (C_0 - \alpha_0)w$$

for some $w \in W$. Then $w'_0 = w_0, \dots, w'_{s-1} = w_{s-1}, w'_s = w_s - w$ satisfy the system of equations (3.4). Reversing the above argument, we get the if part. The characteristic polynomial of D_0 is $\lambda^s + \frac{1}{a_0} \lambda^r$. Each nonzero root of this polynomial is simple. Since $\sum_{i \geq 0} Z^i C'_i = (Z' \wedge^{-1} A \wedge)^r$ and $C_0 = D_0^r$, the proof of the last assertion is similar to that of Lemma 2.7. \square

Now we are ready to prove Theorem 3'. Similar to Remark 2.2, we assume $a(Z) = \sum_{0 \leq i \leq s} a_i Z^i$. Then the first equation of (3.1) means that $\frac{Z'}{Z}$ is a root in $k[[Z']]$ with nonzero constant term of the polynomial

$$\lambda^s + \frac{a_1}{a_0} Z' \lambda^{s-1} + \dots + \frac{a_s}{a_0} Z'^s + \frac{1}{a_0} \lambda^r \in k[[Z']][\lambda].$$

This polynomial is exactly the characteristic polynomial of $Z' \wedge^{-1} A \wedge$. The characteristic polynomial of D_0 is $\lambda^s + \frac{1}{a_0} \lambda^r$ which has no nonzero multiple roots, by Hensel's lemma, $Z' \wedge^{-1} A \wedge$ has an eigenvector $\sum_{i \geq 0} Z'^i w_i$ corresponding this eigenvalue $\frac{Z'}{Z}$ with $w_0 \neq 0$. Since $\sum_{i \geq 0} Z'^i C'_i = (Z' \wedge^{-1} A \wedge)^r$, we have

$$\left(\sum_{i \geq 0} Z'^i C'_i \right) \left(\sum_{i \geq 0} Z'^i w_i \right) = \left(\frac{Z'}{Z} \right)^r \left(\sum_{i \geq 0} Z'^i w_i \right) = \left(\sum_{i \geq 0} b_i Z'^i \right) \left(\sum_{i \geq 0} Z'^i w_i \right).$$

That is,

$$\sum_{0 \leq i \leq k} (C'_i - b_i) w_{k-i} = 0 \text{ for any } k \geq 0.$$

Recall that $s \geq 2r$ and $\sum_{0 \leq i \leq s} a_i Z^{i-s}$ is assumed to be irreducible. By Lemma 3.2 and 3.3, we have

$$\begin{aligned} & \mathcal{F}^{(\infty, \infty)} \left([r]_* [-rh] \right) \\ &= [s-r]_* \left[-(s-r)(b_0 Z'^{-s} + b_1 Z'^{1-s} + \dots + b_s - \frac{s-2r}{2s-2r}) \right] \\ &= [s-r]_* \left[-(s-r)(b_0 Z'^{-s} + b_1 Z'^{1-s} + \dots + b_s) + \frac{s}{2} \right]. \end{aligned}$$

References

- [1] Beilinson, A.; Bloch, S.; Esnault, H.: ϵ -factors for Gauß-Manin determinants, Moscow Mathematical Journal, vol. 2, **3** (2002), 477-532.
- [2] Bloch, S. and Esnault, H.: Local Fourier Transforms and rigidity for \mathcal{D} -Modules, Asian J. Math. 8 (2004), no. 4, 587–605.
- [3] Deligne, P.: Équations Différentielles à Points Singuliers Réguliers, Lectures Notes **163**, Springer Verlag.
- [4] Fu, Lei.: Calculation of ℓ -adic local Fourier transformations, arXiv:math/0702436.
- [5] Katz, N.: On the calculation of some differential Galois groups, Inv. Math. **87** (1986), 13-61.
- [6] Laumon, G.: Transformation de Fourier, constantes d'équations fonctionnelles et conjecture de Weil, Publ. Math. IHES **65** (1987), 131-210.
- [7] Levelt, A.H.M.: Jordan decomposition for a class of singular differential operators, Ark. Math. 13 (1975), 1-27.
- [8] Sabbah, Claude.: An explicit stationary phase formula for the local formal Fourier-Laplace transform, arXiv:0706.3570.
- [9] Serre, J-P.: Local Fields, Graduate Texts in Mathematics, Springer Verlag.