

COMPOSITION OPERATORS IN THE LIPSCHITZ SPACE OF THE POLYDISCS

ZHONGSHAN FANG AND ZEHUA ZHOU*

ABSTRACT. In 1987, Shapiro shew that composition operator induced by symbol φ is compact on the Lipschitz space if and only if the infinity norm of φ is less than 1 by a spectral-theoretic argument, where φ is a holomorphic self-map of the unit disk. In this paper, we shall generalize Shapiro's result to the n -dimensional case.

1. INTRODUCTION

Let U^n be the unit polydiscs of n -dimensional complex spaces C^n with boundary ∂U^n , the class of all holomorphic functions on domain U^n will be denoted by $H(U^n)$. Let $\varphi(z) = (\varphi_1(z), \dots, \varphi_n(z))$ be a holomorphic self-map of U^n , composition operator is defined by

$$C_\varphi(f)(z) = f(\varphi(z))$$

for any $f \in H(U^n)$ and $z \in U^n$.

In the past few years, boundedness and compactness of composition operators between several spaces of holomorphic functions have been studied by many authors: by Jarchow and Ried [4] between generalized Bloch-type spaces and Hardy spaces, between Bloch spaces and Besov spaces and BMOA and VMOA in Tian's thesis [10].

More recently, there have been many papers focused on studying the same problems for n -dimensional case : by Zhou and Shi[15][16][17] on the Bloch space in polydisk or classical symmetric domains, Gorkin and MacCluer [3] between hardy spaces in the unit ball.

For the Lipschitz case, the compactness of C_φ is characterized by "little-oh" version of Madigan's [6] the boundedness condition, the same results in polydisc were obtained by Zhou [11] and by Zhou and Liu

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[14]. In all these works the main goal is to relate function theoretic properties of ϕ to boundedness and compactness of C_ϕ .

To our surprise, by a spectral-theoretic argument, Shapiro [9] obtained the following fact: C_ϕ is compact on the Lipschitz space $L_\alpha(D)$ if and only if $\|\phi\|_\infty < 1$. In this paper, we shall generalize Shapiro's result to the unit polydisc.

2. NOTATION AND BACKGROUND

Throughout the paper, D is the unit disk in one dimensional complex plane, and $\|z\| = \max_{1 \leq j \leq n} \{|z_j|\}$ stands for the sup norm on the unit polydisc. Define $Rf(z) = \langle \nabla f(z), \bar{z} \rangle$ where $z = (z_1, \dots, z_n) \in U^n$, and $H(U^n, D)$ for the class of the holomorphic mappings from U^n to D . For $0 < \alpha < 1$, it is well known that the Lipschitz space $L_{1-\alpha}(U^n)$ is equivalent to α -Bloch space, which is defined to be the space of holomorphic functions $f \in U^n$ such that

$$\|f\|_{1-\alpha} = \sup_{z \in U^n} \sum_{j=1}^n (1 - |z_j|^2)^\alpha \left| \frac{\partial f}{\partial z_j}(z) \right| < \infty.$$

Here, Lipschitz space $L_{1-\alpha}(U^n)$ is a Banach space with the equivalent norm

$$\|f\| = |f(0)| + \|f\|_{1-\alpha}.$$

The Kobayashi distance k_{U^n} of U^n is given by

$$k_{U^n}(z, w) = \frac{1}{2} \log \frac{1 + \|\phi_z(w)\|}{1 - \|\phi_z(w)\|},$$

where $\phi_z : U^n \rightarrow U^n$ is the automorphism of U^n given by

$$\phi_z(w) = \left(\frac{w_1 - z_1}{1 - \bar{z}_1 w_1}, \dots, \frac{w_n - z_n}{1 - \bar{z}_n w_n} \right)$$

Since the map $t \rightarrow \log \frac{1+t}{1-t}$ is strictly increasing on $[0, 1)$, it follows that

$$k_{U^n}(z, w) = \max_{1 \leq j \leq n} \left\{ \frac{1}{2} \log \frac{1 + \left| \frac{w_j - z_j}{1 - \bar{z}_j w_j} \right|}{1 - \left| \frac{w_j - z_j}{1 - \bar{z}_j w_j} \right|} \right\} = \max_{1 \leq j \leq n} \{\rho(z_j, w_j)\},$$

where ρ is the Poincaré distance on the unit disk $D \subset \mathbb{C}$.

Following [1], the horosphere $E(x, R)$ of center $x \in \partial U^n$ and radius R and the Korányi region $H(x, M)$ of vertex x and amplitude M are defined by

$$E(x, R) = \left\{ z \in U^n : \limsup_{w \rightarrow x} [k_{U^n}(z, w) - k_{U^n}(0, w)] < \frac{1}{2} \log R \right\}$$

and

$$H(x, M) = \{z \in U^n : \limsup_{w \rightarrow x} [k_{U^n}(z, w) - k_{U^n}(0, w)] + k_{U^n}(0, z) < \log M\}.$$

We say that f has K -limit $L \in C$ at x if $f(z) \rightarrow L$ as $z \rightarrow x$ inside any Korányi region $H(x, M)$, we shall write $\tilde{K} - \lim_{z \rightarrow x} f(z) = L$.

Let $f \in H(U^n, D)$ and $x \in \partial U^n$. If there is δ such that

$$\liminf_{w \rightarrow x} \frac{1 - |f(w)|}{1 - |||w|||} = \delta < \infty,$$

we call f is δ -Julia at x . If there exists $\tau \in \partial U^n$ such that

$$f(E(x, R)) \subseteq E(\tau, \delta R)$$

for all R , we call this τ is the restricted E -limit of f at x .

It should be noticed that $\delta > 0$. In fact,

$$\rho(0, f(w)) \leq \rho(0, f(0)) + \rho(f(0), f(w)) \leq \rho(0, f(0)) + k_{U^n}(0, w);$$

therefore $\frac{1 - |f(w)|}{1 - |||w|||} \geq \frac{1 - |f(0)|}{2(1 + |f(0)|)} > 0$.

3. SOME LEMMAS

Lemma 1. (*Julia-Wolff-Carathéodory Theorem, Theorem 4.1 in [1]*)
Let $f \in H(U^n, D)$ be δ -Julia at $x \in \partial U^n$, and $\tau \in \partial U$ be the restricted E -limit of f at x , then

$$\tilde{K} - \lim_{z \rightarrow x} \frac{\partial f}{\partial x}(z) = \delta \tau.$$

Lemma 2. (*Theorem 1 in [11] or Corollary 4.1 in [14]*) Composition operator C_φ is bounded on the Lipschitz space $L_{1-\alpha}(U^n)$ if and only if there is a constant $M > 0$ such that

$$\sum_{k,l=1}^n \left| \frac{\partial \phi_l}{\partial z_k}(z) \right| \left(\frac{1 - |z_k|^2}{1 - |\phi_l(z)|^2} \right)^\alpha \leq M$$

for $z \in U^n$.

Lemma 3. (*Theorem 2 in [11] or Corollary 4.2 in [14]*) Composition operator C_φ is compact on the Lipschitz space $L_{1-\alpha}(U^n)$ if and only if

$$\lim_{\delta \rightarrow 0} \sup_{\text{dist}(\varphi(z), \partial U^n) < \delta} \sum_{k,l=1}^n \left| \frac{\partial \varphi_l}{\partial z_k}(z) \right| \frac{(1 - |z_k|^2)^\alpha}{(1 - |\varphi_l(z)|^2)^\alpha} = 0.$$

Lemma 4. (*Lemma 3.2 in [1]*) Let $f \in H(U^n, D)$ and $x \in \partial U^n$. Then

$$\liminf_{w \rightarrow x} \frac{1 - |f(w)|}{1 - |||w|||} = \liminf_{t \rightarrow 1^-} \frac{1 - |f(\varphi_x(t))|}{1 - t},$$

where $\varphi_x(z) = zx$ for any $z \in D$.

4. MAIN THEOREM

Theorem 1. *Suppose C_φ is bounded on $L_{1-\alpha}(U^n)$, then for every $1 \leq l \leq n$ and $\xi \in \partial U^n$ with $|\varphi_l(\xi)| = 1$, φ_l is δ -Julia at ξ .*

Proof. For every $1 \leq l \leq n$ and $\xi \in \partial U^n$ with $|\varphi_l(\xi)| = \eta$ and $\eta = e^{\theta_0}$, we will show that φ_l is δ -Julia at ξ according to the following cases.

Case 1: $\xi = (\xi_1, \xi')$, $\xi_1 = e^{\theta_1}$ and $||\xi'|| < 1$.

First we consider the special case for $\xi = e_1 = (1, 0, \dots, 0)$ and $\eta = 1$.

For $r \in (1/2, 1)$, define $\sigma(r) = (r, 0, \dots, 0) = re_1$ such that

$$\lim_{r \rightarrow 1^-} \varphi_l(\sigma(r)) = 1.$$

Setting $g(r) = \varphi_l(re_1)$, then $g'(r) = \frac{\partial \varphi_l}{\partial z_1}(re_1)$. It follows from Lemma 2 that the boundedness of C_φ implies that

$$h(r) = R\varphi_l(re_1) \left(\frac{1-r}{1-\varphi_l(re_1)} \right)^\alpha = rg'(r) \left(\frac{1-r}{1-g(r)} \right)^\alpha$$

is bounded.

Putting $u(r) = \frac{1-g(r)}{1-r}$, it is easy to see that $g'(r) = -(1-r)u'(r) + u(r)$ and

$$h(r) = ru(r)^{-\alpha} [-(1-r)u'(r) + u(r)].$$

If we write $v(r) = u(r)^{1-\alpha}$, then

$$-\frac{1}{1-\alpha}(1-r)v'(r) + v(r) = \frac{h(r)}{r}$$

the general solution of this differential equation is

$$v(r) = -\frac{1-\alpha}{(1-r)^{1-\alpha}} \int_1^r \frac{h(s)}{s(1-s)^\alpha} ds + \frac{C}{(1-r)^{1-\alpha}}.$$

Since h is bounded, the first term in the right above is a bounded function of r , and moreover $v(r)$ is of the order $o(\frac{1}{(1-r)^{1-\alpha}})$ as $r \rightarrow 1^-$, so we have $C = 0$. Hence v , and moreover u is also bounded, according to Lemma 4, for some δ , φ_l is δ -Julia at e_1 .

Now we return to the proof in case 1. Considering the mapping $\tilde{\varphi}_l : U^n \rightarrow U^n$, where

$$\tilde{\varphi}_l(z_1, z') = e^{-i\theta_0} \cdot \varphi_l(e^{i\theta_1} z_1, \phi_{\xi'}(z'))$$

for $z = (z_1, z') \in U^n$. It is easy to check that $C_{\tilde{\varphi}_l}$ is bounded on $L_{1-\alpha}(U^n)$ and $\tilde{\varphi}_l(e_1) = 1$.

By the above argument, we get $\liminf_{t \rightarrow 1^-} \frac{1 - |\tilde{\varphi}_l(te_1)|}{1-t} = \delta < +\infty$, that is

$$\begin{aligned} \liminf_{t \rightarrow 1^-} \frac{1 - |\varphi_l(t\xi_1, \xi')|}{1-t} &= \liminf_{t \rightarrow 1^-} \lim_{r \rightarrow 1^-} \frac{1 - |\varphi_l(t\xi_1, r\xi')|}{1-t} \\ &\geq \liminf_{t \rightarrow 1^-} \frac{1 - |\varphi_l(t\xi_1, t\xi')|}{1-t}. \end{aligned}$$

It follows from Lemma 4 that

$$\liminf_{w \rightarrow \xi} \frac{1 - |\varphi_l(\xi)|}{1 - |||\xi|||} = \delta < +\infty.$$

Case2: $\xi = (\xi_1, \xi_2, \xi')$, $\xi_1 = e^{\theta_1}$, $\xi_2 = e^{\theta_2}$ and $|||\xi' ||| < 1$.

Now assume $\varphi_l(1, 1, 0, \dots, 0) = 1$, and set $g(r) = \varphi_l(r, r, 0, \dots, 0)$ for $r \in (1/2, 1)$. then $g'(r) = \frac{\partial \varphi_l}{\partial z_1}(r, r, 0, \dots, 0) + \frac{\partial \varphi_l}{\partial z_2}(r, r, 0, \dots, 0)$, and so $R\varphi_l(r, r, 0, \dots, 0) = rg'(r)$, we can deal with it as in the case 1, and we can get u is bounded, furthermore

$$\liminf_{w \rightarrow \xi} \frac{1 - |\varphi_l(\xi)|}{1 - |||\xi|||} = \delta < +\infty.$$

Case 3: For the case $\varphi_l(\xi) = 1$ with $\xi = \sum_{k=1}^n \beta_k e_k$, where $\beta_k = 0$ or 1, and $e_k = (0, \dots, 1, \dots, 0)$ with the k -th component is 1, otherwise 0; and even more general case, in a similar argument with the cases 1 and 2, we can also show

$$\liminf_{w \rightarrow \xi} \frac{1 - |\varphi_l(\xi)|}{1 - |||\xi|||} = \delta < +\infty.$$

This completes the proof of this theorem. \square

Theorem 2. C_φ is compact on $L_{1-\alpha}(U^n)$ if and only if $\varphi_j \in L_{1-\alpha}(U^n)$ and $||\varphi_j||_\infty < 1$ for each $j = 1, 2, \dots, n$.

Proof. Sufficiency is obvious. Now we just turn to the necessity. Suppose to the contrary that there exists l ($1 \leq l \leq n$) satisfying $|\varphi_l(\xi)| = 1$ for some $\xi \in \partial U^n$. It follows from Theorem 1 that φ_l is δ -Julia at ξ , therefore by Lemma 1, we have $R\varphi_l(z)$ has K -limit at ξ . Hence

$$\sum_{k,l=1}^n \left| \frac{\partial \varphi_l}{\partial z_k}(z) \right| \frac{(1 - |z_k|^2)^\alpha}{(1 - |\varphi_l(z)|^2)^\alpha}$$

$$\begin{aligned}
&\geq \sum_{k,l=1}^n \left| \frac{\partial \varphi_l}{\partial z_k}(z) \right| \frac{(1 - \|z\|^2)^\alpha}{(1 - |\varphi_l(z)|^2)^\alpha} \\
&\geq \sum_{k,l=1}^n |z_k| \cdot \left| \frac{\partial \varphi_l}{\partial z_k}(z) \right| \frac{(1 - \|z\|^2)^\alpha}{(1 - |\varphi_l(z)|^2)^\alpha} \\
&\geq C \sum_{l=1}^n |R\varphi_l(z)| \frac{(1 - \|z\|^2)^\alpha}{(1 - |\varphi_l(z)|^2)^\alpha} \\
&\geq C\delta^{1-\alpha}
\end{aligned}$$

as $z \rightarrow \xi$ inside any Korányi region, where we can take $C = \frac{1}{2^\alpha}$. It is a contradiction to the compactness of C_φ by Lemma 3. Now the proof of Theorem 2 is completed. \square

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DEPARTMENT OF MATHEMATICS
TIANJIN POLYTECHNIC UNIVERSITY
TIANJIN 300160
P.R. CHINA.

E-mail address: fangzhongshan@yahoo.com.cn

DEPARTMENT OF MATHEMATICS
TIANJIN UNIVERSITY
TIANJIN 300072
P.R. CHINA.

E-mail address: zehuazhou2003@yahoo.com.cn