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On the Four Vertex Theorem in planes with radial density $e^{\varphi(r)}$

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Abstract

It is showed that in a plane with a radial density the Four Vertex Theorem holds for the class of all simple closed curves if and only if the density is constant. But for the class of simple closed curves that are invariant under a rotation about the origin, the Four Vertex Theorem holds for every radial density.

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A manifold with density is a Riemannian manifold M^n with a positive density function $e^{\varphi(x)}$ used to weight volume and hypersurface area. Such manifolds appeared in many ways in mathematics, for example as quotients of Riemannian manifolds or as the Gauss space. The Gauss space G^n is a Euclidean space with Gaussian probability density $(2\pi)^{-\frac{n}{2}}e^{-\frac{r^2}{2}}$ that is very interesting to probabilists. For more details about manifolds with density and some first results in Morgan's grand goal to "generalize all of Riemannian geometry to manifolds with density" we refer the reader to [6], [7], [4], [1]. Following Gromov ([5, p. 213]) the natural generalization of the mean curvature of hypersufaces on a manifold with density e^{φ} is defined as

$$H_{\varphi} = H - \frac{1}{n-1} \frac{d\varphi}{d\mathbf{n}} \tag{1}$$

and therefore, the curvature of a curve in a plane with density e^{φ} is

$$k_{\varphi} = k - \frac{d\varphi}{d\mathbf{n}}.$$
(2)

We call k_{φ} the curvature with density or φ -curvature of the curve.

In this note, we study the Four Vertex Theorem in planes with radial density $e^{\varphi(r)}$, where r is the distance from the origin. Curves and the function φ are assumed to be of the class C^3 and C^2 , respectively.

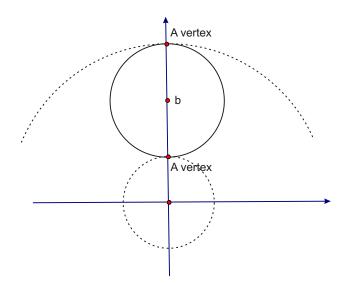


Figure 1: A circle has 2 vertices.

It is well known that "every simple closed curve in the Euclidean plane has at least four vertices" (the Four Vertex Theorem). This theorem has a long and interesting history (see [3], [8]).

First we observe that in general, the Four Vertex Theorem does not hold in planes with density.

In the Gauss plane G^2 with density $e^{\varphi} = \frac{1}{2\pi} e^{-r^2/2}$, let

$$\begin{aligned} \alpha : [0, 2\pi] &\longrightarrow \mathbb{R}^2 \\ t &\longmapsto \qquad (\cos t, \sin t + b) \end{aligned}$$

be a parametrization by arc length of the unit circle with center I(0, b). In the Gauss plane G^2 , direct computation shows that

$$k_{\varphi} = x'y'' - x''y' - xy' + x'y.$$
(3)

Applying (3), we get the φ -curvature of the circle

$$k_{\varphi} = -b\sin t.$$

The equation $k'_{\varphi} = 0$ has exactly two solutions $\frac{\pi}{2}$ and $\frac{3\pi}{2}$ and hence the circle has exactly two vertices.

The above calculation naturally raises two questions.

- 1. For which classes of simple closed curves the Four Vertex Theorem holds in planes with arbitrary radial densities.
- 2. Does there exist a plane with radial density other than the Euclidean one, such that the Four Vertex Theorem holds for the class of all closed simple curves?

We can see that under a rotation about the origin the curvature k of a curve and $\frac{d\varphi}{d\mathbf{n}}$ are not changed. Thus, the φ -curvature of a curve in plane with radial density $e^{\varphi(r)}$ is invariant under a rotation about the origin. Therefore, as corollaries of this fact, we have the Four Vertex Theorem for the class of simple closed curves that are symmetric about the origin or more generally, for the class of simple closed curves that are invariant under a rotation about the origin.

Corollary 1 In planes with density $e^{\varphi(r)}$, every simple closed curve α symmetric about the origin has at least four vertices.

Proof. Since k_{φ} is continuous on a closed curve it reaches a minimum and a maximum on the curve. Suppose that k_{φ} reaches its minimum at t_1 and its maximum at t_2 . Obviously, $k_{\varphi}(t_1) = k_{\varphi}(t_1 + \pi)$ and $k_{\varphi}(t_2) = k_{\varphi}(t_2 + \pi)$. But we have $\alpha(t_1) \neq \alpha(t_2 + \pi)$ and $\alpha(t_2) \neq \alpha(t_1 + \pi)$, unless $k_{\varphi} = \text{const.}$

By a similar proof, we have a more general result.

Corollary 2 In planes with density $e^{\varphi(r)}$, every simple closed curve that is invariant under a rotation about the origin by an angle $\theta < 2\pi$ has at least four vertices.

For the second question, we have the following as the main result of this note.

Theorem 3 In a plane with density $e^{\varphi(r)}$, the Four Vertex Theorem holds for the class of all simple closed curves if and only if φ is a constant.

In order to prove Theorem 3 we need the following.

Lemma 4 Let C be the circle with center at (0,b) and radius R in the plane \mathbb{R}^2 with density $e^{\varphi(r)}$ and $\alpha : [0,2\pi] \longrightarrow \mathbb{R}^2$; $\alpha(t) = (R\cos t, R\sin t + b)$ be its parametrization. Assume that C does not pass through the origin. Denote by k_{φ} the φ -curvature of C. Then we have

$$\frac{dk_{\varphi}}{dt} = \frac{b\cos t}{r^3} \left(\varphi'' \frac{r^3 + (R^2 - b^2)r}{2} + \varphi' \frac{r^2 - (R^2 - b^2)}{2} \right). \tag{4}$$

Proof. Direct computation yields

$$k_{\varphi} = \frac{1}{R} + \varphi' \frac{R + b \sin t}{r}.$$

Therefore,

$$\begin{aligned} \frac{dk_{\varphi}}{dt} &= \frac{d}{dt} \left(\frac{1}{R} + \varphi' \frac{R + b \sin t}{r} \right) \\ &= \varphi'' \frac{dr}{dt} \frac{R + b \sin t}{r} + \varphi' \frac{1}{r^2} \left(br \cos t - \frac{dr}{dt} (R + b \sin t) \right) \\ &= \varphi'' \frac{bR \cos t}{r} \frac{R + b \sin t}{r} + \varphi' \frac{1}{r^2} \left(br \cos t - \frac{bR \cos t}{r} (R + b \sin t) \right) \\ &= \frac{b \cos t}{r^3} \left[\varphi'' (R^2 r + bRr \sin t) + \varphi' (b^2 + bR \sin t) \right]. \end{aligned}$$

Since on the circle we have $r = \sqrt{R^2 + b^2 + 2Rb\sin t}$, replacing $bR\sin t$ by $\frac{r^2 - R^2 - b^2}{2}$, we obtain (4).

Lemma 4 has some useful corollaries.

Corollary 5 In planes with density $e^{\varphi(r)}$, a circle about the origin has constant φ -curvature.

Proof. This is immediate since b = 0.

Corollary 6 For every positive integer $n \ge 1$, there exists a radial density $e^{\varphi(r)}$ in the plane such that a given circle containing the origin in its interior, but not as the center has exactly 2n vertices.

Proof. We can assume that the center of the circle is (0, b), b > 0 and the radius is R > 0. Since the circle contains the origin, we have R > b and therefore $r^2 + R^2 - b^2 > 0$. Let $r_1, r_2, \ldots, r_{n-1}$ be positive real numbers such that $R - b < r_1 < r_2 < \ldots < r_{n-1} < R + b$. Set

$$p(r) = (r - r_1)(r - r_2) \dots (r - r_{n-1}).$$

It is easy to see the ODE

$$\varphi''(r^3 + (R^2 - b^2)r) + \varphi'(r^2 - R^2 + b^2) = r^2 p(r)$$

has a family of solutions of the following form

$$\varphi = \int \frac{\left(\int p(r)dr + c_1\right)r}{r^2 + R^2 - b^2} dr + c_2$$

Therefore, the equation $\frac{dk_{\varphi}}{dt} = 0$ has exactly 2n solutions and it follows that the circle has exactly 2n vertices.

Corollary 7 There exists a radial density $e^{\varphi(r)}$ in the plane such that a given circle containing the origin in its interior has constant density curvature.

Proof. We can assume that the center of the circle is (0, b), b > 0 (the case of b = 0 follows from Corollary 5) and the radius is R > 0. Since the circle contains the origin, we have R > b and therefore $r^2 + R^2 - b^2 > 0$. It is easy to see the ODE

$$\varphi''(r^3 + (R^2 - b^2)r) + \varphi'(r^2 - R^2 + b^2) = 0$$

has a family of solutions of the following form

$$\varphi = c_1 \ln(r^2 + R^2 - b^2) + c_2.$$

Proof of Theorem 3. We prove Theorem 3 by showing that if φ is not a constant, then there exists a circle with center (0, b) and radius R that has exactly two vertices. Now suppose $\varphi'(b) \neq 0, b \neq 0$.

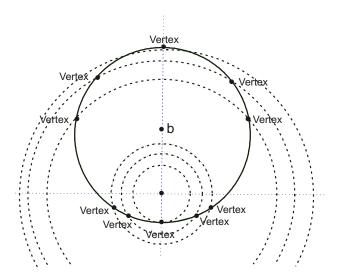


Figure 2: There exists a radial density such that a given circle containing the origin has 2n vertices.

1. If $\varphi'(b) > 0$, then there exists $0 < \epsilon < b$ such that $\varphi'(r) > 0, \forall r \in [b - \epsilon, b + \epsilon]$. Let $N = \min_{r \in [b - \epsilon, b + \epsilon]} \varphi'(r) > 0$. Since φ'' is continuous in $[b - \epsilon, b + \epsilon]$ there exists a positive real number $M > |\varphi''(r)|, \ \forall r \in [b - \epsilon, b + \epsilon]$. Choose R > 0 so that $0 < R < \min\left\{\epsilon, \frac{b(b - \epsilon)N}{M(b + \epsilon)^2}\right\}$. We have $\begin{aligned} |\varphi''Rr(R + b\sin t)| < MR(b + \epsilon)|R + b\sin t| \\ \leq MR(b + \epsilon)(R + b) \\ < MR(b + \epsilon)^2 \\ < M\frac{b(b - \epsilon)(b + \epsilon)^2N}{M(b + \epsilon)^2} \\ = b(b - \epsilon)N \\ < b(b - R)N \\ \leq \varphi'b(b + R\sin t). \end{aligned}$

Recall that $\varphi'' Rr(R + b \sin t) = \varphi'' \frac{r^3 + (R^2 - b^2)r}{2}$ and $\varphi' b(b + R \sin t) = \varphi' \frac{r^2 - (R^2 - b^2)}{2}$ (see the proof of Lemma 4); we have

$$\varphi''\frac{r^3 + (R^2 - b^2)r}{2} + \varphi'\frac{r^2 - (R^2 - b^2)}{2} > 0$$

and hence the circle with center (0, b) and radius R has exactly two vertices.

2. If $\varphi'(b) < 0$, then there exists $0 < \epsilon < b$ such that $\varphi'(r) < 0, \forall r \in [b - \epsilon, b + \epsilon]$. Let $N = \min_{r \in [b-\epsilon, b+\epsilon]} |\varphi'(r)| > 0$. We have $\varphi' \leq -N, \forall r \in [b - \epsilon, b + \epsilon]$. Let M, R be as in the proof of the first part and by a similar proof, we obtain

$$|\varphi'' Rr(R+b\sin t)| < b(b-R)N \le -b(b+R\sin t)\varphi'.$$

Therefore,

$$\varphi'' Rr(R+b\sin t) + b(b+R\sin t)\varphi' < 0,$$

or

$$\varphi''\frac{r^3 + (R^2 - b^2)r}{2} + \varphi'\frac{r^2 - (R^2 - b^2)}{2} < 0.$$

Thus, the circle with center (0, b) and radius R has exactly two vertices.

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