A MODULI SCHEME OF EMBEDDED CURVE SINGULARITIES

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CONTENTS

INTRODUCTION

A central problem in Algebraic Geometry is the classification of several isomorphism classes of objects by considering their deformations and studying the naturally related moduli problems, see [\[33\]](#page-31-0), [\[34\]](#page-31-1). This general strategy has also been applied to singularities. Some classes of singularities with fixed numerical invariants are studied from the moduli point of view, i.e. proving the existence of moduli spaces or giving obstructions to their existence. See for instance [\[17\]](#page-31-2), [\[28\]](#page-31-3), [\[29\]](#page-31-4) and [\[42\]](#page-32-0).

The main purpose of this paper is to prove the existence of the moduli space $H_{N,p}$ parameterizing the embedded curve singularities of $({\bf k}^N,0)$ with an admissible Hilbert polynomial p and to study its basic properties. The main difference between the classical projective moduli problems and the case studied here is that $\mathbf{H}_{N,p}$ is not a locally finite type scheme. Hence the general techniques of construction of moduli spaces of projective objects do not apply to our problem and we need to develop specific ones. Since $\mathbf{H}_{N,p}$ is a projective limit of k-schemes of finite type we define a measure μ_p in $\mathbf{H}_{N,p}$ valued in the completion $\widehat{\mathcal{M}}$ of the ring $\mathcal{M} = K_0(\mathbf{Sch})[\mathbb{L}^{-1}]$ where $\mathbb L$ is the class of $K_0(\mathbf{Sch})$ defined by the affine line over k. This measure induces a motivic integration on $\mathbf{H}_{N,p}$ and enable us to consider a motivic volume for singularities of arbitrary dimension. See [\[27\]](#page-31-5), [\[7\]](#page-30-1), and [\[30\]](#page-31-6) for the motivic integration on jet schemes.

In [\[11\]](#page-31-7), see also [\[14\]](#page-31-8), we characterized the Hilbert-Samuel polynomials of curve singularities: we proved that there exists a curve singularity C with embedding dimension b and Hilbert polynomial $p = e_0T - e_1$ if, and only if, either $b = e_0 = 1$ and $e_1 = 0$, or $2 \le b \le e_0$, and $\rho_{0,b,e_0} \le e_1 \le \rho_{1,b,e_0}$, see Theorem [3.1](#page-11-1) for the definitions of ρ_{0,b,e_0} and ρ_{1,b,e_0} . Moreover, for each triplet (b, e_0, e_1) satisfying the above conditions there is a reduced curve singularity $C \subset (\mathbf{k}^N, 0)$ with k an algebraically closed field. From this result and the main result of this

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paper, Theorem [3.8,](#page-17-0) we deduce that the moduli space $H_{N,p}$ is nonempty for the polynomials $p = e_0 T - e_1$ with $\rho_{0,b,e_0} \le e_1 \le \rho_{1,b,e_0}$ for some $b \le N$.

The contents of the paper is the following. The main purpose of Section [1](#page-2-0) is to characterize the zero dimensional closed subschemes $Z \subset (\mathbf{k}^N, 0)$ for which there exists a curve singularity $C \subset (\mathbf{k}^N, 0)$ such that Z is a truncation of C. In other words we characterize which zerodimensional schemes can be lifted to a curve singularity, Theorem [1.9.](#page-6-0) The key idea in the proof of Theorem [1.9](#page-6-0) is the control of the dimension of some lifting of Z obtained by applying Artin's approximation theorem to the system of equations defined by some syzygy conditions deduced from Robbiano-Valla's characterization of standard basis.

It is well known that some properties P defined in the set of curve singularities are finitely determined, i.e. determined by the *n*-th truncation C_n of C for $n \geq n_0 = n_0(\mathcal{P})$. The most studied finitely determined property is the analytic type. In [\[10\]](#page-31-9) we prove that analytic type is finitely determined for $n \ge n_0 = 2\mu + 1$, where μ stands for the Milnor number of C, as a corollary we get that if a property of curve singularities is invariant by analytic transformations then is finitely determined. In [\[10\]](#page-31-9) we also prove that "to have the same tangent cone" or "to have the same Hilbert function" are finitely determined properties. In the section 3 we attach to any finitely determined property P a rational power series $MPS_p \in \mathcal{M}[T]_{loc}$, Proposition [3.22.](#page-24-0)

In the second section we introduce the algebraic families of curve singularities over a scheme S. Notice that the concept of family is a key ingredient in a moduli problem. We analyze the relationship between families and normally flat morphisms and we also give several explicit examples of families of curve singularities with fixed Hilbert polynomial.

The purpose of Section [3](#page-11-0) is to construct a moduli scheme $H_{N,p}$ parameterizing the embedded curve singularities of $(k^N, 0)$ with fixed Hilbert polynomial p. In the main result of this section, Theorem [3.8,](#page-17-0) we establish the existence of a k–scheme $H_{N,p}$ pro-representing the functor of families $\underline{\mathbf{H}}_{N,p}$. We will obtain $\mathbf{H}_{N,p}$ as an inverse limit of k–schemes Ξ_n of finite type with affine morphisms $a_n : \Xi_n \to \Xi_{n-1}$. Notice that in Proposition [3.6](#page-15-0) we prove some properties of Ξ_n as a corollary of Theorem [1.9;](#page-6-0) in particular we prove that Ξ_n contains the *n*-th truncations of all curve singularities with Hilbert polynomial p. The key point in the existence of $\mathbf{H}_{N,p}$ is the control of the behavior of the degree one superficial elements given in [\[10\]](#page-31-9); this enables us to prove that a_n is affine for a big enough n. As a corollary we get that the cohomological dimension of $\mathbf{H}_{N,p}$ is finite, and that there exists a universal family over $\mathbf{H}_{N,p}$. We end section three by constructing the Hilbert strata of $H_{N,p}$ for each admissible Hilbert function, in particular we prove the existence of a moduli space parameterizing normally flat families.

In the second part of section three we introduce a motivic measure μ_p defined in the algebra of cylinders $\mathbf{H}_{N,p}$ valued in M. By means of μ_p we define for a singularity X of arbitrary dimension a motivic volume $vol(X) \in \mathcal{M}$. Given a property P defined on the set of curve singularities with Hilbert polynomial p we define a motivic Poincare series $MPS_{\mathcal{P}} \in \mathcal{M}[[T]]$. We prove that if P is a finitely determined property then $MPS_p \in \mathcal{M}[T]_{loc}$. In particular we prove $MPS_{\mathbf{H}_{N,p}} \in \mathcal{M}[T]_{loc}.$

In the section 4 we compute the tangent space of $H_{N,p}$ at a closed point, for this we determine families that are first order deformations. We apply these results to the moduli space of singularities with maximal Hilbert function, in particular to plane curve singularities. By considering $\mathbf{H}_{N,p}$ as object of the category of pro-schemes we define a topology on its sheaf of rings. Taking dual spaces with respect this topology we obtain the reflexivity of the tangent space at the closed points of $H_{N,p}$ and also the reflexivity of the normal space of the curve singularities parameterized by $\mathbf{H}_{N,p}$. We end the paper studying the obstructiveness of the closed points of $\mathbf{H}_{N,p}$. We prove that plane curve singularities and space curve singularities with maximal numbers of generators with respect to their multiplicity define non-obstructed closed points.

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1. TRUNCATIONS OF CURVE SINGULARITIES.

Throughout this paper k is an algebraically closed field. We set $R = \mathbf{k}[[X_1, ..., X_N]], M =$ $(X_1, ..., X_N)$ is the maximal ideal of R, and we denote by $(\mathbf{k}^N, 0)$ the k–scheme $Spec(R)$.

A curve singularity of $(k^N, 0)$ is a one-dimensional Cohen-Macaulay, closed subscheme C of $(k^N, 0)$. We denote by m the maximal ideal of $\mathcal{O}_C = R/I$, and by H_C^1 (resp. $h_C^1(T) =$ $e_0(T + 1) - e_1$) the first Hilbert function (resp. Hilbert polynomial) of C, i.e. $H_C^1(t) :=$ $length_R(\mathcal{O}_C/\textbf{m}^{t+1})$ and $H_C^1(t) = h_C^1(t)$ for $t \ge e_0 - 1$; e_0 is the multiplicity of C. An element $x \in \mathcal{O}_C$ is a degree one superficial element if $(\mathbf{m}^{n+1} : x) = \mathbf{m}^n$ for all $n \gg 0$, see for instance [\[35\]](#page-31-10).

From now on we fix a degree-one polynomial $p(T) = e_0(T + 1) - e_1$ for which there exist a curve singularity $C \subset (\mathbf{k}^N, 0)$ of embedding dimension $b \leq N$ with $h_C^1 = p$, see Proposition [3.1.](#page-11-1)

Given a curve singularity C we denote by C_n the closed sub-scheme of $(k^N, 0)$ defined by the ideal $I(C) + M^n$, we say that C_n is the n-th truncation of $C, n \ge 1$. First we recall some necessary conditions for the ideals $J \subset R$ to being a truncation of a curve singularity.

Lemma 1.1. Let $C \subset (\mathbf{k}^N, 0)$ be a curve singularity of multiplicity e_0 . There exists a linear form $L \in M \setminus M^2$ such that for all $n \geq e_0+1$ such that the following conditions hold:

- (1) $length_R(R/I(C) + M^n + (L)) = e_0$,
- (2) if **n** is the maximal ideal of $R/I(C) + M^n$ then for all t, $n-2 \ge t \ge e_0-1$, the product *by L defines an isomorphism of* **k**-vector spaces of dimension e_0 :

$$
\frac{\mathbf{n}^t}{\mathbf{n}^{t+1}} \stackrel{.L}{\longrightarrow} \frac{\mathbf{n}^{t+1}}{\mathbf{n}^{t+2}}
$$

Proof. Since k is infinite and \mathcal{O}_C is a Cohen-Macaulay local ring we may assume that there exists a linear form $L \in M \setminus M^2$ such that its coset defines a degree one superficial element of \mathcal{O}_C , [\[31\]](#page-31-11) Proposition 3.2. Then we have $length_R(R/I(C) + (L)) = e_0$ and $M^n \subset I(C) + (L)$ for all $n \ge e_0$. From this we deduce the first equality.

From [\[26\]](#page-31-12), Theorem 2, we have

$$
dim_{\mathbf{k}}\left(\frac{\mathbf{m}^n}{\mathbf{m}^{n+1}}\right) = e_0
$$

for all $n \ge e_0 - 1$. Hence from [\[10\]](#page-31-9), Proposition 1, we deduce

$$
\frac{\mathbf{m}^{t}}{\mathbf{m}^{t+1}}\stackrel{.L}{\longrightarrow}\frac{\mathbf{m}^{t+1}}{\mathbf{m}^{t+2}}
$$

is an isomorphism of k-vector spaces of dimension e_0 for all $n - 2 \ge t \ge e_0 - 1$. Since

$$
\frac{\mathbf{m}^{t}}{\mathbf{m}^{t+1}} \cong \frac{\mathbf{n}^{t}}{\mathbf{n}^{t+1}}
$$

for all $n - 2 \ge t \ge e_0 - 1$, we get (2).

Next we define a set of ideals \mathbb{T}_n containing the *n*-th truncation of curve singularities of multiplicity e_0 . Since we want to consider in Section [3](#page-11-0) a scheme structure on some subsets of \mathbb{T}_n , we replace the identity of Lemma [1.1](#page-2-1) (1) by an inequality that will define an open condition on a suitable Grassmanian.

Definition 1.2. *Let* $n \ge e_0 + 1$ *be an integer,* \mathbb{T}_n *is the set of ideals* $J \subset R$ *such that* $M^n \subset J$ and such that there exists a linear form $L \in M \setminus M^2$ such that

- (1) length_R $(R/J + (L)) \leq e_0$, and
- (2) *if* n *is the maximal ideal of* R/J *then the product by* L *is an isomorphism of* k*-vector spaces*

$$
\overbrace{\mathbf{n}^{t+1}}^{\mathbf{n}^t} \xrightarrow{L} \overbrace{\mathbf{n}^{t+1}}^{\mathbf{n}^{t+1}} \xrightarrow{L} \overbrace{\mathbf{n}^{t+2}}^{\mathbf{n}^{t+1}}
$$
\n*of dimension* e_0 , *for all* $t = e_0 - 1, \ldots, n-2$.

From the condition (2) it is easy to prove that there exist a linear polynomial $q_J(T) = e_0(T + T)$ $1) - b, b \in \mathbb{Z}$, such that

$$
q_J(t) = length_R(R/J + M^{t+1})
$$

for all $t = e_0 - 2, \ldots, n - 1$. From the characterization of Hilbert functions due to Macaulay, see for instance [\[40\]](#page-31-13), we get

$$
q_J(t) \ge e_0(t+1) - \binom{e_0}{2}.
$$

For all $n_1 \leq n_2$ we denote by $a_{n_2,n_1} : \mathbb{T}_{n_2} \longrightarrow \mathbb{T}_{n_1}$ the projection map $a_{n_2,n_1}(J) = J + M^{n_1}$.

For all $f \in R$ we denote by $f^* \in S = \mathbf{k}[X_1, \dots, X_N]$ the initial form of f. If J is an ideal of R we will denote by J^* the homogeneous ideal of S generated by the initial forms of the elements of J, we put $Gr(R/J) = S/J^*$ for the associated graded ring to R/J . A set of elements of J such that their initial forms is a (minimal) set of generators of J^* is known as a (minimal) standard basis of J. We will denote by S_n , resp. J_n^* , the degree n component of S, resp. J^* .

Proposition 1.3. Let J be an element of \mathbb{T}_n , $n \ge e_0 + 2$, then every minimal homogeneous $basis F_1, \ldots, F_s$ of J^* satisfies

$$
deg(F_i) \notin \{e_0+1,\ldots,n-1\}
$$

for all $i = 1, \ldots, s$.

Proof. Let n be the maximal ideal of R/J . L is a linear form, satisfying the conditions (1) and (2) of the definition of \mathbb{T}_n , so that

$$
\frac{S_t}{J_t^*} = \frac{\mathbf{n}^t}{\mathbf{n}^{t+1}} \xrightarrow{L} \frac{S_{t+1}}{J_{t+1}^*} = \frac{\mathbf{n}^{t+1}}{\mathbf{n}^{t+2}}
$$

is an isomorphism of k-vector spaces for all $t = e_0 - 1, \ldots, n - 2$. From the surjectivity of these morphisms we get $S_t = LS_{t-1} + J_t^*$ for $t = e_0, \dots, n-1$. Hence we deduce

$$
J_t^*\subset S_1J_{t-1}^*+LS_{t-1}
$$

 $t = e_0 + 1, \dots + n - 1$. Let a be an element of J_t^* , then there exist $b \in S_1 J_{t-1}^* \subset J_t^*$ and $\alpha \in S_{t-1}$ such that $a = b + L\alpha$. In particular $L\alpha = a - b \in J_t^*$. From the injectivity of the above morphisms we get $\alpha \in J_{t-1}^*$, so $a \in S_1 J_{t-1}^*$. Hence $J_t^* \subset S_1 J_{t-1}^*$ and then

$$
J_t^* = S_1 J_{t-1}^*
$$

for $t = e_0 + 1, \dots, n - 1$. From this we get the claim.

Definition 1.4. Let $J \in \mathbb{T}_n$ be an ideal, $n \ge e_0 + 2$, and let F_1, \ldots, F_s be a minimal homogeneous basis of J^* . We may assume that $deg(F_i) \leq e_0$ for $i = 1, \ldots, v$ and $deg(F_i) \geq n$ for $i = v + 1, \ldots, s$. We denote by \tilde{J} the homogeneous ideal of S generated by F_i , $i = 1, \ldots, v$.

Next we will recall a result of G. Hermann, [\[23\]](#page-31-14), quoted by M. Artin in [\[1\]](#page-30-2), Theorem 6.5. We need some additional definitions. The degree $deg(f)$ of a r-pla of polynomials $f = (f_1, \ldots, f_r) \in S^r$ is by definition the sum of the degrees of f_1, \ldots, f_r . The degree of $\mathbf{F} = \{\mathbf{f}_1, \dots, \mathbf{f}_s\}, \mathbf{f}_i \in S^r$, is the sum of the degrees of $\mathbf{f}_1, \dots, \mathbf{f}_s$. Let $B \subset S^r$ be a S-submodule, the degree $deg(B)$ of B is the minimum of the degrees of its systems of generators.

Proposition 1.5 ([\[23\]](#page-31-14), [\[1\]](#page-30-2)). *There exists an integer valued function* $\gamma : \mathbb{N}^2 \longrightarrow \mathbb{N}$ *such that for all ideals* $K \subset S$ *of degree* $\leq d$ *there exists a primary decomposition of* $K = K_1 \cap \cdots \cap K_r$ *such that the following integers are bounded by* $\gamma(N, d)$ *:*

- (1) The number r , and the degree of each primary ideal K_i .
- (2) *The degree of the associated prime ideal* $p_i = rad(K_i)$ *and the exponents* m_i *such that* $p_i^{m_i} \subset K_i, i = 1, \cdots, r.$

We will apply the last proposition to our setting.

Definition 1.6. *Let* K *be a height* N − 1 *homogeneous ideal of* S*. Given a minimal primary decomposition* $K = K_1 \cap \cdots \cap K_r$ *under the conditions* (1) *and* (2) *of Proposition [1.5,](#page-4-0) we can split this decomposition, after a suitable permutation, in two pieces* $K_{emb} = K_{w+1} \cap \cdots \cap K_r$, *such that* $rad(K_{emb}) = M$ *and* $K_{no-emb} = K_1 \cap \cdots \cap K_w$ *is a perfect height* $N - 1$ *ideal of* S.

Proposition 1.7. *There exists a function* $\delta : \mathbb{N}^2 \longrightarrow \mathbb{N}$ *such that: let J be an ideal of* \mathbb{T}_n *,* $n \geq \delta(N, e_0)$, then the following conditions hold:

- (1) S/\tilde{J} *is a one-dimensional graded ring of multiplicity* e_0 , $deg(\tilde{J}) \leq \delta(N, e_0)$ *, and* \tilde{J} + $M^{n} = J^{*}.$
- (2) If *J* is the ideal defining the n-th truncation of a curve singularity C of multiplicity e_0 *then* S/\tilde{J} *is the associated graded ring to* \mathcal{O}_C *, i.e.* $\tilde{J} = I(C)^*$ *.*
- (3) If $Syz_1(\tilde{J})$ is the first syzygy module of F_1, \ldots, F_v then it holds $deg(Syz_1(\tilde{J})) \leq$ $\delta(N, e_0)$.

Proof. (1) from the definition of \tilde{J} we get $\tilde{J} + M^n = J^*$. Let us assume that S/\tilde{J} is a zero dimensional ring. Since \tilde{J} is generated by homogeneous forms of degree $\leq e_0$ we have

$$
deg(\tilde{J}) \le e_0 {N+e_0 \choose N} = d,
$$

so from Proposition [1.5](#page-4-0) (2) we get that

$$
length_S(S/\tilde{J}) \leq {N-1+\gamma(N,d) \choose N-1} = \eta(N,e_0).
$$

We define $\delta(N, e_0) = \eta(N, e_0) + \binom{e_0}{2}$ $\binom{20}{2}$ + 1. Since $length_S(S/\tilde{J}) \geq e_0(n) - \binom{e_0}{2}$ 2^{20} then for $n > \delta(N, e_0)$ we get a contradiction, so $dim(S/\tilde{J}) > 1$.

Let n be the maximal ideal of S/\tilde{J} . From the definition of \tilde{J} and condition (2) of the definition of \mathbb{T}_n we get that $\mathbf{n}^{t+1} = L\mathbf{n}^t$ for all $t \ge e_0 - 1$, so $dim(S/\tilde{J}) \le 1$. Since $dim(S/\tilde{J}) \geq 1$ we have that S/\tilde{J} is a one-dimensional graded ring of multiplicity less or equal than e_0 . Let $\tilde{J} = K_{no-emb} \cap K_{emb}$ be a primary decomposition of \tilde{J} satisfying Proposi-tion [1.5,](#page-4-0) where K_{no-emb} is a perfect height $N-1$ ideal and K_{emb} is a M −primary ideal. Since $\delta(N, e_0) \ge \gamma(N, d)$ from Proposition [1.5](#page-4-0) we have $M^n \subset K_{emb}$ and then

$$
H_{S/\tilde{J}}^1(n) = H_{S/K_{no-emb}}^1(n) + length_S \left(\frac{K_{no-emb} + K_{emb}}{K_{emb}} \right).
$$

From this we deduce that $H^0_{S/\tilde{J}}(n) = H^0_{S/K_{no-emb}}(n)$ for all $n \ge \delta(N, e_0)$. Since S/K_{no-emb} is a one-dimensional Cohen-Macaulay graded ring of multiplicity $e_0(S/K_{no-emb}) = e_0(S/\tilde{J}) \leq e_0$ and $H_{S/\tilde{J}}^0(e_0-1)=e_0$, second condition of the definition of \mathbb{T}_n , we get that S/\tilde{J} has multiplicity e_0 .

(2) see [\[10\]](#page-31-9), Proposition 2; (3) follows from [\[39\]](#page-31-15). \Box

We denote by $\beta_{Artin} : \mathbb{N}^4 \longrightarrow \mathbb{N}$ the so-called beta function of Artin, see [\[1\]](#page-30-2), Theorem 6.1.

Proposition 1.8. *There exists a numerical function* $\beta : \mathbb{N}^3 \longrightarrow \mathbb{N}$ *such that for all ideals* J of \mathbb{T}_n *, n* $\geq \delta(N, e_0)$ *,*

$$
\beta(N, e_0, n) \geq \beta_{Artin}(N, v(r+1), 2r, n)
$$

with v the minimal number of generators of \tilde{J} , and r the minimal number of generators of $Syz_1(J)$.

Proof. From the last result we know that $v \le deg(\tilde{J}) \le \delta(N, e_0)$ and $r \le deg(Syz_1(\tilde{J})) \le$ $\delta(N, e_0)$. From these inequalities we deduce the claim.

Theorem 1.9. *For all* $n \geq \delta(N, e_0)$ *the set of the associated ideals to n-th truncations of curve singularities of multiplicity* e_0 *coincides with*

$$
\mathbb{T}'_n = a_{\beta(N,e_0,n),n}(\mathbb{T}_{\beta(N,e_0,n)}).
$$

Moreover, given $J \in \mathbb{T}'_n$ \int_n' there exists a curve singularity C of multiplicity e_0 such that $\mathcal{O}_{C_n} =$ R/J , and S/\tilde{J} is the associated graded ring to \mathcal{O}_C .

Proof. Let us consider an ideal $J = (f_1, \ldots, f_s)$ of $\mathbb{T}_{\overline{n}}, \overline{n} = \beta(N, e_0, n)$, such that $F_i = f_i^*$, $i = 1, \dots, s$, form a minimal basis of J^* . We may assume that $deg(F_i) \le e_0$ for $i = 1, \dots, v$ and $deg(F_i) \geq \overline{n}$ for $i = v + 1, \ldots, s$, Proposition [3.7.](#page-15-1) We denote by J the homogeneous ideal of S generated by F_i , $i = 1, \ldots, v$.

Let Z be the first syzygy module of f_1, \ldots, f_s , and let Z^* be the first syzygy module of $F_1 = f_1^*, \ldots, F_s = f_s^*$. Let us recall that there exists a map $\Phi : Z \longrightarrow Z^*$, see proof of [\[37\]](#page-31-16) Theorem 1.9, such that for all $(a_1, \ldots, a_s) \in Z$ we have $\Phi(a_1, \ldots, a_s) = (b_1, \ldots, b_s)$ with b_i the initial form of a_i if $deg(a_i) = p - d_i$, with $d_i = deg(F_i)$, $p = Min{deg(a_i) + d_1, i = 1, \ldots, s}$, and zero otherwise.

Let R^1, \ldots, R^r be a minimal system of generators of syzygy module of F_1, \ldots, F_v . From [\[37\]](#page-31-16), Theorem 1.9, there exist elements $\hat{R}^1, \ldots, \hat{R}^r$ of Z such that $\Phi(\hat{R}^i) = R^i$, $i = 1, \ldots, r$. Let \tilde{R}^i the projection on the first v components of \hat{R}^i , $i = 1, \ldots, r$. Then we have, $i = 1, \ldots, r$,

$$
\sum_{j=1}^v \tilde{R}_j^i f_j = 0 \mod (X_1, \ldots, X_N)^{\overline{n}}.
$$

Let us consider the following system of equations attached to these syzygy conditions

$$
(Syz): \left\{ \begin{array}{c} \sum_{j=1}^{v} X_j^i Y_j = 0\\ i = 1, \dots, r \end{array} \right.
$$

considered in the polynomial ring $\mathbf{k}[X_1, \ldots, X_N; Y_1, \ldots, Y_v, X_1^1, \ldots, X_v^r]$.

Since $\overline{n} = \beta(N, e_0, n) \geq \beta_{Artin}(N, v(r + 1), 2r, n)$, from the approximation theorem of Artin, [\[1\]](#page-30-2) Theorem 6.1, there exists a solution of the system of equations (Syz)

$$
\sum_{j=1}^{v} \overline{R}_{j}^{i} g_{j} = 0
$$

 $i = 1, \ldots, r$, and such that

$$
\begin{cases} g_j = f_j \bmod M^n & i = 1, \dots, v \\ \overline{R}^i = \tilde{R}^i \bmod M^n & i = 1, \dots, r \end{cases}
$$

Let us define $I = (g_1, \ldots, g_v)R$. Next step is to prove that g_1, \ldots, g_v is a standard basis of *I*. We will prove it by means of [\[37\]](#page-31-16) Theorem 1.9. Notice that $I = J \mod (X_1, \ldots, X_N)^n$,

 $g_i^* = f_i^* = F_i$, $i = 1, \ldots, v$. Hence R^1, \ldots, R^r is also a minimal system of generators of the first syzygy module of $\{g_1^*, \ldots, g_v^*\}$. Since $\Phi(\overline{R}^i) = \Phi(\tilde{R}^i) = R^i$, $i = 1, \ldots, r$, and $\overline{R}^1, \ldots, \overline{R}^r$ verifies (Syz), from [\[37\]](#page-31-16) Theorem 1.9, we get that $\{g_1, \ldots, g_v\}$ is a standard basis of I, i.e. $I^* = (g_1^*, \ldots, g_v^*) = (F_1, \ldots, F_v) = \tilde{J}$. In particular R/I is a one-dimensional local ring of multiplicity e_0 , Proposition [1.7](#page-5-0) (1).

From the condition (1) of the definition of $\mathbb{T}_{\overline{n}}$ we get that there exists a linear form L such that $dim_{\mathbf{k}}(R/I+(L)) = e_0$, so R/I is a one-dimensional Cohen-Macaulay local ring of multiplicity e_0 . If we define $C = Spec(R/I)$ then we deduce the claim.

2. FAMILIES OF EMBEDDED CURVE SINGULARITIES.

For all k-scheme of finite type $S = Spec(A)$ we will denote by $(\mathbf{k}^N, 0)_S$ the affine k-scheme $Spec(A[[X_1, ..., X_N]])$. We denote by $\pi : (\mathbf{k}^N, 0)_S \longrightarrow S$ the morphism of k-schemes induced by the natural morphism of k-algebras $A \longrightarrow A[[X]] = A[[X_1, ..., X_N]]$. Given a closed subscheme $Z \subset (\mathbf{k}^N, 0)_S$ we will denote by Z_n the closed sub-scheme of $(\mathbf{k}^N, 0)_S$ defined by the ideal $I(Z_n) = I(Z) + (\underline{X})^n$, for all $n \geq 1$.

Let us denote by $\underline{H}_{N,p}$: Aff \longrightarrow Set the contravariant functor such that for all affine kscheme of finite type S

$$
\underline{\mathbf{H}}_{N,p}(S) = \begin{cases}\n\text{closed subschemes } Z \subset (\mathbf{k}^N, 0)_S \text{ such that } \pi : Z \longrightarrow S \\
\text{is flat and} \\
\text{(i) for all } n \ge e_0 + 1 \text{ the morphism } \pi_n : Z_n \longrightarrow S \text{ is flat with } \\
\text{fibers of length } p(n-1), \\
\text{(ii) for all closed points } s \in S \text{ the fiber } Z_s = Z \otimes_S \mathbf{k}(s) \text{ is a } \\
\text{curve singularity of } (\mathbf{k}^N, 0) \text{ with Hilbert polynomial } p.\n\end{cases}
$$

we say that $\underline{\mathbf{H}}_{N,p}(S)$ is the set of families of curves over S with Hilbert polynomial p.

Proposition 2.1. (1) $\underline{\mathbf{H}}_{N,p}(Spec(\mathbf{k}))$ is the set of curve singularities of $(\mathbf{k}^N,0)$ with Hilbert *polynomial* p*.*

(2) Given a scheme $Z \subset (\mathbf{k}^N, 0)_S$ the following conditions hold *(2.1) if* Z *verifies the condition* (i) *of the definition of family of curve singularities over* S *then* Z *is flat over* S*, (2.2) if S* is reduced and if for all closed points $s \in S$ the fiber Z_s is a curve singularity *with Hilbert polynomial* p *then* Z *is a family of curves over* S*.*

Proof. (1) From the definition of family of curve singularities it is easy to see that $H_{N,p}(Spec(k))$ is a set of curve singularities with Hilbert polynomial $p(T)$. Let C be a curve singularity of $(k^N, 0)$ with Hilbert polynomial $p(T)$. From [\[26\]](#page-31-12), Theorem 2, we have $h_C^1(n) = H_C^1(n)$ for $n \ge e_0 - 1$, so we have $C \in \mathbf{H}_{N,p}(Spec(\mathbf{k}))$.

(2.1) follows the main ideas of the proof of [\[32\]](#page-31-17), Theorem 55. Let $Z = Spec(A[[X]]/J)$ be a closed subscheme of $(\mathbf{k}^N, 0)_S$, with $S = Spec(A)$, such that for all $n \ge e_0 + 1$ the morphism $\pi_n : Z_n \longrightarrow S$ is flat with fibers of length $p(n-1)$. We have to prove that the morphism

$$
A \longrightarrow B := \frac{A[[X]]}{J}
$$

is flat. Let $f: L \longrightarrow P$ be a monomorphism of finitely generated A-modules, we have to prove that $f \otimes Id_B : L \otimes_A B \longrightarrow P \otimes_A B$ is also a monomorphism.

Since the morphism $A \longrightarrow B_n := \frac{A[[\underline{X}]]}{J+(\underline{X})^n}$ is flat for all $n \ge e+1$, we have that

$$
f \otimes Id_{B_n} : L \otimes_A B_n \longrightarrow P \otimes_A B_n
$$

is also a monomorphism, $n \ge e_0 + 1$. Hence

$$
\varprojlim f \otimes Id_{B_n} : \varprojlim \left(L \otimes_A B_n \right) \longrightarrow \varprojlim \left(P \otimes_A B_n \right)
$$

is a monomorphism. Since the A -modules L and P are finitely generated it is well known that

$$
\varprojlim (L \otimes_A B_n) \cong L \otimes_A B, \quad \varprojlim (P \otimes_A B_n) \cong P \otimes_A B
$$

from this we deduce (2.1). The statement (2.2) follows from [\[26\]](#page-31-12), Theorem 2.

Remark 2.2. Notice that the condition (i) implies that for all closed points $s \in S$ the fiber Z_s is a 1–dimensional closed sub-scheme of $(k^N, 0)$ with Hilbert polynomial p. Hence (ii) can be changed to

(ii)' for all closed points $s \in S$ the fiber Z_s is a Cohen-Macaulay scheme.

Remark 2.3. There exist flat morphisms that are not families. See Example [4.4](#page-27-0) for a first order deformation of a plane curve singularity that is not a family.

In the following examples we will construct families of curve singularities with a closed fiber C by deforming the ideal $I(C)$, by deforming a first syzygy matrix of $I(C)$ and by deforming a parametrization of C.

Example 2.4. Let $F, G_1, ..., G_r$ be power series in the variables X_1, X_2 . We assume that $order(F) = e_0$ and $order(G_i) \ge e_0 + 1$ for $i = 1, ..., r$. We put $A = \mathbf{k}[T_1, ..., T_r], S = Spec(A),$ $I = (F + \sum_{i=1}^{r} T_i G_i) \subset A[[X_1, X_2]],$ and $Z = Spec(A[[X_1, X_2]]/I)$. Notice that for all point s of S the fiber Z_s is a plane singularity of multiplicity e_0 . From Proposition 2.1 (2.2) we deduce that Z is a family of plane curve singularities with Hilbert polynomial $p = e_0T - e_0(e_0 - 1)/2$.

Example 2.5. Let us consider the curve singularity of $(k^3, 0)$ defined by the ideal generated by the maximal minors of the matrix ([\[9\]](#page-31-18))

$$
\left(\begin{array}{cc} X_3 & 0 \\ X_1^{e_0-1} & X_3 \\ 0 & X_2 \end{array}\right)
$$

An straightforward computation shows that $h_C^1 = e_0T - (e_0^2 - 3e_0 + 4)/2$, and

$$
H_C^1 = \{1, 3, 4, 5, 6, \dots, e_0 - 1, e_0, e_0, \dots\}.
$$

Let us consider the closed subscheme Z of $(\mathbf{k}^N, 0)_S$, $S = Spec(\mathbf{k}[U])$, defined by the ideal generated by the maximal minors of the matrix

$$
\left(\begin{array}{cc}X_3+Q_1U&0\\X_1^{e_0-1}+Q_4U&X_3+Q_2U\\0&X_2+Q_3U\end{array}\right)
$$

where Q_1, Q_2, Q_3 are formal power series in X_1, X_2, X_3 of order at least 2, and Q_4 is a power series in the same set of variables of order at least e_0 . We know that Z is a flat deformation of C with base S, see [\[2\]](#page-30-3). We have a better result: from [\[37\]](#page-31-16), Theorem 1.9, the tangent cone of the fiber Z_s coincides with $Z_0 = C$ for all closed point $s \in S$. Hence the Hilbert function is constant on the fibers, from Proposition 2.1 we get that Z is a family of curve singularities with Hilbert polynomial $p = e_0 T - (e_0^2 - 3e_0 + 4)/2$.

Example 2.6. Notice that in the previous example we have deformed the matrix of syzygies of the curve singularity obtaining a family of curve singularities. We can also deform the parametrization, i.e. the normalization morphism, in order to obtain families. In this case the families verify a stronger condition the singularity order of the fibers is constant, see [\[41\]](#page-32-1). Let C the curve singularity of $(k^4, 0)$ with normalization morphism $\mathcal{O}_C \longrightarrow \overline{\mathcal{O}}_C \cong \mathbf{k}[[t]]$, defined by

$$
X_1 = t^6, X_2 = t^7, X_3 = t^{10}, X_4 = t^{15}.
$$

Recall that we can compute the Hilbert function of C by two different methods. First we can compute the ideal of C eliminating t and then computing the Hilbert of \mathcal{O}_C . In our setting we can also compute the Hilbert function of C using the fact

$$
\delta(C) = \#(\mathbb{N} \setminus \Gamma(C))
$$

where $\Gamma(C) = 6, 7, 10, 15 >$ is the semi-group generated by C. Hence we have $\delta(C) = 8$. On the other hand we can desingularize C by an unique Blow-up, so from [\[25\]](#page-31-19) we get that $\delta(C) = \rho = 8$. Hence we have $h_C^1 = 6T - 8$. Let us consider the family of parameterizations

$$
\beta(U): X_1 = t^6, X_2 = t^7, X_3 = t^{10}, X_4 = t^{15} + Ut^{16}.
$$

Then we can consider the closed sub-scheme of $(\mathbf{k}^4, 0)_S$, $S = Spec(\mathbf{k}[U])$, defined by $\beta(U)$. From [\[41\]](#page-32-1) we get that for all closed points $s \in S$ the normalization of the fiber Z_s is defined by $\beta(s)$. Hence $\Gamma(Z_s) = \Gamma(C)$ and $h^1_{Z_s} = h^1_C = 6T - 8$; from Proposition 2.1 we get that $Z \in H_{4.6T-8}(S)$.

We end this section studying the relationship between families of curve singularities and normally flat morphisms, see [\[24\]](#page-31-20).

Definition 2.7. Let $S = Spec(A)$ be a scheme of finite type and let Z be a closed subscheme of $({\bf k}^N,0)_S$ such that there exists a closed section $\sigma: S \longrightarrow Z$ of $\pi: Z \longrightarrow S$. We say that Z is *normally flat along S if and only if* $Gr_{\mathcal{I}_{\sigma(S)}}(\mathcal{O}_Z)$ *is a flat* \mathcal{O}_S *module.*

 ${\bf Proposition~2.8.}$ Let Z be a closed subscheme of ${{\bf (k}}^N,0)_S$ such that there exists a closed section $\sigma: S \longrightarrow Z$ of $\pi: Z \longrightarrow S$.

(1) if Z *is a normally flat scheme along* S *and verifies* (ii) ′ *then* Z *is a family of curves,*

(2) if Z *is a family of plane curve singularities then* Z *is normally flat along* S*.*

Proof. (1) The result follows from the fact $Gr_{\mathcal{I}_{\sigma(S)}}(\mathcal{O}_Z)$ is a flat \mathcal{O}_S -module if and only if $\mathcal{O}_Z/\mathcal{I}_{\sigma(S)}^n = \mathcal{O}_{Z_n}$ is a flat \mathcal{O}_S -module for all $n \geq 1$.

(2) Let Z be a family of plane curve singularities over an affine scheme $S = Spec(A)$. We need to prove that for all closed point $s \in S$ and $n \ge 1$ the $\mathcal{O}_{S,s}$ -module \mathcal{O}_{Z_n} is free of rank $e_0n - e_0(e_0 - 1)/2$. Let m be the maximal ideal of A defined by s. For all $n \ge 1$, we will prove that

$$
\frac{A_m[[X_1, X_2]]}{I(Z)A_m[[X_1, X_2]] + (X_1, X_2)^n}
$$

is a free A_m -module of rank $e_0n - e_0(e_0 - 1)/2$. Let $F ∈ I(Z)A_m[[X_1, X_2]] ⊂ A_m[[X_1, X_2]]$ be a power series such that $A_m[[X_1, X_2]]/(F) \otimes_A \mathbf{k}$ is the local ring \mathcal{O}_{Z_s} . Since the k-vector spaces $(F) + (X_1, X_2)^{e_0+1} \subset I(Z)A_m[[X_1, X_2]] + (X_1, X_2)^{e_0+1}$ have the same codimension $e_0(e_0 + 1) - e_0(e_0 - 1)/2$, the vector spaces agree. From this it is easy to prove (2).

Remark 2.9. The last Proposition enable us to consider the condition (i) of the definition of family of curve singularities as a weak form of normally flat morphism. Notice that the last three examples are in fact normally flat families.

Example 2.10. Example of family not normally flat. Let us consider the family of monomial curves

$$
X_1 = t^7, X_2 = t^8, X_3 = (1 - u)t^9 + at^{10}.
$$

Since the singularity order and the Hilbert polynomial does not depend on the parameter u , from [\[41\]](#page-32-1) and Proposition 2.1, we get that there exists a family of curve singularities $\pi : Z \longrightarrow S =$ $Spec(\mathbf{k}[u])$ such that Z_u is the monomial curve defined by u. On the other hand $H_{Z_0}^1(3) = 5$, and $H^1_{Z_1}(3) = 6$ so π is not a normally flat family.

In [\[11\]](#page-31-7) we defined rigid Hilbert polynomials as the polynomials that determines the Hilbert function; i.e. $p = e_0T - e_1$ is rigid if there exists a function $H_p : \mathbb{N} \longrightarrow \mathbb{N}$ such that if C is a curve singularity with $h_C^1 = p$ then $H_C^1 = H_p$. For instance $p = e_0T - e_1$ with $e_1 = e_0 - 1$, e_0 , $e_0(e_0-1)/2-1$, $e_0(e_0-1)/2$ are rigid polynomials, and any Hilbert polynomial $p = e_0T - e_1$ with $e_0 \leq 5$ is rigid, see [\[11\]](#page-31-7). See also [\[15\]](#page-31-21) for further results on rigid polynomials. Finally, it is easy to prove

Proposition 2.11. *Every family of curve singularities with a rigid Hilbert polynomial over a reduced base is normally flat.*

3. MODULI SPACE OF CURVE SINGULARITIES.

The purpose of this section is to construct a moduli scheme $H_{N,p}$ parameterizing the embedded curve singularities of $(k^N, 0)$ with fixed Hilbert polynomial p, Theorem [3.8.](#page-17-0)

Let us recall that we assumed in the first section that $p = e_0(T + 1) - e_1$ is an admissible Hilbert polynomial, i.e. there exists a curve singularity C of $(k^N, 0)$ with Hilbert polynomial p . In [\[11\]](#page-31-7) we characterized the admissible Hilbert polynomials. To recall that result we have to define some integers attached to e_0 and the embedding dimension: given integers $1 \leq b \leq e_0$ we consider the following integers $\rho_{0,b,e_0} = (r+1)e_0 - \binom{r+b}{r}$ $r_r^{(t)}$, with r the integer such that \int ^{b+r−1} $\binom{r-1}{r} \le e_0 < \binom{b+r}{r+1}$, and $\rho_{1,b,e_0} = e_0(e_0 - 1)/2 - (b - 1)(b - 2)/2$.

Proposition 3.1. *There exists a curve singularity* C *with embedding dimension* $b \leq N$ *and Hilbert polynomial* $p(T) = e_0T - e_1$ *if, and only if, either*

 (1) $b = 1$ *,* $e_0 = 1$ *,* $e_1 = 0$ *, or*

 (2) 2 \leq *b* \leq *e*₀*, and* $\rho_{0,b,e_0} \leq e_1 \leq \rho_{1,b,e_0}$ *.*

Moreover, for each triplet (b, e_0, e_1) *satisfying the above conditions there is a reduced curve* singularity $C \subset (\mathbf{k}^N, 0)$ with embedding dimension b and Hilbert polynomial $p = e_0T - e_1$, *with* k *is an algebraically closed field.*

Let $F : \mathbb{N} \longrightarrow \mathbb{N}$ be a numerical function such that $F(t) \leq b(t) := \binom{N+t-1}{N}$ for all $t \geq 0$. For each $t \geq 0$ we denote by G_t the Grassmannian of $F(t)$ – dimensional quotients of $R_t = R/M^t$, notice that R_t is a $b(t)$ dimensional k-vector space. Recall that G_t represents the contravariant functor \underline{G}_t : Sch \longrightarrow Set, where Sch is the category of k–schemes locally of finite type, Set the category of sets, and $\underline{G}_t(S)$ is the set of locally free quotients of $R_{t(S)}$ of rank $F(t)$, see [\[22\]](#page-31-22)-I-9.7.4. If K is a $F(t)$ -dimensional quotient of R_t then we will denote by [K] the corresponding closed point of G_t .

We denote by $F_{r,n}$ the contravariant set-valued functor on Sch defined by: $F_{r,n}(S)$ is the set of S– module quotients $\mathcal{F} = R_{n(S)}/N$ such that the \mathcal{O}_S -module

$$
\mathcal{F}^{(i)} = \widetilde{R_{n-i}}_{(S)}/(\sigma_{n,i})_*(N)
$$

belongs to $\underline{G}_{n-i}(S)$, $i=0,1,...,n-r$, where $\sigma_{n,i}: \widetilde{R_n} \longrightarrow \widetilde{R_{n-i}}$ is the natural morphism of sheaves. For all integers $r \leq n$, let $W(r, n, F)$ be the reduced subscheme of G_n whose closed points correspond to the k-vector space quotients R_n/E such that $dim_k(R_n/E + M^t) = F(t)$ for all $t = r, \ldots, n$.

Proposition 3.2. *The scheme* $W(r, n, F)$ *represents the functor* $F_{r,n}$ *.*

Proof. In order to prove the result we will use [\[22\]](#page-31-22)-0-4.5.4. From the local nature of the definition of $F_{r,n}$ it is easy to verify the second condition of [\[22\]](#page-31-22)-0-4.5.4. The first condition follows from [\[22\]](#page-31-22)-I-9.7.4.6, and the third condition from [\[22\]](#page-31-22)-I-9.7.4.7.

We will prove the fourth condition of [\[22\]](#page-31-22)-0-4.5.4. Let $\{m_i\}_{i=1,\dots,b(n)}$ be a lexicographically ordered set of monomials of $\mathbf{k}[X_1, ..., X_N]$ such that their cosets in R_n form a k−basis. We

denote by H the set of $H = \{i_1, ..., i_{F(n)}\} \subset \{1, 2, ..., b(n)\}$ such that

$$
card(H \cap \{1, 2, ..., b(n - i)\}) = F(n - i)
$$

for all $i = 1, 2, ..., n - r$. It is known that for every $H \in \mathcal{H}$ we get an open set $B_n(H)$ of G_n : $B_n(H)$ is the set of $F(n)$ dimensional vector spaces R_n/L such that the cosets of $m_{i_1}, ..., m_{i_{F(n)}}$ in this quotient form a k-basis. This open set is isomorphic to the affine space of matrices, $F(n) \times (b(n) - F(n))$.

Let $\varphi_H : \mathcal{O}_{Spec(k)}^{F(n)} \longrightarrow \widetilde{R_n}$ be the morphism of $\mathcal{O}_{Spec(k)}$ -modules defined by the k-linear map $\varphi_H : \mathbf{k}^{F(n)} \longrightarrow R_n$ with $\varphi_H((a_i)_{i \in H}) = \sum_{i \in H} a_i m_i$. By [\[22\]](#page-31-22)-0-4.5.4 we get that $B_n(H)$ is represented by the subfunctor $\underline{G}_{n,H}$ of \underline{G}_n defined by: $\underline{G}_{n,H}(S)$ is the set of $\mathcal{F} \in \underline{G}_n(S)$ such that the composition

$$
\mathcal{O}_S^{F(n)} \xrightarrow{\varphi_H} \widetilde{R_n}(S) \longrightarrow \mathcal{F}
$$

is an epimorphism. It is easy to see that there exists a one-to-one correspondence γ between $G_{n,H}(S)$ and the set of \mathcal{O}_S -morphisms $v : \widetilde{R_n} \longrightarrow \mathcal{O}_S^{-F(n)}$ such that $v\varphi_H = Id$; v corresponds to $\mathcal{F} = \widetilde{R_n}/Ker(v)$. Hence we have a exact sequence of set maps

$$
\underline{G}_{n,H}(S) \stackrel{\gamma}{\longrightarrow} Hom_{\mathcal{O}_S}(\widetilde{R_n}, \mathcal{O}_S^{-F(n)}) \stackrel{\alpha_H}{\underset{\beta_H}{\rightrightarrows}} Hom_{\mathcal{O}_S}(\mathcal{O}_S^{-F(n)}, \mathcal{O}_S^{-F(n)}),
$$

with $\alpha_H(v) = v\varphi_H$, $\beta_H(v) = Id$; we get that $\underline{G}_{n,H}$ is representable by $B_n(H)$, i.e. the kernel of the pair of morphisms

$$
\mathbf{k}^{b(n)F(n)} \stackrel{\alpha_H}{\underset{\beta_H}{\rightrightarrows}} \mathbf{k}^{F(n)^2}.
$$

Let us consider the subfunctor $F_{r,n,H}$ of $F_{r,n}$ such that for every S, $F_{r,n,H}(S)$ is the set of $\mathcal{F} \in F_{r,n}(S)$ such that the composition

$$
\mathcal{O}_S^{F(n)} \xrightarrow{\varphi_H} \widetilde{R}_{n(S)} \longrightarrow \mathcal{F}
$$

is an epimorphism. Let us consider the restriction of γ

$$
\gamma: F_{r,n,H}(S) \longrightarrow Hom_{\mathcal{O}_S}(\widetilde{R_n}, \mathcal{O}_S^{-F(n)}),
$$

first of all we need to compute the image of γ . For this consider the projection in the first $F(n-i)$ components

$$
\pi(i): \mathcal{O}_S{}^{F(n)} \longrightarrow \mathcal{O}_S{}^{F(n-i)},
$$

and the canonical monomorphism

$$
\sigma(i): \widetilde{M^{n-i}/M^n} \longrightarrow \widetilde{R_n}.
$$

From [\[22\]](#page-31-22)-0-5.5.7 it is easy to prove

CLAIM: Let $v: R_{n(S)} \longrightarrow \mathcal{O}_{S}^{F(n)}$ be a morphism such that $v\varphi_H = Id$, then the sheaf $R_{n(S)}/Ker(v)$ is locally free of rank $F(n-i)$ if and only if $\pi(i)v\sigma(i) = 0$.

From the claim we can build up the following exact sequence of map sets

$$
F_{r,n,H}(S) \stackrel{\gamma}{\longrightarrow} Hom_{\mathcal{O}_S}(\widetilde{R_n}, \mathcal{O}_S^{-F(n)})
$$

\n
$$
\stackrel{\varepsilon_H}{\underset{\delta_H}{\rightleftharpoons}} Hom_{\mathcal{O}_S}(\mathcal{O}_S^{-F(n)}, \mathcal{O}_S^{-F(n)}) \times \prod_{i=1}^{n-r} Hom(M^{\widetilde{n-i}}/M^n_{(S)}, \mathcal{O}_S^{-F(n-i)}),
$$

with $\delta_H(v) = (Id; 0, ..., 0)$, and $\varepsilon_H(v) = (v\gamma_H; \pi(i)v\sigma(i), i = 1, 2, ..., n-r)$. From this and [\[22\]](#page-31-22)-I-9.4.9 we obtain that $F_{r,n,H}$ is representable by the Kernel, say X_H , of the pair of morphisms

$$
\mathbf{k}^{b(n)F(n)} \stackrel{\varepsilon_H}{\underset{\delta_H}{\to}} \mathbf{k}^{F(n)^2} \times \prod_{i=1}^{n-r} \mathbf{k}^{l(n,i)F(n-i)},
$$

 $l(n,i) = dim_{\mathbf{k}}(M^{n-i}/M^n)$, so we get [\[22\]](#page-31-22)-0-4.5.4(iv) for the family of functors ${F_{r,n,H}}_{H \in \mathcal{H}}$. Hence $F_{r,n}$ is representable by a scheme X, and $\{X_H\}_{H\in\mathcal{H}}$, is an open cover of X. From the proof of the representability of X_H we deduce that X_H is a linear subspace of $B_n(H)$. From the definition of $W(r, n, F)$ and [\[22\]](#page-31-22)-I-4.2.4(ii) we deduce that $X = W(r, n, F)$, so we get that $W(r, n, F)$ represents the functor $F_{r,n}$.

We denote by $Hilb_n$ the Hilbert scheme parameterizing the closed subschemes of $Spec(R_n)$ of length $F(n)$; Hilb_n represents the contravariant set-valued functor $Hilb_n$ on Sch for which $\overline{Hilb}_n(S)$ is the set of morphisms $f: Z \subset Spec(R_n) \times S \longrightarrow S$ with fibers of length $F(n)$, see [\[18\]](#page-31-23). Let

$$
a_{n+1}: W(r, n+1, F) \cap Hilb_{n+1} \longrightarrow W(r, n, F) \cap Hilb_n
$$

be the morphism of schemes induced by the functorial morphism

 $a_{n+1}: F_{r,n+1} \times_{\underline{G}_{n+1}} \underline{Hilb}_{n+1} \longrightarrow F_{r,n} \times_{\underline{G}_n} \underline{Hilb}_n,$

with $a_{n+1(S)}(\mathcal{F}) = \mathcal{F}^{(1)}$.

From now on we assume that $F(t) = p(t - 1) = e_0 t - e_1$, and for all $n \ge e_0 + 1$ we put $W(n) = W(e_0 + 1, n, p)$ and $W'(n) = W(n) \cap Hilb_n$.

Let $C \subset (\mathbf{k}^N, 0)$ be a reduced curve singularity. We will denote by $\delta(C) = dim_{\mathbf{k}}(\overline{\mathcal{O}}_C/\mathcal{O}_C)$ the order of singularity of C, here $\overline{\mathcal{O}}_C$ is the integral closure of \mathcal{O}_C on its full ring of fractions. We denote by $\mu(C)$ the Milnor number of C; notice that $\mu(C) = 2\delta(C) - r(C) + 1$ where $r(C)$ is the number of branches of C , [\[5\]](#page-30-4) Proposition 1.2.1.

Definition 3.3. We denote by $C_n(N, p)$ the set of points of G_n defined by all truncations C_n where C is a curve singularity of Hilbert polynomial $h_C^1 = p$.

We will prove that $C_n(N, p)$ is in fact a constructible set of G_n , see Proposition [3.6.](#page-15-0)

Proposition 3.4. *(1) For all* $n \ge e_0 + 1$ *it holds* $C_n(N, p) \subset W'(n)$ *.*

(2) Let C be a curve singularity with Hilbert polynomial $h_C^1 = p$, then its tangent cone is *determined by* $[C_n]$ *,* $n \geq e_0 + 1$ *.*

(3) If C is reduced then the analytic type of C is determined by $[C_n]$ *,* $n \ge 2\mu(C) + 1$ *.*

Proof. (1) We only need to prove that for all curve singularity of multiplicity e_0 it holds $[C_n] \in$ $W'(n)$, $n \ge e_0 + 1$. Notice that the closed points of $W'(n)$ are the quotients R/I with $I \subset R$ ideal such that $M^n \subset I$ and $dim_k(R/M^{n-i} + I) = p(n-i-1)$ for $i = 0, ..., n - e - 1$. In particular if C is a curve singularity of $(k^N, 0)$ with Hilbert p then $R/I(C) + M^n$ defines a closed point of $W'(n)$ for all $n \ge e_0 + 1$, see [\[26\]](#page-31-12), Theorem 5. (2) follows from Proposition [1.7.](#page-5-0) From [\[10\]](#page-31-9), Theorem 6, we deduce (3). \Box

Notice that in the last proposition we proved that $W'(n)$ contains all n-th truncations of curve singularities with Hilbert polynomial p . In order to take account of the Cohen-Macaulayness of the curve singularities we need to shrink $W'(n)$ by intersecting this scheme with some open Zariski subset of G_n . For this end, given a linear form $L \in R$ we denote by $U_n(L)$ the Zariski open set of G_n whose closed points are the quotients R_n/E such that $dim_k(R_{e_0+1}/(E, L)) \le$

Proposition 3.5. (1) *Let* I *be an ideal of* R *such that* A = R/I *is a one-dimensional local ring of multiplicity* e0*. Let* L *be an element of* R*, the following conditions hold*

- (1) $\dim_{\mathbf{k}}(A/LA) \geq e_0$ and we have equality if and only if A is Cohen-Macaulay and the *coset of* L *in* A *is a degree-one superficial element.*
- (2) If $dim_{\mathbf{k}}(R/I + M^{e_0+1}) = p(e_0)$ then the following conditions are equivalent: (a) $[R/I + M^{e_0+1}]$ *belongs to* $U_{e_0+1}(L)$ *,*

 e_0 , where (E, L) is the ideal generated by E and L.

(b) A *is Cohen-Macaulay and the coset of* L *in* A *is a degree-one superficial element.*

(3) *There exist linear forms* $L_1, ..., L_s$ *of* R *, s* = $e_0(N-1)+1$ *, such that for all curve singularity* C of $(\mathbf{k}^N,0)$ with Hilbert polynomial p and $n \ge e_0 + 1$ it holds $[C_n] \in W'(n) \cap U_n(L_q)$.

Proof. (1) Let us assume $\dim_k(A/LA) \leq \infty$, i.e. LA is a m−primary ideal of A. From [\[38\]](#page-31-24), Cap. I Proposition 3.4, we get (1). By (1) we deduce that (b) is equivalent $dim_{\mathbf{k}}(R/I + (L)) \le$ e_0 . It is easy to see that this inequality equivalent to $dim_{\mathbf{k}}(R/I + M^{e_0+1} + (L)) \le e_0$, i.e $[R/I + M^{e_0+1}]$ belongs to $U_{e_0+1}(L)$.

(3) Let C be a curve singularity C of $(k^N, 0)$ with Hilbert polynomial p. From [\[43\]](#page-32-2), Chap. I Proposition 3.2, we deduce that if a linear form L is a non zero-divisor in $Gr(\mathcal{O}_C)_{red}$ then L is a degree one superficial element of \mathcal{O}_C . Let $L_1, ..., L_s$, $s = e_0(N - 1) + 1$ be linear forms such that any subset of $N - 1$ elements is k–independent. From this and the (1) it is easy to deduce the claim. \Box

We will define a sub-scheme Ξ_n of $Hilb_n$ taking account of the condition (2) of the definition of \mathbb{T}_n . For this end we have to define some special cells of the Grassmannian G_n , from a deep study of these cells we will deduce that the morphism a_n is affine for a big enough n, Proposition [3.7](#page-15-1) (1).

Let $\text{Mon} = \{m_1, m_2, ...\}$ be the set of monomials of S ordered with respect to the degreelexicographic ordering. Given multi-indexes $i = \{i_1, ..., i_{p(e_0-1)}\} \subset \{1, 2, ..., b(e_0)\}, j =$ $\{j_1, ..., j_{e_0}\} \subset \{b(e_0) + 1, ..., b(e_0 + 1)\}\$, and a linear form L_q , we define $D_n(i, j, q)$ as the

linear subspace of R_n generated by the $p(n-1)$ linear independent monomials

$$
m_{i_1},...,m_{i_{p(e_0-1)}};L_q^r m_{j_1},...,L_q^r m_{j_{e_0}}, \quad r=0,...,n-e_0-1.
$$

For all $n \ge e_0$ we fix a k-basis $V_n(i,j,L)$ of R_n adding to these elements monomials of suitable degree. We denote by $B_n(i,j,q)$ the Zariski open subset of G_n with closed points $[R_n/E]$ such that the projection $D_n(i,j,q) \longrightarrow R_n/E$ is an isomorphism.

For all triplet i, j, q , we consider the open sets B'_r $U_n^{'}(i_{.},j_{.},q) = B_n(i_{.},j_{.},q) \cap U(L_q);$ we set $B_{n}^{'} = \bigcup_{i_{.,}j_{.,}q}B_{n}^{'}$ $n'_n(i, j, q)$. We denote by Ξ_n the open sub-k–scheme of $W'(n)$

$$
\Xi_n = W^{'}(n) \cap B^{'}_n
$$

and $\Xi_n(i_.,j_.,q) = \Xi_n \cap B'_n$ $n'_n(i_1, j_1, q)$. Notice that the morphism $a_n: W'(n) \longrightarrow W'(n-1)$ induces morphisms $a_n : \Xi_n(i, j, q) \longrightarrow \Xi_{n-1}(i, j, q)$, $a_n : \Xi_n \longrightarrow \Xi_{n-1}$, for all $n \ge e_0 + 1$.

Proposition 3.6. (1) *For all curve singularities* C *of* (k ^N, 0) *with Hilbert polynomial* p *there exists indexes* i, j, q *such that* $[C_n] \in \Xi_n(i, j, q)$ *, for all* $n \ge e_0 + 1$ *.*

- (2) $C_n(N, p)$ *is a constructible set and* $C_n(N, p) \subset \Xi_n$ *,*
- (3) *For all* i_1, j_1, q *it holds* $a_n^{-1}(\Xi_{n-1}(i_1, j_1, q)_{red}) = \Xi_n(i_1, j_1, q)_{red}$.

Proof. (1) Follows from Proposition [1.1](#page-2-1) (2) and Proposition [3.5.](#page-14-0)

(2) From Theorem [1.9](#page-6-0) we get that $C_n(N, p) = a_{\beta(n, e_0)} \dots a_{n+1}(\Xi_{\beta(n, e_0)})$, so $C_n(N, p)$ is a constructible set contained in Ξ_n .

(3) Let $x = [R/I + M^n]$ be a closed point of Ξ_n such that $a_n(x)$ belongs to $\Xi_{n-1}(i, j, q)_{red}$. Let n be the maximal ideal of $R/I + M^n$. Since $a_n(x)$ belongs to $B_{n-1}(i, j, q)$ we have that $dim_{\mathbf{k}}(\mathbf{n}^{e_0+s}/\mathbf{n}^{e_0+s+1}) = e_0$ for $s = 1, ..., n - e_0 - 1$, so we have

$$
\frac{\mathbf{n}^{e_0-1}}{\mathbf{n}^{e_0}}\stackrel{.L_q}{\longrightarrow}\frac{\mathbf{n}^{e+s-1}}{\mathbf{n}^{e+s+1}}
$$

is an epimorphism for $s = 1, \ldots, n - e_0 - 1$. Since $a_n(x) \in W(n)$ we get that these morphisms are in fact isomorphism, so $x \in \Xi_n(i_., j_.)$ $, q$).

Let X, Y be k-schemes. Given constructible sets $A \subset X$, $B \subset Y$ we say that a map $f : A \longrightarrow B$ is a piecewise trivial fibration with fiber a k–scheme F if there exists a finite partition of B in locally closed subsets $S \subset Y$ such that $f : f^{-1}(S) \longrightarrow S$ is a fibration of fiber F , see [\[6\]](#page-30-5).

The key result in the definition of a local motivic integration in the moduli space $\mathbf{H}_{N,p}$, Theorem [3.8,](#page-17-0) is the following result:

Proposition 3.7. (1) *For all* $n \ge e_0 + 4$ *the morphism* $a_n : \Xi_n \longrightarrow \Xi_{n-1}$ *is affine.*

(2) *Given integers* $\nu \geq 2$, $n \geq \delta(N, e_0)$, there exists a constructible set $\Sigma_{\nu}^n \subset C_n(N, p)$ such *that: for all curve singularities* C *with Hilbert polynomial p,* $[R/I(C) + Mⁿ]$ *is a closed point of* Σ_{ν}^{n} *if and only the minimal numbers of generators of* $I(C)$ *is* ν *.*

(3) If $\nu = N - 1$, i.e. the case of complete intersection singularities, then we set $\Sigma_{ci}^{n} := \Sigma_{N-1}^{n}$ *and the restriction of* a_n

$$
a_n : \Sigma_{ci}^n \longrightarrow \Sigma_{ci}^{n-1}
$$

is an exhaustive piecewise fibration with fiber $F \cong \mathbf{k}^{(N-1)e_0}$, $n \geq \delta(N, e_0)$.

Proof. (1) We will perform the proof in three steps.

Step 1 For all $n \ge e_0 + 4$ the cell $B_n(i, j, q)$ is isomorphic to an affine space \mathbf{k}^{s_n} , for some integer s_n , and a_n restricts to an affine morphism $a_n : W(n) \cap B_n(i,j,q) \longrightarrow W(n-1) \cap$ $B_{n-1}(i_{.},j_{.},q).$

Proof: It is easy to see that considering the base $V_n(i, j, q)$ that the open set $B_n(i, j, q)$ is isomorphic to the affine space of $(b(n) - p(n)) \times b(n)$ matrices, and that $W(n) \cap B_n(i,j,q)$ is a linear subspace of $B_n(i,j,q)$. A general element of $W(n) \cap B_n(i,j,q)$ can be written as follows

Hence for all $n \ge e_0 + 4$ we get $B_n(i_1, j_1, q) \cong \mathbf{k}^{s_n}$. From this it is easy to see that a_n is a linear projection between k^{s_n} and $k^{s_{n-1}}$ where $a_n(U)$ is the matrix obtained from U deleting its last row and the last two columns. Hence we get **Step 1**.

Step 2 For all $n \ge e_0 + 4$, $a_n : \Xi_n(i_0, j_0, q) \longrightarrow \Xi_{n-1}(i_0, j_0, q)$ is an affine morphism.

Proof: Let us consider the restriction of a_n

$$
a_n: W(n) \cap B_n(i,j,q)) \longrightarrow W(n-1) \cap B_{n-1}(i,j,q).
$$

Since $W(r) \cap B'_r$ $r'_r(i_1, j_1, q)$ is an open subset of $W(r) \cap B_r(i_1, j_1, q)$ for $r = n, n - 1$, and

$$
a_n^{-1}(W(n-1) \cap B'_{n-1}(i_{.},j_{.},q)) = W(n) \cap B'_{n}(i_{.},j_{.},q),
$$

from [\[22\]](#page-31-22)-I-9.1.2 we deduce that

$$
a_n: W(n) \cap B'_n(i,j,q) \longrightarrow W(n-1) \cap B'_{n-1}(i,j,q)
$$

is affine. Since $\Xi_r(i,j,q)$ is a closed subscheme of $W(r) \cap B'_r$ $r'_r(i_.,j_.,q)$, for $r = n, n - 1$, by [\[22\]](#page-31-22)-I-9.1.16(i),(v) we obtain **Step 2**.

Step 3 For all $n \ge e_0 + 4$ the morphism $a_n : \Xi_n \longrightarrow \Xi_{n-1}$ is affine.

Proof: By Step 2, Proposition [3.6](#page-15-0) (3), and [\[22\]](#page-31-22)-I-9.1.18 we get that for all $n \ge e_0 + 4$ the morphism $a_n : \Xi_n \longrightarrow \Xi_{n-1}$ is affine.

(2) We denote by $\nu(B) = dim_{\mathbf{k}}(B)$ the minimal number of generators of a finitely generated R-module B. Let G_{ν}^{n} be the constructible sub-set of Ξ_{n} whose closed points $[R/J]$ verifies $\nu(J) = \nu$. We define $\Sigma_{\nu}^{n} = G_{\nu}^{n} \cap C_{n}(N, p)$, Proposition [3.6.](#page-15-0)

Let C be a curve singularity with Hilbert polynomial p . From [\[37\]](#page-31-16) and Proposition [1.7](#page-5-0) (3) we get $I(C) \cap M^n \subset I(C)M$, $n \ge \delta(N, e_0)$, so we have $\nu(I(C)) = \nu \left(\frac{I(C) + M^n}{M^n}\right)$ $\overline{M^n}$) and $[R/I(C) + Mⁿ]$ is a point of Σ_{ν}^{n} if and only if $\nu = \nu(I(C))$. Moreover, let f_1, \ldots, f_{ν} be elements $I(C)$ such that their cosets in $I(C) + M^n/M^n$ form a minimal system of generators, then f_1, \ldots, f_ν is minimal system of generators of $I(C)$.

(3) We set $\Sigma_{ci}^n := \Sigma_{N-1}^n$. i.e. $\nu = N-1$. Let $x = [R/J + M^n]$ be a closed point of Σ_{ci}^n , $J = I(C)$ with C a curve singularity, and $y = [R/J + M^{n-1}] = a_n(x)$ its image. We may assume that $y \in \Xi_{n-1}(i_{.},j_{.},q)_{red}$, for some set of indexes $i_{.},j_{.},q$. Let U be the associated matrix to x, see **Step 1**. Let $f = f_1, \ldots, f_\nu$ be ν rows of U such that their cosets in R_{n-1} form a minimal system of generators of $J + M^{n-1}$, see (2). Notice that f is a minimal system of generators of $J + M^n$ and that all entries of U are determined by f.

If $x' = [R/J' + M^n]$ is a closed point of \sum_{ci}^n such that $a_n(x') = a_n(x)$ then J' admits a minimal system of generators $\mathbf{g} = g_1, \dots, g_\nu$ such that $f_i = g_i$ modulo M^{n-1} . From Proposition [3.6](#page-15-0) (3) x' belongs to $\Xi_n(i,j,q)$ and then defines a matrix U' with the same shape of U. Hence $f - g$ is a set of ν homogeneous polynomials of degree n belonging to $D_n(i, j, L_q)$, i.e. the monomials corresponding to the matrix B. Then we have that $\sum_{ci}^{n} \subset \sum_{ci}^{n-1} \times \mathbf{k}^{(N-1)e_0}$.

Let z be a closed point of $\sum_{i=1}^{n-1} \times \mathbf{k}^{(N-1)e_0}$ such that $a_n(z) = x$. Let J_{ε} be the ideal of R generated by $f_1+\varepsilon_1,\ldots,f_\nu+\varepsilon_\nu$, where $\varepsilon=\varepsilon_1,\ldots,\varepsilon_\nu$ is a set of ν homogeneous polynomials of degree *n* belonging to $D_n(i, j, L_q)$, such that $z = [R/J_\varepsilon + M^n]$. From the condition (2) of the definition of \mathbb{T}_n we deduce that $dim(R/J_\varepsilon) \leq 1$; since $\nu = N - 1$ we get that $dim(R/J_\varepsilon) = 1$ and then $C = Spec(R/J_{\epsilon})$ is a curve singularity. From the definition of \mathbb{T}_n we deduce that C is a curve singularity with Hilbert polynomial p, so $z \in \sum_{n=1}^{\infty}$ $\sum_{i=1}^{n}$

From Proposition [3.7](#page-15-1) and [\[21\]](#page-31-25)-IV-8.2.3, see also [\[19\]](#page-31-26) exposse VII, we deduce that the inverse system ${\{\Xi_n, a_n\}}_{n \geq \epsilon_0+1}$ has a limit ${\bf H}_{N,p}$ that we describe as follows. Since the maps a_n are affine, we have $\Xi_n = Spec(\mathcal{A}_n)$ where \mathcal{A}_n is a quasi-coherent sheaf of k-algebras over Ξ_n , [\[20\]](#page-31-27) 1.3.7. Then we define $H_{N,p} = Spec(A)$, with

$$
\mathcal{A}=\lim_{\substack{\longrightarrow\\ n}}\,\mathcal{A}_n,
$$

see [\[19\]](#page-31-26) exposse VII. In particular we get that for all point x of $\mathbf{H}_{N,p}$ it holds

$$
\mathcal{O}_{\mathbf{H}_{N,p},x} \cong \lim_{\substack{\longrightarrow \\ n}} \pi_n^*(\mathcal{O}_{\Xi_n,\pi_n(x)}),
$$

[\[21\]](#page-31-25), 8.2.12.1. Where we have denoted by

$$
\pi_n: \mathbf{H}_{N,p} \longrightarrow \Xi_n,
$$

the natural projection, $n \ge e_0+1$. Given $i > j \ge e_0+1$ we define the affine map $a_{i,j} : \Xi_i \longrightarrow \Xi_j$ by the composition $a_{i,j} = a_i a_{i-1} \cdots a_{j+1}$.

Theorem 3.8. *The scheme* $H_{N,p}$ *pro-represents the functor* $H_{N,p}$ *.*

Proof. We will prove that the functor $\underline{\mathbf{H}}_{N,p}$ is isomorphic to $h = Hom(\cdot, \mathbf{H}_{N,p(T)})$. Let S be an object of Aff and let $Z \subset (\mathbf{k}^N, 0)_S$ be a family of curves over S with Hilbert polynomial p. Since Z_n is a flat scheme over S with fibers of length $p(n)$, we have $\mathcal{O}_{Z_n} \in \underline{Hilb}_n(S)$. On the other hand, if $F_{e_0+1,n}$ is the functor that is represented by $W(n)$ then $\mathcal{O}_{Z_n} \in F_{e_0+1,n}(S)$, and

$$
\mathcal{O}_{Z_n} \in (F_{e_0+1,n} \times_{\underline{G}_n} \underline{Hilb}_n)(S).
$$

Hence by Proposition [3.2](#page-11-2) there exists a morphism $\sigma_n(Z) : S \longrightarrow W'(n)$ for all $n \ge e_0 + 1$. Recall that B'_n is an open subset of G_n , so $\sigma_n(Z)$ factorizes through $X_n = W'(n) \cap B'_n$ if and only if for all closed point $s \in S$, we have $\sigma_n(Z)(s) \in B'_n$ n'_{n} . Since $\sigma_{n}(Z)(s) = [(Z_{s})_{n}]$ and Z is a curve singularity with Hilbert polynomial $p(T)$ by Proposition [3.6](#page-15-0) we get $\sigma_n(Z)(s) \in B'_n$ $_n^{\prime}$. Hence we have a morphism

$$
\sigma_n(Z):S\longrightarrow \Xi_n
$$

for all $n \ge e_0 + 1$. It is easy to see that for all $n \ge e_0 + 2$ it holds $a_n \sigma_n(Z) = \sigma_{n-1}(Z)$ so we have a morphism $\sigma_*(Z):S\longrightarrow \mathbf{H}_{N,p(T)}.$ From this we get a functorial morphism

$$
\sigma: \underline{\mathbf{H}}_{N,p(T)} \longrightarrow h,
$$

sending $Z \in \underline{\mathbf{H}}_{N,p}(S)$ to $\sigma_*(Z)$.

To complete the proof we need to prove that $\sigma(S)$ is bijective for all S. The injectivity is straightforward. Let $g : S = Spec(A) \longrightarrow \mathbf{H}_{N,p}$ a morphism of k-schemes. The morphism

$$
g_n = \pi_n g : S = Spec(A) \longrightarrow \Xi_n \subset Hilb_n
$$

defines an ideal $J_n \text{ }\subset A[[X]]/(X)^n$, the compatibility relations $a_n g_n = g_{n-1}$ give us $J_n +$ $(\underline{X})^{n-1} = J_{n-1}$ for all $n \ge e_0 + 2$. If we write $J = \bigcap_{n \ge e_0 + 1} J_n$ then it holds $J_n = J + (\underline{X})^n$ for all $n \ge e_0 + 1$. From this we get $A[[X]]/J$ is isomorphic to the limit of the inverse system defined by $A[[X]]/J_n$, $n \ge e_0 + 1$.

Let us consider the scheme $Z \subset (\mathbf{k}^N, 0)_S$ defined by the ideal J. We will prove that Z is a family and $\sigma(Z) = g$. Since $I(Z_n) = J_n$ we have that Z verifies condition (i) of the definition of a family. Let s be a closed point of S, we have to prove that Z_s is a curve singularity with Hilbert polynomial $p(T)$. Notice that for all $n \ge e_0 + 1$ it holds $(Z_s)_n = (Z_n)_s$ so Z_s is a onedimensional sub-scheme of $(k^N, 0)$ with Hilbert polynomial p. From $\Xi_n \subset B_n$ and Proposition 2.3 we get that Z is a family. Since $\sigma(Z) = g$ we obtain the theorem.

Remark 3.9. Notice that from the last result and Proposition [3.6](#page-15-0) we get that $\pi_n(\mathbf{H}_{N,p})$ = $C_n(N, p)$ is the constructible set of all *n*-truncations of curve singularities with Hilbert polynomial p.

On the other hand, notice that $H_{N,p}$ is not a k-scheme locally of finite type. Hence from the previous result we cannot deduce the existence of a universal family. In the next result we will construct a universal family for the scheme $\mathbf{H}_{N,p}$.

Theorem 3.10. *There exists a* k-scheme $\mathbf{Z}_{N,p}$, limit of an inverse system $\{U_n, \alpha_n\}_{n \geq e_0+1}$, and a *morphism* φ : $\mathbf{Z}_{N,p} \longrightarrow \mathbf{H}_{N,p}$ *such that for all families of curve singularities* $f : Z \longrightarrow S$ *with Hilbert polynomial p there exists a unique morphism* $\sigma : S \longrightarrow \mathbf{H}_{N,p}$ such that $Z_n \cong S \times_{\Xi_n} U_n$, $n > e_0 + 1.$

Proof. Let $\rho_n : T_n \longrightarrow Hilb_n$ be the universal family of $Hilb_n$, $n \ge e_0 + 1$. Recall that T_n is a closed sub-scheme of $Hilb_n \times Spec(R_n)$, and that ρ_n is the restriction of the projection to the first component. Hence ρ_n is an affine morphism.

From the definition of Ξ_n we have that Ξ_n is an open subs-cheme of $Hilb_n$. If we denote by U_n the fibred product

$$
U_n = T_n \times_{Hilb_n} \Xi_n
$$

we get that the induced morphism $\rho_n : U_n \longrightarrow \Xi_n$ is affine. Let us consider the following commutative diagram

$$
U_{n+1} \xrightarrow{\alpha_n} U_n
$$

\n
$$
\rho_{n+1} \downarrow \qquad \qquad \rho_n
$$

\n
$$
\Xi_{n+1} \xrightarrow{\alpha_n} \Xi_n
$$

where α_n is the morphism obtained from the universal family $T_n \longrightarrow Hilb_n$ and the fact that Ξ_n is an open subset of $Hilb_n$. Since $a_n \rho_{n+1}$ and ρ_n are affine we get that α_n is also affine, [\[22\]](#page-31-22)-I-9.1.16(v). We define $\mathbf{Z}_{N,p}$ as the limit of the inverse system $\{U_n, \alpha_n\}_{n \geq \epsilon_0+1}$. We denote by

$$
\sigma: \mathbf{Z}_{N,p} \longrightarrow \mathbf{H}_{\mathrm{N},p}
$$

the morphism induced by the morphism of inverse systems $\{\rho_n\} : \{U_n, \alpha_n\} \longrightarrow \{\Xi_n, a_n\}$. We leave to the reader the proof of the universal property of $\sigma : \mathbf{Z}_{N,p} \longrightarrow \mathbf{H}_{N,p}$.

In the following result we will prove that $H_{N,p}$ has finite cohomological dimension. Recall that if Y is a scheme, the cohomological dimension $cd(Y)$ of Y is the least integer i such that $H^j(Y, F) = 0$ for all quasi-coherent sheaves F and $j > i$.

Proposition 3.11. *There exists a constant* g(N) *such that*

$$
cd(\mathbf{H}_{N,p}) \le g(N)p(e_0+3)^{2-2/N}.
$$

Proof. Let F be a quasi-coherent sheaf of $H_{N,p}$ and let $j \geq cd(\Xi_{e_0+3})$. The inverse system ${\lbrace \Xi_n, a_n \rbrace_{n \geq \epsilon_0+1}}$ defines a direct system of groups $\lbrace H^j(\Xi_n, \pi_{n*}(\mathcal{F})) , a_{n*} \rbrace_{n \geq \epsilon_0+1}$. From [\[19\]](#page-31-26), Exp. VII Corollaire 5.10, we have

$$
H^j(\mathbf{H}_{N,p}, \mathcal{F}) \cong \lim_{\substack{\longrightarrow \\ n}} H^j(\Xi_n, \pi_{n*}(\mathcal{F})).
$$

Since a_n is an affine morphism for all $n \ge e_0 + 4$ we get

$$
H^{j}(\Xi_n, \pi_{n*}(\mathcal{F})) \cong H^{j}(\Xi_{e_0+3}, \pi_{e_0+3*}(\mathcal{F})) = 0.
$$

Hence we have $cd(\mathbf{H}_{N,p}) \leq cd(\Xi_{e_0+3})$. Since Ξ_{e_0+3} is a sub-scheme of $Hilb_{e_0+3}$ we get

 $cd(\Xi_{e_0+3}) \leq dim(\Xi_{e_0+3}) \leq dim(Hilb_{e_0+3}).$

The claim follows from [\[4\]](#page-30-6). \Box

Proposition 3.12. *There exists a one-to-one correspondence between the set of curve singularities with Hilbert polynomial p and the set of rational points of* $\mathbf{H}_{N,p}$ *. Moreover, if the cardinality of* **k** is strictly greater than the cardinality of N then every closed point of $\mathbf{H}_{N,p}$ is rational.

Proof. The first part follows from the Proposition [3.8](#page-17-0) and [\[22\]](#page-31-22)-I-3.3.5. By construction we have that $\mathbf{H}_{N,p}$ can be covered by open affine sets $Spec(A)$ where A is a k−algebra countably generated. From [\[16\]](#page-31-28), Proposition 2.6, we obtain the claim.

From now on we assume that the cardinality of the ground field k is greater than the cardinality of N. Hence we have a one-to-one correspondence between the set of curve singularities with Hilbert polynomial p and the set of closed points of $\mathbf{H}_{N,p}$.

Definition 3.13. Let x be a closed point of $\mathbf{H}_{N,p}$, we will denote by C_x the curve singularity *defined by* x, and by $I_x = I(C_x) \subset R = \mathbf{k}[[X_1, ..., X_N]]$ *the ideal associated to C. The maximal ideal of* $\mathcal{O}_{C_x} = R/I_x$ *is* $\mathbf{m}_{\mathbf{x}}$ *.*

Given a function $F : \mathbb{N} \longrightarrow \mathbb{N}$, we say that F is admissible for the polynomial $p(T)$ if $F(t) = p(t)$ for $t \ge e_0 - 1$. Given an admissible function F for p and an integer r, $1 \le r \le e_0$, we define a contravariant set valued functor on Aff such that for all k-scheme of finite type S we have

$$
\underline{\mathbf{H}}_{N,F,r}(S) = \left\{ \begin{array}{l} Z \in \mathbf{H}_{N,p}(S) \text{ such that for all } n = r, ..., e_0 + 1 \\ \text{the morphism} \\ \text{is flat with fibers of length } F(n). \end{array} \right\}
$$

Notice that $\mathbf{\underline{H}}_{N,p}=\mathbf{\underline{H}}_{N,F,e_0+1},$ and that $\mathbf{\underline{H}}_{N,F,1}(S)$ is the set of normally flat families of base $S.$ We denote by $\mathbf{H}_{N,F,r}$ the k-scheme $\mathbf{H}_{N,F,r} = W(r, e_0 + 1, F) \times_{G_{e_0+1}} \mathbf{H}_{N,p}$. Notice that $\mathbf{H}_{N,F,r}$ is a closed sub-scheme of $H_{N,p}$. From the Theorem [3.8](#page-17-0) it is easy to prove

Proposition 3.14. $H_{N,F,r}$ *represents the functor* $H_{N,F,r}$ *.*

Given an admissible function F for p there is a family of closed sub-schemes of $\mathbf{H}_{N,p}$

$$
\mathbf{H}_{N,F,1}\subset \mathbf{H}_{N,F,2}\subset\cdots\subset \mathbf{H}_{N,F,e_0+1}=\mathbf{H}_{N,p}.
$$

We say that $H_{N,F,r}$ is the Hilbert stratum of $H_{N,p}$ with respect to (F,r) . Recall that it is an open problem to characterize the admissible Hilbert functions, we only have characterized the asymptotically behavior of Hilbert functions, i.e. Hilbert polynomials Proposition [3.1.](#page-11-1)

Very few properties of Hilbert functions are known, see for instance [\[12\]](#page-31-29). If p is a rigid polynomial we know that there exists a unique admissible function F associated to p , in this case we have $(\mathbf{H}_{N,F,r})_{red} = (\mathbf{H}_{N,F,r+1})_{red}$, for all $r = 1, ..., e_0$.

We say that a subset D of $H_{N,p}$ is a cylinder if $D = \pi_n^{-1}(D_n)$ where $D_n \subset \pi_n(H_{N,p})$ is a constructible set of Ξ_n for some $n \in \mathbb{N}$. We denote by $n(D)$ the least integer n verifying such a condition. Notice that $D_n = \pi_n(D)$ for $n \geq n(D)$.

In the next result we will prove for $H_{N,p}$ some results of [\[3\]](#page-30-7), Proposition 6.5, 6.6, and [\[6\]](#page-30-5), Lemma 2.4, proved for the jet schemes.

Proposition 3.15. (1) *The collection* $\mathcal{C}_{N,p}$ *of cylinders of* $\mathbf{H}_{N,p}$ *is a Boolean algebra of sets.* (2) *Given a cylinder D and a family of cylinders* $\{D_i\}_{i\in\mathbb{N}}$ *of* $\mathbf{H}_{\text{N},p}$ *such that* $D=\cup_{i\in\mathbb{N}}D_i$ there *exist a finite set of indexes* $K \subset \mathbb{N}$ *such that* $D = \bigcup_{i \in K} D_i$.

Proof. (1) Since $\pi_n(\mathbf{H}_{N,p}) = C_n(N,p)$ are constructible sets it is easy to prove (1), Section [3.9.](#page-18-0) (2) Let us consider the cylindrical sets $Z_n = D \setminus (D_1 \cup \cdots \cup D_n)$, $n \geq 0$. Since $Z_1 \supset Z_2 \supset \cdots$ we have that the claim is equivalent to $Z_{i_0} = \emptyset$ for some index i_0 . We can assume that there exists an increasing set of integers $\{n_i\}_{i\geq 1}$, $n_i \geq n(Z_i)$, such that $\pi_{n_i}(Z_i) = T_{n_i}$, with T_{n_i} a constructible set of Ξ_{n_i} , and $T_{n_{i+1}} \subset a_{n_{i+1},n_i}^{-1}(T_{n_i}), i \geq 1$. Since $\bigcap_{i \geq 1} Z_i = \emptyset$ from [\[21\]](#page-31-25), Proposition 8.3.3, we have that $Z_{i_0} = \emptyset$ for some index i_0 , and then we get (2).

We denote by $K_0(\text{Sch})$ the Grothendieck ring of Sch. Let $\mathbb{L} = [\mathbf{k}]$ be the coset of k in $K_0(\text{Sch})$, we set $\mathcal{M} = K_0(\text{Sch})[\mathbb{L}^{-1}]$. Let $\mathcal{F} = \{F^n \mathcal{M}\}_{n \in \mathbb{Z}}$ be Kontsevich's filtration of \mathcal{M} : $FⁿM$ is the sub-group of M generated by the elements of the form $[V]$ L⁻ⁱ for $i-dim(V) \geq n$. We denote by $\widehat{\mathcal{M}}$ the completion of $\mathcal M$ with respect the filtration $\mathcal F$.

Let C be a cylinder of $\mathbf{H}_{N,p}$, we say that C is c-stable at level $n \geq n(C)$ if

$$
[\pi_{n+1}(C)] = [\pi_n(C)] \mathbb{L}^c \in K_0(\text{Sch}),
$$

C is c-stable if there exists an integer $n_0 \ge n(C)$ such that C is c-stable at level n for all $n \ge n_0$. We denote by $sn(C)$ the least integer n_0 verifying such a condition.

A cylinder C is called c-trivial if there exist an integer $n_0 \geq n(C)$ such that for all $n \geq n_0$ the morphism $a_{n+1} : \pi_{n+1}(C) \longrightarrow \pi_n(C)$ is a piecewise trivial fibration with fiber $F \cong \mathbf{k}^c$. We denote by $tn(C)$ the least integer n_0 verifying such a condition. Notice that c-trivial implies c-stable.

Proposition 3.16. *Let* D *be a c-trivial cylinder of* $\mathbf{H}_{N,p}$ *and let* C *be a cylinder. Then* $D \cap C$ *is* c*-trivial and*

 $\mu_p(C,D) := [\pi_n(C) \cap \pi_n(D)] \mathbb{L}^{-c(n+1)} \in \mathcal{M}$

does not depend on n, provided $n \ge tn(D), n(C)$ *.*

Proof. From the definition of c-trivial cylinder we get that

$$
\left[\pi_{n+1}(C)\cap\pi_{n+1}(D)\right]\mathbb{L}^{-c(n+2)} = \left[\pi_n(C)\cap\pi_n(D)\right]\mathbb{L}^{c}\mathbb{L}^{-c(n+2)} = \left[\pi_n(C)\cap\pi_n(D)\right]\mathbb{L}^{-c(n+1)}.
$$

Hence the class $[\pi_n(C) \cap \pi_n(D)] \mathbb{L}^{-c(n+1)}$ is independent of n, provided $n \ge tn(D), n(C)$. \Box

Let us consider the cylinder $H_{N,p}{}^{ci} = \pi_n^{-1}(\Sigma_{ci}^n), n \ge \delta(N, e_0)$, Proposition [3.7](#page-15-1) (3). By the last proposition we may define on $\mathcal{C}_{N,p}$ a finitely additive measure valued in M

$$
\mu_p: \mathcal{C}_{N,p} \longrightarrow \mathcal{M}
$$

$$
C \longrightarrow \mu_p(C, \mathbf{H}_{N,p}{}^{ci}) = [\pi_n(C) \cap \Sigma_{ci}^n] \mathbb{L}^{-(n+1)(N-1)e_0}
$$

for a big enough n .

Proposition 3.17. *There exists a finitely additive* $\widehat{\mathcal{M}}$ -valued measure defined by

$$
\mu_p: C_{N,p} \longrightarrow \widehat{\mathcal{M}}
$$

$$
C \longrightarrow \lim_{i \to \infty} \mu_p(C, \mathbf{H}_{N,p}^{c_i})
$$

Proof. Let C_1, \ldots, C_r be a disjoint family of cylinders, then we have to prove

$$
\mu_p(C_1 \cup \cdots \cup C_r) = \sum_{i=1}^r \mu_p(C_i).
$$

Notice that we only need to prove the equality for $r = 2$, this case follows from the group structure of $K_0(\mathbf{Sch})$.

In the next proposition we prove some auxiliary results that we will use later on. Since $\widehat{\mathcal{M}}$ is complete we may consider the norm $\|\cdot\| : \widehat{\mathcal{M}} \longrightarrow \mathbb{R}^+$, with $\|a\| = 2^{-n}$ where n is the order of a with respect the filtration $\mathcal F$ of $\mathcal M$.

Proposition 3.18. (1) *If* D_1 , D_2 *are cylinders of* $\mathbf{H}_{N,p}$ *such that* $D_1 \subset D_2$ *then*

$$
\|\mu_p(D_1)\| \le \|\mu_p(D_2)\|.
$$

 (2) *If* D_1, \ldots, D_r *are cylinders of* H *then*

$$
\|\mu_p(D_1 \cup \cdots \cup D_r)\| \leq Max\{\|\mu_p(D_i)\|, i = 1, \ldots, r\}.
$$

Proof. (1) We may assume that $D_i = \pi_n^{-1}(T_i)$, $i = 1, 2$, where $T_1 \subset T_2 \subset \pi_n(\mathbf{H}_{N,p})$ are constructible sets, $n \gg 0$. If we set $r_i(n) = c(n+1) - dim(T_i \cap \pi_n(\mathbf{H}_{N,p}))$, $i = 1, 2$, then it is easy to prove $r_1(n) \le r_2(n)$ for all $n \gg 0$. Hence we deduce

$$
\|\mu_p(D_1)\| = \lim_n 2^{-r_1(n)} \le \lim_n 2^{-r_2(n)} = \|\mu_p(D_2)\|.
$$

(2) By induction on r it is enough to prove the result for $r = 2$. Let $d_i = dim(T_i \cap \pi_n(\mathbf{H}_{N,p}))$, $i = 1, 2$, then we have

$$
c(n+1) - dim((T_1 \cup T_2) \cap \pi_n(\mathbf{H}_{N,p})) \ge c(n+1) - Max\{d_1, d_2\}.
$$

From this it is easy to get the claim.

A subset C of $H_{N,p}$ is measurable with respect μ_p if and only if for every real number $\epsilon \in \mathbb{R}^+$ there exists a sequence of cylindrical sets $\{C_i\}_{i\geq 0}$ such that $C\vee C_0\subset \bigcup_{i\geq 1}C_i$ and $\|\mu_p(C_i)\|\leq \epsilon$ for all $i \geq 1$, where $C \vee C_0$ stands for the disjoint union $C \vee C_0 = C \cup C_0 \setminus C \cap C_0$. We say that C is strongly measurable if $C_0 \subset C$. See [\[3\]](#page-30-7), [\[8\]](#page-30-8) Appendix A.

Proposition 3.19. (1) *Any cylindrical set is strongly measurable. The collection of measurable set form a finite algebra of sets* C ∗ N,p*.*

(2) If C is a measurable set of $\mathbf{H}_{N,p}$ then $\mu_p(C) := \lim_{\epsilon \to 0} \mu_p(C_i)$ exists in $\widehat{\mathcal{M}}$ and is indepen*dent of the choice of the sequence* ${C_i}_{i>0}$ *. Then there exists a finite measure*

$$
\begin{array}{cccc}\n\mu_p : & \mathcal{C}_{N,p}^* & \longrightarrow & \widehat{\mathcal{M}} \\
C & \mapsto & \mu_p(C)\n\end{array}
$$

$$
\sqcup
$$

(3) Let ${C_i}_{i>0}$ be a sequence of measurable sets.

 (3.1) *If* $\lim_{i\to\infty}$ $\|\mu_p(C_i)\|=0$ *then* $\cup_{i\geq 0}C_i$ *is measurable.*

(3.2) If the sequence of measurable sets are mutually disjoint and $C = \bigcup_{i \geq 0} C_i$ is measurable then $\sum_{i\geq 0} \mu_p(C_i)$ converges in $\widehat{\mathcal{M}}$ to $\mu_p(C)$.

Proof. The proof of (1) and (3) are standard. (2) Let ϵ , ϵ' be positive real numbers, and let $\{C_i\}_{i\geq 0}$, $\{C'_i\}_{i\geq 0}$ sequences of cylindrical sets of $\mathbf{H}_{N,p}$ verifying the conditions of measurable set. Then we have $C_0 \vee C'_0 \subset \bigcup_{i \geq 1} C_i \cup \bigcup_{i \geq 1} C'_i$, from Proposition [3.15](#page-21-0) (1) there exist integers r, r' such that $C_0 \vee C'_0 \subset \bigcup_{i=1}^r C_i \cup \bigcup_{i=1}^{r^-} C'_i$. From this and Proposition [3.18](#page-22-0) (2) we get $\|\mu_p(C_0 \vee C'_0)\| \leq Max\{\epsilon, \epsilon'\}.$ Notice that $\mu_p(C_0) - \mu_p(C'_0) = \mu_p(C_0 \setminus C'_0)$; Proposition [3.18](#page-22-0) (1) and the last inequality yield $\|\mu_p(C_0) - \mu_p(C'_0)\| \leq Max\{\epsilon, \epsilon'\}.$ From this it is easy to get the claim. \Box

Let C be a measurable set of $H_{N,p}$ and $f: C \longrightarrow \mathbb{Z} \cup \{\infty\}$, we say that f is exponentially integrable if the fibers of f are measurable and the motivic integral

$$
\int_C \mathbb{L}^{-f} d\mu_p := \sum_{s \ge 0} \mu_p(C \cap f^{-1}(s)) \mathbb{L}^{-s}
$$

converges in $\widehat{\mathcal{M}}$.

Given a singularity $X \subset (\mathbf{k}^N, 0)$ of arbitrary dimension, let us consider the function

$$
\gamma_X: (\mathbf{H}_{N,p})_{rat} \longrightarrow \mathbb{N} \cup \{\infty\}
$$

$$
C \longrightarrow (C \cdot X)
$$

where $(C \cdot X)$ stands for the "false" intersection multiplicity: $(C \cdot X) = dim_k(R/I(C) + I(X)).$

Proposition 3.20. *Let* $X \subset (\mathbf{k}^N, 0)$ *be a singularity of algebraic variety.* (*i*) *For all* $s \in \mathbb{N}$ *the set* $\gamma_X^{-1}(s)$ *is a cylinder,* (ii) γ_X *is exponentially integrable.*

Proof. (i) Notice that if $(C \cdot X) = s$ then $M^s \subset I(C) + I(X)$. From this fact it is easy to see that $\gamma_X^{-1}(s)$ is a cylindrical subset of $\mathbf{H}_{N,p}$. (ii) We can apply Proposition [3.19,](#page-22-1) (3.2), in order to prove that γ_X is exponentially integrable.

Let $X \subset (\mathbf{k}^N, 0)$ be a singularity of arbitrary dimension. The motivic volume of X with respect to p is the integral

$$
vol_p(X) = \int_{\mathbf{H}_{N,p}} \mathbb{L}^{-\gamma_X} d\mu_p = \sum_{s \ge 0} \mu_p(\gamma_X^{-1}(s)) \mathbb{L}^{-s}
$$

Given an integer e_0 we denote by $\mathcal{H}(e_0)$ the finite set of admissible Hilbert polynomials, see Proposition [3.1.](#page-11-1)

Theorem 3.21 (definition of motivic volume)**.** *Let* X *be a singularity, then the series*

$$
\sum_{e_0 \ge 1} \Bigl(\sum_{p \in \mathcal{H}(e_0)} vol_p(X)\Bigr) \mathbb{L}^{-e_0}
$$

converges in $\widehat{\mathcal{M}}$ *to the motivic volume vol*(*X*) *of X.*

Proof. Let us consider the motivic volume of X with respect p

$$
vol_p(X) = \sum_{s \ge 0} \mu_p(\gamma^{-1}(s)) \mathbb{L}^{-s}
$$

= $\sum_{s \ge 0} [\pi_n(\gamma^{-1}(s)) \cap \sum_{ci}^n] \mathbb{L}^{-((n+1)(N-1)e_0+s)}$

with $n \geq s, \delta(N, e_0)$. From Proposition [3.7](#page-15-1) we get that

$$
[\pi_n(\gamma^{-1}(s)) \cap \Sigma_{ci}^n] \mathbb{L}^{-((n+1)(N-1)e_0+s)} \in F^l \mathcal{M}
$$

for a non-negative integer l. From this we get

$$
\left(\sum_{p \in \mathcal{H}(e_0))} vol_p(X)\right) \mathbb{L}^{-e_0} \in F^{e_0} \mathcal{M}
$$

and we are done.

Let P be a property defined in the set of curve singularities with Hilbert polynomial p . Let $c(\mathcal{P})$ be the set of rational points of $H_{N,p}$ corresponding to curve singularities verifying the property P. We say that P is finitely determined if there exists an integer $n_0 = n_0(\mathcal{P})$, that we may assume $n_0 \ge \delta(N, e_0) + 1$, Proposition [3.7,](#page-15-1) such that for all curve singularities C^1 , C^2 with Hilbert polynomial p and $C_n^1 = C_n^2$, $n \ge n_0$, then C^1 verifies P if and only if C^2 verifies P . Notice that any P analytically invariant property defined in the set of reduced curve singularities is finitely determined, [\[10\]](#page-31-9).

We say that a finitely determined property P is constructible, cfd-property for short, if the set of truncations $[C_n] \in \Xi_n$, $n \geq n_0(\mathcal{P})$, such that C verifies $\mathcal P$ is a constructible set $\mathbf{c}_n(\mathcal{P})$ of Ξ_n . Trivially a cfd-property P is determined by the cylindrical set $c(P) = \pi_n^{-1} \mathbf{c}_n(P)$. On the other hand every cylindrical set defines a cfd-property.

Given a property P of curve singularities with Hilbert polynomial p we define its motivic Poincare series by, $n_0 = n_0(\mathcal{P})$,

$$
MPS_{\mathcal{P}} = \sum_{n \ge n_0} [\pi_n(c(\mathcal{P}))]T^n \in \mathcal{M}[[T]].
$$

We denote by $\mathcal{M}[T]_{loc}$ the ring of rational power series, i.e. the sub-ring of $\mathcal{M}[[T]]$ generated by $\mathcal{M}[T]$ and $(1 - \mathbb{L}^a T^b)^{-1}$, $a \in \mathbb{N}$, $b \in \mathbb{N} \setminus \{0\}$.

Proposition 3.22. *Let* P *be a cfd-property then it holds*

$$
MPS_{\mathcal{P}} \in \mathcal{M}[T]_{loc}.
$$

Proof. If we set $n_0 = n_0(\mathcal{P})$ then we have

$$
MPS_{\mathcal{P}} = \sum_{n \ge n_0} [\pi_n(c(\mathcal{P})) \cap \Sigma_{ci}^n] T^n
$$

= $\sum_{n \ge n_0} [\pi_{n_0}(c(\mathcal{P})) \cap \Sigma_{ci}^{n_0}] \mathbb{L}^{(N-1)e_0 n} T^n$
= $[\pi_{n_0}(c(\mathcal{P})) \cap \Sigma_{ci}^{n_0}] \frac{\mathbb{L}^{(N-1)e_0 n_0} T^{n_0}}{1 - \mathbb{L}^{(N-1)e_0} T}$

and we get the claim. \Box

Notice that the condition "*belongs to* $H_{N,p}$ " is a cfd-property that we will denote by $H_{N,p}$. A simple computation shows:

$$
MPS_{\mathbf{H}_{\mathrm{N},p}} = [\Sigma_{ci}^{n_0}] \frac{\mathbb{L}^{(N-1)e_0 n_0} T^{n_0}}{1 - \mathbb{L}^{(N-1)e_0} T}
$$

where $n_0 = \delta(N, e_0) + 1$.

4. LOCAL PROPERTIES OF THE MODULI SPACE.

The purpose of this section is to study the local ring $\mathcal{O}_{\mathbf{H}_{N,p},x}$ where x is a closed point of $\mathbf{H}_{N,p}$. In particular we will compute the tangent space $T_x = Hom_k(\mathbf{n}_x/\mathbf{n}_x^2, \mathbf{k})$ of $\mathbf{H}_{N,p}$ at x, where n_x is the maximal ideal of $\mathcal{O}_{\mathbf{H}_{N,p},x}$.

We denote by Aff' the subcategory of Aff of the k–schemes $Spec(A)$ where A is an Artinian local k−algebra. Let H_N^x $X_{N,p(T)}^x$ be the contravariant functor between Aff' and Set, such that for any object S of \mathbf{Aff}' we have

$$
\underline{H}_{N,p(T)}^x(S) = \begin{cases} Z \in \underline{\mathbf{H}}_{N,p(T)}(S) \text{ such that} \\ Z_s = C_x \\ \text{for the closed point } s \text{ of } S. \end{cases}
$$

We will denote by D the k–scheme $Spec(k[\varepsilon])$, let p_D be the closed point of D. Notice that the elements of $\underline{H}^x_{N, p(T)}(D)$ are first embedded deformations of C_x , but not all, see Example [4.4.](#page-27-0) It is well known that there exists a bijection between T_x and the set of morphism $f: D \to \mathbf{H}_{N,p}$ such that $f(p_D) = x$. By Theorem [3.8](#page-17-0) we obtain that there exist a bijection between T_x and H_N^x $\mathcal{X}_{N,p(T)}(D).$ From Theorem [3.8](#page-17-0) it is easy to prove

Proposition 4.1. *The functor* H_N^x $_{N,p(T)}^{x}$ is pro-represented by $Spec(\mathcal{O}_{\mathbf{H}_{\mathrm{N},p},x}).$

In order to compute T_x we need to characterize the set $\underline{H}_{N,p(T)}^x(D)$. Let I be an ideal of R, we consider Hironaka's invariant $v^*(I)$ associated to *I*, see [\[24\]](#page-31-20) Chapter III, definition 1. Let $f_1, ..., f_s$ be a standard basis of I such that $order(f_i) = v^i(I)$. Recall that from [\[10\]](#page-31-9), Proposition 2, we have that $v^{i}(I(C)) \leq e_0$, $i = 1, ..., s$, for all curve singularity C of multiplicity e_0 .

Proposition 4.2. Let $J = (f_1 + \varepsilon g_1, ..., f_s + \varepsilon g_s)$ be an ideal of $\mathbf{k}[\varepsilon][X]$ defining a first *order deformation* φ : $Z \to D$ *of a curve singularity C of multiplicity* e_0 *defined by the ideal* $I = (f_1, \ldots, f_s)$. Then the following conditions are equivalent:

- (1) Z is a family of curve singularities with Hilbert polynomial $p(T)$,
- (2) $\varphi: Z_{e+1} \to D$ *is flat,*
- (3) *for all* $i = 1, ..., s$ *it holds that* $g_i \in (I + M^{e_0+1} : I + M^{e_0+1-v^i(I)})$,

Proof. We put $v^i = v^i(I)$. We will use the syzygy flatness criterion, see for instance [\[2\]](#page-30-3), Corollary page 11. From this result, we deduce that $E_n = \mathbf{k}[\varepsilon][[\underline{X}]]/(J+M^n)$ is a flat $\mathbf{k}[\varepsilon]$ -module if and only if for all $a_1, ..., a_s \in R$ such that $\sum_{i=1}^s a_i f_i \in M^n$ there exist $A_1, ..., A_s \in R$ such that

$$
\sum_{i=1}^s (a_i + \varepsilon A_i)(f_i + \varepsilon g_i) \in M^n \mathbf{k}[\varepsilon][\underline{X}]].
$$

By definition of family of curve singularities we get that (1) implies (2).

(2) implies (3). Let us assume that $\varphi : Z_{e_0+1} \to D$ is flat. Let a be an element of $M^{e_0+1-v^i}$, we need to show that for all $i = 1, ..., s$ we have $g_i a \in I + M^{e_0+1}$. From the flatness of E_{e_0+1} and $f_i a \in M^{e_0+1}$, we deduce that there exists $A_1, ..., A_s \in R$ such that $g_i a + \sum_{i=1}^s A_i f_i \in M^{e_0+1}$, so $g_i a \in M^{e_0+1} + I$.

(3) implies (1). Suppose that for all $i = 1, ..., s$ it holds

$$
g_i \in (I + M^{e_0+1} : I + M^{e_0+1-v^i}),
$$

so for all $n \ge e_0 + 1$ we get $g_i \in (I + M^n : I + M^{n-v^i})$. Let $a_1, ..., a_s \in R$ be elements with $\sum_{i=1}^{s} a_i f_i \in M^n$. Since $f_1, ..., f_s$ is an standard basis of *I*, by [\[37\]](#page-31-16), Corollary 1.8, there exists $C_i \in M^{n-v^i}$, $i = 1, ..., s$, such that $\sum_{i=1}^s a_i f_i = \sum_{i=1}^s C_i f_i$, so $a_1 - C_1, ..., a_s - C_s$ is a syzygy of $f_1, ..., f_s$. From the flatness of Z over D, we deduce that there exist $A_1, ..., A_s$ such that $\sum_{i=1}^{s} (a_i - C_i + \varepsilon A_i)(f_i + \varepsilon g_i) = 0$. From the assumption (2) there exist $B_1, ..., B_s \in R$ such that

$$
\sum_{i=1}^{s} C_i g_i - \sum_{i=1}^{s} B_i f_i \in M^n.
$$

Since $\sum_{i=1}^{s} a_i f_i \in M^n$, from the two last equalities it is easy to see that

$$
\sum_{i=1}^{s} (a_i + \varepsilon (A_i - B_i))(f_i + \varepsilon g_i) \in M^n.
$$

We have proved that E_n is a D−module flat for all $n \ge e_0 + 1$, so Z is a family. \Box

Corollary 4.3. *For all closed point* x of $\mathbf{H}_{N,p}$ *, the morphism*

$$
d(\pi_n): T_{\mathbf{H}_{N,p},x} \longrightarrow T_{\Xi_n,\pi_n(x)}
$$

is surjective, $n > e_0 + 1$.

Proposition [4.2](#page-26-0) enable us to give an example of a first order deformation that is not a family.

Example 4.4. Let us consider the plane curve singularity defined by the equation $X_1^3 = 0$. In this case we have $v_1 = 3$ and $e_0 = 3$. Let us consider the first order deformation Z defined by $X_1^3 + \varepsilon X_1$, i.e. $g_1 = X_1$. From the last result we get that $Z_4 \to D$ is not flat.

It is well known that the first order embedded deformations of C_x are classified by the normal module

$$
N_x = Hom_{R/I_x}(I_x/I_x^2, R/I_x).
$$

If we denote by embdef(C_x) the first order embedded deformations of C_x , we will define a bijective map $\tau : N_x \longrightarrow \text{embedf}(C_x)$. Let $g : I_x/I_x^2 \longrightarrow R/I_x$ be a morphism of R/I_x -modules. Then a lifting of g is a R/I_x -module morphism $(\overline{g}) = (\overline{g}_1, ..., \overline{g}_s)$: $(R/I_x)^s \to R/I_x$ such that the following diagram is commutative

 $\tau(g)$ is the first order deformation defined by the ideal $J = (f_1 + \varepsilon g_1, ..., f_s + \varepsilon g_s)$ with $(g_1, ..., g_s)$ a lifting of q .

We denote by N'_x the set of $g \in N_x$ for which there exist a lifting $(g_1, ..., g_s)$ such that for all $i=1,...,s$ it holds that $\overline{g}_i \in (\mathbf{m}_x^{e_0+1} : \mathbf{m}_x^{e_0+1-v^i})$ $\binom{e_0+1-v^i}{x}$. From last Proposition we deduce

Proposition 4.5. τ *defines a bijection between* T_x *and* N'_x .

In a very few cases we can compute $v^*(I(C))$; for example if C is a curve singularity with maximal Hilbert function then $v^*(I(C)) = \{e_0, \ldots, e_0 + 1\}$, see [\[36\]](#page-31-30). Notice in this case the ring $Gr_m(\mathcal{O}_C)$ is Cohen-Macaulay, m is the maximal ideal of \mathcal{O}_C . We can prove a more general result without any restriction on v^* .

Proposition 4.6. Let C be a curve singularity of $(k^N, 0)$ of multiplicity e_0 and embedding *dimension* b*. It holds:*

(1) If the associated graded ring $Gr_{m}(\mathcal{O}_{C})$ is Cohen-Macaulay then $(\mathbf{m}^{e_0+1} : \mathbf{m}^{e_0+1-v^i}) = \mathbf{m}^{v^i}$ *for* $i = 1, ..., s$.

(2) If C has a maximal Hilbert function and $e_0 = {b-1+r \choose r}$, for some integer r, then $I(C)$ has an r *standard basis of* $s = \binom{b-1+r}{r+1}$ \int_{r+1}^{-1+r} *forms of degree* $r+1$ *and the ring* $Gr_{\textbf{m}}(\mathcal{O}_C)$ *is Cohen-Macaulay. In particular* $(m^{e_0+1} : m^{e_0-r}) = m^{r+1}$ *.*

Proof. (1) We put $v^i = v^i(I)$. Notice that we always have $m^{v^i} \subset (m^{e_0+1} : m^{e_0+1-v^i})$. Let h be an element of \mathcal{O}_C such that $h \mathbf{m}^{e_0+1-v^i} \subset \mathbf{m}^{e_0+1}, i=1, ..., s.$

Suppose that $h \notin \mathbf{m}^{v^i}$; let t be the least integer such that $h \in \mathbf{m}^t \setminus \mathbf{m}^{t+1}$. Let x be a degree one superficial element of \mathcal{O}_C . Then x defines a non-zero divisor of $gr_m(\mathcal{O}_C)$. This implies that $\mathbf{m}^{t}/\mathbf{m}^{t+1} \stackrel{x^{e_0-t}}{\longrightarrow} \mathbf{m}^{e_0}/\mathbf{m}^{e_0+1}$ is a monomorphism. Notice that $e_0 - t \ge e_0 + 1 - v^i$, so

 $h\overline{x}^{e_0-t} \in \mathbf{m}^{e_0+1}$. Since the coset of h in $\mathbf{m}^t/\mathbf{m}^{t+1}$ is non-zero we get a contradiction. Hence $h \in \mathbf{m}^{v^i}$, and we get the result.

(2) We only need to prove that $s = \binom{b-1+r}{r+1}$ and $v^i(I(C)) = r+1$ for $i = 1, ..., s$. This follows from [\[36\]](#page-31-30). \square

Notice that very often if p is a rigid Hilbert polynomial then the associated graded ring is Cohen-Macaulay, see for instance [\[15\]](#page-31-21), [\[13\]](#page-31-31).

Corollary 4.7. Let x be a closed point of $\mathbf{H}_{2,p(T)}$. Then there exists a natural bijection between T_x and $\mathbf{m}_x^{e_0}$.

We will end this paper studying the local structure of the closed points of $\mathbf{H}_{N,p}$.

Definition 4.8. *Let* C *be a curve singularity with Hilbert polynomial* p(T)*. We say that* C*, or the corresponding closed point* x *of* $\mathbf{H}_{\text{N},p}$ *, is non-obstructed if the functor* $\underline{H}_{\text{N}}^{x}$ $_{N,p(T)}^{x}$ is smooth, *i.e. for all epimorphism of local finitely generated Artinian* **k**-algebras $h : A \longrightarrow A'$ the set *map*

$$
\underline{H}_{N,p(T)}^x(Spec(A)) \xrightarrow{\underline{H}_{N,p(T)}^x(h)} \underline{H}_{N,p(T)}^x(Spec(A'))
$$

is surjective.

Let k – mod be the category of k-modules. Given a k-module W we will denote by $W^* =$ $Hom_{\mathbf{k}}(W, \mathbf{k})$ its dual space. It is well known that there exists a natural monomorphism $W \longrightarrow$ W^* , that it is isomorphism if and only if W is a finite dimensional k-module.

Following Laudal, [\[28\]](#page-31-3), pag. 102, we will consider each object of $k - mod$ endowed with a topology that will induce its reflexivity. Let W be an object of $k - mod$, pick a k-base $V = \{v_i\}_{i \in I}$. We put on W the topology for which a basis of neighborhoods of the neutral elements consists of the subspaces of W containing all but a finite number of the elements of V . We will denote by $k - top$ mod the corresponding category of topological k-modules. Given an object of $k - top$ mod. We will denote by W° the topological dual of W. It is easy to see that the dual basis of V defines a topology on W° such that $W \cong W^{\circ\circ}$.

We know that for all closed point x of $\mathbf{H}_{N,p}$ it holds

$$
\mathcal{O}_{\mathbf{H}_{N,p},x}\cong \lim_{\substack{\longrightarrow \\ n}}\; \pi_n^*(\mathcal{O}_{\Xi_n,x_n}),
$$

where $x_n = \pi_n(x)$, [\[21\]](#page-31-25), 8.2.12.1. In particular we have $\mathbf{n}_x \cong \lim_{n \to \infty} \pi_n^*(\mathbf{n}_{x_n})$, where \mathbf{m}_{x_n} is the maximal ideal of \mathcal{O}_{Ξ_n,x_n} . For all $n \ge e_0 + 1$ we pick a k-basis $\mathcal{V}_n = \{e_j\}_{j \in \mathcal{J}_n}$ of m_{x_n} , such that $\mathcal{J}_n \subset \mathcal{J}_{n+1}$, and $\mathcal{V}_n \subset \mathcal{V}_{n+1}$. Notice that $\mathcal{V} = \lim_{n \to \infty} \mathcal{V}_n$, is a k-basis of n_x , and $V \cup \{1\}$ is a k-basis of $\mathcal{O}_{\mathbf{H}_{N,p},x}$. We write $\mathcal{J} = \lim_{n \to \infty} \mathcal{J}_n$. From now on we will consider $\mathcal{O}_{\mathbf{H}_{N,p},x}$ endowed with the topology for which a basis of neighborhoods of the neutral element are the ideals containing all but a finite number of elements of V . This topology will permit us to characterize the non-obstructiveness of the closed points of $H_{N,p}$, see Theorem [4.9.](#page-29-0) We will denote by $\mathcal{O}_{\mathbf{H}_{N,p},x}^{+}$ its completion with respect the topology defined above. This topology

induces a topology on n_x/n_x^2 , making this k-module an object of $k - top$ mod. Moreover, this topology induces also a topology on the tangent space T_x , in which the neighborhoods of the neutral element of T_x are the linear maps $\omega : \mathbf{n}_x/\mathbf{n}_x^2 \longrightarrow \mathbf{k}$ whose kernel contains all but a finite number of elements of V .

Let us consider the k-algebra morphism $\varphi : \mathbf{k}[T_i, i \in \mathcal{V}] \longrightarrow \mathcal{O}_{\mathbf{H}_{N,p},x}$ such that $\varphi(T_i) = e_i$, $i \in \mathcal{J}$. Notice that $\varphi_2 : \mathbf{k}[T_i, i \in \mathcal{V}]/(T_i)^2 \longrightarrow \mathcal{O}_{\mathbf{H}_{N,p},x}/\mathbf{n}_x^2$ is an isomorphism of k-modules. We will consider in $\mathbf{k}[T_i, i \in \mathcal{V}]$ the topology for which a basis of the neutral element are the ideals I contained in (T_i) such that all but a finite number of T_i belongs to I. We will denote by $\mathbf{k}[T_i, i \in \mathcal{V}]^+$ the completion of $\mathbf{k}[T_i, i \in \mathcal{V}]$ with respect this topology. Notice that φ is a continuous map with respect to the topologies defined above.

Theorem 4.9. *A closed point* x of $\mathbf{H}_{N,p}$ *is a non-obstructed point if and only if*

$$
\varphi^+ : \mathbf{k}[T_i; i \in I]^+ \longrightarrow \mathcal{O}_{\mathbf{H}_{N,p},x}^+
$$

is an isomorphism of k*-algebras.*

Proof. We will write $S = \mathbf{k}[T_i, i \in \mathcal{V}]$, and $\mathcal{O}_x = \mathcal{O}_{\mathbf{H}_{N,p},x}$. We will denote by I_n the ideal of S generated for T_i , $i \in \mathcal{J} \setminus \mathcal{J}_n$; and we will denote by W_n the corresponding ideal of \mathcal{O}_x . Hence φ induces an epimorphism of k-vector spaces $\varphi_n : S/I_n \longrightarrow \mathcal{O}_x/W_n$. Since the set of $\{I_n\}_n$, resp. W_n , are cofinal in the basis of neighborhoods of S, resp. \mathcal{O}_x , we get that φ^+ is an isomorphism of k-algebras if and only if φ_n is an isomorphism for a *n* big enough.

Let us assume that x is non-obstructed and that φ_n is not an isomorphism; let F be a non-zero element of S/I_n belonging to the Kernel of φ_n . Let s be an integer such that the coset of F in $A' = S/I_n + (T_i)^s$ is non-zero. Let h be morphism induced by φ_n

$$
h: A' = S/I_n + (T_i)^s \longrightarrow A = \mathcal{O}_x/W_n + \mathbf{n}_x^s
$$

.

Let us consider the projection $\pi: \mathcal{O}_{\mathbf{H}_{N,p},x} \longrightarrow A$. Since x is a non-obstructed point we get that there exists a morphism $\sigma: \mathcal{O}_{\mathbf{H}_{N,p},x} \longrightarrow A'$ such that the following diagram is commutative

Since φ_2 is an isomorphism we get that the above diagram induces

so h is an isomorphism and we get a contradiction.

If φ^+ is an isomorphism then it is easy to see that x is non-obstructed.

Proposition 4.10. *(i)* Every closed point x of $\mathbf{H}_{2,p(T)}$, with $p(T) = e_0T - e_0(e_0 - 1)/2$, is non-obstructed. In particular $\mathbf{H}_{2,p(T)}$ is reduced.

(ii) Given an integer $a \geq 2$ we put $p_a = \binom{a}{2}$ $\binom{a}{2}T-2\binom{a}{3}$ $\binom{a}{3}$. Then every closed point x of \mathbf{H}_{3,p_a} is *non-obstructed, and* H3,p^a *is reduced.*

Proof. (i) Let $h : A \longrightarrow A'$ be a epimorphism of local finitely generated local k-algebras; we need to prove that H_2^x $L_{2,p(T)}(h)$ is surjective. We write $S = Spec(A)$ and $S' = Spec(A')$.

Given a family $Z^{\prime} \in \underline{H}_2^x$ $\mathcal{L}_{2,p(T)}(S)$ there exists $F \in A'[[X_1, X_2]]$ such that $Z' =$ $Spec(A'[[X_1, X_2]]/(F))$. Let $G \in A[[X_1, X_2]]$ be a power series of order e and such that $h(G) = F$. Then it is easy to prove that the family $Z = Spec(A[[X_x, X_2]]/(G))$ verifies $\overline{H^x_{2,p(T)}}(h)(Z) = Z'.$

(ii) Let $h : A \longrightarrow A'$ be a epimorphism of local finitely generated local k-algebras; we write $S = Spec(A)$ and $S' = Spec(A')$. Let Z' be a family of curve singularities of $(k^3, 0)$ over S' with Hilbert polynomial

$$
p_a(T) = \binom{a}{2}T - 2\binom{a}{3}.
$$

Let x be the closed point of $\mathbf{H}_{3,p_a(T)}$ defined by the closed fiber of Z' . From Corollary 4.5, we get that $v(I_x) = a$ and $I_x \subset R = \mathbf{k}[[X_1, X_2, X_3]]$ admits a minimal free resolution

 $0 \longrightarrow R^{a-1} \stackrel{M}{\longrightarrow} R^a \longrightarrow I_x \longrightarrow 0$

with M a matrix with entries belonging to the maximal ideal of R of order 1. Hence there exists an $a \times (a-1)$ matrix \overline{M} with coefficients in the maximal ideals of $A[[X_1, X_2, X_3]]$, such that its maximal minors generates and ideal, say I' , with $Z' = Spec(A'[[X_1, X_2, X_3]]/I')$ and \overline{M} is mapped to M by the natural morphism of k–algebras $A'[[X_1, X_2, X_3]] \longrightarrow k[[X_1, X_2, X_3]]$. Let N be an $a \times (a-1)$ matrix such that its entries belong to the maximal ideal of A and are mapped to the entries of \overline{M} by h. Let I be the ideal of $A[[X_1, X_2, X_3]]$ generated by the maximal minors of M; we put $Z = Spec(A[[X_1, X_2, X_3]]/I)$. It is easy to see that $Z \in \underline{H}_{3,p_a(T)}^x(S)$, where $S = Spec(A)$, and $Z' = \underline{H}_{3,p_a(T)}^x(h)(Z)$.

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