CONVERGENCE OF THE KÄHLER-RICCI FLOW AND MULTIPLIER IDEAL SHEAVES ON DEL PEZZO SURFACES

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ABSTRACT. On certain del Pezzo surfaces with large automorphism groups, it is shown that the solution to the Kähler-Ricci flow with a certain initial value converges in C^{∞} -norm exponentially fast to a Kähler-Einstein metric. The proof is based on the method of multiplier ideal sheaves.

1. INTRODUCTION

Let X be an n-dimensional compact complex manifold with positive first Chern class $c_1(X)$. Such manifolds are called *Fano* manifolds. The Kähler-Ricci flow on X is defined by the equation

(1)
$$\frac{\partial}{\partial t}g_{i\bar{j}} = -R_{i\bar{j}} + g_{i\bar{j}}$$

where $R_{i\bar{j}} = -\partial_i \partial_{\bar{j}} \log \det g_{\alpha\bar{\beta}}$ is the Ricci curvature tensor of the hermitian metric $\sum_{i,j} g_{i\bar{j}} dz_i \otimes d\bar{z}_j$. If the class of the Kähler form $\hat{\omega} = \frac{i}{2\pi} \sum_{i,j} \hat{g}_{i\bar{j}} dz_i \wedge d\bar{z}_j$ is $c_1(X)$, then the Kähler-Ricci flow preserves the class of $i \sum_{i,j} \hat{g}_{i\bar{j}} dz_i \wedge d\bar{z}_j$, so we can write

$$g_{i\bar{j}} = \hat{g}_{i\bar{j}} + \partial_i \partial_{\bar{j}} \phi,$$

for the solution to the Kähler-Ricci flow with initial condition

$$g_{i\bar{j}}(0) = \hat{g}_{i\bar{j}}$$

Equation (1) can be reformulated to

(2)
$$\frac{\partial}{\partial t}\phi = \log\frac{\det g_{\alpha\bar{\beta}}}{\det \hat{g}_{\alpha\bar{\beta}}} + \phi - \hat{f}, \quad \phi(0) = c_0 \in \mathbb{C},$$

where \hat{f} is the Ricci potential, ie for $\hat{R}_{i\bar{j}} = -\partial_i\partial_{\bar{j}}\log \det \hat{g}_{\alpha\bar{\beta}}$, we have $\hat{R}_{i\bar{j}} - \hat{g}_{i\bar{j}} = \partial_i\partial_{\bar{j}}\hat{f}$. It was proven in [Cao85] that the solution to (1) exists for all t > 0. The present paper investigates the issue of convergence, based on the following Theorem which first appeared [PSS06]. The version given below, which is stronger than the one in [PSS06], is based on [PS07].

Theorem 1.1 ([PSS06, PS07]). Let X be a Fano manifold. Consider the Ricci flow in the form of (2) with the initial value c_0 specified by [PSS06, (2.10)]. The following two statements are equivalent.

(i) There exists p > 1 such that

$$\sup_{t\geq 0}\int_X e^{-p\phi}\hat{\omega}^n < \infty.$$

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 (ii) The family of metrics g_{ij}(t) converges in C[∞]-norm exponentially fast to a Kähler-Einstein metric.

The preceding theorem will allow us to formulate the sufficient Criterion 1.6 for the above statement (ii) to hold, in analogy to Nadel's criterion for the existence of Kähler-Einstein metrics (see [Nad90, DK01, Hei07]). It is well-known that some Fano manifolds do not possess a Kähler-Einstein metric (eg \mathbb{P}^2 blown up in one or two points, see Section 5), so we cannot expect (ii) to hold in general on a Fano manifold. In this paper, we mention no necessary condition for (ii) other than the existence of a Kähler-Einstein metric.

First, we quickly recall the basics of multiplier ideal sheaves. The following is the standard definition of the multiplier ideal sheaf pertaining to a plurisubharmonic function on a complex manifold.

Definition-Theorem 1.2 ([Nad90]). Let φ be a plurisubharmonic function on the complex manifold X. Then the multiplier ideal sheaf $\mathcal{I}(\varphi)$ is the subsheaf of \mathcal{O}_X defined by

$$\mathcal{I}(\varphi)(U) = \{ f \in \mathcal{O}_X(U) : |f|^2 e^{-\varphi} \in L^1_{loc}(U) \}$$

for every open set $U \subseteq X$. It is a coherent subsheaf.

Multiplier ideal sheaves have turned out to be very useful in algebraic geometry, mainly because of the following vanishing theorem. They are usually defined using the notion of a singular hermitian metric on a line bundle, which in general is a metric h that is given on a small open set U by $h = e^{-\varphi}$, where φ is $L^1(U)$. If φ is plurisubharmonic for every U, the multiplier ideal sheaf $\mathcal{I}(h)$ attached to h is defined by $\mathcal{I}(h)(U) = \mathcal{I}(\varphi)(U)$ if $h = e^{-\varphi}$ on U.

Theorem 1.3 (Nadel's vanishing theorem). Let X be a compact complex Kähler manifold. Let L be a line bundle on X equipped with a singular hermitian metric such that the curvature current $-\frac{i}{2\pi}\partial\bar{\partial}\log h$ is positive definite in the sense of currents, ie there is a smooth positive definite (1,1)-form ω and $\varepsilon > 0$ such that $-\frac{i}{2\pi}\partial\bar{\partial}\log h \ge \varepsilon\omega$. Then

$$H^q(X, (K_X + L) \otimes \mathcal{I}(h)) = 0 \text{ for all } q \ge 1.$$

We now develop a Nadel-type criterion for Theorem 1.1 (ii) to hold true. If we assume that Theorem 1.1 (ii) does not hold true, then, according to the theorem, for all p > 1 there exists a sequence of times $t_i \to \infty$ with

$$\lim_{i \to \infty} \int_X e^{-p\phi(t_i)} \hat{\omega}^n = \infty.$$

In fact, also

$$\lim_{t \to \infty} \int_X e^{-p(\phi(t_i) - \frac{1}{V} \int_X \phi(t_i)\hat{\omega}^n)} \hat{\omega}^n = \infty,$$

where $V = \int_X \hat{\omega}^n$. Let ψ be an L^1 limit of the sequence $\phi(t_i) - \frac{1}{V} \int_X \phi(t_i) \hat{\omega}^n$. By semi-continuity, $||e^{-\psi}||_{L^p(X)} = \infty$. If $G \subseteq \operatorname{Aut}(X)$ is a compact subgroup and $\hat{\omega}$ is *G*-invariant, then we can assume ψ and $\mathcal{I}(-p\psi)$ to be *G*-invariant as well.

We have

$$\hat{\omega} + \frac{i}{2\pi} \partial \overline{\partial} \psi \ge 0.$$

Let \hat{h} be a smooth G-invariant hermitian metric for the anticanonical line bundle $-K_X$ with $\frac{1}{2\pi i}\partial\overline{\partial}\log\hat{h} = \hat{\omega} \in c_1(X)$. The singular G-invariant hermitian metric

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 $\hat{h}^{1+\lfloor p \rfloor} \cdot e^{-p\psi}$ is a singular metric for $-(1+\lfloor p \rfloor)K_X$ with positive curvature in the sense of currents:

$$\begin{aligned} -\frac{i}{2\pi}\partial\bar{\partial}\log(\hat{h}^{1+\lfloor p\rfloor}\cdot e^{-p\psi}) &= -\frac{i}{2\pi}\partial\bar{\partial}\log(\hat{h}^{1+\lfloor p\rfloor-p}\cdot\hat{h}^{p}\cdot e^{-p\psi}) \\ &\geq (1+\lfloor p\rfloor-p)\hat{\omega}. \end{aligned}$$

Note that for all p > 1, we have $1 + \lfloor p \rfloor - p > 0$.

Letting $p = \frac{3}{2}$ (or any other number in the interval]1,2[) yields the following.

Theorem 1.4 (Nadel-type criterion). Let X be a Fano manifold. Assume that statement (ii) of Theorem 1.1 does not hold. Then the G-invariant singular hermitian metric $h = \hat{h}^2 \cdot e^{-\frac{3}{2}\psi}$ on the line bundle $-2K_X$ is such that

- (i) the curvature of h is positive definite in the sense of currents,
- (ii) $0 \neq \mathcal{I}(\frac{3}{2}\psi) \neq \mathcal{O}_X.$

The multiplier ideal sheaf $\mathcal{I}(\frac{3}{2}\psi)$ is also G-invariant. In particular, every element of G maps the zero-set $V(\mathcal{I}(\frac{3}{2}\psi))$ to itself.

Note that we can apply Nadel's vanishing theorem with $\tilde{h} = hh_E = \hat{h}^2 \cdot e^{-\frac{3}{2}\psi}h_E$ and $L = -2K_X + E$, where E is an arbitrary line bundle with semi-positive metric h_E , to obtain

(3) $H^q(X, (K_X + L) \otimes \mathcal{I}(h)) = H^q(X, (-K_X + E) \otimes \mathcal{I}(\frac{3}{2}\psi)) = 0$ for all $q \ge 1$.

Definition 1.5. An ideal subsheaf $\mathcal{I} \subseteq \mathcal{O}_X$ is said to satisfy *Property (Van)* if for every semi-positive line bundle E

$$H^q(X, (-K_X + E) \otimes \mathcal{I}) = 0$$
 for all $q \ge 1$.

The above discussion can be summed up in the following sufficient criterion.

Criterion 1.6. Let X be a Fano manifold. Let G be a compact subgroup of Aut(X). Let there be no nontrivial G-invariant subsheaf $\mathcal{I} \subseteq \mathcal{O}_X$ which satisfies Property (Van). Then statement (ii) of Theorem 1.1 holds true.

A criterion of this kind is the essence of Nadel's technique, which can be applied under similar circumstances based on the continuity method for the Monge-Ampère equation to show the existence of Kähler-Einstein metrics on certain Fano manifolds [Nad90, DK01, Hei07]). However, it is easier to handle Kähler-Einstein metrics instead of the Ricci flow with Nadel's method, because one can work with a *G*-invariant singular hermitian metric for $-K_X$ instead of $-2K_X$, resulting in a cohomology vanishing statement for a *G*-invariant multiplier ideal sheaf \mathcal{I} of the form

(4)
$$H^{q}(X, (K_{X} - K_{X}) \otimes \mathcal{I}) = H^{q}(X, \mathcal{I}) = 0 \quad \forall q \ge 1.$$

Note that (4) yields more information on the zero-set of \mathcal{I} than (3). In fact, the information in (4) is strong enough to prove the existence of Kähler-Einstein metrics on all del Pezzo surfaces of degree 4, 5, and 6 ([Hei07]). In the present paper, we will show that the information in (3) can be used to establish statement (ii) of Theorem 1.1 for certain nongeneric del Pezzo surfaces with large automorphism group. In particular, we will prove

Main Theorem 1.7. Let X be one of the following del Pezzo surfaces.

- (i) \mathbb{P}^2 blown up in four points in general position,
- (ii) \mathbb{P}^2 blown up in five points in general position with $\operatorname{Aut}(X) = \mathbb{Z}_2^4 \rtimes \mathbb{Z}_4, \mathbb{Z}_2^4 \rtimes (\mathbb{Z}_3 \rtimes \mathbb{Z}_2), \text{ or } \mathbb{Z}_2^4 \rtimes (\mathbb{Z}_5 \rtimes \mathbb{Z}_2).$

Then statement (ii) of Theorem 1.1 holds.

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The method of proof also applies to certain del Pezzo surfaces of low degree, if their automorphism group is large enough, such as in the case of the Fermat cubic hypersurface in \mathbb{P}^3 (see the remarks in Section 5).

Remark 1.8. It is a result of Perelman that, given the existence of a Kähler-Einstein metric, the Kähler-Ricci flow will converge to it in the sense of Cheeger-Gromov. It should be noted that Theorem 1.7 does not assume the existence of a Kähler-Einstein metric. In fact, it proves the existence as an obvious corollary to the convergence statement (ii) of Theorem 1.1. Moreover, note again that the convergence in (ii) of Theorem 1.1 is very strong, namely *exponentially fast in the* C^{∞} -norm.

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2. Classification and basic properties of del Pezzo surfaces

Definition 2.1. A del Pezzo surface is a two-dimensional compact complex manifold X whose anti-canonical line bundle $-K_X$ is ample. We call the self-intersection number $(-K_X)^2 = K_X^2$ the degree of X. We will denote the degree also by d_X .

We now gather some important facts about del Pezzo surfaces, resulting in the standard classification (see [MH74, Dem80, Har77]).

Facts 2.2. For every del Pezzo surface X, the Picard group Pic X satisfies

 $\operatorname{rank}\operatorname{Pic} X + d_X = 10.$

In particular, $d_X \leq 9$.

If $d_X = 9$, then X is isomorphic to \mathbb{P}^2 .

If $d_X = 8$, then X is isomorphic either to $\mathbb{P}^1 \times \mathbb{P}^1$ or to $\tilde{\mathbb{P}}^2$, ie \mathbb{P}^2 blown up at one point.

If $7 \ge d_X \ge 1$, then X is isomorphic to \mathbb{P}^2 blown up at $r = 9 - d_X$ points which have the following properties:

- (i) no three points lie on a line,
- (ii) no six points lie on a conic,
- (iii) no seven points lie on a cubic such that the eighth is a double point of the cubic.

Any set of $r = 9 - d_X$ points satisfying the above three properties will be said to be in *general* position, and, conversely, the result of blowing up $1 \le r \le 8$ points in general position in \mathbb{P}^2 is a del Pezzo surface. For $1 \le r \le 4$ general points blown up, there is in each case a unique resulting del Pezzo surface. The reason is that for any two sets of points P_1, \ldots, P_r and Q_1, \ldots, Q_r $(r \leq 4)$, with each set in general position, there is an element $A \in Aut(\mathbb{P}^2) = PGL(3, \mathbb{C})$ with $A(P_i) = Q_i \ (1 \leq i \leq r).$

For our understanding of del Pezzo surfaces, the following facts about the anticanonical line bundle are also very important.

Facts 2.3. Let $1 \le r \le 8$. Let X be obtained by blowing up general points P_i , $i = 1, \ldots, r$. Let E_i denote the exceptional (-1)-curve that is the pre-image of P_i . Let $\pi : X \to \mathbb{P}^2$ denote the blow up map. Then

$$K_X = \pi^* K_{\mathbb{P}^2} + \sum_{i=1}^r E_i.$$

This yields

$$\dim H^0(X, -K_X) = 10 - r.$$

For $1 \leq r \leq 6$, the complete linear system $|-K_X|$ gives an embedding into $\mathbb{P}^{9-r} = \mathbb{P}^{d_X}$. For r = 7, it gives a double cover of \mathbb{P}^2 . The complete linear system $|-2K_X|$ gives an embedding into \mathbb{P}^6 . For r = 8, $|-K_X|$ has a unique base point, $|-2K_X|$ gives a double cover of a singular quadric surface in \mathbb{P}^3 , and $|-3K_X|$ gives an embedding into \mathbb{P}^6 .

Finally, it turns out that, on every del Pezzo surface of degree at most 7, the number of (-1)-curves exceeds r. The reason is that, when blowing up two points in \mathbb{P}^2 , the proper transform of the unique line through the two points becomes a (-1)-curve as well. When blowing up five points, the unique conic through the five points also becomes a (-1)-curve. It is easy to count these (-1)-curves: for $r = 1, \ldots, 8$, their numbers are 1, 3, 6, 10, 16, 27, 56, 240, respectively. Interestingly, for $r = 1, \ldots, 6$, under the map given by $|-K_X|$, all (-1)-curves become lines in projective space. Therefore, they are often referred to as *lines* on X.

3. The case of 4 points blown up

Let X be a del Pezzo surface obtained from blowing up four points. We may, and do, assume these to be $P_1 = [1, 0, 0]$, $P_2 = [0, 1, 0]$, $P_3 = [0, 0, 1]$, $P_4 = [1, 1, 1]$. It is known that Aut(X) is the Weyl group of the root system of Dynkin type D_5 , which is S_5 (see [Koi88], also [Wim96]). However, we would like to understand Aut(X) more concretely.

First all of, there is a subgroup S_4 of projectivities in $PGL(3) = Aut(\mathbb{P}^2)$ that preserve the set $\{P_1, P_2, P_3, P_4\}$. These projectivities lift to X, and we can write $S_4 \subset Aut(X)$.

In addition, there exists for every i = 1, ..., 4 a quadratic Cremona transformation Cr_i that leaves P_i fixed and has the three remaining points as indeterminacy locus. (Note that such a Cr_i is only defined up to the action of the $S_3 \subset S_4$ consisting of automorphisms fixing P_i . For our purposes, it does not matter which Cr_i we choose.) All Cr_i extend to automorphisms of X. In light of this, we can write $\operatorname{Aut}(X)$ set-theoretically as a disjoint union

$$\operatorname{Aut}(X) = S_4 \uplus \left(\biguplus_{i=1}^4 \operatorname{Cr}_i \circ S_4 \right).$$

In the following two Subsections, we will prove Theorem 1.7, part (i), by means of Criterion 1.6.

3.1. Zero-dimensional multiplier ideal sheaves. Let $\mathcal{I} \subseteq \mathcal{O}_X$ be an Aut(X)invariant ideal sheaf satisfying Property (Van). In particular, for E being the trivial line bundle,

(5)
$$H^{q}(X, (-K_{X}) \otimes \mathcal{I}) = 0 \text{ for all } q \ge 1.$$

Let $V = V(\mathcal{I})$. Let dim V = 0, ie V consists of a finite number of points. Consider the short exact sequence

$$0 \to \mathcal{I}(-K_X) \to \mathcal{O}_X(-K_X) \to \mathcal{O}_V(-K_X) \to 0$$

Taking the corresponding long exact sequence yields

$$0 \rightarrow H^0(X, \mathcal{I}(-K_X)) \rightarrow H^0(X, \mathcal{O}_X(-K_X)) \rightarrow H^0(V, \mathcal{O}_V(-K_X)) \rightarrow H^1(X, \mathcal{I}(-K_X)).$$

From (5), we have $H^1(X, \mathcal{I}(-K_X)) = 0$. Therefore, the map

$$H^0(X, \mathcal{O}_X(-K_X)) \to H^0(V, \mathcal{O}_V(-K_X))$$

is surjective. We saw in Section 2 that $\dim H^0(X, \mathcal{O}_X(-K_X)) = 10 - 4 = 6$. Therefore, V consists of at most six points.

Proposition 3.1. There is no Aut(X)-invariant ideal sheaf $\mathcal{I} \subseteq \mathcal{O}_X$ satisfying Property (Van) with dim $V(\mathcal{I}) = 0$.

Proof. We assume that \mathcal{I} exists and derive a contradiction. Since $V = V(\mathcal{I})$ has at most six points, the contradiction arises from the claim that all orbits of Aut(X) have cardinality at least 8. In the sequel, we prove this claim.

If the cardinality of an orbit were seven or less, then it would in fact be six or less, because the order of $\operatorname{Aut}(X)$ is even. The stabilizer subgroup of a point in an orbit of cardinality six or less would be of order $\frac{120}{6} = 20$ or more. However, the only subgroups of S_5 of order 20 or more are S_5 , A_5 , S_4 , and the Frobenius group $\mathbb{Z}_5 \rtimes \mathbb{Z}_4$, none of which has a faithful two-dimensional complex representation (on the tangent space to any point in the orbit). See eg [Dor71, §26] for more details. \Box

3.2. One-dimensional multiplier ideal sheaves. Let $\mathcal{I} \subseteq \mathcal{O}_X$ be an Aut(X)invariant ideal sheaf satisfying Property (Van). Let $V = V(\mathcal{I})$. Let $\dim_x V = 1$ for all $x \in V$. We assume that the scheme defined by $\mathcal{I} \subseteq \mathcal{O}_X$ has no embedded components.

It follows from Property (Van) and eg [Nad91, Theorem 2.1] or [Laz04] that

$$R^{i}\pi_{*}(\mathcal{I}(-K_{X})) = 0 \text{ for } i > 0$$

and

$$H^{i}(\mathbb{P}^{2}, \pi_{*}(\mathcal{I}(-K_{X}))) = 0 \text{ for } i > 0.$$

In particular,

$$H^2(\mathbb{P}^2, \pi_*(\mathcal{I}(-K_X)) = 0.$$

By the standard projection formula ([Ful98, p. 281]) we have (for some $k_1, \ldots, k_4 \in \mathbb{N}$)

$$\pi_*(\mathcal{I}(-K_X)) = \pi_*(\pi^*(-K_{\mathbb{P}^2}) \otimes \mathcal{O}_X(-\sum_{i=1}^4 E_i) \otimes \mathcal{I})$$
$$= \mathcal{O}_{\mathbb{P}^2}(-K_{\mathbb{P}^2}) \otimes \mathfrak{m}_{P_1}^{k_1} \otimes \ldots \otimes \mathfrak{m}_{P_4}^{k_4} \otimes \mathfrak{a},$$

where \mathfrak{a} is a locally principal ideal sheaf in $\mathcal{O}_{\mathbb{P}^2}$ whose zero-set consists of the images of the non-exceptional components of $V(\mathcal{I})$. Let $\mathfrak{a} \cong \mathcal{O}_{\mathbb{P}^2}(-d)$ with $d \ge 1$. Then by Serre duality:

$$0 = H^{2}(\mathbb{P}^{2}, \pi_{*}(\mathcal{I}(-K_{X})))$$

$$= H^{2}(\mathbb{P}^{2}, \mathcal{O}_{\mathbb{P}^{2}}(-K_{\mathbb{P}^{2}}) \otimes \mathfrak{m}_{P_{1}}^{k_{1}} \otimes \ldots \otimes \mathfrak{m}_{P_{4}}^{k_{4}} \otimes \mathfrak{a})$$

$$= H^{2}(\mathbb{P}^{2}, \mathcal{O}_{\mathbb{P}^{2}}(-K_{\mathbb{P}^{2}}) \otimes \mathfrak{a})$$

$$= H^{2}(\mathbb{P}^{2}, \mathcal{O}_{\mathbb{P}^{2}}(-K_{\mathbb{P}^{2}}) \otimes \mathcal{O}_{\mathbb{P}^{2}}(-d))$$

$$= H^{0}(\mathbb{P}^{2}, \mathcal{O}_{\mathbb{P}^{2}}(2K_{\mathbb{P}^{2}}) \otimes \mathcal{O}_{\mathbb{P}^{2}}(d))$$

$$= H^{0}(\mathbb{P}^{2}, \mathcal{O}_{\mathbb{P}^{2}}(d-6)).$$

Note that this is the case if and only if $d \leq 5$. From this information, we will now extract information on V and show that in fact no such V can exist. First we record two lemmas.

Lemma 3.2. Aut(X) acts effectively on any Aut(X)-invariant irreducible curve.

Proof. For any given element of Aut(X), it is easy to list the irreducible curves that are left point-wise fixed by the given element (if any exist). However, none of these curves are Aut(X)-invariant.

Lemma 3.3 ([Har92, Excercise 20.18]). The number of singular points of an irreducible plane curve of degree d is no more than its arithmetic genus, which is $g_a = \frac{1}{2}(d-1)(d-2)$.

Proposition 3.4. There is no Aut(X)-invariant ideal sheaf $\mathcal{I} \subseteq \mathcal{O}_X$ satisfying Property (Van) with $\dim_x V(\mathcal{I}) = 1$ for all $x \in V(\mathcal{I})$ and such that the scheme defined by $\mathcal{I} \subseteq \mathcal{O}_X$ has no embedded components.

Proof. We assume that \mathcal{I} exists and derive a contradiction. We can assume w.l.o.g. that no (-1)-curve is contained in $V = V(\mathcal{I})$, because as a consequence of the Aut(X)-invariance, all ten (-1)-curves would otherwise be contained in V, and $\pi(V)$ would have at least six irreducible one-dimensional components, in violation of $H^0(\mathbb{P}^2, \mathcal{O}_{\mathbb{P}^2}(d-6)) = 0$.

Since all elements f of $\operatorname{Aut}(X)$ are induced by an automorphism or birational map of \mathbb{P}^2 (again denoted by f), we note that for an irreducible curve D not contained in the exceptional set of π , $\pi(f(D)) = f(\pi(D))$, where $f(\pi(D))$ is defined to be the closure of $f(\pi(D) \cap \operatorname{Dom}(f))$.

First, let us assume that V is irreducible.

If d = 1, 2, then $V = \mathbb{P}^1$. This is a contradiction, because $S_5 \subset \operatorname{Aut}(X)$ acts effectively on V by Lemma 3.2, but $S_5 \not\subseteq \operatorname{PGL}(2, \mathbb{C})$ (see [GB85, Chapter 2]).

If d = 3, then $g_a = 1$. Since Aut(X) acts on V with orbits of length at least 8, V is smooth by Lemma 3.3. We saw in Lemma 3.2 that S_5 acts effectively on all

 S_5 -invariant curves. However, it is not a subgroup of the automorphism group of any elliptic curve, because it is well known (see [Mir95, p. 64]) that the possible automorphism groups of an elliptic curve are

(6) $\mathbb{Z}_2 \ltimes \mathbb{C}/\Gamma, \mathbb{Z}_4 \ltimes \mathbb{C}/\Gamma, \mathbb{Z}_6 \ltimes \mathbb{C}/\Gamma,$

none of which can contain S_5 . We have obtained a contradiction.

If d = 4, then $g_a = 3$. Again, V is smooth. As an abstract group, the 2-Sylow subgroup of S_5 is $\mathbb{Z}_4 \rtimes \mathbb{Z}_2$, which is given concretely eg by the subgroup

$$[id, (12), (34), (12)(34), (14)(23), (13)(24), (1324), (1423)] \subset S_5.$$

The group $\mathbb{Z}_4 \rtimes \mathbb{Z}_2$ has cyclic 2-deficiency equal to 1, as defined in [Kul87, p.197], and by [Kul87, Theorem 2.3] S_5 does not act on V. A contradiction.

If d = 5, then $g_a = 6$. Again, V is smooth, and another application of [Kul87, Theorem 2.3] yields again a contradiction.

Next, we treat the case where the number of irreducible components of V is 2.

For d = 2, the two components of $\pi(V)$ are lines. If the two lines intersected outside of $\{P_1, P_2, P_3, P_4\}$, then the components of V would intersect in precisely one point as well. However, this is impossible, because the minimum orbit length of the action of $\operatorname{Aut}(X)$ is 8. Therefore, the two lines must each go through the same P_i . It is now obvious that they are not invariant under $S_4 \subset S_5$.

For d = 3, the components of $\pi(V)$ are a line and a conic. They must meet in one (with multiplicity two) or two of the $\{P_1, P_2, P_3, P_4\}$. We again obtain a contradiction.

For d = 4, there are two cases. First, the components of V could be a rational curve and an elliptic curve. They each have to be invariant under S_5 , in which case we obtained a contradiction earlier. Second, the components of $\pi(V)$ could be two conics. Since the length of any orbit of the action of S_5 is at least 8, these conics must intersect precisely in the points $P_1 = [1,0,0], P_2 = [0,1,0], P_3 = [0,0,1],$ $P_4 = [1,1,1]$, so that they get separated under the blowing up. Note that any conic through these four points is of the form

 $a_0 X_1 X_2 + a_1 X_0 X_2 + (-a_0 - a_1) X_0 X_1 = 0,$

and any such conic is mapped to a line under Cr_4 , a contradiction.

For d = 5, the components of V are a rational curve and an elliptic curve or a rational curve and a curve of genus 3. From the rational curve, which is preserved, we get a contradiction.

Now we treat the case of three irreducible components.

For d = 3, the components of $\pi(V)$ are lines, meeting in at most three of the points P_1, P_2, P_3, P_4 . Thus they cannot be invariant under the S_4 action.

For d = 4, the three components of $\pi(V)$ are two lines and one conic. All points of intersection must be contained in $\{P_1, P_2, P_3, P_4\}$, but the multiplicity will not be the same at all P_i , $i = 1, \ldots, 4$. This is again impossible by the S_4 -symmetry.

For d = 5, $\pi(V)$ consists of either two lines and an elliptic curve or one line and two conics. In either case, we can argue as we did above and obtain a contradiction.

Now for the case of four irreducible components.

If d = 4, the components of $\pi(V)$ are lines. Their at most six points of intersection must be $\{P_1, P_2, P_3, P_4\}$ (counted with multiplicity). However, the multiplicity cannot be distributed symmetrically, and we get a contradiction from the action of S_4 .

If d = 5, then the components of $\pi(V)$ are three lines and a conic. The eight points of intersection (counted with multiplicity) must either be contained entirely in $\{P_1, P_2, P_3, P_4\}$ or disjoint from it. Containment is impossible because of the S_4 symmetry. Now, again by the S_4 -symmetry, all three lines must be disjoint from $\{P_1, P_2, P_3, P_4\}$. As a consequence, the equations of the three lines are of the form

$$a_0 X_0 + a_1 X_1 + a_2 X_2 = 0$$

with $a_0, a_1, a_2 \neq 0$. Under Cr₄ such lines are mapped to conics of the form

$$a_0 X_1 X_2 + a_1 X_0 X_2 + a_2 X_0 X_1 = 0,$$

which is a contradiction.

Finally, we treat the case of five components.

The only possibility is d = 5 and $\pi(V)$ consisting of five lines. Since lines that are disjoint from $\{P_1, P_2, P_3, P_4\}$ are mapped to conics by Cr_4 , all five lines must have nonempty intersection with $\{P_1, P_2, P_3, P_4\}$. Clearly, one point must be contained in two lines. By the S_4 -symmetry, all points must be contained in two lines. Arguing again with the minimum orbit length of 8, we see that it is impossible for six or less points of intersection to be outside $\{P_1, P_2, P_3, P_4\}$, so all ten are in this set. However, it is impossible to distribute the ten points onto the four points with equal multiplicity. Therefore, the S_4 -action gives our final contradiction.

Propositions 3.1 and 3.4 prove Theorem 1.7, part (i). Notice that we can assume w.l.o.g. that V has the same dimension at all its points. Also, the assumption of nonexistence of embedded components in Proposition 3.4 is not a problem, since such embedded components can be ruled out by an H^0 computation similar to the one leading to the proof of Proposition 3.1.

4. FIVE POINTS BLOWN UP

Let X be a del Pezzo surface obtained by blowing up five points. We can find an automorphism of \mathbb{P}^2 that takes the five points to $P_1 = [1,0,0], P_2 = [0,1,0], P_3 = [0,0,1], P_4 = [1,1,1], P_5 = [a,b,c]$, with $(a,b,c) \in (\mathbb{C}^* \times \mathbb{C}^* \times \mathbb{C}^*) \setminus \{(1,1,1)\}$. (The reason for $a, b, c \neq 0$ is that no three of these point lie on a line.)

The structure of Aut(X) is described eg in [Hos96] (see also [Wim96]). It turns out that it is always of the form

$$\operatorname{Aut}(X) = \mathbb{Z}_2^4 \rtimes G_{P_5}$$

where G_{P_5} is a subgroup of S_5 depending on the point P_5 . The possibilities for G_{P_5} are

(i) {id}, (ii) \mathbb{Z}_2 , (iii) \mathbb{Z}_4 , (iv) $\mathbb{Z}_3 \rtimes \mathbb{Z}_2$, (v) $\mathbb{Z}_5 \rtimes \mathbb{Z}_2$. The elements of G_{P_5} are lifts of those elements of $PGL(3, \mathbb{C})$ that map the set $\{P_1, P_2, P_3, P_4, P_5\}$ to itself. For a generic point P_5 , we have $G_{P_5} = \{id\}$. More precisely:

Proposition 4.1. One has $G_{P_5} \neq \{id\}$ if and only if $P_5 = [1,\xi,1+\xi]$ with $\xi \in \mathbb{C} \setminus \{0,1,-1\}$. Moreover, $G_{P_5} = \mathbb{Z}_2$ holds precisely when $\xi^2 + 1 \neq 0$ and $\xi^2 \pm \xi \pm 1 \neq 0$.

When $G_{P_5} \neq \{id\}$, [Bla06, Proposition 8.1.11] gives explicitly the elements of $G_{P_5} \subset \text{PGL}(3, \mathbb{C})$ and their action on the set $\{P_1, P_2, P_3, P_4, P_5\}$. Based on Proposition 4.1, it is clear that there is one element of $\text{PGL}(3, \mathbb{C})$ that is contained in G_{P_5} whenever it is not the trivial group, namely

$$[X_0, X_1, X_2] \mapsto [X_2 - X_1, X_2 - X_0, X_2].$$

Next, let us have a closer look at the elements of $\mathbb{Z}_2^4 \subseteq \operatorname{Aut}(X)$. The following two birational involutions of \mathbb{P}^2 lift to elements of $\operatorname{Aut}(X)$.

We define Cr_{45} to be

$$\operatorname{Cr}_{45}([X_0, X_1, X_2]) = [aX_1X_2, bX_0X_2, cX_0X_1].$$

This is a quadratic Cremona transformation that exchanges P_4 and P_5 and has $\{P_1, P_2, P_3\}$ as indeterminacy locus. We abuse notation and refer both to the birational involution and the corresponding element of $\operatorname{Aut}(X)$ with the symbol Cr_{45} .

Moreover, we let σ_1 be the following cubic birational involution of \mathbb{P}^2 .

$$\sigma_1([X_0, X_1, X_2]) = [-aX_1X_2((c-b)X_0 + (a-c)X_1 + (b-a)X_2), X_1(a(c-b)X_1X_2 + b(a-c)X_0X_2 + c(b-a)X_0X_1), X_2(a(c-b)X_1X_2 + b(a-c)X_0X_2 + c(b-a)X_0X_1)].$$

We shall refer both to the birational involution and the corresponding element of $\operatorname{Aut}(X)$ with the symbol σ_1 . It is easy to see that the strict transform of the cubic curve C given by

$$b(a-c)X_0^2X_2 + c(b-a)X_0^2X_1 + a(a-c)X_1^2X_2 + a(b-a)X_1X_2^2 + 2a(c-b)X_0X_1X_2 = 0$$

is precisely the set of points fixed point-wise by the lift of above σ_1 . In addition

is precisely the set of points fixed point-wise by the lift of above σ_1 . In addition, the following Lemma tells us that C is invariant under every element of \mathbb{Z}_2^4 .

Lemma 4.2. Let A be an abelian group acting on a set M. For $g \in A$, let

$$M^g = \{x \in M : gx = x\}.$$

Then M^g is invariant under every element of A.

Proof. For $x \in M^g$, we have for any $h \in A$:

$$g(hx) = h(gx) = hx,$$

ie $hx \in M^g$ also.

Closer inspection ([Wim96], [Koi88], [Hos96]) shows that $\mathbb{Z}_2^4 \subseteq \operatorname{Aut}(X)$ contains the lifts of ten quadratic Cremona involutions Cr_{ij} $(1 \leq i < j \leq 5)$ that exchange P_i, P_j and have the remaining three points as indeterminacy locus. Again, we abuse notation and denote the maps before and after the lift by the same symbols Cr_{ij} .

Moreover, $\mathbb{Z}_2^4 \subseteq \operatorname{Aut}(X)$ contains the lifts of five cubic involutions σ_i $(1 \le i \le 5)$. By comparing the respective action on the set of the sixteen (-1)-curves (which

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determines any automorphism uniquely, see [Koi88] or [Hos96]), it is easily verified that

$$\begin{aligned} &\operatorname{Cr}_{ij}\circ\operatorname{Cr}_{kl} &= &\operatorname{Cr}_{kl}\circ\operatorname{Cr}_{ij}, \\ &\operatorname{Cr}_{ij}\circ\operatorname{Cr}_{jk} &= &\operatorname{Cr}_{ik}. \end{aligned}$$

Moreover, for i, j, k, l, m all distinct, we have

$$\sigma_m = \operatorname{Cr}_{ij} \circ \operatorname{Cr}_{kl}.$$

In particular, we have

(7)
$$\sigma_j = \operatorname{Cr}_{1j} \circ \sigma_1 \quad (2 \le j \le 5).$$

The Cr_{ij} have precisely four fixed points each (both before and after the lifting). Thus, the only \mathbb{Z}_2^4 -invariant curves on which \mathbb{Z}_2^4 does not act effectively are the lifts of the curves C pertaining to the cubic involutions. However, for $a, b, c \neq 0$, the curves C are smooth elliptic curves (easy exercise). Therefore, the group $\mathbb{Z}_2^4 \subset$ $\operatorname{Aut}(X)$ acts effectively on any $\operatorname{Aut}(X)$ -invariant irreducible curve that is not an elliptic curve.

In the following two Subsections, we will prove Theorem 1.7, part (ii), by means of Criterion 1.6.

4.1. Zero-dimensional multiplier ideal sheaves. Let $\mathcal{I} \subseteq \mathcal{O}_X$ be an Aut(X)invariant ideal sheaf satisfying Property (Van). Let $V = V(\mathcal{I})$. Let dim V = 0. We saw in the previous section that the map

$$H^0(X, \mathcal{O}_X(-K_X)) \to H^0(V, \mathcal{O}_V(-K_X))$$

is surjective. Since dim $H^0(X, \mathcal{O}_X(-K_X)) = 10 - 5 = 5$, V consists of at most five points.

Proposition 4.3. If $G_{P_5} = \mathbb{Z}_4, \mathbb{Z}_3 \rtimes \mathbb{Z}_2$, or $\mathbb{Z}_5 \rtimes \mathbb{Z}_2$, then there is no Aut(X)invariant ideal sheaf $\mathcal{I} \subseteq \mathcal{O}_X$ satisfying Property (Van) with dim $V(\mathcal{I}) = 0$.

Proof. We assume that \mathcal{I} exists and derive a contradiction. Since $V = V(\mathcal{I})$ has at most five points, the statement follows from the claim that all orbits of Aut(X) have cardinality at least 8. In the sequel, we prove this claim.

We first consider the action of $\mathbb{Z}_2^4 \subseteq \operatorname{Aut}(X)$ on X. There are no orbits of length less than 4, which can be shown as follows: if we assume that there is such an orbit, then the stabilizer subgroup $(\mathbb{Z}_2^4)_P$ of a point P in the orbit would be a subgroup of order 8 or 16 in \mathbb{Z}_2^4 . The only such groups are \mathbb{Z}_2^3 and \mathbb{Z}_2^4 , but by Schur's Lemma these groups do not permit faithful two-dimensional complex representations (on the tangent space to any point in the orbit), contradiction.

Now let $P \in X$ be such that the cardinality of the orbit of P under \mathbb{Z}_2^4 is $\#\mathbb{Z}_2^4P = 4$. The list in [Bla06, Proposition 8.1.11] gives the action of G_{P_5} on the elements of the set $\{P_1, P_2, P_3, P_4, P_5\}$. This data determines the action on the sixteen (-1)-curves and therefore determines the automorphism uniquely.

When $G_{P_5} = \mathbb{Z}_4, \mathbb{Z}_3 \rtimes \mathbb{Z}_2$, or $\mathbb{Z}_5 \rtimes \mathbb{Z}_2$, the claim is implied by $\#(\mathbb{Z}_2^4 \rtimes G_{P_5})_P \leq 8$. A case by case analysis shows that if $\#(\mathbb{Z}_2^4 \rtimes G_{P_5})_P > 8$, then

$$\mathbb{Z}_2^3 \subseteq (\mathbb{Z}_2^4 \rtimes G_{P_5})_P \cap \mathbb{Z}_2^4,$$

which yields a contradiction by Schur's Lemma. Note that the strict inequality $\#(\mathbb{Z}_2^4 \rtimes G_{P_5})_P > 8$ is necessary, because otherwise $(\mathbb{Z}_2^4 \rtimes G_{P_5})_P = \mathbb{Z}_2^2 \rtimes \mathbb{Z}_2$ might (and does) occur.

4.2. **One-dimensional multiplier ideal sheaves.** In this subsection, we prove

Proposition 4.4. If $G_{P_5} = \mathbb{Z}_4, \mathbb{Z}_3 \rtimes \mathbb{Z}_2$, or $\mathbb{Z}_5 \rtimes \mathbb{Z}_2$, then there is no Aut(X)invariant ideal sheaf $\mathcal{I} \subseteq \mathcal{O}_X$ satisfying Property (Van) with $\dim_x V(\mathcal{I}) = 1$ for all $x \in V(\mathcal{I})$ and such that the scheme defined by $\mathcal{I} \subseteq \mathcal{O}_X$ has no embedded components.

Proof. We assume that \mathcal{I} exists and derive a contradiction. We can again assume w.l.o.g. that no (-1)-curve is contained in $V = V(\mathcal{I})$.

First, let us assume that V is irreducible.

If d = 1, 2, then $V = \mathbb{P}^1$. This is a contradiction, because $\mathbb{Z}_2^4 \subset \operatorname{Aut}(X)$ acts effectively on V, but $\mathbb{Z}_2^4 \not\subseteq \operatorname{PGL}(2, \mathbb{C})$ (see [GB85, Chapter 2]).

If d = 3, then $g_a = 1$. Since $\operatorname{Aut}(X)$ acts on V with orbits of length at least 8, V is smooth by Lemma 3.3. We know that $\mathbb{Z}_2^3 \rtimes G_{P_5}$ acts effectively on V. However, it is not a subgroup of any of the groups listed in (6). We have obtained a contradiction.

If d = 4, then $g_a = 3$. Again, V is smooth. We can obtain a contradiction both by Maclachlan's theorem on abelian groups of automorphisms of Riemann surfaces [Mac65, Theorem 4] and by the previously used [Kul87, Theorem 2.3], noting that the cyclic 2-deficiency of \mathbb{Z}_2^4 is equal to 3.

If d = 5, then $g_a = 6$. Again, V is smooth. [Kul87, Theorem 2.3] applies also in this case, and we obtain a contradiction.

Next, we treat the case where the number of irreducible components is 2.

For d = 2, 3, the two components are smooth rational curves. If each component (call them V_1, V_2) is invariant under $\mathbb{Z}_2^4 \subset \operatorname{Aut}(X)$, we have a contradiction as before.

Let us assume that there is $g \in \mathbb{Z}_2^4 \subset \operatorname{Aut}(X)$ such that $g(V_1) = V_2$. Let

$$G_1 = \{g \in \mathbb{Z}_2^4 : g(V_1) = V_1\}$$

Then

$$\mathbb{Z}_2^4 = G_1 \uplus gG_1.$$

Therefore, the index of G_1 in \mathbb{Z}_2^4 is two, and consequently $G_1 = \mathbb{Z}_2^3$, which gives a contradiction, since $\mathbb{Z}_2^3 \not\subseteq \text{PGL}(2, \mathbb{C})$.

When d = 4, V may be the disjoint union of a smooth elliptic curve and a rational curve or the disjoint union of two smooth rational curves. In either case, this is impossible.

When d = 5, V may be the disjoint union of a smooth elliptic curve and a smooth rational curve or the disjoint union of a smooth curve of genus 3 and a smooth rational curve. In any case, this is impossible.

Next, let us assume that there are three irreducible components V_1, V_2, V_3 .

When d = 3, 4, V is the union of three smooth rational curves. If the action of $\mathbb{Z}_2^4 \subset \operatorname{Aut}(X)$ on the three components leaves one component invariant, then $\mathbb{Z}_2^4 \subset \operatorname{PGL}(2, \mathbb{C})$, which is a contradiction.

If no component is invariant under $\mathbb{Z}_2^4 \subset \operatorname{Aut}(X)$, then there are $g_2, g_3 \in \mathbb{Z}_2^4$ such that $g_2(V_1) = V_2, g_3(V_1) = V_3$. However, a brief computation reveals $(g_2 \cdot g_3)^2(V_1) \in V_2$, which means that the order of $g_2 \cdot g_3$ cannot be 2. However, all nontrivial elements of \mathbb{Z}_2^4 have order two, a contradiction.

When d = 5, one of the components might be a smooth elliptic curve, but in any case one obtains a contradiction as before.

Finally, in the cases of 4 and 5 irreducible components, all components are rational curves. If there are two components whose union is \mathbb{Z}_2^4 invariant, then we obtain a contradiction as in the case of two irreducible components. If not, then there exist $g_2, g_3 \in \mathbb{Z}_2^4$ and components V_1, V_2, V_3 such that $g_2(V_1) = V_2, g_3(V_1) = V_3$. Again, we obtain a contradiction.

Propositions 4.3 and 4.4 prove Theorem 1.7, part (ii).

5. Comments on the cases of $r \neq 4, 5$

5.1. One or two points blown up. For \mathbb{P}^2 blown up at one or two points, one can show that the so-called Calabi-Futaki invariant does not vanish (see eg [Tia00, Examples 3.10, 3.11] for details). The nonvanishing of this invariant is an obstruction to the existence of a Kähler-Einstein metric. If $g_{i\bar{j}}(t)$ $(t \to \infty)$ were to converge, it would necessarily converge against a Kähler-Einstein metric. So the statement of Theorem 1.1 (ii) cannot hold on \mathbb{P}^2 blown up at one or two points.

5.2. Three points blown up. In this paper, we do not make a statement about this case, because both zero- and one-dimensional zero-sets of multiplier ideal sheaves cannot be ruled out using Property (Van).

In the zero-dimensional case, the problem is that the surjectivity of the map

-0 (---))

$$H^0(X, \mathcal{O}_X(-K_X)) \to H^0(V, \mathcal{O}_V(-K_X))$$

limits the cardinality of the zero-set to 10 - 3 = 7. However, the six points of intersection in the "hexagon" formed by the six (-1)-curves are clearly $\operatorname{Aut}(X)$ -invariant, and we are unable to rule out that they are the $\operatorname{Aut}(X)$ -invariant zero-set of a multiplier ideal sheaf satisfying (5).

Similarly, the union of the six (-1)-curves is clearly $\operatorname{Aut}(X)$ -invariant, and it is not possible to rule out that it forms the zero-set of a multiplier ideal sheaf based on Property (Van). Clearly, this case merits further investigation.

5.3. Six or more points blown up. For a generic del Pezzo surface X of degree three, $\operatorname{Aut}(X)$ is unfortunately the trivial group (see [Koi88]). There are of course non-generic X which have extra automorphisms, and a nice list of these can be found in [Dol07, Table 10.3]. Recall that del Pezzo surfaces of degree three are precisely the smooth cubic hypersurfaces of \mathbb{P}^3 , and perhaps the most important example is the Fermat cubic surface in \mathbb{P}^3 given by

$$Z_0^3 + Z_1^3 + Z_2^3 + Z_3^3 = 0.$$

Its automorphism group is $\mathbb{Z}_3^3 \rtimes S_4$, acting in the obvious way. The cardinality of this group is $27 \cdot 24 = 648$. In this case, the same analysis as in Section 4 does yield statement (ii) of Theorem 1.1. In fact, one can even do without the results concerning the nature of the automorphism group for Riemann surfaces of genus no more than 6, because according to the well-known Hurwitz bound, such an automorphism group has cardinality at most 84(g-1), which is less than 648. We leave the details to the reader.

At the other end of the spectrum, on a del Pezzo surface X of degree one, the unique base point of the linear system $|-K_X|$ is fixed by all automorphisms. Therefore, unfortunately, $\operatorname{Aut}(X)$ acts with a fixed point regardless of the nature of X, and we are unable to handle to this case.

On a del Pezzo surface X of degree two, the linear system $|-K_X|$ gives a twosheeted cover of \mathbb{P}^2 branched along a smooth curve C of degree 4 in \mathbb{P}^2 . This cover defines an involutive automorphism of X called the Geiser involution. On a generic X, this is the only nontrivial automorphism, ie $\operatorname{Aut}(X) = \mathbb{Z}_2$. However, certain non-generic X do have extra automorphisms. A list of these X and their automorphism groups, together with a lucid exposition of the topic, can be found in [Dol07, Table 10.4]. We do not go into any details regarding this case.

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