

# A QUASI-LOCAL MASS FOR 2-SPHERES WITH NEGATIVE GAUSS CURVATURE

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ABSTRACT. We extend our previous definition of quasi-local mass to 2-spheres whose Gauss curvature is negative and prove its positivity.

## 1. INTRODUCTION

In [7], Liu and Yau propose a definition of quasi-local mass for any smooth spacelike, topological 2-sphere with positive Gauss curvature. In particular, Liu and Yau [7, 8] are able to use Shi-Tam's result [10] to prove its positivity. When the Gauss curvature of a 2-sphere is allowed to be negative, Wang and Yau [14] use Pogorelov's result [9] to embed the 2-sphere into the hyperbolic space to generalize Liu-Yau's definition, and prove its positivity by using a spinor argument of the positive mass theorem for asymptotically hyperbolic manifolds [15, 4, 16]. Wang-Yau's result is improved in certain sense by Shi and Tam [11].

In attempting to resolve the decreasing monotonicity of Brown-York's quasi-local mass [1, 2], the author [18] propose a new quasi-local mass and prove its positivity essentially for 2-spheres with positive Gauss curvature. It is still open when the 2-spheres have nonnegative Gauss curvature because the isometric embedding into  $\mathbb{R}^3$  in this case is only proved to be  $C^{1,1}$  by Guan-Li and Hong-Zuily [5, 6]. However, we expect the  $C^{1,1}$  regularity is sufficient for our propose, and we address it elsewhere.

In this note, we use the idea of Wang and Yau to extend the quasi-local mass in [18] to the case of 2-spheres with negative Gauss curvature. We embed such 2-spheres into the (spacelike) hyperbola in the Minkowski spacetime which has the nontrivial second fundamental form. By using the constant spinors in the Minkowski spacetime, we can solve a boundary problem for the Dirac-Witten equation. Then,

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the method in [18] gives rise to the quasi-local mass as well as its positivity. We would like to point out that our quasi-local mass is only one quantity, while the one defined by Wang and Yau is a 4-vectors. This difference is due to the hyperbola in our approach goes to null infinity in the Minkowski spacetime, and the one in Wang-Yau's approach goes to spatial infinity in the Anti-de Sitter spacetime, which has trivial second fundamental form. The positive mass theorem near null infinity in asymptotically Minkowski spacetimes was established in [16, 17].

## 2. DIRAC-WITTEN EQUATIONS

In this section, we will review the existences of the Dirac-Witten equations proved in [18]. Let  $(N, \tilde{g})$  be a 4-dimensional spacetime which satisfies the Einstein fields equations. Let  $(M, g, p)$  be a smooth *initial data set*. Fix a point  $p \in M$  and an orthonormal basis  $\{e_\alpha\}$  of  $T_p N$  with  $e_0$  future-time-directed normal to  $M$  and  $e_i$  tangent to  $M$  ( $1 \leq i \leq 3$ ).

Denote by  $\mathbb{S}$  the (local) spinor bundle of  $N$ . It exists globally over  $M$  and is called the hypersurface spinor bundle of  $M$ . Let  $\tilde{\nabla}$  and  $\bar{\nabla}$  be the Levi-Civita connections of  $\tilde{g}$  and  $g$  respectively, the same symbols are used to denote their lifts to the hypersurface spinor bundle. There exists a Hermitian inner product  $(\cdot, \cdot)$  on  $\mathbb{S}$  along  $M$  which is compatible with the spin connection  $\tilde{\nabla}$ . The Clifford multiplication of any vector  $\tilde{X}$  of  $N$  is symmetric with respect to this inner product. However, this inner product is not positive definite and there exists a positive definite Hermitian inner product defined by  $\langle \cdot, \cdot \rangle = (e_0 \cdot \cdot, \cdot)$  on  $\mathbb{S}$  along  $M$ .

Define the second fundamental form of the initial data set  $p_{ij} = \tilde{g}(\tilde{\nabla}_i e_0, e_j)$ . Suppose that  $M$  has boundary  $\Sigma$  which has finitely many connected components  $\Sigma^1, \dots, \Sigma^l$ , each of which is a topological 2-sphere, endowed with its induced Riemannian and spin structures. Fix a point  $p \in \Sigma$  and an orthonormal basis  $\{e_i\}$  of  $T_p M$  with  $e_r = e_1$  outward normal to  $\Sigma$  and  $e_a$  tangent to  $\Sigma$  for  $2 \leq a \leq 3$ . Let  $h_{ab} = \langle \bar{\nabla}_a e_r, e_b \rangle$  be the second fundamental form of  $\Sigma$ . Let  $H = tr(h)$  be its mean curvature.  $\Sigma$  is a *future/past apparent horizon* if

$$H \mp tr(p|_\Sigma) \geq 0 \quad (2.1)$$

holds on  $\Sigma$ . When  $\Sigma$  has multi-components, we require that (2.1) holds (with the same sign) on each  $\Sigma_i$ . The spin connection has the following relation

$$\tilde{\nabla}_a = \nabla_a + \frac{1}{2} h_{ab} e_r \cdot e_b \cdot - \frac{1}{2} p_{aj} e_0 \cdot e_j \cdot \cdot \quad (2.2)$$

The Dirac-Witten operator along  $M$  is defined by  $\tilde{D} = e_i \cdot \tilde{\nabla}_i$ . The Dirac operator of  $M$  but acting on  $\mathbb{S}$  is defined by  $\bar{D} = e_i \cdot \bar{\nabla}_i$ . Denote

by  $\nabla$  the lift of the Levi-Civita connection of  $\Sigma$  to the spinor bundle  $\mathbb{S}|_\Sigma$ . Let  $D = e_a \cdot \nabla_a$  be the Dirac operator of  $\Sigma$  but acting on  $\mathbb{S}|_\Sigma$ . The Weitzenböck type formula gives rise to

$$\begin{aligned} & \int_M |\tilde{\nabla}\phi|^2 + \langle \phi, \mathcal{T}\phi \rangle - |\tilde{D}\phi|^2 \\ &= \int_\Sigma \langle \phi, (e_r \cdot D - \frac{H}{2} + \frac{tr(p|_\Sigma)}{2} e_0 \cdot e_r \cdot - \frac{p_{ar}}{2} e_0 \cdot e_a \cdot) \phi \rangle. \end{aligned} \quad (2.3)$$

where  $\mathcal{T} = \frac{1}{2}(T_{00} + T_{0i}e_0 \cdot e_i \cdot)$ . If the spacetime satisfies the *dominant energy condition*, then  $\mathcal{T}$  is a nonnegative operator. Let

$$P_\pm = \frac{1}{2}(Id \pm e_0 \cdot e_r \cdot)$$

be the projective operators on  $\mathbb{S}|_\Sigma$ . In [18], we prove the following existences:

- (i) If  $tr_g(p) \geq 0$  and  $\Sigma$  is a past apparent horizon, then the following Dirac-Witten equation has a unique smooth solution  $\phi \in \Gamma(\mathbb{S})$

$$\begin{cases} \tilde{D}\phi = 0 & \text{in } M \\ P_+\phi = P_+\phi_0 & \text{on } \Sigma_{i_0} \\ P_+\phi = 0 & \text{on } \Sigma_i \ (i \neq i_0) \end{cases} \quad (2.4)$$

for any given  $\phi_0 \in \Gamma(\mathbb{S}|_\Sigma)$  and for fixed  $i_0$ ;

- (ii) If  $tr_g(p) \leq 0$  and  $\Sigma$  is a future apparent horizon, then the following Dirac-Witten equation has a unique smooth solution  $\phi \in \Gamma(\mathbb{S})$

$$\begin{cases} \tilde{D}\phi = 0 & \text{in } M \\ P_-\phi = P_-\phi_0 & \text{on } \Sigma_{i_0} \\ P_-\phi = 0 & \text{on } \Sigma_i \ (i \neq i_0) \end{cases} \quad (2.5)$$

for any given  $\phi_0 \in \Gamma(\mathbb{S}|_\Sigma)$  and for fixed  $i_0$ .

### 3. EMBEDDING 2-SPHERES

Let  $(M, g, p)$  be a smooth *initial data set* where  $M$  has boundary  $\Sigma$  which has finitely many connected components  $\Sigma_1, \dots, \Sigma_l$ , each of which is a topological 2-sphere. Suppose that some  $\Sigma_{i_0}$  can be smoothly isometrically embedded into a smooth spacelike hypersurface  $\check{M}^3$  in the Minkowski spacetime  $\mathbb{R}^{3,1}$  and denote by  $\aleph$  the isometric embedding. Let  $\check{\Sigma}_{i_0}$  be the image of  $\Sigma_{i_0}$  under the map  $\aleph$ . Let  $\check{e}_r$  the unit vector outward normal to  $\check{\Sigma}_{i_0}$  and  $\check{h}_{ij}$ ,  $\check{H}$  are the second fundamental form, the

mean curvature of  $\check{\Sigma}_{i_0}$  respectively. Denote by  $p_0 = \check{p} \circ \aleph$ ,  $H_0 = \check{H} \circ \aleph$  the pullbacks to  $\Sigma$ .

The isometric embedding  $\aleph$  also induces an isometry between the (intrinsic) spinor bundles of  $\Sigma_{i_0}$  and  $\check{\Sigma}_{i_0}$  together with their Dirac operators which are isomorphic to  $e_r \cdot D$  and  $\check{e}_r \cdot \check{D}$  respectively. This isometry can be extended to an isometry over the complex 2-dimensional sub-bundles of their hypersurface spinor bundles. Denote by  $\check{\mathfrak{S}}^{\check{\Sigma}_{i_0}}$  this sub-bundle of  $\check{\mathfrak{S}}|_{\check{\Sigma}_{i_0}}$ . Let  $\check{\phi}$  be a constant section of  $\check{\mathfrak{S}}^{\check{\Sigma}_{i_0}}$  and denote  $\phi_0 = \check{\phi} \circ \aleph$ . Denote by  $\check{\Xi}$  the set of all these constant spinors  $\check{\phi}$  with the unit norm. This set is isometric to  $S^3$ .

Let  $\check{D}$  be the (induced) Dirac operator on  $\check{\Sigma}_{i_0}$  which acts on the hypersurface spinor bundle  $\check{\mathfrak{S}}$  of  $\check{M}$ . Let  $\check{\phi}$  be the covariant constant spinor of the trivial spinor bundle on  $\mathbb{R}^{3,1}$  with unit norm taking by the positive Hermitian metric on  $\check{\mathfrak{S}}$ . Then (2.2) implies

$$\check{\nabla}_a \check{\phi} + \frac{1}{2} \check{h}_{ab} \check{e}_r \cdot \check{e}_b \cdot \check{\phi} - \frac{1}{2} \check{p}_{aj} \check{e}_0 \cdot \check{e}_j \cdot \check{\phi} = 0$$

over  $\check{\Sigma}_{i_0}$ . Pullback to  $\Sigma_{i_0}$ , we obtain

$$e_r \cdot D\phi_0 = \frac{H_0}{2} \phi_0 - \frac{1}{2} p_{0aa} e_0 \cdot e_r \cdot \phi_0 + \frac{1}{2} p_{0ar} e_0 \cdot e_a \cdot \phi_0 \quad (3.1)$$

over  $\Sigma_{i_0}$ . Denote  $\phi_0^\pm = P_\pm \phi_0$ . Since  $e_r \cdot D \circ P_\pm = P_\mp \circ e_r \cdot D$ , (3.1) gives rise to

$$\begin{aligned} e_r \cdot D\phi_0^+ &= \frac{H_0}{2} \phi_0^- + \frac{1}{2} p_{0aa} \phi_0^- + \frac{1}{2} p_{0ar} e_0 \cdot e_a \cdot \phi_0^+, \\ e_r \cdot D\phi_0^- &= \frac{H_0}{2} \phi_0^+ - \frac{1}{2} p_{0aa} \phi_0^+ + \frac{1}{2} p_{0ar} e_0 \cdot e_a \cdot \phi_0^-. \end{aligned}$$

Therefore, using

$$\int_{\Sigma_{i_0}} \langle \phi_0^-, e_r \cdot D\phi_0^+ \rangle = \int_{\Sigma_{i_0}} \langle e_r \cdot D\phi_0^-, \phi_0^+ \rangle,$$

we obtain

$$\int_{\Sigma_{i_0}} (H_0 - p_{0aa}) |\phi_0^+|^2 = \int_{\Sigma_{i_0}} (H_0 + p_{0aa}) |\phi_0^-|^2. \quad (3.2)$$

In this paper, we introduce the following conditions on  $M$ :

- (i)  $tr_g(p) \geq 0$ ,  $H|_{\Sigma_i} + tr(p|_{\Sigma_i}) \geq 0$  for all  $i$ ;
- (ii)  $tr_g(p) \leq 0$ ,  $H|_{\Sigma_i} - tr(p|_{\Sigma_i}) \geq 0$  for all  $i$ .

**Lemma 1.** *Let  $(N^{3,1}, \tilde{g})$  be a spacetime which satisfies the dominant energy condition. Let  $(M, g, p)$  be a smooth spacelike (orientable) hypersurface which has boundary  $\Sigma$  with finitely many multi-components  $\Sigma_i$ , each of which is a topological sphere. Suppose that  $\Sigma_{i_0}$  can be smoothly isometrically embedded into some spacelike hypersurface  $(\check{M}, \check{g}, \check{p})$  in the Minkowski spacetime  $\mathbb{R}^{3,1}$ . Let  $\aleph$  be the isometric embedding and let  $\check{\Sigma}_{i_0}$  be the image of  $\Sigma_{i_0}$ . Suppose either condition (i) holds and  $\check{\Sigma}_{i_0}$  are past apparent horizons, i.e.,*

$$\check{H} + \text{tr}(\check{p}|_{\check{\Sigma}_{i_0}}) \geq 0,$$

*or condition (ii) holds and  $\check{\Sigma}_{i_0}$  are future apparent horizons, i.e.,*

$$\check{H} - \text{tr}(\check{p}|_{\check{\Sigma}_{i_0}}) \geq 0.$$

*Let  $\phi$  be the unique solution of (2.4) or (2.5) for some  $\check{\phi} \in \check{\Xi}$ . Then*

$$\int_{\Sigma_{i_0}} \langle \phi, e_r \cdot D\phi \rangle \leq \frac{1}{2} \int_{\Sigma_{i_0}} \langle \phi, (H_0 - p_{0aa}e_0 \cdot e_r \cdot + p_{0ar}e_0 \cdot e_a \cdot) \phi \rangle.$$

*Proof :* Assume condition (i) holds and  $\check{\Sigma}_{i_0}$  are past apparent horizons. Let  $\phi$  be the smooth solution of (2.4) with the prescribed  $\phi_0$  on  $\Sigma_{i_0}$ . Denote  $\phi^\pm = P_\pm \phi$ . Denote  $\phi_0^\pm = P_\pm \phi_0$ . By the boundary condition, we have  $\phi^+ = \phi_0^+$ . Thus

$$\begin{aligned} \int_{\Sigma_{i_0}} \langle \phi, e_r \cdot D\phi \rangle &= 2\Re \int_{\Sigma_{i_0}} \langle \phi^-, e_r \cdot D\phi_0^+ \rangle \\ &= \Re \int_{\Sigma_{i_0}} \langle \phi^-, H_0 \phi_0^- + p_{0aa} \phi_0^- + p_{0ar} e_0 \cdot e_r \cdot \phi_0^+ \rangle \\ &\leq \frac{1}{2} \int_{\Sigma_{i_0}} (H_0 + p_{0aa})(|\phi^-|^2 + |\phi_0^-|^2) \\ &\quad + \Re \int_{\Sigma_{i_0}} \langle \phi^-, p_{0ar} e_0 \cdot e_a \cdot \phi_0^+ \rangle \\ &= \frac{1}{2} \int_{\Sigma_{i_0}} (H_0 + p_{0aa})|\phi^-|^2 + (H_0 - p_{0aa})|\phi_0^+|^2 \\ &\quad + \Re \int_{\Sigma_{i_0}} \langle \phi^-, p_{0ar} e_0 \cdot e_a \cdot \phi^+ \rangle \\ &= \frac{1}{2} \int_{\Sigma_{i_0}} H_0 |\phi|^2 + p_{0aa}(|\phi^-|^2 - |\phi^+|^2) \\ &\quad + \Re \int_{\Sigma_{i_0}} \langle \phi^-, p_{0ar} e_0 \cdot e_a \cdot \phi^+ \rangle. \end{aligned}$$

Note that

$$\langle \phi, p_{0aa} e_0 \cdot e_r \cdot \phi \rangle = p_{0aa} (|\phi^+|^2 - |\phi^-|^2).$$

Moreover, that  $e_0 \cdot e_a \cdot P_{\pm} = P_{\mp} \cdot e_0 \cdot e_a$  gives rise to

$$\langle \phi, p_{0ar} e_0 \cdot e_a \cdot \phi \rangle = 2\Re \langle \phi^-, p_{0ar} e_0 \cdot e_a \cdot \phi^+ \rangle.$$

Same argument is applied under condition (ii). We finally prove the lemma. Q.E.D.

#### 4. QUASI-LOCAL MASS

Now we use the idea of Wang and Yau [14] (see also [11]) to extend the definition of quasi-local mass in [18] to the case of 2-spheres with negative Gauss curvature.

We first review the definition for 2-spheres with nonnegative Gauss curvature in [18]: Suppose some  $\Sigma_{i_0}$  can be smoothly isometrically embedded into  $\mathbb{R}^3$  in the Minkowski spacetime  $\mathbb{R}^{3,1}$  and denote  $\check{\Sigma}_{i_0}$  its image. (It exists if  $\Sigma_{i_0}$  has positive Gauss curvature.) In this case,  $\check{p} = 0$ .

Let  $\phi$  be the unique solution of (2.4) or (2.5) for some  $\check{\phi} \in \check{\Xi}$ . Denote

$$\begin{aligned} m(\Sigma_{i_0}, \check{\phi}) &= \frac{1}{8\pi} \Re \int_{\Sigma_{i_0}} \left[ (H_0 - H) |\phi|^2 \right. \\ &\quad \left. + \text{tr}(p|_{\Sigma_{i_0}}) \langle \phi, e_0 \cdot e_r \cdot \phi \rangle \right. \\ &\quad \left. - p_{ar} \langle \phi, e_0 \cdot e_a \cdot \phi \rangle \right]. \end{aligned} \quad (4.1)$$

The *quasi local mass of  $\Sigma_{i_0}$*  is defined as

$$m(\Sigma_{i_0}) = \min_{\check{\Xi}} m(\Sigma_{i_0}, \check{\phi}). \quad (4.2)$$

If all  $\Sigma_i$  can be isometrically embedded into  $\mathbb{R}^3$  in the Minkowski spacetime  $\mathbb{R}^{3,1}$ , we define the *quasi local mass of  $\Sigma$*  as

$$m(\Sigma) = \sum_i m(\Sigma_i). \quad (4.3)$$

If the mean curvature of  $\check{\Sigma}_{i_0}$  is further nonnegative (it is true if  $\Sigma_{i_0}$  has positive Gauss curvature), we can prove the positivity of the quasi-local mass (4.2) (Theorem 1 in [18]).

Now suppose some  $\Sigma_{i_0}$  has negative Gauss curvature and let

$$K_{\Sigma_{i_0}} \geq -\kappa^2$$

( $\kappa > 0$ ) where  $-\kappa^2$  is the minimum of the Gauss curvature. (Here we must choose the minimum of the Gauss curvature instead of arbitrary lower bound, otherwise the quasi-local mass defined in the following way might depend on this arbitrary lower bound.) By [9, 3],  $\Sigma_{i_0}$  can be smoothly isometrically embedded into the hyperbolic space  $\mathbb{H}_{-\kappa^2}^3$  with constant curvature  $-\kappa^2$  as a convex surface which bounds a convex domain in  $\mathbb{H}_{-\kappa^2}^3$ . Let  $(t, x_1, x_2, x_3)$  be the spacetime coordinates of  $\mathbb{R}^{3,1}$ . Then  $\mathbb{H}_{-\kappa^2}^3$  is one-fold of the spacelike hypersurfaces

$$\left\{ (t, x_1, x_2, x_3) \mid t^2 - x_1^2 - x_2^2 - x_3^2 = \frac{1}{\kappa^2} \right\}.$$

The induced metric of  $\mathbb{H}_{-\kappa^2}^3$  is

$$\check{g}_{\mathbb{H}_{-\kappa^2}^3} = \frac{1}{1 + \kappa^2 r^2} dr^2 + r^2 (d\theta^2 + \sin^2 \theta d\psi^2)$$

It has the second fundamental form  $\check{p}_{\mathbb{H}_{-\kappa^2}^3}^+ = \kappa \check{g}_{\mathbb{H}_{-\kappa^2}^3}$  for the upper-fold  $\{t > 0\}$  and  $\check{p}_{\mathbb{H}_{-\kappa^2}^3}^- = -\kappa \check{g}_{\mathbb{H}_{-\kappa^2}^3}$  for the lower-fold  $\{t < 0\}$  with respect to the future-time-directed normal. Denote also  $\check{\Sigma}_{i_0}$  its image.

Let  $\phi$  be the unique solution of (2.4) or (2.5) for some  $\check{\phi} \in \check{\Xi}$ . Denote

$$\begin{aligned} \hat{m}_{\pm}(\Sigma_{i_0}, \check{\phi}) &= \frac{1}{8\pi} \Re \int_{\Sigma_{i_0}} \left[ (H_0 - H) |\phi|^2 \right. \\ &\quad \left. - (tr(p_0|_{\Sigma_{i_0}}) - tr(p|_{\Sigma_{i_0}})) \langle \phi, e_0 \cdot e_r \cdot \phi \rangle \right. \\ &\quad \left. + (p_{0ar} - p_{ar}) \langle \phi, e_0 \cdot e_a \cdot \phi \rangle \right] \end{aligned} \quad (4.4)$$

where

$$p_0 = \begin{cases} \text{pullback of } \check{p}_{\mathbb{H}_{-\kappa^2}^3}^+ & : \text{ if } \Sigma_{i_0} \text{ is isometrically embedded into} \\ & \text{the upper-fold } \{t > 0\}, \\ \text{pullback of } \check{p}_{\mathbb{H}_{-\kappa^2}^3}^- & : \text{ if } \Sigma_{i_0} \text{ is isometrically embedded into} \\ & \text{the lower-fold } \{t < 0\}. \end{cases}$$

It is easy to see that  $tr(p_0|_{\Sigma_{i_0}}) = \pm 2$ , thus

$$\begin{aligned} \hat{m}_{\pm}(\Sigma_{i_0}, \check{\phi}) &= \frac{1}{8\pi} \Re \int_{\Sigma_{i_0}} \left[ (H_0 - H) |\phi|^2 \right. \\ &\quad \left. + tr(p|_{\Sigma_{i_0}}) \langle \phi, e_0 \cdot e_r \cdot \phi \rangle \right. \\ &\quad \left. - p_{ar} \langle \phi, e_0 \cdot e_a \cdot \phi \rangle \right] \\ &\quad \mp \frac{\kappa}{4\pi} \int_{\Sigma_{i_0}} \langle \phi, e_0 \cdot e_r \cdot \phi \rangle. \end{aligned}$$

Now we define the quasi local mass of  $\Sigma_{i_0}$  under conditions (i), (ii) which are introduced in the previous section.

If condition (i) holds, we embed  $\Sigma_{i_0}$  into upper-fold  $\{t > 0\}$ . Since  $\check{\Sigma}_{i_0}$  is convex, we have

$$\check{H} + tr(\check{p}|_{\check{\Sigma}_{i_0}}) > 0.$$

If condition (ii) holds, we embed  $\Sigma_{i_0}$  into lower-fold  $\{t < 0\}$ . We have

$$\check{H} - tr(\check{p}|_{\check{\Sigma}_{i_0}}) > 0$$

in this case.

The *quasi local mass* of  $\Sigma_{i_0}$  is defined as

$$\hat{m}(\Sigma_{i_0}) = \begin{cases} \min_{\check{\Sigma}} \hat{m}_+(\Sigma_{i_0}, \check{\phi}): & \text{if condition (i) holds,} \\ \min_{\check{\Sigma}} \hat{m}_-(\Sigma_{i_0}, \check{\phi}): & \text{if condition (ii) holds.} \end{cases} \quad (4.5)$$

Note that it might have two different values via embedding to the upper-fold and to the lower-fold respectively when  $tr(p) = 0$ . However, since  $\tilde{D}\phi = 0$ ,  $\tilde{D}(e_0 \cdot \phi) = -tr_g(p)\phi = 0$ , we have

$$\int_{\Sigma} \langle e_r \cdot \phi, e_0 \cdot \phi \rangle = \int_M \langle \tilde{D}\phi, e_0 \cdot \phi \rangle - \langle \phi, \tilde{D}(e_0 \cdot \phi) \rangle = 0.$$

This implies  $\hat{m}_+(\Sigma_{i_0}, \check{\phi}) = \hat{m}_-(\Sigma_{i_0}, \check{\phi})$ . Hence  $\hat{m}(\Sigma_{i_0})$  is unique in this case. Furthurmore, (4.5) approaches (4.2) when  $\kappa \rightarrow 0$ .

If  $\Sigma_1, \dots, \Sigma_{l_0}$  can be isometrically embedded into  $\mathbb{R}^3$  in the Minkowski spacetime  $\mathbb{R}^{3,1}$ , and  $\Sigma_{l_0+1}, \dots, \Sigma_l$  can be isometrically embedded into  $\mathbb{H}_{-\kappa_{l_0+1}}^3, \dots, \mathbb{H}_{-\kappa_l}^3$  in the Minkowski spacetime  $\mathbb{R}^{3,1}$  respectively, we define the *quasi local mass* of  $\Sigma$  as

$$\hat{m}(\Sigma) = \sum_{1 \leq i \leq l_0} m(\Sigma_i) + \sum_{l_0+1 \leq i \leq l} \hat{m}(\Sigma_i). \quad (4.6)$$

**Theorem 1.** *Let  $(N, \tilde{g})$  be a spacetime which satisfies the dominant energy condition. Let  $(M, g, p)$  be a smooth initial data set with the boundary  $\Sigma$  which has finitely many multi-components  $\Sigma_i$ , each of which is topological 2-sphere. Suppose that some  $\Sigma_{i_0}$  has negative Gauss curvature and let  $K_{\Sigma_{i_0}} \geq -\kappa^2$  ( $\kappa > 0$ ) where  $-\kappa^2$  is the minimum of the Gauss curvature. If either condition (i) or condition (ii) holds, then*

- (1)  $\hat{m}(\Sigma_{i_0}) \geq 0$ ;
- (2) that  $\hat{m}(\Sigma_{i_0}) = 0$  implies the energy-momentum of spacetime satisfies

$$T_{00} = |f||\phi|^2, \quad T_{0i} = f\langle \phi, e_0 \cdot e_i \cdot \phi \rangle$$



along  $M$ , where  $f$  is a real function,  $\phi$  is the unique solution of (2.4) or (2.5) for some  $\check{\phi} \in \check{\Xi}$ .

- (3) Furthermore, if  $p_{ij} = 0$ , then  $\hat{m}(\Sigma_{i_0}) = 0$  implies that  $M$  is flat with connected boundary; if  $p_{ij} = \pm\kappa g_{ij}$ , then  $\hat{m}(\Sigma_{i_0}) = 0$  implies that  $M$  has constant curvature  $-\kappa^2$ .

*Proof* : By Lemma 1, statements (1), (2) and the first part of statement (3) can be proved by the same argument as the proof of Theorem 1 in [18]. For the proof of the second part of the statement (3), the vanishing quasi local mass implies

$$\bar{\nabla}_i \phi \pm \frac{\kappa}{2} e_0 \cdot e_i \cdot \phi = 0.$$

Since  $\bar{\nabla}_i(e_0 \cdot \phi) = e_0 \cdot \bar{\nabla}_i \phi$ , we find the  $M$  has constant Ricci curvature with the scalar curvature  $-6\kappa^2$ . Therefore  $M$  has constant curvature  $-\kappa^2$  because the dimension is 3. Q.E.D.

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