### Validity of Borodin and Kostochka Conjecture for 4K1-free Graphs

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**Abstract:** Problem of finding an optimal upper bound for the chromatic no. of even  $3K_1$ -free graphs is still open and pretty hard. Here we prove **Borodin & Kostochka Conjecture** for  $4K_1$ -free graphs G i.e. if  $\Delta(G) \ge 9$  and G is  $4K_1$ -free, then  $\chi(G) \le \max\{\omega, \Delta - 1\}$ .

# Introduction:

In [1], [2], [3], [4] chromatic bounds for graphs are considered especially in relation with  $\omega$  and  $\Delta$ . Gyárfás [5] and Kim [6] show that the optimal  $\chi$ -binding function for the class of 4K<sub>1</sub>-free graphs has order  $\omega^2/\log(\omega)$ . If we forbid additional induced subgraphs, the order of the optimal  $\chi$ -binding function drops below  $\omega^2/\log(\omega)$ . In 1941, Brooks' theorem stated that for any connected undirected graph *G* with maximum degree  $\Delta$ , the chromatic number of *G* is at most  $\Delta$  unless *G* is a complete graph or an odd cycle, in which case the chromatic number is  $\Delta + 1$  [5]. In 1977, **Borodin & Kostochka** [6] conjectured that if  $\Delta(G) \ge 9$ , then  $\chi(G) \le \max\{\omega, \Delta-1\}$ . In 1999, Reed proved the conjecture for  $\Delta \ge 10^{14}$  [7]. Also D. W. Cranston and L. Rabern [8] proved it for claw-free graphs. Here we prove **Borodin & Kostochka** conjecture for  $4K_1$ -free graphs.

**Notation:** For a graph G, V(G), E(G),  $\Delta$ ,  $\omega$ ,  $\chi$  denote the vertex set, edge set, maximum degree, size of a maximum clique, chromatic number of G resply. For  $u \in V(G)$ ,  $N(u) = \{v \in V(G) / uv \in E(G)\}$ , and  $\overline{N(u)} = N(u) \cup (u)$ . If  $S \subseteq V$ , then  $\langle S \rangle$  denotes subgraph of G induced by S. If C is some coloring of G and if  $u \in V(G)$  is colored m in C, then u is called a m-vertex, if N(u) has a unique r-vertex, then r is called a unique color of u and if N(u) has more than one r-vertex, then r is called a repeat color of u. Also if P is a path in G s.t. vertices on P are alternately colored say i and j, then P is called an i-j path. All graphs considered henceforth are simple. We consider here simple and undirected graphs. For terms which are not defined herein we refer to Bondy and Murty [9].

## **Main Result:** Let G be $4K_1$ -free and $\Delta \ge 9$ , then $\chi \le \max{\{\Delta - 1, \omega\}}$ .

Proof: Let if possible G be a smallest, connected,  $4K_1$ -free graph with  $\Delta \ge 9$  and  $\chi > \max{\Delta-1, \omega}$ . Then clearly as  $G \ne C_{2n+1}$  or  $K_{|V(G)|}$ ,  $\chi = \Delta > \omega$ . Let  $u \in V(G)$ . Then  $G \cdot u \ne K_{|V(G)|-1}$  (else  $\chi = \omega$ ). If  $\Delta(G \cdot u) \ge 9$ , then by minimality  $\chi(G \cdot u) \le \max{\omega(G \cdot u), \Delta(G - u)-1}$ . Clearly if  $\omega(G - u) \le \Delta(G - u)-1$ , then  $\chi(G - u) = \Delta(G - u)-1 \le \Delta-1$  and otherwise  $\chi(G - u) = \omega(G - u) \le \omega < \Delta$ . In any case  $\chi(G - u) \le \Delta-1$ . Also if  $\Delta(G - u) < 9$ , then as  $G - u \ne C_{2n+1}$ , by Brook's Theorem  $\chi(G - u) \le \Delta(G - u) < 9 \le \Delta$ . Thus always  $\chi(G - u) \le \Delta-1$  and in fact,  $\chi(G - u) = \Delta-1$  and deg  $v \ge \Delta-1 \forall v \in V(G)$ .

Let  $u \in V(G)$  be s.t. deg  $u = \Delta$ . Let  $S = \{1, ..., \Delta -1, \Delta\}$  be a  $\Delta$ -coloring of G with only u colored  $\Delta$ . Then N(u) has  $\Delta$ -2 vertices A<sub>i</sub> with unique colors i ( $1 \le i \le \Delta -2$ ) and a pair of vertices say X, Y with the same color  $\Delta$ -1. Clearly A<sub>i</sub> has a j-vertex for  $1 \le i \ne j \le \Delta -2$  (else color A<sub>i</sub> by j, u by i).

**Case 1:**  $\exists$  a ( $\Delta$ -1)-coloring of G-u s.t.  $A_iA_j \notin E(G)$  for some  $i, j \in \{1, ..., \Delta$ -2 $\}$ .

(A) For no m,  $A_m$  is the only m-vertex of both  $A_i$  and  $A_j$  for  $1 \le i, j, m \le \Delta -2$ .

Let if possible  $A_i$ ,  $A_k$  both have  $A_m$  as the only m-vertex. Then as  $A_m$  has at the most one repeat color, w.l.g.  $A_j$  be the only j-vertex of  $A_m$ . Then color  $A_i$ ,  $A_j$  by m,  $A_m$  by j, u by i, a contradiction.

(B)  $A_i$ ,  $A_j$  do not have more than two common adjacent  $A_k$ s in N(u).

Let  $A_i$ ,  $A_j$  be both adjacent to say  $A_k$ ,  $A_l$ ,  $A_m 1 \le i$ , j, k, l,  $m \le \Delta -2$ . As each of  $A_i$ ,  $A_j$  has at the most one repeat color, w.l.g. let  $A_m$  be the only m-vertex of both  $A_i$  and  $A_j$ , a contradiction to (A).

(C)  $A_i$  is non-adjacent to at the most three  $A_k s \Rightarrow As \Delta - 2 \ge 7$ ,  $A_i$  is adjacent to at least three  $A_m, 1 \le i$ ,  $k, m \le \Delta - 2$ .

Let if possible  $A_1A_k \notin E(G)$  for  $2 \le k \le 5$ . As G is  $4K_1$ -free,  $\exists$  at most two more 1-vertices  $a_{11}$ ,  $a_{12}$  and as  $\exists$  1-k path from  $A_1$  to  $A_k$ , either  $a_{11}$  or  $a_{12}$  is adjacent to  $A_k$  with two k-vertices for  $2 \le k \le 5$ . Again  $a_{1i}$  cannot have three repeat colors (else N( $a_{1i}$ ) has a color say r missing. Color  $a_{1i}$  by r. Then either (i)

some  $A_k$  (2≤k≤5) has no 1-vertex, hence color  $A_k$  by 1, u by k or (ii)  $a_{12}A_k \in E(G)$  (2≤k≤5),  $a_{12}$  has four repeat colors and N( $a_{12}$ ) has color t missing. Color  $a_{12}$  by t,  $A_k$  by 1, u by k). Thus w.l.g. let  $A_ia_{11}$ ,  $A_ja_{12} \in E(G)$  for i = 2, 3 and j = 4, 5 s.t.  $a_{11}$  has two repeat colors 2, 3 and  $a_{12}$  has two repeat colors 4, 5. Clearly  $A_1A_j \in E(G) \forall 6 \le i \le \Delta -2$  (else either  $a_{11}$  or  $a_{12}$  has three repeat colors).

#### **Claim 1:** Whenever $A_1$ has a unique i-vertex say B for $6 \le i \le \Delta -1$ , $A_1$ is the only 1-vertex of B.

Let if possible  $Ba_{11} \in E(G)$ . Then B has a unique m-vertex for  $2 \le m \le \Delta - 1$  (else N(B) has some color r missing. Color B by r,  $A_1$  by i, u by 1). As  $a_{11}$  has two repeat colors 2, 3, B is its only i-vertex. Then G has at the most one more i-vertex say b (else  $<A_1$ ,  $a_{11}$ ,  $b_{11}$ ,  $b_{12} > = 4K_1$ ). Again by (A), B is not the only i-vertex of any  $A_k$ , for  $2 \le k \le 5$ . Hence  $A_k b \in E(G)$  for  $2 \le k \le 5$ . Now  $A_k B \notin E(G)$  for k = 2, 3 (else color  $A_k$  by 1,  $a_{11}$  by i, B by 2/3,  $A_1$  by i, u by 1) and b has two k-vertices for k = 2, 3 (else color  $A_k$  by i, b by k, u by k)  $\Rightarrow A_m$  is the only m-vertex of b for m = 4, 5 (else b has color r missing in N(b). Color b by r,  $A_2$  by i, u by 2). Now  $A_m$  has two i-vertices (else b is the only i-vertex of  $A_m$ . Color b by m,  $A_m$  by i, u by m),  $m \in \{4, 5\} \Rightarrow a_{12}$  is the only 1-vertex of  $A_m$ ,  $m \in \{4, 5\}$ . Again  $Ba_{12} \notin E(G)$  (else B has three 1-vertices and color say r missing in N(B). Color B by r,  $A_1$  by i, u by 1)  $\Rightarrow a_{12}$  b  $\in E(G)$ . Then color b by 4,  $A_4$  by 1,  $a_{12}$  by i, u by 4, a contradiction. This proves **Claim 1**.

Now  $a_{1k}$  has an i-vertex for k = 1, 2 (else color  $a_{1k}$  by i. If  $a_{1k}$  is the only 1-vertex of  $A_m (2 \le m \le 5)$ , then color  $A_m$  by 1, u by m and if every  $A_m$  has two 1-vertices, then if k = 1 (2), color  $A_2$  (A<sub>4</sub>) by 1,  $a_{12}(a_{11})$  by 2 (4), u by 2 (4)).

Let  $a_{11}b_{i1} \in E(G)$ . As  $a_{11}$  has two repeat colors 2, 3,  $b_{i1}$  is the only i-vertex of  $a_{11}$ .

### **Claim 2:** $a_{11}$ is the only 1-vertex of $b_{i1}$ .

Let if possible  $a_{12}b_{i1} \in E(G)$ . As  $a_{12}$  has two repeat colors 4, 5,  $b_{i1}$  is the only i-vertex of  $a_{12}$ . Then G doesn't have an i-vertex say  $b_{12} \notin \{B, b_{11}\}$  (else  $\langle a_{11}, a_{12}, B, b_{12} \rangle = 4K_1$ ). Again by (A), B cannot be the only i-vertex of any  $A_m$  for  $2 \le m \le 5$ . Hence  $A_m b_{i1} \in E(G)$  for  $2 \le m \le 5$ . If  $A_k$  is the only k-vertex of  $b_{i1}$  for some k,  $2 \le k \le 5$ , then if  $b_{i1}$  is the only i-vertex of  $A_k$ , color  $A_k$  by i,  $b_{i1}$  by k, u by k and if  $A_k$ , has two i-vertices, then  $a_{1j}$  being the only 1-vertex of  $A_k$ , color  $A_k$  by 1,  $a_{1j}$  by i,  $b_{i1}$  by k, u by k, contradictions in both the cases. Hence let  $b_{i1}$  have repeat colors  $k \forall k$ ,  $2 \le k \le 5$ . But then  $b_{i1}$  has color r missing in N( $b_{i1}$ ). Color  $b_{i1}$  by r and  $a_{11}$  by i. Then  $A_2a_{12} \in E(G)$  (else color  $A_2$  by 1, u by 2). Again as  $a_{12}$  has two repeat colors 4, 5,  $A_2$  is its only 2-vertex and hence color  $A_2$  by 1,  $a_{12}$  by 2, u by 2, a contradiction. This proves Claim 2.

Similarly if  $b_{i2}$  is an i-vertex of  $a_{12}$ , then  $a_{12}$  ( $b_{i2}$ ) is the only 1-vertex (i-vertex) of  $b_{i2}$  ( $a_{12}$ ). Now  $A_m b_{i1}$ ,  $A_n b_{i2} \in E(G)$  for m = 2, 3 and n = 4, 5 (else let  $A_2 b_{i1} \notin E(G)$ ). If  $a_{11}$  is the only 1-vertex of  $A_2$ , then color  $a_{11}$  by i,  $b_{i1}$  by 1,  $A_2$  by 1, u by 2 and if  $A_2 a_{12} \in E(G)$ , then color  $a_{11}$  by i,  $b_{i1}$  by 1,  $a_{12}$  by 2,  $A_2$  by 1, u by 2).

As  $A_1$  has at the most one repeat color, w.l.g. let  $A_1$  have unique 2, 3, 4 vertices. Let P (R) be a 2-1 (4-1) path from  $A_2$  (A<sub>4</sub>) to  $A_1$ . As  $a_{12}$  ( $a_{11}$ ) has a unique 2-vertex (4-vertex), clearly  $P = \{A_2, a_{11}, a_{21}, A_1\}$  and  $R = \{A_4, a_{12}, a_{41}, A_1\}$ .

#### **Claim 3:** $a_{21}a_{12}$ , $A_2a_{12} \notin E(G)$ . Similarly $a_{41}a_{11}$ , $A_4a_{11} \notin E(G)$ .

Let if possible  $a_{21}a_{12} \in E(G)$ . Then G has no other 2-vertex  $a_{22} \notin \{A_2, a_{21}\}$  (else  $\langle a_{22}, a_{12}, A_1, A_2 \rangle = 4K_1$ ). Also  $a_{21}b_{i1} \in E(G)$  (else  $A_2$  is the only 2-vertex of  $b_{i1}$ . If  $b_{i1}$  is the only i-vertex of  $A_2$ , then color  $b_{i1}$  by 2,  $A_2$  by i, u by 2 and if  $A_2$  has two i-vertices, then color  $b_{i1}$  by 2,  $A_2$  by 1,  $a_{11}$  by i, u by 2). As  $a_{21}$  has three 1-vertices,  $Ba_{21} \notin E(G)$  and hence  $BA_2 \in E(G)$  (else color B by 2,  $A_1$  by i, u by 1). Thus  $b_{i2}$  has no 2-vertex. Then if  $A_4a_{11} \notin E(G)$ , color  $b_{i2}$  by 2,  $a_{12}$  by i,  $A_4$  by 1, u by 4 and if  $A_4a_{11} \in E(G)$ , color  $b_{i2}$  by 2,  $A_4$  by i, u by 4, contradictions in both the cases. Hence  $a_{21}a_{12} \notin E(G)$ 

 $\Rightarrow$   $a_{21}b_{i1} \in E(G)$  (else color  $b_{i1}$  by 1,  $a_{11}$  by i,  $a_{21}$  by 1,  $A_1$  by 2, u by 1).

Next let if possible  $A_2a_{12} \in E(G)$ . Then  $b_{i1}$  is the only i-vertex of  $A_2$  and  $A_2B \notin E(G)$ . Also  $A_2$  is the only 2-vertex of  $a_{12}$  and hence G has no other 2-vertex say  $a_{22}$  (else  $\langle a_{22}, a_{12}, A_1, a_{11} \rangle = 4K_1$ )  $\Rightarrow Ba_{21} \in$ 

E(G) (else color B by 2, A<sub>1</sub> by i, u by 1). As  $a_{21}$  has two 1-vertices and i-vertices,  $a_{21}b_{i2} \notin E(G)$ . Also as A<sub>2</sub> has two 1-vertices  $A_{2}b_{i2} \notin E(G)$ . Color  $b_{i2}$  by 2,  $a_{12}$  by i. If  $a_{12}$  is the only 1-vertex of A<sub>4</sub>, then color A<sub>4</sub> by 1, u by 4 and if A<sub>4</sub> $a_{11} \in E(G)$ , then color  $a_{11}$  by 4, A<sub>4</sub> by 1, u by 4, contradictions in both the cases. Hence  $A_{2}a_{12} \notin E(G)$ . This proves **Claim 3**.

**Claim 4:** Whenever  $A_1$  has a unique i-vertex B for  $6 \le i \le \Delta -1$ , either  $A_2$  or  $a_{21}$  has two i-vertices. Let  $b_{i1}$  be the only i-vertex  $A_2$ . Now  $b_{i1}$  is not the only i-vertex of  $a_{21}$  (else  $<a_{21}$ ,  $A_2$ ,  $b_{i2}$ ,  $B > = 4K_1$ ). Thus  $a_{21}$  has two i-vertices. This proves **Claim 4**.

Now as  $\Delta \ge 9$ , and A<sub>1</sub> has at the most one repeat color, A<sub>1</sub> has at least two unique k-vertices for  $k \in \{6, 7, ..., \Delta - 1\}$ . Let B, C be the unique i-vertex, k-vertex of A<sub>1</sub> resply for i,  $k \in \{6, 7, ..., \Delta - 1\}$ . Again as a<sub>21</sub> has two 1-vertices, each of A<sub>2</sub> and a<sub>21</sub> has at the most one other repeat color. By **Claim** 4, w.l.g. let A<sub>2</sub>, a<sub>21</sub> have two i-vetices, k-vertices resply.  $\Rightarrow A_2$ , a<sub>21</sub> has a unique 4-vertex each. Similarly A<sub>4</sub>, a<sub>41</sub> has a unique 2-vertex each. Now A<sub>2</sub>a<sub>41</sub>  $\notin E(G)$  (else color a<sub>41</sub> by 2, A<sub>2</sub> by 4, u by 2). Also A<sub>2</sub>A<sub>4</sub>  $\notin E(G)$  (else color A<sub>4</sub> by 2, A<sub>2</sub> by 4, a<sub>11</sub> by 2, a<sub>21</sub> by 1, A<sub>1</sub> by 2, u by 1)  $\Rightarrow$  a<sub>21</sub>a<sub>41</sub>  $\in E(G)$  (else <a\_{21}, a\_{41}, A\_2, A\_4> = 4K\_1). As a<sub>11</sub> is the unique 1-vertex of A<sub>2</sub>, color a<sub>41</sub> by 2, a<sub>21</sub> by 4, a<sub>11</sub> by 2, A<sub>2</sub> by 1, u by 2, a contradiction.

This proves (C).

If  $A_iA_j \notin E(G)$  ( $1 \le i, j \le \Delta - 2$ ), then as  $\Delta - 2 \ge 7$ , by (**C**),  $\exists m (1 \le m \le \Delta - 2)$  s.t.  $A_iA_m A_jA_m \in E(G)$ . Also by (**B**),  $\exists$  maximum two such m's ( $1 \le m \le \Delta - 2$ ).

### **Case 1.1:** $\exists$ i, j s.t. $A_iA_j \notin E(G)$ and $A_iA_k$ , $A_iA_m$ , $A_jA_k$ , $A_jA_m \in E(G)$ , $1 \le i, j, k, m \le \Delta - 2$ .

W.l.g. let i = 1, j = 2, k = 5, j = 6. Also by (C), let  $A_1A_4, A_2A_7 \in E(G)$ . Then by (B),  $A_1A_7, A_2A_j \notin E(G)$ . By (A), w.l.g. let  $A_1, A_2$  have two 5-vertices, 6-vertices resply. Clearly  $A_4(A_7)$  is the unique 4-vertex (7-vertex) of  $A_1(A_2)$ . Also by (C),  $A_7$  is adjacent to at least one of  $A_i, i \in \{3, 4, 6\}$  and if  $A_7A_i \in E(G)$ ,  $i \in \{3, 4, 6\}$ , then  $A_7$  has two i-vertices (else  $A_7, A_1$  have a unique i-vertex  $A_i$ , a contradiction to (A)) and hence  $A_2$  is the unique 2-vertex of  $A_7$ . Now  $A_3A_1$  or  $A_3A_2 \in E(G)$  (else by (C),  $A_3$  is adjacent to at least three of  $A_4, A_5, A_6, A_7$  and either  $A_3, A_1$  or  $A_3, A_2$  have a common adjacent  $A_i$  s.t.  $A_i$  is their only i-vertex, a contradiction to (A)). W.l.g. let  $A_3A_1 \in E(G)$ . Again  $A_3$  is the unique 3-vertex of  $A_1$ . Now  $\exists$  2-i paths from  $A_2$  to  $A_i$  (i = 1, 3, 4). Also as G is  $4K_1$ -free,  $\exists$  at most two more 2-vertices  $a_{21}, a_{22}$  and at least one of them say  $a_{21}$  has two repeat colors from  $\{1, 3, 4\}$ .

**Case 1.1.1:**  $a_{21}A_3$ ,  $a_{21}A_4 \in E(G)$  and  $a_{21}$  has two repeat colors 3, 4.

Then  $a_{22}A_1 \in E(G)$  and  $a_{22}$  has two 1-vertices and  $a_{22}$  is the only 2-vertex of  $A_1$ . W.l.g. let  $a_{22}$  have a unique 3-vertex (else  $a_{22}$  has a color r missing in N( $a_{22}$ ). Color  $a_{22}$  by r,  $A_1$  by 2, u by 1). Then  $a_{22}A_3 \notin E(G)$  (else color  $a_{22}$  by 3,  $A_3$  by 1,  $A_1$  by 2, u by 3). Consider a 3-2 path T from  $A_3$  to  $A_2$  with  $a_{31}$  being the 3-vertex of  $A_2$  on T. As  $a_{22}$  has a unique 3-vertex, clearly  $a_{21}a_{31} \in E(G)$ . Now  $a_{22}a_{31} \in E(G)$  (else alter colors along { $A_2$ ,  $a_{31}$ ,  $a_{21}$ ,  $A_3$ }, color  $A_1$  by 3, u by 1). Then G does not have a 3-vertex  $a_{32} \notin {A_3, a_{31}}$  (else < $A_2, a_{22}, a_{32}, A_3$ ) = 4K<sub>1</sub>). Now  $A_7a_{31} \in E(G)$  (else  $A_3$  is the only 3-vertex of both  $A_1$  and  $A_7$ , contrary to (**A**)). But as  $a_{31}$  has three 2-vertices,  $A_7$  is its only 7-vertex. Also by (**C**),  $A_7$  is adjacent to at least one  $A_j$  (j  $\in {3, 4, 6}$  and has two j-vertices (else  $A_j$  is the only j-vertex of  $A_1$  and  $A_7$ , contrary to (**A**)). Hence  $A_2$  is the only 2-vertex of  $A_7$ . Then color  $a_{31}$  by 7,  $A_7$  by 2,  $A_2$  by 3, u by 7, a contradiction. This proves **Case 1.1.1**.

Case 1.1.2: A<sub>3</sub>, A<sub>4</sub> do not have a common adjacent 2-vertex.

W.l.g. let  $a_{21}A_1$ ,  $a_{21}A_3 \in E(G)$  and  $a_{22}A_4 \in E(G)$ . Then  $a_{21}A_4$ ,  $a_{22}A_3 \notin E(G)$ . Clearly  $a_{21}$  has two 1-vertices and 3-vertices and hence a unique 4-vertex. Let  $a_{41}$  be the unique 4-vertex of  $A_2$ . Then as  $\exists$  a 2-4 path S from  $A_2$  to  $A_4$ , clearly  $a_{22}a_{41} \in E(G)$ . Now  $a_{21}a_{41} \notin E(G)$  (else G does not have a 4-vertex  $a_{42} \notin \{a_{41}, A_4\}$ , as otherwise  $\langle A_2, a_{21}, a_{42}, A_4 \rangle = 4K_1 \Rightarrow A_7a_{41} \in E(G)$  as otherwise  $A_7$  and  $A_1$  have a common unique 4-vertex  $A_4$ , a contradiction to (**A**). But then color  $A_7$  by 2,  $A_2$  by 4,  $a_{41}$  by 7, u by 7). Let  $a_{42}$  be the unique 4-vertex of  $a_{21}$ . Then  $a_{42}a_{22} \in E(G)$  (else alter colors along  $\{A_4, a_{22}, a_{41}, A_2\}$ , color  $A_1$  by 4, u by 1). Thus  $a_{22}$  has three 4-vertices and hence a unique i-vertex for  $1 \leq i \leq \Delta - 1$ ,  $i \notin \{2, 4\}$ . Now  $A_4$  has a unique j-vertex for j = 1 or 3. Consider a 2-j path T from  $A_2$  to  $A_j$  and let  $a_{j1}$  be the

unique j-vertex of A<sub>2</sub>. Clearly  $a_{21}a_{j1} \in E(G)$ . Again  $a_{22}a_{j1} \notin E(G)$  (else G does not have a j-vertex  $a_{j2} \notin \{a_{j1}, A_j\}$ , as otherwise  $\langle A_2, a_{22}, a_{j2}, A_j \rangle = 4K_1 \Rightarrow A_7a_{j1} \in E(G)$ . Color A<sub>7</sub> by 2, A<sub>2</sub> by j,  $a_{j1}$  by 7, u by 7). Hence  $\exists a_{j2}$  s.t.  $a_{22}a_{j2} \in E(G)$  (else color  $a_{22}$  by j, A<sub>4</sub> by 2, u by 4). Now clearly  $a_{22}$  is the unique 2-vertex of  $a_{j2}$  and vice versa  $\Rightarrow A_4a_{j2} \in E(G)$  (else color  $a_{22}$  by j,  $a_{j2}$  by 2, A<sub>4</sub> by 2, u by 4). Clearly A<sub>4</sub> has two 1-vertices (else A<sub>1</sub> is its unique 1-vertex. Alter colors along {A<sub>4</sub>,  $a_{22}$ ,  $a_{41}$ , A<sub>2</sub>}, color A<sub>1</sub> by 4, u by 1)  $\Rightarrow$  j = 3 and  $a_{32}$  is the unique 3-vertex of A<sub>4</sub>  $\Rightarrow$  A<sub>3</sub>A<sub>4</sub>  $\notin$  E(G). Then by (C), A<sub>4</sub> is adjacent to at least two A<sub>k</sub>s for k  $\in$  {5, 6, 7}. Let A<sub>4</sub>A<sub>m</sub> $\in$  E(G), for m = 5 or 7. Then A<sub>m</sub> is the unique m-vertex of A<sub>4</sub> and A<sub>2</sub>, a contradiction to (A).

**Case 1.2:**  $\forall i, j \text{ s.t. } A_i A_j \notin E(G), A_i, A_j$  have only one common adjacent  $A_k \text{ in } N(u), 1 \le i, j, k \le \Delta - 2$ . W.l.g. let i = 1, j = 2 and k = 3. By (C), let  $A_1 A_m \in E(G)$  for  $m = 4, 5, A_2 A_1 \in E(G)$  for l = 6, 7. Let if possible  $A_3 A_4 \notin E(G)$ . Now  $A_4$  is adjacent to at the most one of  $A_6, A_7$  (else we get Case 1.1 with  $A_2$  and  $A_4$ ) and hence by (C),  $A_4 A_5 \in E(G)$ . Also by (C), w.l.g. let  $A_4 A_6 \in E(G)$ . Again  $A_3 A_5, A_3 A_6 \notin E(G)$  (else we get Case 1.1 with  $A_3$  and  $A_4$ ) and hence by (C),  $A_4 A_5 \in E(G)$ . Also by (C), w.l.g. let  $A_4 A_6 \in E(G)$ . Again  $A_3 A_5, A_3 A_6 \notin E(G)$  (else we get Case 1.1 with  $A_3$  and  $A_5$ ) and  $A_5 A_6 \in E(G)$ . But then we get Case 1.1 with  $A_1$  and  $A_6$ , a contradiction. Hence  $A_3 A_i \in E(G)$  for  $4 \le i \le 7$ . Again  $A_4 A_5, A_6 A_7 \in E(G)$  (else we get Case 1.1 with  $A_4$ ,  $A_5$  or  $A_6, A_7$ ). Also either all  $A_i$  have two 3-vertices for  $1 \le i \ne 3 \le 7$  or say  $A_1$  has a unique 3-vertex. Again if  $A_1$  has a unique 3-vertex, then  $A_2, A_6, A_7$  all have two 3-vertices (else a contradiction to (A)). Hence w.l.g. let  $A_1, A_4, A_5$  have two 3-vertices. As G is  $4K_1$ -free, G has at the most two 2-vertices say  $a_{2i}$  (i = 1, 2). W.l.g. let  $A_1 a_{21} \in E(G)$ . Now  $a_{21}$  has a the most two repeat colors (else a color say r is missing in  $N(a_{21})$ . Color  $a_{21}$  by r,  $A_1$  by 2, u by 1). Also as  $\exists i-2$  paths from  $A_i$  to  $A_2$  for i = 1, 4, 5, either  $a_{21}$  or  $a_{22}$  has two j-vertices for j = 1, 4, 5. W.l.g. let  $a_{21}$  have two repeat colors 1, 4 with  $A_{1a_{21}, A_{4a_{21}} \in E(G) \Rightarrow A_5 a_{22} \in E(G)$  and  $a_{22}$  has two 5-vertices. Again at least two of  $\{1, 4, 5\}$  are unique colors of  $A_2$ .

**Case 1.2.1.** A<sub>2</sub> has a unique 1-vertex and 5-vertex.

Let  $A_{2}a_{11}$ ,  $A_{2}a_{51} \in E(G)$ . As  $a_{21}$  has two repeat colors 1, 4, it has a unique 5-vertex and clearly as  $\exists 2-5$  path from  $A_2$  to  $A_5$ ,  $a_{22}a_{51} \in E(G)$ . Now  $a_{21}a_{51} \notin E(G)$  (else G doesn't have a 5-vertex  $a_{52} \notin \{A_5, a_{51}\}$  as otherwise  $\langle A_5, a_{52}, A_2, a_{21} \rangle = 4K_1$ . As  $a_{51}$  has three 2-vertices,  $A_6$  is its only 6-vertex. Also  $a_{51}$  is the only 5-vertex of  $A_6$ . Color  $a_{51}$  by 6,  $A_6$  by 5, u by 6)  $\Rightarrow a_{21}a_{52} \in E(G)$ . Also  $a_{52}a_{22} \in E(G)$  (else color  $A_2$  by 5,  $a_{51}$  by 2,  $a_{22}$  by 5,  $A_5$  by 2,  $A_1$  by 5, u by 1)... But then  $a_{22}a_{11} \notin E(G)$  (else G doesn't have a 1-vertex  $a_{12} \notin \{A_1, a_{11}\}$  as otherwise  $\langle A_1, a_{12}, A_2, a_{22} \rangle = 4K_1$  and  $a_{11}$  has three repeat colors 2, 6, 7 with color say r missing in N( $a_{11}$ ). Color  $a_{11}$  by r,  $A_2$  by 1, u by 2)  $\Rightarrow a_{11}a_{21} \in E(G)$ . Let  $a_{22}a_{12} \in E(G)$ . Then  $a_{22}$  ( $a_{12}$ ) is the only 2-vertex (1-vertex) of  $a_{12}$  ( $a_{22}$ ). Color  $a_{22}$  by 1,  $a_{12}$  by 2,  $A_5$  by 2, u by 5, a contradiction.

Case 1.2.2. A<sub>2</sub> has a unique 1-vertex and 4-vertex.

Let  $A_{2a_{11}}$ ,  $A_{2a_{41}} \in E(G)$ . As  $a_{22}$  has two 5-vertices, w.l.g. let  $a_{22}$  have a unique 1-vertex. Then  $a_{22}a_{11} \notin E(G)$  (else if  $\exists a_{12}$ , then  $\langle A_1, a_{12}, A_2, a_{22} \rangle = 4K_1$  and if  $a_{12}$  doesn't exist, then  $a_{11}$  has three repeat colors 2, 6, 7 and color say r is missing in N( $a_{11}$ ). Color  $a_{11}$  by r,  $A_2$  by 1, u by 2)  $\Rightarrow a_{22}a_{12} \in E(G)$  and  $a_{21}a_{11} \in E(G)$ . Then  $a_{22}$  ( $a_{12}$ ) is the only 2-vertex (1-vertex ) of  $a_{12}$  ( $a_{22}$ ). Color  $a_{22}$  by 1,  $a_{12}$  by 2,  $A_5$  by 2, u by 5, a contradiction.

This proves Case 1.

Case 2: In every ( $\Delta$ -1)-coloring of G-u, all vertices with unique colors in N(u) are adjacent.

Clearly  $\Delta -1 \le \omega$  and hence  $\Delta -1 = \omega \ge 8 \Rightarrow \langle \bigcup_{i=1}^{\Delta -2} A_i \rangle$  is a maximum clique in G-u and  $\{X, Y\} = N(u)$ -

$$\bigcup_{i=1}^{\Delta-2} A_i$$

**I.** At most two vertices in  $\bigcup_{i=1}^{\Delta^{-2}} A_i$  are non-adjacent to both X and Y.

Let if possible  $A_1$ ,  $A_2$ ,  $A_3$  be non-adjacent to both X and Y. Then clearly  $\exists$  a ( $\Delta$ -1)-vertex say Z in V(G) s.t.  $ZA_i \in E(G)$  for i = 1, 2, 3. Moreover, as G is  $4K_1$ -free, Z is their only ( $\Delta$ -1)-vertex. If  $A_i$  is the only i-vertex of Z for some i ( $1 \le i \le 3$ ), then color  $A_i$  by  $\Delta$ -1, Z by i, u by i, a contradiction. Hence Z has at least two i-vertices for i = 1, 2, 3. But then Z has some color r missing in N(Z). Color Z by r,  $A_i$  by  $\Delta$ -1, u by i, a contradiction.

**II.** Every vertex  $A_i$  of N(u) has at least one j-vertex  $j \neq i$  (else color  $A_i$  by j and u by i),  $1 \le i, j \le \Delta - 2$ . **III.** X (Y) has a k-vertex for every  $k = 1, ..., \Delta - 2$ .

Let if possible X not have a k-vertex. Also as  $\langle u \cup \bigcup_{i=1}^{\Delta-2} A_i \rangle$  is a maximum clique in G,  $\exists$  i ( $1 \le i \le \Delta-2$ )

s.t.  $YA_i \notin E(G)$ . Then color X by k. Now i = k (else we get **Case 1** as Y and  $A_i$  are unique vertices in N(u)). As  $\Delta \ge 9$  and each of Y and  $A_i$  has at the most one repeat color, clearly  $\exists j (1 \le j \le \Delta -2)$  s.t.  $A_j$  is the only j-vertex of both Y and  $A_i$ . Also  $A_j$  has either a unique i-vertex  $A_i$  or ( $\Delta$ -1)-vertex Y. Color Y and  $A_i$  by j,  $A_j$  by i ( $\Delta$ -1), u by  $\Delta$ -1 (j), a contradiction.

**IV.** X (Y) is adjacent to at least  $\omega$ -5 vertices in  $\bigcup A_i$ .

Let if possible X be non-adjacent to  $A_i$ , i = 1,..., 5. By **I**, w.l.g. let  $YA_i \in E(G)$  for i = 1, 2, 3. Also let  $YA_k \notin E(G)$  for some  $k \ge 4$ . By **II** and **III**, Y and  $A_k$  each has at the most one repeat color and hence w.l.g. let  $A_1$  be the unique 1-vertex of Y and  $A_k$ . Now  $A_1$  has two ( $\Delta$ -1)-vertices (else color Y and  $A_k$  by 1,  $A_1$  by  $\Delta$ -1, u by k)  $\Rightarrow A_k$  is the unique k-vertex of  $A_1$ . Then color Y and  $A_k$  by 1,  $A_1$  by k and we get **Case 1** with two non-adjacent, unique vertices X,  $A_1$ , a contradiction.

V. X (Y) is not the only  $(\Delta$ -1)-vertex of any A<sub>i</sub>.

Let if possible X be the only ( $\Delta$ -1)-vertex of some A<sub>i</sub>. By **IV**,  $\exists$  k, j s.t. XA<sub>k</sub>, XA<sub>j</sub>  $\in$  E(G). Also let XA<sub>m</sub>  $\notin$  E(G) for some m. If A<sub>i</sub> is the only i-vertex of X and A<sub>m</sub>, then color X, A<sub>m</sub> by i, A<sub>i</sub> by  $\Delta$ -1, u by m, a contradiction. Hence let A<sub>i</sub> be not the only i-vertex of either X or A<sub>m</sub>. As X and A<sub>m</sub> have at the most one repeat color, w.l.g. let A<sub>k</sub> be the only k-vertex of X and A<sub>m</sub>. Again if X is the only ( $\Delta$ -1)-vertex of A<sub>k</sub>, then as before we get a contradiction. Hence let A<sub>k</sub> have two ( $\Delta$ -1)-vertices. But then color A<sub>k</sub> by i, A<sub>i</sub> by  $\Delta$ -1, X by k, A<sub>m</sub> by k, u by m, a contradiction.

By IV, w.l.g. let  $XA_k \in E(G)$  for k = 1, 2, 3 and  $XA_4 \notin E(G)$ . Also w.l.g. let  $A_1$  be the only 1-vertex of X and A<sub>4</sub>. By V, A<sub>1</sub> has two ( $\Delta$ -1)-vertices. If any A<sub>i</sub> ( $1 \le i \le \Delta$ -2,  $i \ne 4$ ) is non-adjacent to Y, then as before by coloring X, A<sub>4</sub> by 1 and A<sub>1</sub> by 4, we get **Case 1** and hence YA<sub>k</sub>  $\in E(G)$  for every  $k \ne 4$ . Similarly XA<sub>k</sub>  $\in E(G)$  for every  $k \ne 4$ . As  $\Delta \ge 9$ ,  $\exists$  i s.t. A<sub>i</sub> is the only i-vertex of X, Y and A<sub>4</sub>. Color X, Y, A<sub>4</sub> by i, A<sub>i</sub> by  $\Delta$ -1, u by 4, a contradiction.

This proves Case 2 and completes the proof of the Main Result.

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