

## IS THERE ANY NONTRIVIAL COMPACT GENERALIZED SHIFT OPERATOR ON HILBERT SPACES?

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ABSTRACT. In the following text for cardinal number  $\tau > 0$ , and self-map  $\varphi : \tau \rightarrow \tau$  we show the generalized shift operator  $\sigma_\varphi(\ell^2(\tau)) \subseteq \ell^2(\tau)$  (where  $\sigma_\varphi((x_\alpha)_{\alpha < \tau}) = (x_{\varphi(\alpha)})_{\alpha < \tau}$  for  $(x_\alpha)_{\alpha < \tau} \in \mathbb{C}^\tau$ ) if and only if  $\varphi : \tau \rightarrow \tau$  is bounded and in this case  $\sigma_\varphi \upharpoonright_{\ell^2(\tau)} : \ell^2(\tau) \rightarrow \ell^2(\tau)$  is continuous, consequently  $\sigma_\varphi \upharpoonright_{\ell^2(\tau)} : \ell^2(\tau) \rightarrow \ell^2(\tau)$  is a compact operator if and only if  $\tau$  is finite.

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### 1. PRELIMINARIES

The concept of generalized shifts has been introduced for the first time in [2] as a generalization of one-sided shift  $\{1, \dots, k\}^{\mathbb{N}} \rightarrow \{1, \dots, k\}^{\mathbb{N}}$  and two-sided shift  $(a_1, a_2, \dots) \mapsto (a_2, a_3, \dots)$

$\{1, \dots, k\}^{\mathbb{Z}} \rightarrow \{1, \dots, k\}^{\mathbb{Z}}$  [10, 9]. Suppose  $K$  is a nonempty set with at least two elements,  $\Gamma$  is a nonempty set, and  $\varphi : \Gamma \rightarrow \Gamma$  is an arbitrary map, then we call  $\sigma_\varphi : K^\Gamma \rightarrow K^\Gamma$  a generalized shift (for one-sided and two-sided shifts consider  $\varphi(n) = n + 1$ ). It's evident that for topological space  $K$ ,  $\sigma_\varphi : K^\Gamma \rightarrow K^\Gamma$  is continuous, where  $K^\Gamma$  is equipped by product topology.

For Hilbert space  $H$  there exists unique cardinal number  $\tau$  such that  $H$  and  $\ell^2(\tau)$  are isomorphic [4, 8]. All members of the collection  $\{\ell^2(\tau) : \tau \text{ is a non-zero cardinal number}\}$  are Hilbert spaces, moreover for cardinal number  $\tau$  and  $(x_\alpha)_{\alpha < \tau} \in \mathbb{K}^\tau$  (where  $\mathbb{K} \in \{\mathbb{R}, \mathbb{C}\}$  depending on our choice for real Hilbert spaces or Complex Hilbert spaces) we have  $x = (x_\alpha)_{\alpha < \tau} \in \ell^2(\tau)$  if and only if  $\|x\|^2 := \sum_{\alpha < \tau} |x_\alpha|^2 < +\infty$ .

Moreover for  $(x_\alpha)_{\alpha < \tau}, (y_\alpha)_{\alpha < \tau} \in \ell^2(\tau)$  let  $\langle (x_\alpha)_{\alpha < \tau}, (y_\alpha)_{\alpha < \tau} \rangle = \sum_{\alpha < \tau} x_\alpha \overline{y_\alpha}$  (inner product). For  $\varphi : \tau \rightarrow \tau$ , one may consider  $\sigma_\varphi : \mathbb{K}^\tau \rightarrow \mathbb{K}^\tau$  in particular we may study  $\sigma_\varphi \upharpoonright_{\ell^2(\tau)} : \ell^2(\tau) \rightarrow \mathbb{K}^\tau$ .

**Convention.** In the following text suppose  $\tau > 1$  is a cardinal number and  $\varphi : \tau \rightarrow \tau$  is arbitrary, we denote  $\sigma_\varphi \upharpoonright_{\ell^2(\tau)} : \ell^2(\tau) \rightarrow \mathbb{K}^\tau$  simply by  $\sigma_\varphi : \ell^2(\tau) \rightarrow \mathbb{K}^\tau$ , and equip  $\ell^2(\tau)$  with its usual inner product introduced in the above lines. Also for cardinal number  $\psi$  let (for properties of cardinal numbers and their arithmetic see [7]):

$$\psi^* := \begin{cases} \psi & \psi \text{ is finite,} \\ +\infty & \text{otherwise.} \end{cases}$$

Moreover for  $s \neq t$  let  $\delta_s^t = 0$  and  $\delta_s^s = 1$ .

If  $X, Y$  are normed vector spaces, we say the linear map  $S : X \rightarrow Y$  is an operator if it is continuous. We call  $(X, T)$  a linear dynamical system, if  $X$  is a normed

vector space and  $T : X \rightarrow X$  is an operator [5].

Let's recall that  $\mathbb{R}$  is the set of real numbers,  $\mathbb{C}$  is the set of complex numbers, and  $\mathbb{N} = \{1, 2, \dots\}$  is the set of natural numbers.

## 2. ON GENERALIZED SHIFT OPERATORS

In this section we show  $\sigma_\varphi(\ell^2(\tau)) \subseteq \ell^2(\tau)$  (and  $\sigma_\varphi : \ell^2(\tau) \rightarrow \ell^2(\tau)$  is continuous) if and only if  $\varphi : \tau \rightarrow \tau$  is bounded. Moreover  $\sigma_\varphi(\ell^2(\tau)) = \ell^2(\tau)$  if and only if  $\varphi : \tau \rightarrow \tau$  is one-to-one.

**Remark 2.1.** We say  $f : A \rightarrow A$  is bounded if there exists finite  $n \geq 1$  such that for all  $a \in A$  we have  $\text{card}(\varphi^{-1}(a)) \leq n$  [6].

**Theorem 2.2.** The following statements are equivalent:

1.  $\sigma_\varphi(\ell^2(\tau)) \subseteq \ell^2(\tau)$ ,
2.  $\varphi : \tau \rightarrow \tau$  is bounded,
3.  $\sigma_\varphi : \ell^2(\tau) \rightarrow \ell^2(\tau)$  is a linear continuous map.

Moreover in the above case we have  $\|\sigma_\varphi\| = \sqrt{\sup\{(\text{card}(\varphi^{-1}(\alpha)))^* : \alpha \in \tau\}}$ .

*Proof.* First note that for  $x = (x_\alpha)_{\alpha < \tau}$  we have

$$(*) \quad \|\sigma_\varphi(x)\|^2 = \sum_{\alpha < \tau} |x_{\varphi(\alpha)}|^2 = \sum_{\alpha < \tau} ((\text{card}(\varphi^{-1}(\alpha)))^* |x_\alpha|^2)$$

(where  $0(+\infty) = (+\infty)0 = 0$ ).

“(1)  $\Rightarrow$  (2)” Suppose  $\sigma_\varphi(\ell^2(\tau)) \subseteq \ell^2(\tau)$ , for  $\theta < \tau$  we have  $\|(\delta_\alpha^\theta)_{\alpha < \tau}\| = 1$  and:

$$\|\sigma_\varphi((\delta_\alpha^\theta)_{\alpha < \tau})\|^2 = (\text{card}(\varphi^{-1}(\theta)))^*$$

by (\*). Hence  $(\delta_\alpha^\theta)_{\alpha < \tau} \in \ell^2(\tau)$  and  $\sigma_\varphi((\delta_\alpha^\theta)_{\alpha < \tau}) \in \sigma_\varphi(\ell^2(\tau)) \subseteq \ell^2(\tau)$ , thus  $\varphi^{-1}(\theta)$  is finite.

Thus  $\{\text{card}(\varphi^{-1}(\alpha)) : \alpha \in \tau\}$  is a collection of finite cardinal numbers. If  $\sup\{(\text{card}(\varphi^{-1}(\alpha)))^* : \alpha \in \tau\} = +\infty$ , then there exists a strictly increasing sequence  $\{n_k\}_{k \geq 1}$  in  $\mathbb{N}$  and sequence  $\{\alpha_k\}_{k \geq 1}$  in  $\tau$  such that for all  $k \geq 1$  we have  $\text{card}(\varphi^{-1}(\alpha_k)) = n_k$ . Since  $\{n_k\}_{k \geq 1}$  is a one-to-one sequence,  $\{\alpha_k\}_{k \geq 1}$  is a one-to-one sequence too. Consider  $(x_\alpha)_{\alpha < \tau}$  with:

$$x_\alpha := \begin{cases} \frac{1}{k} & \alpha = \alpha_k, k \geq 1, \\ 0 & \text{otherwise.} \end{cases}$$

Then  $\sum_{\alpha < \tau} |x_\alpha|^2 = \sum_{k \geq 1} x_{\alpha_k}^2 = \sum_{k \geq 1} \frac{1}{k^2} < +\infty$  and  $(x_\alpha)_{\alpha < \tau} \in \ell^2(\tau)$ . On the other hand by (\*) we have  $\|\sigma_\varphi((x_\alpha)_{\alpha < \tau})\|^2 = \sum_{k \geq 1} \frac{n_k}{k^2} \geq \sum_{k \geq 1} \frac{1}{k} = +\infty$  (note that  $n_k \geq k$  for all  $k \geq 1$ ), in particular  $\sigma_\varphi((x_\alpha)_{\alpha < \tau}) \notin \ell^2(\tau)$  which leads to the contradiction  $\sigma_\varphi(\ell^2(\tau)) \not\subseteq \ell^2(\tau)$ . Therefore  $\sup\{\text{card}(\varphi^{-1}(\alpha)) : \alpha \in \tau\}$  is finite and is a natural number.

“(2)  $\Rightarrow$  (3)” Suppose  $n := \sup\{\text{card}(\varphi^{-1}(\alpha)) : \alpha \in \tau\}$  is finite. For all  $x = (x_\alpha)_{\alpha < \tau} \in \ell^2(\tau)$  we have:

$$\|\sigma_\varphi(x)\| = \sqrt{\sum_{\alpha < \tau} ((\text{card}(\varphi^{-1}(\alpha)))^* |x_\alpha|^2)} \leq \sqrt{\sum_{\alpha < \tau} (n |x_\alpha|^2)} = \sqrt{n} \|x\|$$

which shows continuity of  $\sigma_\varphi$  and  $\|\sigma_\varphi\| \leq \sqrt{n}$ . On the other hand, there exists  $\theta < \tau$  with  $\text{card}(\varphi^{-1}(\theta)) = n$ . By  $\|(\delta_\alpha^\theta)_{\alpha < \tau}\| = 1$  and (\*) we have  $\|\sigma_\varphi((\delta_\alpha^\theta)_{\alpha < \tau})\| = \sqrt{n}$  which leads to  $\|\sigma_\varphi\| \geq \sqrt{n}$ .  $\square$

By [1, Lemma 4.1] and [2],  $\varphi : \tau \rightarrow \tau$  is one-to-one (resp. onto) if and only if  $\sigma_\varphi : \mathbb{K}^\tau \rightarrow \mathbb{K}^\tau$  is onto (resp. one-to-one), however the following lemma deal with  $\sigma_\varphi : \ell^2(\tau) \rightarrow \ell^2(\tau)$ .

**Lemma 2.3.** The following statements are equivalent:

1.  $\sigma_\varphi(\ell^2(\tau)) = \ell^2(\tau)$ ,
2.  $\sigma_\varphi(\ell^2(\tau))$  is a dense subset of  $\ell^2(\tau)$ ,
3.  $\varphi : \tau \rightarrow \tau$  is one-to-one.

In addition the following statements are equivalent too:

- i.  $\sigma_\varphi : \ell^2(\tau) \rightarrow \mathbb{K}^\tau$  is one-to-one,
- ii.  $\varphi : \tau \rightarrow \tau$  is onto.

*Proof.* “(2)  $\Rightarrow$  (3)” Suppose  $\varphi : \tau \rightarrow \tau$  is not one-to-one, then there exists  $\theta \neq \psi$  with  $\mu := \varphi(\theta) = \varphi(\psi)$ . There exists  $(y_\alpha)_{\alpha < \tau} \in \ell^2(\tau)$  with  $\|\sigma_\varphi((y_\alpha)_{\alpha < \tau}) - (\delta_\alpha^\theta)_{\alpha < \tau}\| < \frac{1}{4}$ , thus for all  $\alpha < \tau$  we have  $|y_{\varphi(\alpha)} - \delta_\alpha^\theta| < \frac{1}{4}$  in particular  $|y_{\varphi(\psi)} - \delta_\psi^\theta| < \frac{1}{4}$  and  $|y_{\varphi(\theta)} - \delta_\theta^\theta| < \frac{1}{4}$ , thus  $|y_\mu| < \frac{1}{4}$  and  $|y_\mu - 1| < \frac{1}{4}$ , which is a contradiction, therefore  $\varphi : \tau \rightarrow \tau$  is one-to-one.

“(3)  $\Rightarrow$  (1)” Suppose  $\varphi : \tau \rightarrow \tau$  is one-to-one, then by Theorem 2.2,  $\sigma_\varphi(\ell^2(\tau)) \subseteq \ell^2(\tau)$ . For  $y = (y_\alpha)_{\alpha < \tau} \in \ell^2(\tau)$ , define  $x = (x_\alpha)_{\alpha < \tau}$  in the following way:

$$x_\alpha = \begin{cases} y_\beta & \alpha = \varphi(\beta), \beta < \tau, \\ 0 & \alpha \in \tau \setminus \varphi(\tau), \end{cases}$$

then  $\|x\| = \|y\|$  and  $x \in \ell^2(\tau)$ , moreover  $\sigma_\varphi(x) = y$ , which leads to  $\sigma_\varphi(\ell^2(\tau)) = \ell^2(\tau)$ .

In order to complete the proof we should prove that (i) and (ii) are equivalent however by [1, Lemma 4.1], (ii) implies (i), so we should just prove that (i) implies (ii).

“(i)  $\Rightarrow$  (ii)” Suppose  $\varphi : \tau \rightarrow \tau$  is not onto and choose  $\theta \in \tau \setminus \varphi(\tau)$ . Then  $(\delta_\alpha^\theta)_{\alpha < \tau}, (0)_{\alpha < \tau}$  are two distinct elements of  $\ell^2(\tau)$ , however

$$\sigma_\varphi((\delta_\alpha^\theta)_{\alpha < \tau}) = \sigma_\varphi((0)_{\alpha < \tau}) = (0)_{\alpha < \tau}$$

and  $\sigma_\varphi : \ell^2(\tau) \rightarrow \mathbb{K}^\tau$  is not one-to-one.  $\square$

**Corollary 2.4.** The following statements are equivalent:

1.  $\varphi : \tau \rightarrow \tau$  is bijective,
2.  $\sigma_\varphi : \ell^2(\tau) \rightarrow \ell^2(\tau)$  is bijective,
3.  $\sigma_\varphi : \ell^2(\tau) \rightarrow \ell^2(\tau)$  is an isomorphism,
4.  $\sigma_\varphi : \ell^2(\tau) \rightarrow \ell^2(\tau)$  is an isometry.

*Proof.* Using Lemma 2.3, (1) and (2) are equivalent. It's evident that (3) implies (2), moreover if  $\varphi : \tau \rightarrow \tau$  is bijective, then by Theorem 2.2 two linear maps  $\sigma_\varphi : \ell^2(\tau) \rightarrow \ell^2(\tau)$  and its inverse  $\sigma_{\varphi^{-1}} : \ell^2(\tau) \rightarrow \ell^2(\tau)$  are continuous, hence (1) implies (3).

(1) implies (4), is evident by (\*) in Theorem 2.2. In order to complete the proof, we should just prove that (4) implies (1).

“(4)  $\Rightarrow$  (1)” Suppose  $\sigma_\varphi : \ell^2(\tau) \rightarrow \ell^2(\tau)$  is an isometry, then  $\sigma_\varphi : \ell^2(\tau) \rightarrow \ell^2(\tau)$  is one-to-one and by Lemma 2.3,  $\varphi : \tau \rightarrow \tau$  is onto. Moreover,  $\|\sigma_\varphi\| = 1$  since  $\sigma_\varphi : \ell^2(\tau) \rightarrow \ell^2(\tau)$  is an isometry. By Lemma 2.2 we have  $1 = \|\sigma_\varphi\|^2 = \sup\{\text{card}(\varphi^{-1}(\alpha)) : \alpha \in \tau\}$ , thus for all  $\alpha < \tau$  we have  $\text{card}(\varphi^{-1}(\alpha)) \leq 1$  and  $\varphi : \tau \rightarrow \tau$  is one-to-one.  $\square$

**Lemma 2.5.** Let  $\mathcal{D} = \{z \in \ell^2(\tau) : \sigma_\varphi(z) \in \ell^2(\tau)\}$  (consider  $\mathcal{D}$  with induced normed and topology of  $\ell^2(\tau)$ ), then:

1.  $\mathcal{D}$  is a subspace of  $\ell^2(\tau)$ ,
2.  $\{\theta < \tau : \exists (z_\alpha)_{\alpha < \tau} \in \mathcal{D} \ z_\theta \neq 0\} = \{\alpha < \tau : \varphi^{-1}(\alpha) \text{ is finite}\}$ .

*Proof.* Since  $\sigma_\varphi : \ell^2(\tau) \rightarrow \mathbb{K}^\tau$  is linear, we have immediately (1).  
2) We have

$$(**) \quad \|\sigma_\varphi((\delta_\alpha^\theta)_{\alpha < \tau})\| = \begin{cases} \sqrt{(\text{card}(\varphi^{-1}(\theta)))^*} & \varphi^{-1}(\theta) \text{ is finite,} \\ +\infty & \varphi^{-1}(\theta) \text{ is infinite.} \end{cases}$$

Thus if  $\varphi^{-1}(\theta)$  is finite we have  $\sigma_\varphi((\delta_\alpha^\theta)_{\alpha < \tau}) \in \ell^2(\tau)$  and  $(\delta_\alpha^\theta)_{\alpha < \tau} \in \mathcal{D}$ , which shows  $\theta \in \{\beta < \tau : \exists (z_\alpha)_{\alpha < \tau} \in \mathcal{D} \ z_\beta \neq 0\}$ . Therefore:

$$\{\alpha < \tau : \varphi^{-1}(\alpha) \text{ is finite}\} \subseteq \{\theta < \tau : \exists (z_\alpha)_{\alpha < \tau} \in \mathcal{D} \ z_\theta \neq 0\}$$

Now for  $\theta < \tau$  suppose there exists  $(z_\alpha)_{\alpha < \tau} \in \mathcal{D}$  with  $z_\theta \neq 0$ . Using the fact that  $\mathcal{D}$  is a subspace of  $\ell^2(\tau)$  we may suppose  $z_\theta = 1$ , now we have (since  $\sigma_\varphi(z) \in \ell^2(\tau)$ ):

$$\|\sigma_\varphi((\delta_\alpha^\theta)_{\alpha < \tau})\| = \|\sigma_\varphi((z_\alpha \delta_\alpha^\theta)_{\alpha < \tau})\| \leq \|\sigma_\varphi(z)\| < +\infty,$$

by (\*\*),  $\varphi^{-1}(\theta)$  is finite, which completes the proof of (2).  $\square$

**Note 2.6.** For  $H \subseteq \tau$  the closure of subspace generated by  $\{(\delta_\alpha^\theta)_{\alpha < \tau} : \theta \in H\}$  (in  $\ell^2(\tau)$ ) is  $\{(x_\alpha)_{\alpha < \tau} \in \ell^2(\tau) : \forall \alpha \notin H \ (x_\alpha = 0)\}$ .

**Theorem 2.7.** For  $\mathcal{D} = \{z \in \ell^2(\tau) : \sigma_\varphi(z) \in \ell^2(\tau)\}$  as in Lemma 2.5 and  $M := \{\alpha < \tau : \varphi^{-1}(\alpha) \text{ is finite}\}$ , the following statements are equivalent:

1.  $\sigma_\varphi \upharpoonright_{\mathcal{D}} : \mathcal{D} \rightarrow \ell^2(\tau)$  is continuous,
2. there exists finite  $n \geq 1$  with  $\text{card}(\varphi^{-1}(\alpha)) \leq n$  for all  $\alpha \in M$ ,
3.  $\mathcal{D} = \{(x_\alpha)_{\alpha < \tau} \in \ell^2(\tau) : \forall \theta \notin M \ x_\theta = 0\}$ ,
4.  $\mathcal{D}$  is a closed subspace of  $\ell^2(\tau)$ ,

*Proof.* “(1)  $\Rightarrow$  (2)” Suppose  $\sigma_\varphi \upharpoonright_{\mathcal{D}} : \mathcal{D} \rightarrow \ell^2(\tau)$  is continuous, consider  $\theta < \tau$  with finite  $\varphi^{-1}(\theta)$ . By proof of item (2) in Lemma 2.5,  $(\delta_\alpha^\theta)_{\alpha < \tau} \in \mathcal{D}$  and  $\|\sigma_\varphi((\delta_\alpha^\theta)_{\alpha < \tau})\| = \sqrt{(\text{card}(\varphi^{-1}(\theta)))^*}$ , thus

$$+\infty > \|\sigma_\varphi\| \geq \sup\{\sqrt{(\text{card}(\varphi^{-1}(\theta)))^*} : \theta \in M\}.$$

“(2)  $\Rightarrow$  (1)” For  $n := \sup\{\text{card}(\varphi^{-1}(\alpha)) : \alpha \in M\} < +\infty$  and  $x = (x_\alpha)_{\alpha < \tau} \in \mathcal{D}$  we have  $\|\sigma_\varphi(x)\| = \sqrt{\sum_{\alpha \in M} ((\text{card}(\varphi^{-1}(\alpha)))^* |x_\alpha|^2)} \leq \sqrt{\sum_{\alpha \in M} (n |x_\alpha|^2)} = \sqrt{n} \|x\|$  which shows continuity of  $\sigma_\varphi \upharpoonright_{\mathcal{D}} : \mathcal{D} \rightarrow \ell^2(\tau)$ .

“(3)  $\Rightarrow$  (4)” Note that for nonempty  $M$ ,  $\{(x_\alpha)_{\alpha < \tau} \in \ell^2(\tau) : \forall \theta \notin M \ x_\theta = 0\}$  is just  $\ell^2(M)$ .

“(4)  $\Rightarrow$  (3)” By proof and notations in Lemma 2.5, we have  $\{(\delta_\alpha^\theta)_{\alpha < \tau} : \theta \in M\} \subseteq \mathcal{D}$ . Use Note 2.6 to complete the proof.

“(2)  $\Rightarrow$  (3)” For  $n := \sup\{\text{card}(\varphi^{-1}(\alpha)) : \alpha \in M\} < +\infty$  and  $x = (x_\alpha)_{\alpha < \tau} \in \ell^2(\tau)$  with  $x_\alpha = 0$  for all  $\alpha \notin M$  we have  $\|\sigma_\varphi(x)\| \leq \sqrt{n} \|x\|$  which shows  $x \in \mathcal{D}$  and  $\{(x_\alpha)_{\alpha < \tau} \in \ell^2(\tau) : \forall \theta \notin M \ x_\theta = 0\} \subseteq \mathcal{D}$ . Using Lemma 2.5 we have  $\mathcal{D} \subseteq \{(x_\alpha)_{\alpha < \tau} \in \ell^2(\tau) : \forall \theta \notin M \ x_\theta = 0\}$ .

“(3)  $\Rightarrow$  (2)” If  $\sup\{(\text{card}(\varphi^{-1}(\alpha)))^* : \alpha \in M\} = +\infty$ , then there exists a strictly increasing sequence  $\{n_k\}_{k \geq 1}$  in  $\mathbb{N}$  and sequence  $\{\alpha_k\}_{k \geq 1}$  in  $M$  such that for all  $k \geq 1$

we have  $\text{card}(\varphi^{-1}(\alpha_k)) = n_k$ . Using a similar method described in Lemma 2.2, consider  $(x_\alpha)_{\alpha < \tau} \in \mathcal{D}$  with:

$$x_\alpha := \begin{cases} \frac{1}{k} & \alpha = \alpha_k, k \geq 1, \\ 0 & \text{otherwise.} \end{cases}$$

Then  $\|\sigma_\varphi((x_\alpha)_{\alpha < \tau})\|^2 = \sum_{k \geq 1} \frac{n_k}{k^2} \geq \sum_{k \geq 1} \frac{1}{k} = +\infty$ , in particular  $\sigma_\varphi((x_\alpha)_{\alpha < \tau}) \notin \ell^2(\tau)$  which is in contradiction with  $(x_\alpha)_{\alpha < \tau} \in \mathcal{D}$  and completes the proof.  $\square$

**2.1. Compact generalized shift operators.** For normed vector spaces  $X, Y$  we say the operator  $T : X \rightarrow Y$  is a compact operator, if  $\overline{T(B^X(0, 1))}$  is a compact subset of  $Y$ , where  $B^X(0, 1) = \{x \in X : \|x\| < 1\}$  [3].

**Theorem 2.8.** The generalized shift operator  $\sigma_\varphi : \ell^2(\tau) \rightarrow \ell^2(\tau)$  is compact if and only if  $\tau$  is finite.

*Proof.* If  $\tau$  is infinite, then by Theorem 2.2,  $\{\varphi^{-1}(\alpha) : \alpha < \tau\} \setminus \{\emptyset\}$  is a partition of  $\tau$  to its finite subsets, thus there exists a one-to-one sequence  $\{\alpha_n\}_{n \geq 1}$  in  $\tau$  such that  $\{\varphi^{-1}(\alpha_n)\}_{n \geq 1}$  is a sequence of nonempty finite and disjoint subsets of  $\tau$ . For all distinct  $n, m \geq 1$  we have

$$\|\sigma_\varphi((\delta_\alpha^{\alpha_n})_{\alpha < \tau}) - \sigma_\varphi((\delta_\alpha^{\alpha_m})_{\alpha < \tau})\| = \sqrt{2}$$

so  $\{\sigma_\varphi(\frac{1}{2}(\delta_\alpha^{\alpha_n})_{\alpha < \tau})\}_{n \geq 1}$  (is a sequence in  $\overline{\sigma_\varphi(B((0)_{\alpha < \tau}, 1))}$ ) without any converging subsequence. Therefore  $\overline{\sigma_\varphi(B((0)_{\alpha < \tau}, 1))}$  is not compact and  $\sigma_\varphi : \ell^2(\tau) \rightarrow \ell^2(\tau)$  is not a compact operator.

On the other hand, if  $\tau$  is finite, then every linear operator on  $\ell^2(\tau)$  is compact, hence  $\sigma_\varphi : \ell^2(\tau) \rightarrow \ell^2(\tau)$  is a compact operator.  $\square$

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