RECOLLEMENTS OF ABELIAN CATEGORIES AND IDEALS IN HEREDITY CHAINS - A RECURSIVE APPROACH TO QUASI-HEREDITARY ALGEBRAS

NAN GAO, STEFFEN KOENIG AND CHRYSOSTOMOS PSAROUDAKIS

ABSTRACT. Recollements of abelian categories are used as a basis of a homological and recursive approach to quasi-hereditary algebras. This yields a homological proof of Dlab and Ringel's characterisation of idempotent ideals occuring in heredity chains, which in turn characterises quasi-hereditary algebras recursively. Further applications are given to hereditary algebras and to Morita context rings.

1. INTRODUCTION

Quasi-hereditary algebras are abundant in representation theory and its applications to Lie theory and geometry. Examples include hereditary algebras, Auslander algebras and generally algebras of global dimension two, Schur algebras of reductive algebraic groups and other algebras arising from highest weight categories, endomorphism algebras of projective generators in categories filtered by standard or exceptional objects, and so on. Customary definitions of quasihereditary algebras proceed inductively by first defining what is called a heredity ideal AeA in an algebra A (with an idempotent element $e = e^2$) and then considering A/AeA and a heredity ideal therein. The (finite) induction then produces a chain $0 \subset Ae_nA \subset Ae_{n-1}A \subset \cdots \subset Ae_1A \subset Ae_0A = A$ with subquotients being heredity ideals in the respective quotient algebras. Equivalently, one may define standard modules as being relative projective over the respective quotient algebra, with $\Delta(n) = Ae_n$, $\Delta(n-1) = Ae_{n-1}/Ae_n$, and so on. Starting with (semi)simple algebras, all quasi-hereditary algebras can be constructed using a generalisation of Hochschild cocycles (see Parshall and Scott's 'not so trivial extensions' in [11]).

Another construction of all quasi-hereditary algebras, recursive in nature and not using cocycles, has been given by Dlab and Ringel [4], who were motivated by constructions for perverse sheaves (that are closely related to quasi-hereditary algebras). Using ring theoretical methods, Dlab and Ringel gave a characterisation of a given algebra A being quasi-hereditary and a given idempotent ideal AeAoccuring somewhere in a heredity chain of A, in terms of both eAe and A/AeAbeing quasi-hereditary (which is not sufficient) and additional conditions.

In the background of all the definitions, characterisations and properties of quasihereditary algebras are six functors that are the algebraic analogues of Grothendieck's six functors and that form a recollement of abelian categories relating the module categories of A and of eAe and A/AeA. The aim of this article is to take such recollements and the occuring functors as basic ingredients for redeveloping the theory of quasi-hereditary algebras, replacing ring theoretical by homological tools and the inductive approach (starting with heredity ideals) by a recursive characterisation (starting with any ideal in a heredity chain) in Theorem 2.1, which is proved

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by a direct and homological approach; the main result of [4] then follows quickly. Another approach via recollements of abelian categories, has been considered by Krause [9]; this approach concentrates on heredity ideals.

On the way, various basic properties of quasi-hereditary algebras are given new proofs. Feasibility of the new approach is demonstrated further by also giving a homological proof that hereditary algebras are quasi-hereditary with any ordering (a result due to Dlab and Ringel) and by adding a class of Morita context rings to the known classes of examples of quasi-hereditary algebras. In addition, our approach provides a solution to the problem when the middle term in a recollement of module categories (over semiprimary rings) is hereditary.

2. QUASI-HEREDITARY ALGEBRAS AND IDEALS IN HEREDITY CHAINS

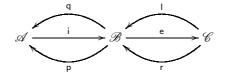
Let A be a semiprimary ring. Let X be a poset and assume that $\{S(x) \mid x \in X\}$ is a complete set of pairwise non-isomorphic simple A-modules. Semiprimary rings are perfect [1], hence every module has a projective cover. We write P(x) for the projective cover of the simple A-module S(x).

Let $N := \{N_1, \ldots, N_k\}$ be a finite set of A-modules. The category of A-modules with N-filtration, denoted by $\mathcal{F}(AN)$, is defined to be the full subcategory of A-Mod, i.e. the category of all left A-modules, consisting of A-modules M such that there exists a filtration $0 = M_{l+1} \subseteq M_l \subseteq \cdots \subseteq M_0 = M$ where each quotient M_j/M_{j+1} belongs to Add N_i for some N_i (*i* depending on *j*) in $N = \{N_1, \ldots, N_k\}$. Note that the filtration has to be finite, while the subquotients may be infinite sums in Add N_i , which is the full subcategory of A-Mod consisting of all modules which are summands of a direct sum of N_i . Objects in $\mathcal{F}(AN)$ are said to be *filtered* by N_1, \ldots, N_k .

Recall from [3] that the ring A is called *quasi-hereditary* with respect to the poset X if for each $x \in X$, there is a quotient module $\Delta(x)$ of P(x), called a *standard* module, satisfying the following two conditions:

- (i) the kernel of the canonical epimorphism $P(x) \longrightarrow \Delta(x)$ is filtered by $\Delta(z)$ with z > x, and
- (ii) the kernel of the canonical epimorphism $\Delta(x) \longrightarrow S(x)$ is filtered by S(y) with y < x.

Recollements of triangulated or abelian categories were introduced by Beilinson, Bernstein and Deligne in [2]. A *recollement* between abelian categories (see, for instance, [6, 10]) \mathscr{A}, \mathscr{B} and \mathscr{C} is a diagram of the form



henceforth denoted by $(\mathscr{A}, \mathscr{B}, \mathscr{C})$, satisfying the following conditions:

- (i) (I, e, r) is an adjoint triple.
- (ii) (q, i, p) is an adjoint triple.
- (iii) The functors i, l, and r are fully faithful.
- (iv) Im i = Ker e.

For properties of recollements of abelian categories we refer to [6, 14]. We are interested in recollement with all terms being module categories. Let R be a ring and let e be an idempotent element of R. Then there is a recollement of module categories

$$R/ReR-\operatorname{Mod} \xrightarrow{\operatorname{inc}} R-\operatorname{Mod} \xrightarrow{\operatorname{Re}\otimes_{eRe}-} eRe-\operatorname{Mod} (2.1)$$

$$\operatorname{Hom}_{R(R/ReR,-)} \operatorname{Hom}_{eRe}(eR,-)$$

By [12], any recollement of module categories is equivalent, in an appropriate sense, to one induced by an idempotent element. Thus, the recollement (2.1) can be considered as the general recollement situation of *R*-Mod.

If S is a simple A-module (resp. simple eAe-module), then we denote by P(S) (resp. $P_e(S)$) the projective cover of the simple module S.

Now, the main result can be stated and proved; Dlab and Ringel's original result will follow as Corollary 2.4.

Theorem 2.1. Let A be a semiprimary ring and e be an idempotent of A. The following statements are equivalent:

- (i) The ring A is quasi-hereditary and there exists a heredity chain such that AeA is contained.
- (ii) There is a recollement of module categories of the form (2.1) such that the following conditions hold:
 - (a) A/AeA and eAe are quasi-hereditary rings;
 - (b) The counit map $Ae \otimes_{eAe} eA(1-e) \longrightarrow A(1-e)$ is a monomorphism;
 - (c) $eA \in \mathcal{F}(_{eAe}\Delta);$
 - (d) $\operatorname{Tor}_{1}^{eAe}(Ae, _{eAe}\Delta) = 0.$

Proof. Let $S_1, S_2, \ldots, S_m, S_{m+1}, \ldots, S_n$ be a full set of non-isomorphic simple Amodules. Note that the poset X is now the set $\{1, 2, \ldots, n\}$. Indices are chosen such that $eS_i = 0$ for all $1 \le i \le m$ and $eS_i \ne 0$ for all $m + 1 \le i \le n$. Then $\{S_1, \ldots, S_m\}$ are the simple A/AeA-modules and $\{eS_{m+1}, \ldots, eS_n\}$ are the simple eAe-modules and A/AeA $\otimes_A S_i = 0$ for all $m + 1 \le i \le n$. Moreover, there is an epimorphism $Ae \otimes_{eAe} eS_i \longrightarrow S_i$ for any $m + 1 \le i \le n$. Furthermore, considering the exact sequence $0 \longrightarrow AeA \longrightarrow A \longrightarrow A/AeA \longrightarrow 0$ of right A-modules and applying $- \otimes_A S_i$, we have that $A/AeA \otimes_A S_i = S_i$ and $\operatorname{Tor}_1^A(A/AeA, S_i) = 0$ since $eS_i = 0$ for all $1 \le i \le m$.

Step 0. If $eS_i \neq 0$, then $eS_i = \text{Hom}_A(Ae, S_i) \neq 0$ and thus S_i is a quotient of Ae. Hence there exists a primitive idempotent e_i with $e \cdot e_i = e_i = e_i \cdot e$ such that Ae_i is a projective cover of S_i , i.e. isomorphic to $P(S_i)$. Then eAe_i is a projective cover of eS_i , thus isomorphic to $P_e(eS_i)$. We use this step later in the proof.

(i) \implies (ii): Let $\Delta(1), \ldots, \Delta(n)$ be the standard A-modules up to isomorphism. The proof is divided into seven steps.

Step 1. We show that $e\Delta(i) = 0$ and $\operatorname{Tor}_1^A(A/AeA, \Delta(i)) = 0$ for all $1 \le i \le m$. Since A is quasi-hereditary, there is an exact sequence

$$0 \longrightarrow \operatorname{Ker} f_i \longrightarrow \Delta(i) \xrightarrow{f_i} S_i \longrightarrow 0 \tag{2.2}$$

such that Ker f_i is filtered by S_j with $1 \le j < i$. Applying the exact functor $eA \otimes_A -$ to the filtration of Ker f_i , it follows that $e \operatorname{Ker} f_i = 0$. This implies that $e\Delta(i) = 0$.

Consider now the exact sequence $0 \longrightarrow AeA \longrightarrow A \longrightarrow A/AeA \longrightarrow 0$ of right *A*-modules. Applying the functor $-\otimes_A \Delta(i)$, we get the exact sequence:

$$0 \to \mathsf{Tor}_1^A(A/AeA, \Delta(i)) \to AeA \otimes_A \Delta(i) \to A \otimes_A \Delta(i) \to A/AeA \otimes_A \Delta(i) \to 0$$

Since $e\Delta(i) = 0$, it follows that $\operatorname{Tor}_1^A(A/AeA, \Delta(i)) = 0$ for all $1 \le i \le m$.

Step 2. We show that $Ae \otimes_{eAe} eP(S_i) \simeq P(S_i)$ and $A/AeA \otimes_A \Delta(i) = 0$ for all $m + 1 \leq i \leq n$. By Step 0, $P_e(eS_i) = eAe_i = eP(S_i)$. Thus, we get an isomorphism $Ae \otimes_{eAe} eAe_i \simeq Ae_i$ showing the first claim. For the second one, $A/AeA \otimes_A P(S_i) \cong A/AeA \otimes_A Ae \otimes_{eAe} eP(S_i) = 0$ since $A/AeA \otimes_A Ae = 0$. Since A is quasi-hereditary, there is an epimorphism $P(S_i) \longrightarrow \Delta(i)$ and therefore $A/AeA \otimes_A \Delta(i) = 0$.

Step 3. We show that eAe is a quasi-hereditary ring with standard modules $\{e\Delta(m+1), \ldots, e\Delta(n)\}$. For every $m+1 \leq i \leq n$ there is an exact sequence of the form (2.2) such that Ker f_i is filtered by S_j with $1 \leq j < i$. Applying the exact functor $eA \otimes_A -$, we obtain the exact sequence $0 \longrightarrow e \operatorname{Ker} f_i \longrightarrow e\Delta(i) \longrightarrow eS_i \longrightarrow 0$ and $e \operatorname{Ker} f_i$ is filtered by eS_j for $m+1 \leq j < i$. Also, for all $m+1 \leq i \leq n$ there is an exact sequence

$$0 \longrightarrow \operatorname{Ker} g_i \longrightarrow P(S_i) \xrightarrow{g_i} \Delta(i) \longrightarrow 0$$
(2.3)

such that Ker g_i is filtered by $\Delta(j)$ with $i < j \leq n$. Applying the exact functor e(-) we get the exact sequence $(*): 0 \longrightarrow e \operatorname{Ker} g_i \longrightarrow eP(S_i) \longrightarrow e\Delta(i) \longrightarrow 0$. Note that by Step 0 the module $eP(S_i) \simeq P_e(eS_i)$ is projective. Clearly, the module $e \operatorname{Ker} g_i$ is filtered by $e\Delta(j)$ with $i < j \leq n$. Hence, eAe is a quasi-hereditary ring with standard eAe-modules $\{e\Delta(m+1), \ldots, e\Delta(n)\}$.

Moreover, since the modules $e \operatorname{Ker} g_i$ and $e\Delta(i)$ belong to $\mathcal{F}(eAe\Delta)$, the module $eP(S_i)$ lies in $\mathcal{F}(eAe\Delta)$ for all $1 \leq i \leq n$ and thus condition (c) holds.

Step 4. We show that $Ae \otimes_{eAe} e\Delta(i) \simeq \Delta(i)$ and $\operatorname{Tor}_1^{eAe}(Ae, e\Delta(i)) = 0$ for all $m + 1 \leq i \leq n$ (condition (d)). Consider the canonical morphism $\mu_{\Delta(i)} : Ae \otimes_{eAe} e\Delta(i) \longrightarrow \Delta(i)$. The cokernel of $\mu_{\Delta(i)}$ is $A/AeA \otimes_A \Delta(i)$ which is zero by Step 2. Hence, the map $\mu_{\Delta(i)}$ is an epimorphism for any $m + 1 \leq i \leq n$. Since A is quasi-hereditary, for all $m + 1 \leq i \leq n$ there is an exact sequence of the form (2.3) such that $\operatorname{Ker} g_i$ is filtered by $\Delta(j)$ with $i < j \leq n$. We claim that the map $\mu_{\operatorname{Ker}} g_i : Ae \otimes_{eAe} e \operatorname{Ker} g_i \longrightarrow \operatorname{Ker} g_i$ is an epimorphism. Indeed, let $0 \subseteq M_1 \subseteq M_2 \subseteq \cdots \subseteq M_{n-1} \subseteq \operatorname{Ker} g_i$ be the filtration by $\Delta(j)$ with $i < j \leq n$. Then there are exact sequences

$$0 \longrightarrow M_1 \longrightarrow M_2 \longrightarrow M_2/M_1 \longrightarrow 0 , \ 0 \longrightarrow M_2 \longrightarrow M_3 \longrightarrow M_3/M_2 \longrightarrow 0 ,$$
$$\cdots, \ 0 \longrightarrow M_{n-1} \longrightarrow \operatorname{Ker} g_i \longrightarrow \operatorname{Ker} g_i/M_{n-1} \longrightarrow 0$$

such that M_1 , M_t/M_{t-1} for t = 2, ..., n-1, and $\operatorname{Ker} g_i/M_{n-1}$ belong to the set $\{\Delta(i+1), \Delta(i+2), \ldots, \Delta(n)\}$. Applying $Ae \otimes_{eAe} eA \otimes_A -$ to the first exact sequence yields the following exact commutative diagram

$$Ae \otimes_{eAe} eM_1 \longrightarrow Ae \otimes_{eAe} eM_2 \longrightarrow Ae \otimes_{eAe} e(M_2/M_1) \longrightarrow 0$$

$$\downarrow^{\mu_{M_1}} \qquad \qquad \downarrow^{\mu_{M_2}} \qquad \qquad \downarrow^{\mu_{M_2/M_1}} \qquad (2.4)$$

$$0 \longrightarrow M_1 \longrightarrow M_2 \longrightarrow M_2/M_1 \longrightarrow 0$$

By diagram chase, the map μ_{M_2} is an epimorphism. Continuing inductively, with respect to the above exact sequences of the filtration of Ker g_i , we obtain that $\mu_{\text{Ker }g_i}$ is an epimorphism. Consider now the exact commutative diagram

Step 2 provides an isomorphism $Ae \otimes_{eAe} eP(S_i) \simeq P(S_i)$ for all $m + 1 \leq 1 \leq n$. Then, by Snake Lemma and since the map $\mu_{\operatorname{Ker} g_i}$ is an epimorphism, we have $Ae \otimes_{eAe} e\Delta(i) \simeq \Delta(i)$. Since $\operatorname{Ker} g_i$ is filtered by $\Delta(j)$ with $i < j \leq n$, we also get that $Ae \otimes_{eAe} e\operatorname{Ker} g_i \simeq \operatorname{Ker} g_i$ for all $m + 1 \leq i \leq n$. This implies that $\operatorname{Tor}_1^{eAe}(Ae, e\Delta(i)) = 0$ for all $m + 1 \leq i \leq n$.

Step 5. We show that the map $\mu_{P(S_i)}$: $Ae \otimes_{eAe} eP(S_i) \longrightarrow P(S_i)$ is a monomorphism for all $1 \leq i \leq m$. Consider the short exact sequence (2.3). Since $e\Delta(i) = 0$ for all $1 \leq i \leq m$ by Step 1, there is the following exact commutative diagram:

$$0 \longrightarrow Ae \otimes_{eAe} e \operatorname{Ker} g_{i} \xrightarrow{\cong} Ae \otimes_{eAe} eP(S_{i}) \longrightarrow 0$$

$$\downarrow^{\mu_{\operatorname{Ker}}g_{i}} \qquad \qquad \downarrow^{\mu_{P(S_{i})}} \qquad (2.5)$$

$$0 \longrightarrow \operatorname{Ker} g_{i} \longrightarrow P(S_{i}) \xrightarrow{g_{i}} \Delta(i) \longrightarrow 0$$

We claim that the map $\mu_{\mathsf{Ker}\,g_i}$ is a monomorphism. Consider the filtration of $\ker g_i$, and in particular, the exact sequence $0 \longrightarrow M_1 \longrightarrow M_2 \longrightarrow M_2/M_1 \longrightarrow 0$ (as in the proof of Step 4) where M_1 and M_2/M_1 lie in the set $\{\Delta(i+1), \ldots, \Delta(n)\}$. Note that j > i and $1 \le i \le m$. Consider now the diagram (2.4). Step 1 implies $e\Delta(i) = 0$ for all $1 \le i \le m$ and by Step 4 we have $Ae \otimes_{eAe} e\Delta(i) \simeq \Delta(i)$ for all $m + 1 \le i \le n$. Clearly, in any of the latter cases the map μ_{M_2} in (2.4) is a monomorphism. Continuing inductively on the length of the filtration of $\ker g_i$, the map $\mu_{\mathsf{Ker}\,g_i}$ is seen to be a monomorphism. Then from diagram (2.5) it follows that the map $\mu_{P(S_i)}$ is a monomorphism for any $1 \le i \le m$, that is, condition (b) holds.

Step 6. We show that $\operatorname{Tor}_1^A(A/AeA, \Delta(i)) = 0$ for all $m + 1 \le i \le n$. Consider the exact sequence $0 \longrightarrow AeA \longrightarrow A \longrightarrow A/AeA \longrightarrow 0$ of right A-modules. By Step 2 we have the following exact sequence:

$$0 \longrightarrow \mathsf{Tor}_1^A(A/AeA, \Delta(i)) \longrightarrow AeA \otimes_A \Delta(i) \longrightarrow A \otimes_A \Delta(i) \longrightarrow 0$$

Consider the following exact commutative diagram:

$$Ae \otimes_{eAe} eA \xrightarrow{\mu_A} A \longrightarrow A/AeA \longrightarrow 0$$

$$\overbrace{\kappa_A} AeA \xrightarrow{\lambda_A} AeA$$

Applying the functor $-\otimes_A \Delta(i)$, we get the commutative diagram

$$Ae \otimes_{eAe} eA \otimes_A \Delta(i) \xrightarrow{\mu_A \otimes \Delta(i)} A \otimes \Delta(i) \longrightarrow 0$$

$$\kappa_A \otimes \Delta(i) \xrightarrow{\lambda_A \otimes \Delta(i)} AeA \otimes_A \Delta(i)$$

From Step 4, the map $\mu_A \otimes \Delta(i)$ is an isomorphism. This implies that the map $\kappa_A \otimes \Delta(i)$ is an isomorphism and the map $\lambda_A \otimes \Delta(i)$ is an epimorphism. By the commutativity of the above diagram, we get the desired Tor-vanishing.

Step 7. We show that the ring A/AeA is quasi-hereditary with standard modules $\{A/AeA \otimes_A \Delta(1), \ldots, A/AeA \otimes_A \Delta(m)\}$. Recall that for all $1 \leq i \leq m$ we have $\operatorname{Tor}_1^A(A/AeA, S_i) = 0$ (see the first paragraph of the proof). Applying the functor $A/AeA \otimes_A -$ to the short exact sequence (2.2), we get the short exact sequence $0 \longrightarrow A/AeA \otimes_A \operatorname{Ker} f_i \longrightarrow A/AeA \otimes_A \Delta(S_i) \longrightarrow A/AeA \otimes_A S_i \longrightarrow 0$ such that $A/AeA \otimes_A \operatorname{Ker} f_i$ is filtered by $A/AeA \otimes_A S_j \cong S_j$ with $1 \leq j < i$. Consider now the short exact sequence (2.3). Recall that in this case $\operatorname{Ker} g_i$ is filtered by $\Delta(S_i)$ with $i < j \leq n$. Then, by Step 6 we obtain the exact sequence $0 \longrightarrow \Delta(S_i)$

 $A/AeA \otimes_A \operatorname{Ker} g_i \longrightarrow A/AeA \otimes_A P(S_i) \longrightarrow A/AeA \otimes_A \Delta(i) \longrightarrow 0$ such that $A/AeA \otimes_A \operatorname{Ker} g_i$ is filtered by $A/AeA \otimes_A \Delta(j)$ with $i < j \leq n$. We infer that the ring A/AeA is quasi-hereditary.

(ii) \implies (i): Since the ring eAe is quasi-hereditary, there exist standard eAe-modules

$$\{\Delta(eS_{m+1}),\ldots,\Delta(eS_n)\}.$$

Also, since the ring A/AeA is quasi-hereditary, there are standard A/AeA-modules

$$\{\Delta(S_1),\ldots,\Delta(S_m)\}$$

The proof is divided into two steps.

Step 1. We show that $\{Ae \otimes_{eAe} \Delta(eS_{m+1}), \ldots, Ae \otimes_{eAe} \Delta(eS_n)\}\$ are standard *A*-modules. First, recall that $Ae \otimes_{eAe} eP(S_i) \simeq P(S_i)$ for any $m+1 \le i \le n$, see the proof of Step 2 in (i) \Longrightarrow (ii). The latter isomorphism together with condition (b) gives the isomorphism $Ae \otimes_{eAe} eA \simeq AeA$.

Since eAe is quasi-hereditary, there exists an exact sequence

$$0 \longrightarrow \operatorname{Ker} \phi_i \longrightarrow \Delta(eS_i) \xrightarrow{\phi_i} eS_i \longrightarrow 0$$
(2.6)

for all $m + 1 \leq i \leq n$, such that Ker ϕ_i is filtered by eS_j with $m + 1 \leq j < i$. Applying $Ae \otimes_{eAe} - \text{to } (2.6)$ gives an exact sequence

$$0 \longrightarrow \operatorname{Ker} \psi_i \longrightarrow Ae \otimes_{eAe} \Delta(eS_i) \xrightarrow{\psi_i} S_i \longrightarrow 0$$

Moreover, $e \operatorname{Ker} \psi_i \simeq \operatorname{Ker} \phi_i$. We claim that $\operatorname{Ker} \psi_i$ is filtered by S_j with $1 \leq j < i$. Assume to the contrary that $0 \subseteq L_1 \subseteq L_2 \subseteq \cdots \subseteq L_{n-1} \subseteq \operatorname{Ker} \psi_i$ is a filtration of $\operatorname{Ker} \psi_i$ by S_j for $1 \leq j \leq n$. Then there are exact sequences $0 \longrightarrow L_1 \longrightarrow L_2 \longrightarrow L_2/L_1 \longrightarrow 0, 0 \longrightarrow L_2 \longrightarrow L_3 \longrightarrow L_3/L_2 \longrightarrow 0$ and so on, where L_1 and all the quotients are simple A-modules. Applying $eA \otimes_A -$ to the above sequences, we obtain that $\operatorname{Ker} \phi_i$ is filtered by eS_j with $m + 1 \leq j \leq n$, which is a contradiction since j is strictly smaller that i. Hence, our claim holds.

On the other hand, in the short exact sequence

$$0 \longrightarrow \operatorname{Ker} f_i \longrightarrow P_e(eS_i) \xrightarrow{f_i} \Delta(eS_i) \longrightarrow 0$$
(2.7)

the first term Ker f_i is filtered by $\Delta(eS_j)$ for $i < j \leq n$. Since $\operatorname{Tor}_1^{eAe}(Ae, \Delta(eS_i)) = 0$ for all $m + 1 \leq i \leq n$ by condition (d), applying the functor $Ae \otimes_{eAe} -$ to (2.7) yields the short exact sequence:

$$0 \longrightarrow Ae \otimes_{eAe} \operatorname{Ker} f_i \longrightarrow P(S_i) \longrightarrow Ae \otimes_{eAe} \Delta(eS_i) \longrightarrow 0$$

such that $Ae \otimes_{eAe} \text{Ker } f_i$ is filtered by $Ae \otimes_{eAe} \Delta(eS_j)$ with $i < j \leq n$.

Step 2. We prove that $\{\Delta(S_1), \ldots, \Delta(S_m)\}$ are standard A-modules. Since A/AeA is quasi-hereditary, for all $1 \leq i \leq m$ there is an exact sequence of left A/AeA-modules, and thus also of left A-modules,

$$0 \longrightarrow \operatorname{Ker} \varphi_i \longrightarrow \Delta(S_i) \xrightarrow{\varphi} S_i \longrightarrow 0$$

such that Ker φ_i is filtered by S_j with $1 \leq j < i$. Since $A/AeA \otimes_A P(S_i) = A/AeA \otimes_A Ae_i = (A/AeA)e_i$, it follows that $A/AeA \otimes_A P(S_i)$ is the projective cover of S_i as an A/AeA-module. Consider the epimorphism $h_i: A/AeA \otimes_A P(S_i) \longrightarrow \Delta(S_i)$ where ker h_i is filtered by $\Delta(S_j)$ for $i < j \leq m$. By assumption (b), for all $1 \leq i \leq m$ there is the following short exact sequence of left A-modules

$$0 \longrightarrow Ae \otimes_{eAe} eP(S_i) \xrightarrow{\mu_{P(S_i)}} P(S_i) \xrightarrow{\lambda_{P(S_i)}} A/AeA \otimes_A P(S_i) \longrightarrow 0$$

Define the composition $g_i := h_i \circ \lambda_{P(S_i)}$ and consider the short exact sequence

$$0 \longrightarrow \operatorname{Ker} g_i \longrightarrow P(S_i) \xrightarrow{g_i} \Delta(S_i) \longrightarrow 0$$

We claim that the first term $\operatorname{Ker} g_i$ is filtered by $\Delta(S_j)$ for $i < j \leq n$. Applying the Snake Lemma to the commutative diagram

$$Ae \otimes_{eAe} eP(S_i) \xrightarrow{\mu_{P(S_i)}} \operatorname{Ker} g_i \xrightarrow{\lambda_{P(S_i)}} \operatorname{Ker} h_i \xrightarrow{0} 0$$

$$0 \xrightarrow{Ae \otimes_{eAe}} eP(S_i) \xrightarrow{\mu_{P(S_i)}} P(S_i) \xrightarrow{\lambda_{P(S_i)}} A/AeA \otimes_A P(S_i) \xrightarrow{0} 0$$

$$\downarrow g_i \qquad \downarrow h_i$$

$$\Delta(S_i) \xrightarrow{\Delta(S_i)} \Delta(S_i)$$

provides us with the short exact sequence

$$0 \longrightarrow Ae \otimes_{eAe} eP(S_i) \longrightarrow \operatorname{Ker} g_i \longrightarrow \operatorname{Ker} h_i \longrightarrow 0$$

$$(2.8)$$

By assumption (c) and (d), for all $1 \leq i \leq m$, the *eAe*-module $eP(S_i)$ is filtered by $\Delta(eS_j)$ for $m + 1 \leq j \leq n$ and $\operatorname{Tor}_1^{eAe}(Ae, \Delta(eS_j)) = 0$. Therefore, $Ae \otimes_{eAe} eP(S_i)$ is filtered by $Ae \otimes_{eAe} \Delta(eS_j)$ with $m + 1 \leq j \leq n$. Moreover, the module Ker h_i is filtered by $\Delta(S_j)$ for $i < j \leq m$. From (2.8) it follows that Ker g_i is filtered by $Ae \otimes_{eAe} \Delta(eS_j)$ for $i < j \leq n$.

By Step 1 and Step 2, the ring A is quasi-hereditary. \Box

Remark 2.2. Dlab and Ringel have shown that A is a quasi-hereditary ring if and only if the opposite ring A^{op} is quasi-hereditary [5, Statement 9]. The proof proceeds inductively and is based on the fact that for a heredity ideal AeA in a ring, multiplication in A provides an isomorphism (†) $Ae \otimes_{eAe} eA \xrightarrow{\text{mult}} AeA$ of A-bimodules. When AeA is a heredity ideal, then eAe is semisimple, and then multiplication in (†) is an isomorphism if and only if AeA is projective as a left A-module if and only if it is projective as a right A-module. This is the left-right symmetry needed. The isomorphism (†) is a special case of a direct consequence of condition (b) in Theorem 2.1. Using the result of Dlab and Ringel, Theorem 2.1 can be reformulated for the ring A^{op} in terms of conditions (a)^{op}-(d)^{op}.

Let A be a quasi-hereditary ring with heredity chain $\mathcal{J} = (J_i)_{0 \leq i \leq n}$ and let M be an A-module. Then the \mathcal{J} -filtration of M is the chain of submodules

$$0 = J_{n+1}M \subseteq J_nM \subseteq \cdots \subseteq J_0M = M.$$

Dlab and Ringel [4] called the \mathcal{J} -filtration of M good if the quotient $J_i M/J_{i+1}M$ is projective as an A/J_{i+1} -module for all $0 \le i \le n$.

Lemma 2.3. Let A be a quasi-hereditary ring and let M be a left A-module. The following statements are equivalent.

- (i) The \mathcal{J} -filtration of M is good.
- (ii) $M \in \mathcal{F}(A\Delta)$.

Proof. The heredity ideal J_n is generated by a primitive idempotent e_n , hence $J_n = Ae_nA$. Therefore, $J_nM = Ae_nM$ is the trace of Ae_n in M. It is projective if and only if it is in $\mathsf{Add}(Ae_n)$, which equals $\mathsf{Add}(\Delta(n))$ because of $\Delta(n) \simeq Ae_n$. As $\Delta(j)$ for $j \neq n$ has no composition factor S(j) and hence $\mathsf{Hom}_A(Ae_n, \Delta(j)) = e_n\Delta(j) = 0$ for all $j \neq n$, the bottom part of a Δ -filtration of M, if there is one, must coincide with Ae_nM , which then must be projective. Continuing by induction, the claimed equivalence follows.

As a consequence of Theorem 2.1, Remark 2.2 and Lemma 2.3 we obtain the main result of Dlab and Ringel [4]:

Corollary 2.4. ([4, Theorem 1]) Let A be a semiprimary ring and e an idempotent element of A. The following statements are equivalent:

- (i) There is a heredity chain for A containing AeA.
- (ii) The rings A/AeA and eAe are quasi-hereditary, the multiplication map

 $Ae \otimes_{eAe} eA \longrightarrow AeA$

is bijective, and there is a heredity chain \mathscr{I} of eAe such that the \mathscr{I} -filtrations of Ae_{eAe} and $_{eAe}eA$ are good.

(iii) The rings A/AeA and eAe are quasi-hereditary, the multiplication map

$$(1-e)Ae \otimes_{eAe} eA(1-e) \longrightarrow (1-e)AeA(1-e)$$

is bijective, and there is a heredity chain \mathscr{I} of eAe such that the \mathscr{I} -filtrations of $(1-e)Ae_{eAe}$ and $_{eAe}eA(1-e)$ are good.

3. Further uses of the homological approach

In this section we provide several applications of Theorem 2.1. We start by showing that quasi-hereditary algebras with any ordering coincide with the class of hereditary algebras. This is a classical result due to Dlab and Ringel. Using Theorem 2.1 we give a new proof which simultaneously provides an answer to the following problem on hereditary rings in a recollement situation.

Let $(\mathscr{A}, \mathscr{B}, \mathscr{C})$ be a recollement of abelian categories. By [13, Theorem 4.8], if \mathscr{B} is hereditary, i.e. gl. dim $\mathscr{B} \leq 1$, then \mathscr{A} and \mathscr{C} are also hereditary. The converse is wrong, when just one recollement is used. There is, however, a converse in terms of a set of recollements related with heredity chains in semiprimary rings. To state this result, some notation has to be fixed. Let A be a semiprimary ring and let X be the set of isomorphism classes of simple A modules. Suppose $X = X_1 \sqcup X_2$ is a disjoint union of two non-empty subsets. Let e_{X_1} be an idempotent such that Ae_{X_1} is a direct sum of projective covers of simple modules representing all classes in X_1 , and e_{X_2} similarly.

Corollary 3.1. ([5, Theorem 1]) Let A be a semiprimary ring. The following statements are equivalent:

- (i) A is a hereditary ring.
- (ii) A is a quasi-hereditary ring with any ordering.
- (iii) For all partitions of X into X₁ ⊔ X₂, the ring A has a heredity chain such that Ae_{X2}A is contained and A/Ae_{X2}A, e_{X2}Ae_{X2} are hereditary.

Proof. (i) ⇒ (ii): Suppose that *A* is hereditary and let *e* be a primitive idempotent of *A*. Associated with any idempotent element *e* we always have a recollement of module categories, see diagram (2.1). Since gl. dim $A \leq 1$, it follows that *AeA* is a projective left *A*-module. Moreover, let $f: Ae \to Ae$ be a non-zero *A*-morphism. We claim that *f* is an isomorphism. Indeed, if *f* is an epimorphism then it is an isomorphism since *Ae* is indecomposable. Suppose *f* is not surjective. If *f* is not a monomorphism, then Ker *f* is projective since *A* is hereditary and therefore pd Coker f = 2, contradicting the fact that *A* is hereditary. Thus, the morphism *f* must be a monomorphism and its image must be contained in rad(*Ae*). Hence, *f* restricts to injective maps $\operatorname{rad}^{j}(Ae) \longrightarrow \operatorname{rad}^{j}(\operatorname{Im}(f)) \subset \operatorname{rad}^{j+1}(Ae)$ for all *j*, a contradiction to *A* being semiprimary and thus having finite radical length. This implies $e(\operatorname{rad} A)e = 0$ and therefore the ideal *AeA* is heredity. Moreover, since $e(\operatorname{rad} A)e = \operatorname{rad} eAe$, the algebra eAe is semisimple. Thus, the algebra eAe is quasihereditary and the conditions (c) and (d) of Theorem 2.1 hold. On the other hand, the projectivity of AeA implies that it is a stratifying ideal, i.e. $Ae \otimes_{eAe} eA \cong AeA$ and $\operatorname{Tor}_{eAe}^{i}(Ae, eA) = 0$ for all i > 0, thus condition (b) of Theorem 2.1 holds. Since AeA is a stratifying ideal, gl. dim $A/AeA \leq$ gl. dim $A \leq 1$. By induction on the number of simple modules and since A/AeA is hereditary, A/AeA is quasihereditary. By Theorem 2.1, the algebra A is quasi-hereditary with any ordering since e was an arbitrary idempotent element of A.

(ii) \implies (i): Suppose that A is a quasi-hereditary algebra with any ordering. We proceed by induction on the number of simple modules. Induction starts with a local quasi-hereditary ring A. Then A equals a heredity ideal AeA, for some idempotent e that must be equivalent to the unit of A. Then eAe, which is semisimple, is Morita equivalent to A. Hence A is simple. Assume that we have two non-trivial idempotents e_1 and e_2 (i.e. $e_2 = 1 - e_1$). Then we have the recollement of module categories (A/AeA-Mod, A-Mod A, eAe-Mod) and we iterate like this.

We continue now by showing that A is hereditary. Let S be a simple A-module which is annihilated by a primitive idempotent element e of A. Since A is a quasihereditary algebra with any ordering, it follows that AeA is a heredity ideal and A/AeA is a quasi-hereditary algebra with any ordering. By induction hypothesis the algebra A/AeA is hereditary and therefore we have $pd_{A/AeA} S \leq 1$. Since AeAis a projective left A-module, it follows that $pd_A A/AeA = 1$. This implies that $pd_A S \leq 2$. We claim that $pd_A S = 2$ is not the case. Since eS = 0 and AeA is a projective left A-module, applying the functor $- \otimes_A S$ to the exact sequence $0 \longrightarrow$ $AeA \longrightarrow A \longrightarrow A/AeA \longrightarrow 0$ of right A-modules, we get that $\operatorname{Tor}_n^A(A/AeA, S) = 0$ for any $n \geq 1$. Let

$$0 \longrightarrow P_2 \xrightarrow{f_2} P_1 \xrightarrow{f_1} P_0 \xrightarrow{f_0} S \longrightarrow 0$$

be a minimal projective resolution of S. Since $\operatorname{Tor}_1^A(A/AeA, \operatorname{Ker} f_0) = 0$, applying the functor $A/AeA \otimes_A -$ we obtain the following exact sequence

$$0 \longrightarrow A/AeA \otimes_A P_2 \xrightarrow{\operatorname{Id} \otimes f_2} A/AeA \otimes_A P_1 \xrightarrow{\operatorname{Id} \otimes f_1} A/AeA \otimes_A P_0 \xrightarrow{\operatorname{Id} \otimes f_0} S \longrightarrow 0$$

where $A/AeA \otimes_A P_i$ are projective left A/AeA-modules. Since $pd_{A/AeA} S \leq 1$ it follows that either $A/AeA \otimes_A P_2 = 0$ or $Id \otimes_A f_2$ is a non-zero split monomorphism.

First case: $A/AeA \otimes_A P_2 = 0$. Since $AeA \otimes_A P_2 \simeq P_2$ and AeA is a projective left A-module, we get a split exact sequence $0 \longrightarrow P_2 \longrightarrow AeA \otimes_A P_1 \longrightarrow AeA \otimes_A P_0 \longrightarrow 0$. Note that $AeA \otimes_A P_1$ is a direct summand of P_1 , and thus from the splitting we get that P_2 is a direct summand of P_1 . However, this contradicts the minimality of the projective resolution of S.

Second case: $\operatorname{Id} \otimes_A f_2$ is a non-zero split monomorphism. Let $\operatorname{Id} \otimes_A h$ be the inverse and denote by $\pi_2 \colon P_2 \longrightarrow A/AeA \otimes_A P_2$ the canonical epimorphism. Since $(\operatorname{Id} \otimes_A hf_2)\pi_2 = \pi_2(hf_2)$, it follows that $\pi_2 = \pi_2(hf_2)$. Consider now the following diagram with exact rows:

$$0 \longrightarrow AeA \otimes_A P_2 \longrightarrow P_2 \longrightarrow A/AeA \otimes_A P_2 \longrightarrow 0$$

$$\downarrow^{\mathrm{Id}_{P_2} - hf_2}$$

$$0 \longrightarrow AeA \otimes_A P_2 \xrightarrow{\iota_2} P_2 \xrightarrow{\pi_2} A/AeA \otimes_A P_2 \longrightarrow 0,$$

Then the map $\operatorname{Id}_{P_2} -hf_2$ factors through ι_2 , i.e. there is a map $\psi: P_2 \longrightarrow AeA \otimes_A P_2$ such that $\operatorname{Id}_{P_2} = hf_2 + \iota_2\psi$. Hence, P_2 is a direct summand of $P_1 \oplus (AeA \otimes_A P_2)$ and this implies that P_2 and P_1 have common direct summands. This contradicts the minimality of the projective resolution of S. Thus $\operatorname{pd}_A S \leq 1$, i.e. A is hereditary.

(ii) \iff (iii): Assume that (ii) holds and let $X = X_1 \sqcup X_2$ be a partition of X. Then the ring A has a heredity chain such that $Ae_{X_2}A$ is contained and since A is hereditary, it follows from [13, Theorem 4.8] that the rings $A/Ae_{X_2}A$ and $e_{X_2}Ae_{X_2}$ are hereditary. The implication (iii) \implies (ii) is clear.

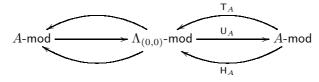
Next we provide a sufficient condition for a class of Morita context rings to be quasi-hereditary. For more details on Morita context rings, we refer to [8].

Corollary 3.2. Let A be a finite dimensional k-algebra over a field k, and e and f two idempotent elements of A such that fAe = 0. Let $N := Ae \otimes_k fA$ and $\Lambda_{(0,0)} := \begin{pmatrix} A & N \\ N & A \end{pmatrix}$. If A is a quasi-hereditary algebra, then the Morita context ring $\Lambda_{(0,0)}$ is a quasi-hereditary algebra.

Proof. Since fAe = 0, it follows that $N \otimes_A N = 0$. Then by [7, Example 4.16], $\Lambda_{(0,0)}$ is a Morita context ring, whose addition is componentwise, and multiplication is given as follows:

$$\begin{pmatrix} a & n \\ m & b \end{pmatrix} \cdot \begin{pmatrix} a' & n' \\ m' & b' \end{pmatrix} = \begin{pmatrix} aa' & an' + nb' \\ ma' + bm' & bb' \end{pmatrix}$$

The objects of $\operatorname{\mathsf{mod}}\nolimits A_{(0,0)}$ are given by tuples (X, Y, f, g), where $X \in \operatorname{\mathsf{mod}}\nolimits A, Y \in \operatorname{\mathsf{mod}}\nolimits A, f \colon N \otimes_A X \longrightarrow Y$ and $g \colon N \otimes_A Y \longrightarrow X$. The compatibility conditions that objects over a Morita context ring should satisfy are trivial since $N \otimes_A N = 0$, see [8]. Furthermore, from [8, Proposition 2.4] there is a recollement



where $\mathsf{T}_A(X) = (X, N \otimes_A X, \mathrm{Id}_{N \otimes_A X}, 0)$, $\mathsf{U}_A(X, Y, f, g) = X$ and $\mathsf{H}_A(X) = (N \otimes_A X, X, 0, \mathrm{Id}_{N \otimes_A X})$. From [8, Proposition 3.1] the indecomposable projective $\Lambda_{(0,0)}$ -modules are of the form $\mathsf{T}_A(P)$ and $\mathsf{H}_A(P)$, where P is an indecomposable projective A-module. We use Theorem 2.1 to derive that $\Lambda_{(0,0)}$ is a quasi-hereditary algebra. The recollement of $\Lambda_{(0,0)}$ -mod induced by the idempotent element $\varepsilon = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$ is precisely the one given above (consider the recollement (2.1) for finitely generated modules). Condition (a) of Theorem 2.1 is clearly satisfied since A is quasi-hereditary. To check condition (b), we compute the counit map of the adjunction $(\mathsf{T}_A, \mathsf{U}_A)$. In particular, there are morphisms

$$\mathsf{T}_{A}\mathsf{U}_{A}(\mathsf{T}_{A}(P)) \xrightarrow{(\mathrm{Id}_{P},\mathrm{Id}_{N\otimes_{A}P})} \mathsf{T}_{A}(P)$$

and

$$\mathsf{T}_{A}\mathsf{U}_{A}(\mathsf{H}_{A}(P)) \xrightarrow{(\mathrm{Id}_{N\otimes_{A}P},0)} \mathsf{H}_{A}(P)$$

where $\mathsf{T}_A \mathsf{U}_A(\mathsf{H}_A(P)) = (N \otimes_A P, 0, 0, 0)$. Hence, the counit map in any projective is a monomorphism, so condition (b) holds.

For conditions (c) and (d) of Theorem 2.1, observe that $\Lambda_{(0,0)}\varepsilon$ is a projective right $\varepsilon \Lambda_{(0,0)}\varepsilon$ -module and $\varepsilon \Lambda_{(0,0)}$ is a projective left $\varepsilon \Lambda_{(0,0)}\varepsilon$ -module since N is a both left and right projective A-module. Note that $A \simeq \varepsilon \Lambda_{(0,0)}\varepsilon$.

By Theorem 2.1, $\Lambda_{(0,0)}$ is quasi-hereditary.

Let A now be a finite dimensional quasi-hereditary algebra over a field k and with respect to a poset X. The k-duals of the standard A^{op} -modules are A-modules, which are called costandard. Recall from [3] that for each $x \in X$, the costandard module $\nabla(x)$ satisfies the following two conditions:

(i) there is a monomorphism $L(x) \longrightarrow \nabla(x)$ such that the cokernel is filtered by L(y) with y < x; (ii) there is a monomorphism $\nabla(x) \longrightarrow I(x)$ such that the cokernel is filtered by $\nabla(z)$ with z > x.

We denote by $\mathcal{F}(_A\nabla)$ the full subcategory of A-mod consisting of A-modules which have a filtration by costandard A-modules.

Ringel [15] introduced the notion of the characteristic tilting module, which is a basic module T such that $\mathcal{F}(_A\Delta) \cap \mathcal{F}(_A\nabla) = \operatorname{add} T$. We close this section with the next result, where we investigate the behaviour of the characteristic tilting module along the recollement situation (2.1) of Theorem 2.1. We remark that we consider below a version of (2.1) for finitely generated modules.

Corollary 3.3. Let A be a quasi-hereditary algebra such that AeA is contained in a heredity chain of A. The following hold.

- (i) The functor $Ae \otimes_{eAe} -: eAe \text{-mod} \longrightarrow A \text{-mod} sends \ \mathcal{F}(_{eAe}\Delta)$ to $\mathcal{F}(_A\Delta)$.
- (ii) The functor $eA \otimes_A -: A \operatorname{-mod} \longrightarrow eAe \operatorname{-mod} sends \mathcal{F}(_A\Delta), resp. \mathcal{F}(_A\nabla),$ to $\mathcal{F}(_{eAe}\Delta), resp. \mathcal{F}(_{eAe}\nabla).$
- (iii) The inclusion functor inc: A/AeA-mod \longrightarrow A-mod sends $\mathcal{F}(_{A/AeA}\Delta)$, resp. $\mathcal{F}(_{A/AeA}\nabla)$, to $\mathcal{F}(_{A}\Delta)$, resp. $\mathcal{F}(_{A}\nabla)$.
- (iv) The functors $eA \otimes_A and$ inc preserve the characteristic tilting modules.

Proof. (i) This follows immediately using condition (d), i.e. $\mathsf{Tor}_1^{eAe}(Ae, {}_{eAe}\Delta) = 0$, of Theorem 2.1.

(ii) First, from the proof of Step 3 in (i) \implies (ii) of Theorem 2.1, we have that the functor $eA \otimes_A - : A\operatorname{-mod} \longrightarrow eAe\operatorname{-mod}$ sends $\mathcal{F}(_A\Delta)$ to $\mathcal{F}(_{eAe}\Delta)$. We show that the functor $eA \otimes_A -$ sends $\mathcal{F}(_A\nabla)$ to $\mathcal{F}(_{eAe}\nabla)$. Indeed, since A is quasi-hereditary, the opposite algebra A^{op} is also quasi-hereditary. Denote by $\mathsf{D} = \operatorname{Hom}_k(-.k)$ the standard k-duality and let $\nabla(S_1), \ldots, \nabla(S_n)$ be all costandard A-modules. Then $\mathsf{D}(\nabla(S_i))$ is a standard A^{op} -module for each $1 \leq i \leq n$. Thus, we get that $\mathsf{D}(\nabla(S_i))e$ is a standard $(eAe)^{\operatorname{op}}$ -module. Since $\mathsf{D}(e\nabla(S_i)) \cong \mathsf{D}(\nabla(S_i))e$, it follows that $e\nabla(S_i)$ is a costandard eAe-module for each $1 \leq i \leq n$.

(iii) By Step 2 of (ii) \Longrightarrow (i) in Theorem 2.1, the inclusion functor inc restricts to a functor inc: $\mathcal{F}(_{A/AeA}\Delta) \longrightarrow \mathcal{F}(_A\Delta)$. Also, a similar argument as above shows that the inclusion functor sends $\mathcal{F}(_{A/AeA}\nabla)$ to $\mathcal{F}(_A\nabla)$.

(iv) This follows immediately by (ii) and (iii).

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Nan Gao, Department of Mathematics, Shanghai University, Shanghai 200444, PR China

 $E\text{-}mail \ address: \texttt{nangao@shu.edu.cn}$

Steffen Koenig, Institute of Algebra and Number Theory, University of Stuttgart, Pfaffenwaldring 57, 70569 Stuttgart, Germany

 $E\text{-}mail\ address:\ \texttt{skoenig}\texttt{Q}\texttt{mathematik}.\texttt{uni-stuttgart}.\texttt{de}$

Chrysostomos Psaroudakis, Institute of Algebra and Number Theory, University of Stuttgart, Pfaffenwaldring 57, 70569 Stuttgart, Germany

 $E\text{-}mail\ address:\ chrysostomos.psaroudakis@mathematik.uni-stuttgart.de$