THE KRAFT-RUSSELL GENERIC EQUIVALENCE THEOREM AND ITS APPLICATION

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ABSTRACT. We find some extensions of the Kraft-Russell Generic Equivalence Theorem and using it we obtain a simple proof of a result of Dubouloz and Kishimoto.

1. INTRODUCTION

H. Kraft and P. Russell proved the following Generic Equivalence Theorem in [KrRu].

Theorem 1.1. Let \mathbf{k} be a field and let $p: S \to Y$ and $q: T \to Y$ be two morphisms of \mathbf{k} -varieties. Suppose that

(a) **k** is algebraically closed and of infinite transcendence degree over the prime field; (b) for all $y \in Y$ the two (schematic) fibers $S_y := p^{-1}(y)$ and $T_y := q^{-1}(y)$ are isomorphic; and

(c) the morphisms p and q are affine.

Then there is a dominant morphism of finite degree $\varphi : X \to Y$ and an isomorphism $S \times_Y X = T \times_Y X$ over X.

The aim of this note is to establish the following facts:

• the assumption (c) is unnecessary;

• the conclusion of Theorem 1.1 remains valid if the assumption (c) is removed and (a) and (b) are replaced by the following assumptions (a1) \mathbf{k} is an uncountable (but not necessarily algebraically closed) field, (b1) there is a countable intersection W of Zariski open dense subsets of Y such that S_y and T_y are isomorphic for every $y \in W$;

• the conclusion of Theorem 1.1 remains valid if (a) and (c) are replaced by the assumptions (a2) \mathbf{k} is an algebraically closed field of finite transcendence degree over \mathbb{Q} and (c2) p and q are proper morphism.

Furthermore, using Minimal Model Program over non closed fields, Dubouloz and Kishimoto proved the following result [DuKi].

Theorem 1.2. Let \mathbf{k} be an uncountable field of characteristic zero and let $f: X \to S$ be a dominant morphism between geometrically integral algebraic \mathbf{k} -varieties. Suppose that for general closed points $s \in S$, the fiber X_s contains an \mathbb{A}^1 -cylinder $U_s \simeq Z_s \times \mathbb{A}^1$ over a $\kappa(s)$ -variety Z_s . Then there exists an étale morphism $T \to S$ such that $X_T = X \times_S T$ contains an \mathbb{A}^1 -cylinder $U \simeq Z \times \mathbb{A}^1$ over a T-variety Z.

We show by much simpler means that in the case, when \mathbf{k} is an algebraically closed field (of any characteristic) with an infinite transcendence degree over the prime field,

the Dubouloz-Kishimoto theorem is a simple consequence of the Kraft-Russell theorem.¹

2. Assumption (C)

The main result of this section (Theorem 2.5) is a straightforward adjustment of the argument in [KrRu] (known to Russell and Kraft) but we provide it for convenience of readers.

Notation 2.1. We suppose that $\rho: X \to Y$ is a dominant morphism of algebraic kvarieties where \mathbf{k} is an algebraically closed field with an infinite transcendence degree over its prime field. Recall that there is a field $\mathbf{k}_0 \subset \mathbf{k}$ which is finitely generated over the prime field and a morphism $\rho_0: X_0 \to Y_0$ of \mathbf{k}_0 -varieties such that the morphism $\rho: X \to Y$ is obtained from ρ_0 by the base extension Spec $\mathbf{k} \to$ Spec \mathbf{k}_0 . Denote by \mathbf{K}_0 the field of rational functions on Y_0 , i.e. Spec \mathbf{K}_0 is the generic point of Y_0 . Put $X_{0,\omega} = X_0 \times_{\text{Spec } \mathbf{k}_0}$ Spec \mathbf{K}_0 .

The next fact was proven in [KrRu, Lemma 1]) (but unfortunately under the additional unnecessary assumption that X is affine).

Lemma 2.2. Let Notation 2.1 hold. Then every \mathbf{k}_0 -embedding $\mathbf{K}_0 \hookrightarrow \mathbf{k}$ defines a closed point $y \in Y$ and an isomorphism

$$X_{0,\omega} \times_{\operatorname{Spec} \mathbf{K}_0} \operatorname{Spec} \mathbf{k} \to X_y = \rho^{-1}(y).$$

Proof. Without loss of generality we suppose that Y is affine. Let $\mathbf{k}_0[Y_0]$ be the algebra of regular functions on Y. Following [KrRu, Lemma 1] we see that since $\mathbf{k}_0[Y_0] \subset \mathbf{K}_0$ any \mathbf{k}_0 -embedding $\mathbf{K}_0 \hookrightarrow \mathbf{k}$ yields a \mathbf{k}_0 -homomorphism $\mathbf{k}[Y] = \mathbf{k}_0[Y_0] \otimes_{\mathbf{k}_0} \mathbf{k} \to \mathbf{k}$ and, thus, a closed point y in Y. Let U_0 be a Zariski dense open affine subset of X_0 , $U_{0,\omega} = U_0 \times_{\text{Spec } \mathbf{k}_0} \text{Spec } \mathbf{K}_0$, $U = U_0 \times_{\text{Spec } \mathbf{k}_0} \text{Spec } \mathbf{k}$ and U_y be the fiber over y of the restriction $U \to Y$ of ρ . Continuing the argument of Kraft and Russell we have

(1)
$$U_{0,\omega} \times_{\operatorname{Spec} \mathbf{K}_0} \operatorname{Spec} \mathbf{k} \simeq U_0 \times_{Y_0} \operatorname{Spec} \mathbf{k} \simeq U \times_Y \operatorname{Spec} \mathbf{k} = U_y.$$

Furthermore, if V_0 is a Zariski open affine subset of U_0 then the way the isomorphism $U_{0,\omega} \times_{\text{Spec } \mathbf{K}_0} \text{Spec } \mathbf{k} \simeq U_y$ was constructed in Formula (1) yields the commutative diagram

where the vertical arrows are the natural embeddings (in other works, one has an isomorphism between the structure sheaves of $U_{0,\omega} \times_{\text{Spec } \mathbf{K}_0} \text{Spec } \mathbf{k}$ and U_y). Consider a covering of X_0 (resp. $X_{0,\omega}$, resp. X_y) by affine charts $\{U_0^i\}_{i=1}^n$ (resp. $\{U_{0,\omega}^i\}_{i=1}^n$, resp. $\{U_y^i\}_{i=1}^n$). If n = 2 then applying Diagram (2) for the embeddings $U_{0,\omega}^1 \cap U_{0,\omega}^2 \hookrightarrow U_{0,\omega}^i$ and

¹ Dubouloz informed the author that he and Kishimoto knew that this version of their theorem can be extracted from the Kraft-Russell theorem.

 $U_y^1 \cap U_y^2 \hookrightarrow U_y^i$ and gluing the affine charts we get an isomorphism between $X_{0,\omega} \times_{\operatorname{Spec} \mathbf{K}_0}$ Spec **k** and $X_y = \rho^{-1}(y)$. Furthermore, we see that Diagram (2) remains true when U (resp. V) is not affine but only a union of two affine sets. Then the similar argument and the induction by n yields the desired isomorphism $X_{0,\omega} \times_{\operatorname{Spec} \mathbf{K}_0} \operatorname{Spec} \mathbf{k} \to X_y$ for $n \geq 3$.

Notation 2.3. Let $\varphi : Z \to X$ be a morphism of algebraic k-varieties and \mathbf{k}_0 be a subfield of \mathbf{k} such that for some \mathbf{k}_0 -varieties X_0 and Z_0 one has $X = X_0 \times_{\text{Spec } \mathbf{k}_0}$ Spec \mathbf{k} and $Z = Z_0 \times_{\text{Spec } \mathbf{k}_0}$ Spec \mathbf{k} . Suppose that $\mathbf{k}_1 \subset \mathbf{k}$ is a finitely generated extension of \mathbf{k}_0 such that for \mathbf{k}_1 -varieties X_1 and Z_1 there exists a morphism $\varphi_1 : Z_1 \to X_1$ for which φ is obtained from φ_1 by the base extension Spec $\mathbf{k} \to \text{Spec } \mathbf{k}_1$. However, besides \mathbf{k}_0 the description of φ requires not the whole field \mathbf{k}_1 but only a finite number of elements of \mathbf{k}_1 (because φ is defined by the homomorphisms of rings of regular functions on affine charts and these rings are finitely generated). Thus for the \mathbf{k}_0 -algebra $C \subset \mathbf{k}_1$ generated by these elements we have the following observation used by Kraft and Russell in their proof for the affine case.

Lemma 2.4. Let X and Z be algebraic varieties over a field \mathbf{k} and $\varphi : Z \to X$ be a morphism. Suppose that \mathbf{k}_0 , X_0 and Z_0 are as before. Then there exist a finitely generated \mathbf{k}_0 -algebra $C \subset \mathbf{k}$, ringed spaces \tilde{X} and \tilde{Z} with structure sheaves consisting of C-rings² and a C-morphism $\tilde{\varphi} : \tilde{Z} \to \tilde{X}$ such that $X = \tilde{X} \times_{\text{Spec } C} \text{Spec } \mathbf{k}$, $Z = \tilde{Z} \times_{\text{Spec } C} \text{Spec } \mathbf{k}$ and $\varphi = \tilde{\varphi} \times_{\text{Spec } C} \text{id}_{\text{Spec } \mathbf{k}}$.

Theorem 2.5. The Generic Equivalence Theorem is valid without the assumption (c).

Proof. As before we can choose a field $\mathbf{k}_0 \subset \mathbf{k}$ which is finitely generated over the prime field such that for some morphisms $p_0 : S_0 \to Y_0$ and $q_0 : T_0 \to Y_0$ of \mathbf{k}_0 -varieties the morphisms $p : S \to Y$ and $q : T \to Y$ are obtained from these ones via the base extension Spec $\mathbf{k} \to$ Spec \mathbf{k}_0 . Suppose that \mathbf{K}_0 is the field of rational functions on Y_0 .

As in [KrRu] by Lemma 2.2 we get the following isomorphisms in self-evident notations

 $S_{0,\omega} \times_{\operatorname{Spec} \mathbf{K}_0} \operatorname{Spec} \mathbf{k} \simeq S_y \simeq T_y \simeq T_{0,\omega} \times_{\operatorname{Spec} \mathbf{K}_0} \operatorname{Spec} \mathbf{k}.$

By Lemma 2.4, for the isomorphism $S_{0,\omega} \times_{\text{Spec } \mathbf{K}_0} \text{Spec } \mathbf{k} \simeq T_{0,\omega} \times_{\text{Spec } \mathbf{K}_0} \text{Spec } \mathbf{k}$ there exists a finitely generated \mathbf{K}_0 -algebra C in \mathbf{k} such that one has

$$S_{0,\omega} \times_{\operatorname{Spec} \mathbf{K}_0} \operatorname{Spec} C \simeq T_{0,\omega} \times_{\operatorname{Spec} \mathbf{K}_0} \operatorname{Spec} C.$$

Choosing a maximal ideal μ of C contained in the image of the morphism $S_{0,\omega} \times_{\operatorname{Spec} K_0}$ Spec $C \to \operatorname{Spec} C$ and letting $\mathbf{L}_0 = C/\mu$ we get

(3)
$$S_{0,\omega} \times_{\operatorname{Spec} \mathbf{K}_0} \operatorname{Spec} \mathbf{L}_0 \simeq T_{0,\omega} \times_{\operatorname{Spec} \mathbf{K}_0} \operatorname{Spec} \mathbf{L}_0.$$

By construction the field \mathbf{L}_0 is a finite extension of \mathbf{K}_0 . It follows that there is a finite extension \mathbf{L} of the field \mathbf{K} of rational functions on Y such that

$$S_{\omega} \times_{\operatorname{Spec} \mathbf{K}} \operatorname{Spec} \mathbf{L} \simeq T_{\omega} \times_{\operatorname{Spec} \mathbf{K}} \operatorname{Spec} \mathbf{L}$$

² If C is a subring of a ring R we call R a C-ring and a homomorphism of two C-rings whose restriction to C is the identity map is called a C-homomorphism.

where S_{ω} and T_{ω} are generic fibers of p and q respectively. Since $S_{\omega} \times_{\text{Spec } \mathbf{K}}$ Spec $\mathbf{L} \simeq S \times_Y \text{Spec } \mathbf{L}$ and $T_{\omega} \times_{\text{Spec } \mathbf{K}}$ Spec $\mathbf{L} \simeq T \times_Y \text{Spec } \mathbf{L}$ there is a dominant morphism $X \to Y$ for which $S \times_Y X \simeq T \times_Y X$ and we are done.

Remark 2.6. It is interesting to discuss what happens to Theorem 2.5 if the field **k** is not algebraically closed (but still of infinite transcendence degree over the prime field). Then there may be no embedding $\mathbf{K}_0 \hookrightarrow \mathbf{k}$ as in Lemma 2.2. However, for a finite extension \mathbf{k}_1 of **k** one can find an embedding $\mathbf{K}_0 \hookrightarrow \mathbf{k}_1$. Consider the morphisms $p_1: S_1 \to Y_1$ and $q_1: T_1 \to Y_1$ of \mathbf{k}_1 -varieties obtained from $p: S \to Y$ and $q: T \to Y$ via the base extension Spec $\mathbf{k}_1 \to$ Spec **k**. Then until Formula (3) the argument remains valid with \mathbf{k} , p and q replaced by \mathbf{k}_1 , p_1 and q_1 . In Formula (3) the field \mathbf{L}_0 may contain a nontrivial finite extension \mathbf{k}_0^1 of \mathbf{k}_0 . Taking a bigger field \mathbf{k}_1 we can suppose that \mathbf{k}_0^1 is a subfield of \mathbf{k}_1 and proceed with the proof. Hence, though we cannot get the exact formulation of the Generic equivalence theorem in the case of non-closed fields, we can claim that for a finite extension \mathbf{k}_1 of \mathbf{k} and S_1, T_1 and Y_1 as before there is a dominant morphism of \mathbf{k}_1 -varieties of finite degree $X_1 \to Y_1$ and an isomorphism $S_1 \times_{Y_1} X_1 \simeq T_1 \times_{Y_1} X_1$ over X_1 .

3. Very general fibers and non-closed fields

It is obvious that the assumption that an isomorphism $S_y \simeq T_y$ holds for every $y \in Y$ in the Kraft-Russell theorem can be replaced with the assumption that it is true for a general point of Y, i.e. for every point contained in some Zariski open dense subset of Y. However, the author does not know whether the proof of Kraft and Russell can be adjusted to the case when y is only a very general point of Y, i.e. it is in a complement of the countable union of proper closed subvarieties of Y. Hence we shall use a different approach. Namely, we shall use the technique which was communicated to the author by Vladimir Lin in 1980s and which was used in his unpublished work with Zaidenberg on a special case of The Generic Equivalence Theorem. The negative feature of this new proof is that we have to work over an **uncountable** field **k**. However, we do not require that this field is algebraically closed.

Definition 3.1. We say that an uncountable subset W of an algebraic k-variety X is Zariski locally dense if W is not contained in any countable union of proper closed suvarieties of X.

Example 3.2. Let W be the complement of a countable union $\bigcup_{i=1}^{\infty} Y_i$ of closed proper subvarieties of X. Then W is Zariski locally dense. Indeed, assume the contrary. That is, W is contained in a union $\bigcup_{i=1}^{\infty} Z_i$ of proper closed subvarieties of X and $X = \bigcup_{i=1}^{\infty} Y_i \cup \bigcup_{i=1}^{\infty} Z_i$. Without loss of generality we can suppose that X is affine and using a finite morphism of X onto some affine space \mathbb{A}^n_k we reduce the consideration to the case of $X \simeq \mathbb{A}^n_k$. Note that equations of all Y_i 's and Z_i 's involve a countable number of coefficients. Let \mathbf{k}_0 be the smallest subfield of \mathbf{k} containing all these coefficients. Since \mathbf{k}_0 is countable there are points in \mathbb{A}^n_k whose coordinates are algebraically independent over \mathbf{k}_0 . Such a point cannot be contained in $\bigcup_{i=1}^{\infty} Y_i \cup \bigcup_{i=1}^{\infty} Z_i$. A contradiction. The aim of this section is the following.

Theorem 3.3. Let \mathbf{k} be an uncountable field of characteristic zero and $p: S \to Y$ and $q: T \to Y$ be morphisms of \mathbf{k} -varieties. Suppose that W is a Zariski locally dense subset of Y and for every $y \in W$ there is an isomorphism $p^{-1}(y) = S_y \simeq T_y = q^{-1}(y)$. Then there is a dominant morphism of finite degree $X \to Y$ such that $S \times_Y X$ and $T \times_Y X$ are isomorphic over X.

The proof requires some preparations. We start with the following simple fact.

Proposition 3.4. Let Y be an algebraic k-variety, X and Z be subvarieties of $Y \times \mathbb{A}_{k}^{n}$, $\rho: X \to Y$ and $\tau: Z \to Y$ be the natural projections, and P be an algebraic family of rational maps $\mathbb{A}_{k}^{n} \dashrightarrow \mathbb{A}_{k}^{n}$. Suppose that \mathcal{P} is a subvariety of $Y \times P$ such that for every $(y, f) \in \mathcal{P}$ the map f is regular on $X_{y} = \rho^{-1}(y)$. Let $\mathcal{P}_{X,Z}$ be the subset of \mathcal{P} that consists of all elements (y, f) such that $f(X_{y}) \subset Z_{y}$ for $Z_{y} := \tau^{-1}(y)$. Then $\mathcal{P}_{X,Z}$ is a constructible set.

Proof. Consider the morphism $\kappa : X \times_Y \mathcal{P} \to Y \times \mathbb{A}^1_{\mathbf{k}}$ given by $(x, f) \to (\rho(x), f(x))$. Then $(Y \times \mathbb{A}^n_{\mathbf{k}}) \setminus Z$ and, therefore, $\kappa^{-1}((Y \times \mathbb{A}^n_{\mathbf{k}}) \setminus Z))$ are constructible sets. The image R of the latter under the natural projection $X \times_Y \mathcal{P} \to \mathcal{P}$ is a constructible set by the Chevalley's theorem (EGA IV, 1.8.4). Note that $\mathcal{P} \setminus R$ coincides with $\mathcal{P}_{X,Z}(N)$, i.e. it is also constructible and we are done.

Letting $Z = Y \times o$ where o is the origin in $\mathbb{A}^n_{\mathbf{k}}$ we get the following.

Corollary 3.5. The subset $\mathcal{P}^0_X(N)$ of \mathcal{P} that consists of all elements (y, f) such that f vanishes on X_y is a constructible set.

Notation 3.6. (1) Let P(N) consist of 2*n*-tuples $\varphi = (f_1, g_1, f_2, g_2, \ldots, f_n, g_n)$ of polynomials on $\mathbb{A}^n_{\mathbf{k}}$ of degree at most N such that g_1, \ldots, g_n are not zero polynomials. We assign to φ the rational map $\check{\varphi} : \mathbb{A}^n_{\mathbf{k}} \dashrightarrow \mathbb{A}^n_{\mathbf{k}}$ given by $\check{\varphi} = (\frac{f_1}{g_1}, \ldots, \frac{f_n}{g_n})$ and denote the variety of such rational maps by R(N) with $\Theta : P(N) \to R(N)$ being the morphism given by $\Theta(\varphi) = \check{\varphi}$.

(2) Let the assumptions of Theorem 3.3 hold and Y be affine. Consider a cover of S (resp. T) by a collection $\mathcal{S} = \{S^i\}_{i \in J}$ of affine charts (resp. $\mathcal{T} = \{T^i\}_{i \in J}$) where J is finite set of indices. We can suppose that for some n > 0 every S^i (resp. T^i) is a closed subvariety of $Y \times A^n_{\mathbf{k}}$ where the natural projection $S^i \to Y$ is the restriction of p (resp. $T_i \to Y$ is the restriction of q). By S^i_y (resp. T^i_y) we denote $S_y \cap S^i$ (resp. $T^i \cap T_y$). We treat each transition isomorphism α^{ij} of \mathcal{S} (resp. β^{ij} of \mathcal{T}) as the restriction of some rational map $A^n_Y \dashrightarrow A^n_Y$ and, choosing N large enough we assume that for every $y \in Y$ each rational map $\alpha^{ij}|_{S^i_y}$ (resp. $\beta^{ij}|_{T^i_y}$) is contained in $\Theta(P(N))$.

(3) Suppose that $\mathcal{Q}(N) = \prod_{i,j\in J} P(N)$, i.e. each element of $\mathcal{Q}(N)$ is $\Phi = \{\varphi^{ij} \in P(N) | i, j \in J\}$ where $\varphi^{ij} = (f_1^{ij}, g_1^{ij}, f_2^{ij}, g_2^{ij}, \dots, f_n^{ij}, g_n^{ij})$. Let F(N) be the subset of $Y \times \mathcal{Q}(N)$ consisting of all elements (y, Φ) such that for all $i, j, i', j' \in J$ and $y \in Y$ one has the following

(4)
$$\breve{\varphi}^{i'j'} \circ \alpha^{ii'}|_{S^i_y} = \beta^{jj'} \circ \breve{\varphi}^{ij}|_{S^i_y};$$

(5)
$$\forall x \in S^i \; \exists j \text{ such that } \forall k = 1, \dots, n \; g_k^{ij}(x) \neq 0;$$

(6) $\check{\varphi}^{ij}(S^i_y) \subset T^j_y.$

Lemma 3.7. The set F(N) is constructible.

Proof. Every coordinate function of the rational map

$$(\breve{\varphi}^{i'j'} \circ \alpha^{ii'} - \beta^{jj'} \circ \breve{\varphi}^{ij}) : \mathbb{A}^n_{\mathbf{k}} \dashrightarrow \mathbb{A}^n_{\mathbf{k}}$$

can be presented as a quotient of two polynomials with the degrees of the numerator and the denominator bounded by some constant M depending on N only. That is, the ordered collection $\nu_{i,j,i',j'}$ of the numerators of this rational map is contained in P(M). Consider the morphism $\tilde{\nu}_{i,j,i',j'}: Y \times \mathcal{Q}(N) \to Y \times P(M)$ where $\tilde{\nu}_{i,j,i',j'} = (\mathrm{id}, \nu_{i,j,i',j'})$. Let \mathcal{Z}_i be the subvariety of $Y \times P(M)$ that consists of all elements (y, f_1, \ldots, f_n) such that $f_k|_{S_y^i} \equiv 0$ for every k. By Corollary 3.5 \mathcal{Z}_i is a constructible set. Hence its preimages $\tilde{\mathcal{Z}}_{i,j,i',j'}$ in $Y \times \mathcal{Q}(N)$ under $\tilde{\nu}_{i,i',j,j'}$ is also constructible. Note that the variety $C = \bigcap_{i,j,i',j' \in J} \tilde{\mathcal{Z}}_{i,j,i',j'}$ consists of all elements satisfying Formula (4).

Consider the morphism $\theta_{ij} : S^i \times_Y C \to S^i \times \mathbb{A}^n_k$ over S^i which sends each point (x, Φ) to $(x, g_1^{ij}(x), \ldots, g_n^{ij}(x))$. Let L be the union of the coordinate hyperplanes in \mathbb{A}^n_k and $L_{ij} = \theta_{ij}^{-1}(S^i \times L)$. Then $K_i = \bigcap_{j \in J} L_{ij}$ is the subvariety of $S^i \times_Y C$ that consists of all points (x, Φ) such that for every $j \in J$ there exists $1 \leq k \leq n$ with $g_k^{ij}(x) = 0$. Let \mathcal{K}_i be the image of K_i in C under the natural projection $S_i \times_Y C \to C$ i.e. it is constructible by the Chevalley's theorem. Then its complement \mathcal{M}_i consists of elements $(y, \Phi) \in C$ such that for every $x \in S_y^i$ there exists $j \in J$ for which $g_k^{ij}(x) \neq 0$ for every $k = 1, \ldots, n$. Hence the constructible set $D = \bigcap_{i \in J} \mathcal{M}_i$ satisfies Formula (5).

Let R^{ij} be the subvariety of $S^i \times_Y D$ that consists of points (x, Φ) for which $g_k^{ij}(x) \neq 0$ for every k = 1, ..., n. That is, the map $\kappa_{ij} : R^{ij} \to Y \times \mathbb{A}^n_k$ sending each point (x, Φ) to $(p(x), \check{\varphi}^{ij}(x))$ is regular. Let $\mathcal{R}^{ij} \subset R^{ij}$ be the preimage of $\mathbb{A}^n_k \setminus T^j$ under this map. Then $\mathcal{R}_i = \bigcup_{j \in \mathcal{J}} \mathcal{R}^{ij}$ is a constructible subset of $S^i \times_Y D$ and, therefore, its image R_i in D under the natural projection $S^i \times_Y D \to D$ is also constructible. Note that $F(N) := D \setminus \bigcup_{i \in \mathcal{J}} \mathcal{R}_i$ satisfies Formula (6) and we are done. \Box

Remark 3.8. Formulas (4), (5) and (6) guarantee that any point $(y, \{\varphi^{ij}|i, j \in J\})$ in F(N) defines a morphism $S_y \to T_y$. Hence we treat F(N) further as collections of such morphisms.

Notation 3.9. Exchanging the role of S and T we get a constructible set G(N), i.e. each element of G defines a morphism $T_y \to S_y$. In particular, $F(N) \times_Y G(N)$ consists of elements $\{(y, f_y, g_y)\}$ where $f_y : S_y \to T_y$ and $g_y : T_y \to S_y$ are morphisms.

Lemma 3.10. Let H(N) be the subset of $F(N) \times_Y G(N)$ that consists of all elements (y, f_y, g_y) such that each f_y is an isomorphism and $g_y = f_y^{-1}$. Then H(N) is a constructible set.

Proof. Note that each element $h = (y, f_y, g_y)$ of $F(N) \times_Y G(N)$ defines the morphism $\kappa_h : S \to S \times_Y S$ which sends $s \in S_y$ to $(s, g_y \circ f_y(s))$. Let Δ_S be the diagonal in $S \times_Y S$

and let $H'(N) \subset F(N) \times_Y G(N)$ be the subset that consists of those elements h for which $\kappa_h(S) \subset \Delta_S$. By Proposition 3.4 H'(N) is constructible. Exchanging the role of S and T we get the similar constructible set H''(N). Letting $H(N) = H'(N) \cap H''(N)$ we get the desired conclusion.

Lemma 3.11. Let the assumptions of Theorem 3.3 hold, H(N) be as in Lemma 3.10 and $W(N) = \rho(H(N))$ where $\rho : H(N) \to Y$ is the natural projection. Then for some number N the set W(N) contains a Zariski dense open subset of Y.

Proof. Note that for any isomorphism $\varphi : S_y \to T_y$ we can find N for which (φ, φ^{-1}) is an element of H(N). Hence the assumptions of Theorem 3.3 imply that $Y = \bigcup_{N=1}^{\infty} W(N)$. Therefore, one of W(N)'s is Zariski locally dense in Y. Furthermore, it is constructible by the Chevalley's theorem which implies that it contains a Zariski open dense subset U of Y. This is the desired conclusion.

Proof of Theorem 3.3. Without loss of generality we suppose that Y is affine. Let N be as in Lemma 3.11, H = H(N) and $\rho : H \to Y$ be the natural morphism. It is dominant by Lemma 3.11. Taking a smaller H we can suppose that it is affine. Then we have the natural embedding $\rho^* : \mathbf{k}[Y] \hookrightarrow \mathbf{k}[H]$ of the rings of regular functions. For the field K of rational functions on Y consider the K-algebra $A = K \otimes_{\mathbf{k}[Y]} \mathbf{k}[H]$. By the Noether normalization lemma one can find algebraically independent elements $z_1, \ldots, z_k \in \mathbf{k}[H]$ such that A is a finitely generated over the polynomial ring $K[z_1, \ldots, z_n]$. Choose elements $b_1, \ldots, b_k \in \mathbf{k}$ so that the subvariety X of H given by the system of equations $z_1 - b_1 = \ldots = z_k - b_k = 0$ is not empty. Then the field of rational functions on X is a finite extension of K, i.e. we get a dominant morphism $X \to Y$ of finite degree.

Note that we can veiw $x \in X \subset H$ as an element (y, f_y, g_y) of $F(N) \times_Y G(M)$ as in Lemma 3.10 such that $y = \rho(x)$ and $f_y : S_y \to T_y$ is an isomorphism while $g_y : T_y \to S_y$ is its inverse. Hence the map $S \times_Y X \to T \times_Y X$ that sends every point $(s, x) \in S \times_Y X$ to $(f_y(s), x)$ is an isomorphism. This is the desired conclusion. \Box

Remark 3.12. We do not know if the morphism $X \to Y$ in Theorem 3.3 can be made étale in the case of a positive characteristic. However, if **k** has characteristic zero then over a Zariski dense open subset U of Y this morphism is smooth by the Generic Smoothness theorem [Har, Chapter III, Corollary 10.7] and replacing Y by U we can suppose that $X \to Y$ is étale.

4. Case of $\overline{\mathbb{Q}}$ -varieties

Notation 4.1. In this section \mathbf{k}_0 is an algebraically closed field of finite transcendence degree over \mathbb{Q} (e.g., \mathbf{k}_0 is the field $\overline{\mathbb{Q}}$ of algebraic numbers) and $p_0 : S_0 \to Y_0$ and $q_0 : T_0 \to Y_0$ are morphisms of algebraic \mathbf{k}_0 -varieties. By the Lefschetz principle we treat \mathbf{k}_0 as a subfield of \mathbb{C} and we denote by $p : S \to Y$ and $q : T \to Y$ are complexifications of these morphisms p_0 and q_0 (i.e., say, S coincides with $S_0 \times_{\text{Spec } \mathbf{k}_0} \text{Spec } \mathbb{C}$).

The analogue of the Kraft-Russell theorem for k_0 -varieties can be reduced to the complex case if the following is true.

Conjecture 4.2. Let Notation 4.1 hold and the fibers $p_0^{-1}(y)$ and $q_0^{-1}(y_0)$ be isomorphic for general points $y_0 \in Y_0$. Then the fibers $S_y = p^{-1}(y)$ and $T_y = q^{-1}(y)$ are isomorphic for general points $y \in Y$.

We can prove this conjecture only in the case of proper morphisms $p_0: S_0 \to Y_0$ and $q_0: T_0 \to Y_0$, and our proof is based on the theory of deformations of compact complex spaces.

Definition 4.3. A deformation of a compact complex space Z is a proper flat holomorphic map $\rho : \mathbb{Z} \to B$ of a complex spaces such that for a marked point $b_0 \in B$ one has $\rho^{-1}(b_0) = Z$. A deformation ρ is called versal if for any other deformation $\kappa : \mathcal{W} \to D$ of Z with $Z = \kappa^{-1}(d_0)$ there is a holomorphic map $g : (D, d_0) \to (B, b_0)$ of the germs such that $g^*(\rho) = \kappa|_{(D, d_0)}$.

We need the following crucial results of Palamodov [Pa76, Theorem 5.4] and [Pa73].

Theorem 4.4. (1) Every compact complex space Z is a fiber $\rho^{-1}(b_0)$ of a proper flat map $\rho : \mathbb{Z} \to B$ of complex spaces which is a versal deformation of each of its fibers.

(2) The space \mathcal{M} of classes of isomorphic complex spaces admits a T_0 -topology such that every proper flat family $\rho: \mathcal{Z} \to B$ induces a continuous map $\theta: B \to \mathcal{M}$.

(3) Furthermore, if ρ in (2) is a versal deformation at every point of B then θ is an open map.

Theorem 4.5. Let Notation 4.1 hold and the morphisms p and q be proper. Then Conjecture 4.2 is true.

Proof. Without loss of generality we suppose that Y_0 is affine, i.e. we view Y_0 as a closed subvariety of $\mathbb{A}^n_{\mathbf{k}_0}$. Hence Y is a closed subvariety of \mathbb{C}^n and we can treat the set of points in Y whose coordinates are in \mathbf{k}_0 as Y_0 . Let Y_1 be the closure of Y_0 in Y in the standard topology (i.e. Y_1 contains all points of $Y \subset \mathbb{C}^n$ with real coordinates). That is, Y_1 is Zariski locally dense in Y in the terminology of Definition 3.1. Hence by Theorem 3.3 it suffices to establish isomorphisms $S_y \simeq T_y$ for a general $y_0 \in Y_1$.

Let $\rho: \mathcal{Z} \to B$ be a versal deformation as in Theorem 4.4 (1) for $Z = S_{y_0} \simeq T_{y_0}$ and let $\theta: B \to \mathcal{M}$ be as in Theorem 4.4 (2). For some neighborhood Y' (in the standard topology) of y_0 in Y we have holomorphic maps $\hat{p}: (Y', y_0) \to (B, b_0)$ and $\hat{q}: (Y', y_0) \to (B, b_0)$ such that $(\hat{p})^*(\rho) = p|_{Y'}$ and $(\hat{q})^*(\rho) = q|_{Y'}$. To prove that S_y and T_y are isomorphic it suffices to prove that they are biholomorphic (by virtue of [SGA 1, XII, Theorem 4.4]). That is, it suffices for us to establish the equality $p' := \theta \circ \hat{p} = \theta \circ \hat{p} =: q'$ and, furthermore, as we mentioned before it is enough to establish equality $p'|_{Y'_1} = q'|_{Y'_1}$ where $Y'_1 = Y_1 \cap Y'$.

Assume the contrary. Then by Theorem 3.3 the set $R_0 \subset Y'_1$ of points y for which p'(y) = q'(y) cannot be Zariski locally dense, i.e. it is contained in a countable union of subsets of Y'_1 which are nowhere dense in Y'_1 . Let $R_1 \subset Y'_1$ (resp. $R_2 \subset Y'_1$) be the set of points y such that there is a neighborhood $U_y \subset \mathcal{M}$ of p'(y) that does not contain q'(y) (resp. a neighborhood $V_y \subset \mathcal{M}$ of q'(y) that does not contain p'(y)). Since \mathcal{M} is a T_0 -space we see that $R_0 \cup R_1 \cup R_2 = Y'_1$. Furthermore, since the map θ is open

(by Theorem 4.4(3)) we can suppose that $U_y = \theta(\widetilde{U}_y)$ (resp. $V_y = \theta(\widetilde{V}_y)$) where \widetilde{U}_y is a neighborhood of $\hat{p}(y)$ in B (resp. \widetilde{V}_y is a neighborhood of $\hat{q}(y)$ in B). Since B is a germ of a complex space we can consider a metric on it which induces the standard topology. Let R_1^n be the set of points $y \in R_1$ such that \widetilde{U}_y contains the ball $D(y, \frac{1}{n}) \subset B$ of radius $\frac{1}{n}$ (in this metric) with center at y and let R_2^n be the similar subset of R_2 . Then we have

$$Y_1' = R_0 \cup \bigcup_{i=1}^{\infty} R_1^n \cup \bigcup_{i=1}^{\infty} R_2^n.$$

By the Baire category theorem there is a nonempty open subset $W \subset Y'_1$ and n such that, say, R_1^n is everywhere dense in W. In particular, for every point $y_1 \in W \cap R_1^n$ the ball $D(\hat{p}(y_1), \frac{1}{n})$ does not meet $\theta^{-1}(q'(y_1))$. Without loss of generality we can suppose that $\hat{p}(y_1)$ is a smooth point of $\hat{p}(Y')$ and taking a larger n we can also assume that $\hat{p}(W)$ coincides with the intersection of $\hat{p}(Y'_1)$ with $D(\hat{p}(y_1), \frac{1}{2n})$. Hence we can choose a point $y_2 \in W \cap Y_0$ near y_1 such that for $b_2 := \hat{p}(y_2)$ the ball $D(b_2, \frac{1}{3n})$ does not meet $\theta^{-1}(q'(R_1^n \cap W))$.

On the other hand by the assumption of Conjecture 4.2 we have $S_{y_2} \simeq T_{y_2}$ and since $\rho : \mathcal{Z} \to B$ is a versal deformation at every point of B (by Theorem 4.4 (1)) there exists a map $\check{q} : (Y', y_2) \to (B, b_2)$ such that $(\check{q})^*(\rho) = q|_{(Y', y_2)}$. By continuity $D(b_2, \frac{1}{3n})$ contains points from $\check{q}(R_1^n \cap W)$). Thus it must contain points from $\theta^{-1}(q'(R_1^n \cap W))$ since $q' = \theta \circ \hat{q}$ and $\theta \circ \hat{q}(R_1^n \cap W) = \theta \circ \check{q}(R_1^n \cap W)$. This contradiction shows that R_0 is Zariski locally dense in Y. Now the desired conclusion follows from Theorem 3.3.

Remark 4.6. The assumption that \mathbf{k}_0 is algebraically closed can be dropped from the formulation of Theorem 4.5 since it is not used in the proof.

 \square

Theorem 4.7. Let Notation 4.1 hold and let $p_0 : S_0 \to Y_0$ and $q_0 : T_0 \to Y_0$ be proper morphisms. Suppose that for all $y_0 \in Y_0$ the two (schematic) fibers $p_0^{-1}(y_0)$ and $q_0^{-1}(y_0)$ are isomorphic. Then there is a dominant morphism of finite degree $X_0 \to Y_0$ and an isomorphism $S_0 \times_{Y_0} X_0 = T_0 \times_{Y_0} X_0$ over X_0 .

Proof. Let \mathbf{K}_0 be the field of rational functions on Y_0 and ω be the generic point of Y_0 . Since \mathbb{C} is algebraically closed and of infinite transcendence degree over \mathbf{k}_0 we can always find an injective homomorphism $\mathbf{K}_0 \hookrightarrow \mathbb{C}$. Then by Lemma 2.2 this homomorphism defines a closed point $y \in Y$ and

$$S_{0,\omega} \times_{\operatorname{Spec} \mathbf{K}_0} \operatorname{Spec} \mathbb{C} \to S_y$$

where $S_{0,\omega}$ is the generic fiber of p_0 . Since the similar fact holds for $q_0: T_0 \to Y_0$ and since $S_y \simeq T_y$ by Theorem 4.5 we have

$$S_{0,\omega} \times_{\operatorname{Spec} \mathbf{K}_0} \operatorname{Spec} \mathbb{C} \simeq S_y \simeq T_y \simeq T_{0,\omega} \times_{\operatorname{Spec} \mathbf{K}_0} \operatorname{Spec} \mathbb{C}.$$

Then repeating the argument from the proof of Theorem 2.5 we construct a finite extension L_0 of K_0 for which

$$S_{0,\omega} \times_{\operatorname{Spec} \mathbf{K}_0} \operatorname{Spec} \mathbf{L}_0 \simeq T_{0,\omega} \times_{\operatorname{Spec} \mathbf{K}_0} \operatorname{Spec} \mathbf{L}_0.$$

Since $S_{0,\omega} \times_{\operatorname{Spec} \mathbf{K}_0} \operatorname{Spec} \mathbf{L}_0 \simeq S_0 \times_{Y_0} \operatorname{Spec} \mathbf{L}_0$ and $T_{0,\omega} \times_{\operatorname{Spec} \mathbf{K}_0} \operatorname{Spec} \mathbf{L}_0 \simeq T_0 \times_{Y_0} \operatorname{Spec} \mathbf{L}_0$ there is a dominant morphism of finite degree $X_0 \to Y_0$ for which $S_0 \times_{Y_j} X_0 \simeq T_0 \times_{Y_0} X_0$ and we are done.

5. The Dubouloz-Kishimoto Theorem

The aim of this section is to use the Kraft-Russell Generic Equivalence theorem to get a rather simple proof of the following result which has a strong overlap with the Dubouloz-Kishimoto theorem.

Theorem 5.1. Let \mathbf{k} be an algebraically closed field of infinite transcendence degree over the prime field and let $f: X \to Y$ be a dominant morphism between geometrically integral algebraic \mathbf{k} -varieties. Suppose that for general closed points $y \in Y$, the fiber X_y contains a Zariski dense subvariety U_y of the form $U_y \simeq Z_y \times \mathbb{A}^m_{\mathbf{k}}$ over a $\kappa(y)$ variety Z_y . Then there exists a dominant morphism $T \to Y$ of finite degree such that $X_T = X \times_Y T$ contains a variety $W \simeq Z \times \mathbb{A}^m_{\mathbf{k}}$ over a T-variety Z.

We start with the following.

Lemma 5.2. Let the notation of Lemma 2.4 hold, φ be an open immersion, μ be a maximal ideal of the ring C contained in the image of the morphism $S_{0,\omega} \times_{\operatorname{Spec} K_0}$ $\operatorname{Spec} C \to \operatorname{Spec} C$ and $\mathbf{k}' = C/\mu$ (i.e. the field \mathbf{k}' is a finite extension of \mathbf{k}_0). Suppose that $X' = \tilde{X} \times_{\operatorname{Spec} C} \operatorname{Spec} \mathbf{k}', Z' = \tilde{Z} \times_{\operatorname{Spec} C} \operatorname{Spec} \mathbf{k}', and \varphi' : Z' \to X'$ is the morphism obtained from $\tilde{\varphi}$ by the base extension $C \to \mathbf{k}'$. Let $Z_0 = V_0 \times \mathbb{A}^m_{\mathbf{k}_0}$ (i.e. $Z = V \times \mathbb{A}^m_{\mathbf{k}}$) where V_0 is affine. Then $Z' = V' \times \mathbb{A}^m_{\mathbf{k}'}$ and $\dim_{\mathbf{k}'} \varphi'(Z') = \dim_{\mathbf{k}} Z$.

Proof. By the assumption the algebra $\mathbf{k}_0[Z_0]$ of regular functions on $Z_0 = V_0 \times \mathbb{A}_{\mathbf{k}_0}^m$ coincides with a polynomial ring $B_0[x_1, \ldots, x_m]$ where B_0 is some \mathbf{k}_0 -algebra. Hence for $\tilde{Z} = Z_0 \times_{\text{Spec } \mathbf{k}_0}$ Spec C the algebra of regular functions is $\tilde{B}[x_1, \ldots, x_m]$ where $\tilde{B} = B_0 \otimes_{\mathbf{k}_0} C$. This implies that the algebra $\mathbf{k}'[Z']$ of regular functions on Z' coincides with $B'[x_1, \ldots, x_m]$ where $B' = \tilde{B} \otimes_C \mathbf{k}'$ which yields the equality $Z' = V' \times \mathbb{A}_{\mathbf{k}'}^m$.

Furthermore, by the Noether's normalization lemma there are algebraically independent elements $y_1, \ldots, y_n \in B_0$ such that B_0 is a finitely generated module over $\mathbf{k}_0[y_1, \ldots, y_n]$ and, hence, the natural embedding $\iota : \mathbf{k}_0[x_1, \ldots, x_m, y_1, \ldots, y_n] \to \mathbf{k}_0[Z_0]$ is an integral homomorphism (in particular, dim $Z_0 = \dim Z = n + m =: d$). Note that $\mathbf{k}'[Z'] = (\mathbf{k}_0[Z_0] \otimes_{\mathbf{k}_0} C) \otimes_C \mathbf{k}' = \mathbf{k}_0[Z_0] \otimes_{\mathbf{k}_0} \mathbf{k}'$ and ι induces a homomorphism $\mathbf{k}'[x_1, \ldots, x_n, y_1, \ldots, y_m] \to \mathbf{k}'[Z']$ which is integral by [AM, Exercise 5.3]. Thus dim Z' = d. Enlarging \mathbf{k}_0 in this construction we can suppose that the morphism $\varphi^{-1} : \varphi(Z) \to Z$ can be also obtained from a morphism of \mathbf{k}_0 -varieties. This implies that φ' is an immersion and we are done.

Proof of Theorem 5.1. Let \mathbf{k}_0 , X_0 , Y_0 , \mathbf{K}_0 and $X_{0,\omega}$ be as in Notation 2.1 and Lemma 2.2. That is, $\mathbf{k}_0 \subset \mathbf{k}$ is finitely generated over the prime field, $X = X_0 \times_{\text{Spec } \mathbf{k}_0} \text{Spec } \mathbf{k}$, $Y = Y_0 \times_{\text{Spec } \mathbf{k}_0} \text{Spec } \mathbf{k}$, \mathbf{K}_0 is the field of rational functions on Y_0 , $X_{0,\omega} = X_0 \times_{\text{Spec } \mathbf{k}_0} \text{Spec } \mathbf{K}_0$, and, choosing an embedding $\mathbf{K}_0 \hookrightarrow \mathbf{k}$, we have an isomorphism $X_{0,\omega} \times_{\text{Spec } \mathbf{K}_0} \text{Spec } \mathbf{k} \to X_y$ for some closed point $y \in Y$. Enlarging \mathbf{k}_0 (and, therefore, \mathbf{K}_0) and treating \mathbf{K}_0 as a subfield of \mathbf{k} we can suppose that the natural immersion $\varphi : Z_y \to X_y$ is obtained from an immersion $\varphi_0 : Z_y^0 \to X_y^0$ of \mathbf{K}_0 -varieties Z_y^0 and X_y^0 via the base extension Spec $\mathbf{k} \to \operatorname{Spec} \mathbf{K}_0$. By Lemma 2.4 there exist a finitely generated \mathbf{K}_0 -algebra $C \subset \mathbf{k}$, ringed spaces \tilde{X}_y and \tilde{Z}_y with structure sheaves consisting of C-rings and a C-morphism $\tilde{\varphi} : \tilde{Z}_y \to \tilde{X}_y$ such that $X_y = \tilde{X}_y \times_{\operatorname{Spec} C} \operatorname{Spec} \mathbf{k}, Z_y = \tilde{Z}_y \times_{\operatorname{Spec} C} \operatorname{Spec} \mathbf{k}$ and $\varphi = \tilde{\varphi} \times_{\operatorname{Spec} C} \operatorname{id}_{\operatorname{Spec} \mathbf{k}}$. Let μ be a maximal ideal of C and $\mathbf{L}_0 = C/\mu$. By Lemma 5.2 we get an immersion $\varphi' : Z' \to X'$ of the \mathbf{L}_0 -varieties $X'_y = \tilde{X}_y \times_{\operatorname{Spec} C} \operatorname{Spec} \mathbf{L}_0$ and $Z'_y = \tilde{Z}_y \times_{\operatorname{Spec} C} \operatorname{Spec} \mathbf{L}_0$ such that $Z' = V' \times \mathbb{A}^m_{\mathbf{L}_0}$ and $\dim_{\mathbf{L}_0} \varphi'(Z') = \dim_{\mathbf{k}} Z$.

Put $\mathbf{L} = \mathbf{K} \otimes_{\mathbf{K}_0} \mathbf{L}_0$ where \mathbf{K} is a field of rational functions on Y. Let $\breve{Z} = Z' \times_{\text{Spec } \mathbf{L}_0}$ Spec \mathbf{L} (in particular $\breve{Z} = \breve{V} \times \mathbb{A}^m_{\mathbf{L}}$), $\breve{X} = X' \times_{\text{Spec } \mathbf{L}_0}$ Spec \mathbf{L} and $\breve{\varphi} : \breve{Z} \to \breve{X}$ be the open immersion induced by φ' . By construction the field \mathbf{L}_0 is a finite extension of \mathbf{K}_0 and, hence, \mathbf{L} is a finite extension of \mathbf{K} . This implies that there is a dominant morphism $T \to Y$ of finite degree such that the field of rational functions on T is \mathbf{L} and $X \times_Y T$ is a \mathbf{k} -variety for which the generic fiber of the projection $X \times_Y T$ is \breve{X} . Hence $X \times_Y T$ contains a Zariski open dense subset of the form $W \times \mathbb{A}^m_{\mathbf{k}}$ which is the desired conclusion.

Remark 5.3. Note that unlike in the original formulation of the Dubouloz-Kishimoto theorem the field **k** may be countable and it may have a positive characteristic. If **k** has characteristic zero then similar to Remark 3.12 we can suppose that $T \to Y$ is étale as in the Dubouloz-Kishimoto theorem.

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