

Independence of Artin L-functions

Mircea Cimpoeaş
Florin Nicolae

October 18, 2018

Abstract

Let K/\mathbb{Q} be a finite Galois extension. Let χ_1, \dots, χ_r be $r \geq 1$ distinct characters of the Galois group with the associated Artin L-functions $L(s, \chi_1), \dots, L(s, \chi_r)$. Let $m \geq 0$. We prove that the derivatives $L^{(k)}(s, \chi_j)$, $1 \leq j \leq r$, $0 \leq k \leq m$, are linearly independent over the field of meromorphic functions of order < 1 . From this it follows that the L-functions corresponding to the irreducible characters are algebraically independent over the field of meromorphic functions of order < 1 .

Key words: Artin L-function; linear independence; algebraic independence; arithmetic functions; Dirichlet series

MSC2010: 11R42; 11M41

Introduction

Let K/\mathbb{Q} be a finite Galois extension. For the character χ of a representation of the Galois group $G := \text{Gal}(K/\mathbb{Q})$ on a finite dimensional complex vector space, let $L(s, \chi, K/\mathbb{Q})$ be the corresponding Artin L -function ([2], P. 296). It was proved in [6, Theorem 1] that the derivatives of any order of Artin L -functions to finitely many distinct characters of G are linearly independent over \mathbb{C} . A more general result concerning linear independence over \mathbb{C} of functions in a class which contains the Artin L -functions was proved in [4]. In [5] it was proved the independence of any family of suitable L -functions (including Artin L -functions) with respect to a ring generated by a slow varying function.

For $\varepsilon > 0$ let

$$\mathcal{F}_\varepsilon = \{f : (1 + \varepsilon, +\infty) \rightarrow \mathbb{C}\},$$

$$\mathcal{B}_\varepsilon = \{f \in \mathcal{F}_\varepsilon : \forall a > 0 \lim_{\sigma \rightarrow +\infty} e^{-a\sigma} |f(\sigma)| = 0\},$$

$$\mathcal{V}_\varepsilon = \{f \in \mathcal{F}_\varepsilon : \exists a > 0 \lim_{\sigma \rightarrow +\infty} e^{a\sigma} |f(\sigma)| = 0\}.$$

The main result of this paper is

Theorem 7. *Let K/\mathbb{Q} be a finite Galois extension, and let χ_1, \dots, χ_r be distinct characters of the Galois group with the associated Artin L-functions $L(s, \chi_1), \dots, L(s, \chi_r)$. Let $\varepsilon > 0$. Let $\mathcal{A}_\varepsilon \subset \mathcal{B}_\varepsilon$ be a \mathbb{C} -vector space with*

$$\mathcal{A}_\varepsilon \cap \mathcal{V}_\varepsilon = \{0\}.$$

Let $m \geq 0$. If the functions $G_{jk}(\sigma) \in \mathcal{A}_\varepsilon$ satisfy

$$\sum_{j=1}^r \sum_{k=0}^m G_{jk}(\sigma) L^{(k)}(\sigma, \chi_j) = 0, \quad \sigma > 1 + \varepsilon,$$

then $G_{jk} = 0$, $1 \leq j \leq r$, $0 \leq k \leq m$.

As a consequence we have

Corollary 8. *The functions $L^{(k)}(s, \chi_j)$, $1 \leq j \leq r$, $0 \leq k \leq m$ are linearly independent over the field of meromorphic functions of order < 1 .*

Since the Artin L-functions are meromorphic of order 1 this result is best possible when we look for linear dependence with coefficients meromorphic functions. This extends the main result of [6]. Let χ_1, \dots, χ_h be the irreducible characters of the Galois group $Gal(K/\mathbb{Q})$. In [6, Corollary 4] it was proved that the Artin L-functions $L(s, \chi_1), \dots, L(s, \chi_h)$ are algebraically independent over \mathbb{C} . This extended Artin's result [1, Satz 5, P. 106] that $L(s, \chi_1), \dots, L(s, \chi_h)$ are multiplicatively independent. In Corollary 9 we prove that $L(s, \chi_1), \dots, L(s, \chi_h)$ are algebraically independent over the field of meromorphic functions of order < 1 .

1. Arithmetic Functions and Dirichlet Series

In this section we present some properties of Dirichlet series which are needed for the proof of the results on Artin L-functions in section 2.

Lemma 1. *Let $(a_n(\sigma))_{n \geq 1}$ be a sequence of functions in $(\mathcal{F}_\varepsilon \setminus \mathcal{V}_\varepsilon) \cup \{0\}$ such that there exists a function $M : (1 + \varepsilon, +\infty) \rightarrow [0, +\infty)$ with the properties:*

$$(i) \quad n^{-\varepsilon} |a_n(\sigma)| \leq M(\sigma), \quad \forall n \geq 1, \sigma > 1 + \varepsilon,$$

$$(ii) \quad \text{For all } a > 0 \text{ it holds that } \lim_{\sigma \rightarrow +\infty} e^{-a\sigma} M(\sigma) = 0.$$

Then

(1) The series of functions $F(\sigma) := \sum_{n=1}^{\infty} \frac{a_n(\sigma)}{n^\sigma}$ is absolutely convergent on $(1 + \varepsilon, +\infty)$.

(2) If $F(\sigma)$ is identically zero then $a_n(\sigma)$ is identically zero for any $n \geq 1$.

Proof. (1) Let $\sigma > 1 + \varepsilon$. By (i), it holds that

$$\left| \frac{a_n(\sigma)}{\sigma^n} \right| \leq \frac{M(\sigma)}{n^{\sigma-\varepsilon}}.$$

Since the series $\sum_{n=1}^{\infty} \frac{1}{n^{\sigma-\varepsilon}}$ is convergent, it follows that the series $\sum_{n=1}^{\infty} \frac{a_n(\sigma)}{n^\sigma}$ is absolutely convergent.

(2) We show inductively that a_n is identically zero for any $n \geq 1$. Suppose that $k = 1$ or that $k > 1$ and, for induction, a_1, \dots, a_{k-1} are identically zero. For $\sigma > 1 + \varepsilon$ we have that

$$\begin{aligned} |a_k(\sigma)| &= \left| k^\sigma \sum_{n=k+1}^{\infty} \frac{a_n(\sigma)}{n^\sigma} \right| \leq \sum_{n=k+1}^{\infty} \frac{|a_n(\sigma)| k^\sigma}{n^\sigma} \leq \\ (1) \quad &\leq M(\sigma) \sum_{n=k+1}^{\infty} \frac{k^\sigma}{n^{\sigma-\varepsilon}} = k^\varepsilon M(\sigma) \sum_{n=k+1}^{\infty} \frac{1}{\left(\frac{n}{k}\right)^{\sigma-\varepsilon}}. \end{aligned}$$

We choose $\delta > \varepsilon$. Let $\sigma \geq 1 + \delta$. From (1) it follows that

$$\begin{aligned} |a_k(\sigma)| &\leq k^\varepsilon M(\sigma) \sum_{n=k+1}^{\infty} \frac{1}{\left(\frac{n}{k}\right)^{\frac{\sigma+1-\varepsilon}{2}}} \frac{1}{\left(\frac{n}{k}\right)^{\frac{\sigma-1-\varepsilon}{2}}} \leq \\ (2) \quad &\leq k^\varepsilon M(\sigma) \left(\sum_{n=k+1}^{\infty} \frac{1}{\left(\frac{n}{k}\right)^{1+\frac{\delta-\varepsilon}{2}}} \right) \frac{1}{\left(\frac{k+1}{k}\right)^{\frac{\sigma-1-\varepsilon}{2}}}. \end{aligned}$$

Let $0 < a < \frac{1}{2}(\log(k+1) - \log k)$. From (2) it follows that

$$(3) \quad e^{a\sigma} |a_k(\sigma)| \leq k^{\frac{\varepsilon-1}{2}} (k+1)^{\frac{1+\varepsilon}{2}} \left(\sum_{n=k+1}^{\infty} \frac{1}{\left(\frac{n}{k}\right)^{1+\frac{\delta-\varepsilon}{2}}} \right) e^{(a - \frac{\log(k+1) - \log k}{2})\sigma} M(\sigma).$$

From (3) and hypothesis (ii) it follows that

$$\lim_{\sigma \rightarrow +\infty} e^{a\sigma} |a_k(\sigma)| = 0,$$

so $a_k \in \mathcal{V}_\varepsilon$. Since, by hypothesis, $a_k \in (\mathcal{F}_\varepsilon \setminus \mathcal{V}_\varepsilon) \cup \{0\}$ it follows that $a_k = 0$. \square

Let $\varepsilon > 0$. If $f(n)$ is an arithmetic function of order $O(n^\varepsilon)$, i.e. there exists $C > 0$ such that $|f(n)| \leq Cn^\varepsilon$ for every $n \geq 1$, then the associated Dirichlet series

$$F(s) := \sum_{n=1}^{\infty} \frac{f(n)}{n^s}$$

defines a holomorphic function in the half plane $\operatorname{Re}(s) > 1 + \varepsilon$. The k -th arithmetic derivative of $f(n)$ is

$$f^{(k)}(n) = (-1)^k f(n) \log^k n.$$

It holds that the k -th derivative of $F(s)$ is the Dirichlet series

$$F^{(k)}(s) = \sum_{n=1}^{\infty} \frac{f^{(k)}(n)}{n^s}.$$

Theorem 2. Let $\varepsilon > 0$. Let $f_1(n), \dots, f_r(n)$ be arithmetic functions of order $O(n^\varepsilon)$ linearly independent over \mathbb{C} with the associated Dirichlet series $F_1(s), \dots, F_r(s)$. Let $\mathcal{A}_\varepsilon \subset \mathcal{B}_\varepsilon$ be a \mathbb{C} -vector space with

$$\mathcal{A}_\varepsilon \cap \mathcal{V}_\varepsilon = \{0\}.$$

Let $G_1(\sigma), \dots, G_r(\sigma) \in \mathcal{A}_\varepsilon$ such that

$$(4) \quad \sum_{j=1}^r G_j(\sigma) F_j(\sigma) = 0, \quad \sigma > 1 + \varepsilon.$$

Then $G_1 = \dots = G_r = 0$.

Proof. Let $\sigma > 1 + \varepsilon$. From (4) it follows that

$$(5) \quad \sum_{n=1}^{\infty} \left(\sum_{j=1}^r G_j(\sigma) f_j(n) \right) n^{-\sigma} = 0.$$

For $n \geq 1$ let

$$(6) \quad a_n(\sigma) := \sum_{j=1}^r G_j(\sigma) f_j(n).$$

The functions $a_n(\sigma)$ are contained in the space \mathcal{A}_ε . Since the functions $f_j(n)$ have order $O(n^\varepsilon)$, there exists a constant $C > 0$ such that

$$(7) \quad \max\{|f_j(n)| : 1 \leq j \leq r\} \leq Cn^\varepsilon, \quad \forall n \geq 1.$$

Let

$$(8) \quad M(\sigma) := C \sum_{j=1}^r |G_j(\sigma)|.$$

From (6), (7) and (8) it follows that

$$(9) \quad |a_n(\sigma)| \leq M(\sigma)n^\varepsilon, \quad \forall n \geq 1.$$

Also, since $G_j \in \mathcal{B}_\varepsilon$, from (6) and (9) it follows that

$$\lim_{\sigma \rightarrow +\infty} e^{-a\sigma} M(\sigma) = 0, \quad \forall a > 0,$$

hence the conditions of the Lemma 1 are satisfied. From (5) and Lemma 1 it follows that

$$(10) \quad a_n(\sigma) = 0, \quad \forall n \geq 1.$$

Since the functions $f_1(n), \dots, f_r(n)$ are linearly independent over \mathbb{C} , from (6) and (10) it follows that $G_j(\sigma) = 0$, $1 \leq j \leq r$, as required. \square

An arithmetic function $f(n)$ is called *multiplicative*, if $f(1) = 1$ and

$$f(nm) = f(n)f(m), \quad n, m \in \mathbb{N} \text{ with } \gcd(n, m) = 1.$$

Two multiplicative arithmetical functions $f(n)$ and $g(n)$ are called *equivalent* (see [3]) if $f(p^j) = g(p^j)$ for all integers $j \geq 1$ and all but finitely many primes p . Let $e(n)$ be the identity function, defined by $e(1) = 1$ and $e(n) = 0$ for $n \geq 2$. We recall the following result of Kaczorowski, Molteni and Perelli [4].

Lemma 3. ([4, Lemma 1]) *Let $f_1(n), \dots, f_r(n)$ be multiplicative functions such that $e(n), f_1(n), \dots, f_r(n)$ are pairwise non-equivalent, and let m be a non-negative integer. Then the functions*

$$f_1^{(0)}(n), \dots, f_1^{(m)}(n), f_2^{(0)}(n), \dots, f_2^{(m)}(n), \dots, f_r^{(0)}(n), \dots, f_r^{(m)}(n)$$

are linearly independent over \mathbb{C} .

Corollary 4. *Let $\varepsilon > 0$. Let $f_1(n), \dots, f_r(n)$ be multiplicative functions of order $O(n^\varepsilon)$ such that $e(n), f_1(n), \dots, f_r(n)$ are pairwise non-equivalent. Let*

$$F_j(s) = \sum_{n=1}^{\infty} \frac{f_j(n)}{n^s}, \quad j = 1, \dots, r,$$

be the associated Dirichlet series. Let $\mathcal{A}_\varepsilon \subset \mathcal{B}_\varepsilon$ be a \mathbb{C} -vector space with

$$\mathcal{A}_\varepsilon \cap \mathcal{V}_\varepsilon = \{0\}.$$

Let $m \geq 0$. If the functions $G_{jk}(\sigma) \in \mathcal{A}_\varepsilon$ satisfy

$$\sum_{j=1}^r \sum_{k=0}^m G_{jk}(\sigma) F_j^{(k)}(\sigma) = 0, \quad \sigma > 1 + \varepsilon,$$

then $G_{jk} = 0$, $1 \leq j \leq r$, $0 \leq k \leq m$.

Proof. It follows from Lemma 3 and Theorem 2. \square

Proposition 5. Let $f : \mathbb{C} \rightarrow \mathbb{C}$ be an entire function of order $\rho < 1$ which is not identically zero. Let $\varepsilon > 0$. Then $f|_{(1+\varepsilon, +\infty)} \in \mathcal{B}_\varepsilon \setminus \mathcal{V}_\varepsilon$.

Proof. Let $\rho < \lambda < 1$. There exists a constant $C > 0$ such that

$$|f(s)| \leq C e^{|s|^\lambda}, \quad s \in \mathbb{C}.$$

Let $a > 0$ and $\sigma > 1 + \varepsilon$. We have that

$$e^{-a\sigma} |f(\sigma)| \leq C e^{-a\sigma + \sigma^\lambda}$$

so

$$\lim_{\sigma \rightarrow +\infty} e^{-a\sigma} |f(\sigma)| = 0,$$

hence $f|_{(1+\varepsilon, +\infty)} \in \mathcal{B}_\varepsilon$. If f is polynomial then

$$\lim_{\sigma \rightarrow +\infty} e^{a\sigma} |f(\sigma)| = +\infty, \quad \forall a > 0,$$

so $f|_{(1+\varepsilon, +\infty)} \notin \mathcal{V}_\varepsilon$. If f is not polynomial, then by Hadamard's Theorem, there exists $A \neq 0$ such that

$$(11) \quad f(s) = A s^m \prod_{n=1}^{\infty} \left(1 - \frac{s}{s_n}\right), \quad s \in \mathbb{C},$$

where m is the multiplicity of $s_0 = 0$ as zero of f , and s_1, s_2, \dots are the non-zero zeros of f . Let

$$E(s) := \prod_{n=1}^{\infty} \left(1 - \frac{s}{s_n}\right).$$

It is known (see [7, Ch. 5, Corollary 5.4]) that there exists a strictly increasing sequence $(r_k)_{k \geq 1}$ of positive numbers with $\lim_{k \rightarrow +\infty} r_k = +\infty$ and a constant $B > 0$ such that

$$(12) \quad |E(r_k)| \geq e^{-B r_k^\lambda}, \quad \forall k \geq 1.$$

Let $a > 0$. From (11) and (12) it follows that

$$e^{a r_k} |f(r_k)| = e^{a r_k} |A| r_k^m |E(r_k)| \geq |A| r_k^m e^{a r_k - B r_k^\lambda} \rightarrow +\infty,$$

hence $f|_{(1+\varepsilon, +\infty)} \notin \mathcal{V}_\varepsilon$. \square

Corollary 6. *With the assumptions of Corollary 4, the holomorphic functions $F_j^{(k)}(s)$, $1 \leq j \leq r$, $0 \leq k \leq m$ are linearly independent over the field of meromorphic functions of order < 1 .*

Proof. Suppose that there exists a linear combination

$$\sum_{j=1}^r \sum_{k=0}^m Q_{jk}(s) F_j^{(k)}(s) = 0, \quad \operatorname{Re} s > 1 + \epsilon,$$

where Q_{jk} are meromorphic functions of order < 1 . It is known that a meromorphic function of order < 1 is a quotient of entire functions of order < 1 . So we may suppose that Q_{jk} are entire functions of order < 1 . Let $\sigma > 1 + \epsilon$. We have that

$$\sum_{j=1}^r \sum_{k=0}^m Q_{jk}(\sigma) F_j^{(k)}(\sigma) = 0.$$

From Proposition 5 and Corollary 4 it follows that

$$Q_{jk}(\sigma) = 0, \quad \sigma > 1 + \epsilon,$$

hence

$$Q_{jk}(s) = 0, \quad s \in \mathbb{C},$$

by the identity principle for holomorphic functions. \square

2. Artin L-functions

Let K/\mathbb{Q} be a finite Galois extension. It was proved in [6, Theorem 1] that the derivatives of any order of Artin L -functions to finitely many distinct characters of the Galois group are linearly independent over \mathbb{C} . In our main result we extend this:

Theorem 7. *Let K/\mathbb{Q} be a finite Galois extension, and let χ_1, \dots, χ_r be distinct characters of the Galois group with the associated Artin L -functions $L(s, \chi_1), \dots, L(s, \chi_r)$. Let $\epsilon > 0$. Let $\mathcal{A}_\epsilon \subset \mathcal{B}_\epsilon$ be a \mathbb{C} -vector space with*

$$\mathcal{A}_\epsilon \cap \mathcal{V}_\epsilon = \{0\}.$$

Let $m \geq 0$. If the functions $G_{jk}(\sigma) \in \mathcal{A}_\epsilon$ satisfy

$$\sum_{j=1}^r \sum_{k=0}^m G_{jk}(\sigma) L^{(k)}(\sigma, \chi_j) = 0, \quad \sigma > 1 + \epsilon,$$

then $G_{jk} = 0$, $1 \leq j \leq r$, $0 \leq k \leq m$.

Proof. Let

$$L(s, \chi_j) = \sum_{n=1}^{\infty} \frac{f_j(n)}{n^s}, \quad j = 1, \dots, r$$

be the Dirichlet series expansion of $L(s, \chi_j)$ in the half-plane $\operatorname{Re} s > 1$. Since in $\operatorname{Re} s > 1$ the function $L(s, \chi_j)$ is defined by an Euler product, the arithmetic function $f_j(n)$ is multiplicative. It is well known that for Artin L-functions, the function $f_j(n)$ is $O(n^\delta)$ for any $\delta > 0$, hence $O(n^\varepsilon)$. We show that $e(n), f_1(n), \dots, f_r(n)$ are pairwise non-equivalent. For a prime number p which is not ramified in K , the value $f_j(p)$ equals the value of the character χ_j on the Frobenius class associated to p . For distinct characters χ_j and χ_k there are, by Chebotarev's density theorem, infinitely many primes p such that $f_j(p) \neq f_k(p)$, so the arithmetic functions f_j and f_k are not equivalent in the sense of section 1. Also, $e(p) = 0$ for any prime p , while there exist infinitely many non-ramified primes p with $f_j(p) = \chi_j(1) \neq 0$, hence the arithmetic functions e and f_j are not equivalent. We apply Corollary 4. \square

Corollary 8. *Let K/\mathbb{Q} be a finite Galois extension. Let χ_1, \dots, χ_r be $r \geq 1$ distinct characters of the Galois group with the associated Artin L-functions $L(s, \chi_1), \dots, L(s, \chi_r)$. Let $m \geq 0$. The meromorphic functions $L^{(k)}(s, \chi_j)$, $1 \leq j \leq r$, $0 \leq k \leq m$ are linearly independent over the field of meromorphic functions of order < 1 .*

Proof. Apply Theorem 7 and Corollary 6. \square

Let χ_1, \dots, χ_h be the irreducible characters of the Galois group. In [6, Corollary 4] it was proved that the Artin L-functions $L(s, \chi_1), \dots, L(s, \chi_h)$ are algebraically independent over \mathbb{C} . This extended Artin's result [1, Satz 5, P. 106] that $L(s, \chi_1), \dots, L(s, \chi_h)$ are multiplicatively independent. Now we can prove more:

Corollary 9. *Let K/\mathbb{Q} be a finite Galois extension, and let χ_1, \dots, χ_h be the irreducible characters of the Galois group. Then the Artin L-functions $L(s, \chi_1), \dots, L(s, \chi_h)$ are algebraically independent over the field of meromorphic functions of order < 1 .*

Proof. This follows from Corollary 8 and the fundamental property

$$L(s, \chi_1)^{n_1} \cdots L(s, \chi_h)^{n_h} = L(s, n_1\chi_1 + \cdots + n_h\chi_h).$$

\square

Corollary 10. *Let $K_1/\mathbb{Q}, \dots, K_r/\mathbb{Q}$ be $r \geq 1$ distinct finite Galois extensions with the Dedekind zeta-functions $\zeta_{K_1}, \dots, \zeta_{K_r}$. Let $m \geq 0$. The functions*

$$\zeta_{K_1}^{(0)}, \dots, \zeta_{K_1}^{(m)}, \zeta_{K_2}^{(0)}, \dots, \zeta_{K_2}^{(m)}, \dots, \zeta_{K_r}^{(0)}, \dots, \zeta_{K_r}^{(m)}$$

are linearly independent over the field of meromorphic functions of order < 1 .

Proof. As in the proof of [6, Corollary 5], it holds that

$$\zeta_{K_j}(s) = L(s, \chi_j, K/\mathbb{Q}), \quad j = 1, \dots, r,$$

where $K := K_1 \cdots K_r$ is the compositum of the fields K_1, \dots, K_r and χ_1, \dots, χ_r are distinct characters of the Galois group of K . We apply Corollary 8. \square

References

- [1] E. Artin, *Über eine neue Art von L-Reihen*, Abh. Math. Sem. Hamburg **3** (1924), 89–108.
- [2] E. Artin, *Zur Theorie der L-Reihen mit allgemeinen Gruppencharakteren*, Abh. Math. Sem. Hamburg **8** (1931), 292–306.
- [3] J. Kaczorowski, G. Molteni, A. Perelli, *Linear independence in the Selberg class*, C. R. Math. Rep. Acad. Sci. Canada **21**, (1999), 28–32.
- [4] J. Kaczorowski, G. Molteni, A. Perelli, *Linear independence of L-functions*, Forum Mathematicum **18**, (2006), 1–7.
- [5] G. Molteni, *General linear independence of a class of multiplicative functions*, Arch. Math. **83** (2004), 27–40.
- [6] F. Nicolae, *On Artin's L-functions. I*, J. reine angew. Math. **539** (2001), 179–184.
- [7] E. M. Stein, R. Shakarchi, *Complex analysis*. Princeton Lectures in Analysis II, (2003).

Mircea Cimpoeaş, Simion Stoilow Institute of Mathematics, Research unit 5, P.O.Box 1-764, Bucharest 014700, Romania, E-mail: mircea.cimpoeas@imar.ro

Florin Nicolae, Simion Stoilow Institute of Mathematics, P.O.Box 1-764, Bucharest 014700, Romania, E-mail: florin.nicolae@imar.ro