

RAISING THE LEVEL AT YOUR FAVORITE PRIME

LUIS DIEULEFAIT AND EDUARDO SOTO

ABSTRACT. In this paper we prove a level raising theorem for some weight 2 trivial character newforms at almost *every* prime p . This is done by ignoring the residue characteristic at which the level raising appears.

INTRODUCTION

For a newform h and a prime \mathfrak{l} in $\bar{\mathbb{Z}}$ consider the semisimple 2 dimensional continuous Galois representation $\bar{\rho}_{h,\mathfrak{l}}$ with coefficients in $\mathbb{F}_{\mathfrak{l}} = \bar{\mathbb{Z}}/\mathfrak{l}$ attached to h and let $\{a_p(h)\}_p \subset \bar{\mathbb{Z}}$ be the sequence of prime index Fourier coefficients of h . Let f and g be newforms of weight 2 and trivial character. We say that f and g are *Galois-congruent* if there is some prime \mathfrak{l} in $\bar{\mathbb{Z}}$ such that $\bar{\rho}_{f,\mathfrak{l}}, \bar{\rho}_{g,\mathfrak{l}}$ are isomorphic. This is equivalent to

$$a_p(f) \equiv a_p(g) \pmod{\mathfrak{l}}$$

for all but finitely many p . In 1990 Ribet proved the following

Theorem (K. Ribet). *Let f be a newform in $S_2(\Gamma_0(N))$ such that the mod \mathfrak{l} Galois representation*

$$\bar{\rho}_{f,\mathfrak{l}} : \text{Gal}(\bar{\mathbb{Q}} | \mathbb{Q}) \longrightarrow \text{GL}_2(\mathbb{F}_{\mathfrak{l}})$$

is absolutely irreducible. Let $p \nmid N$ be a prime satisfying

$$a_p(f) \equiv \varepsilon(p+1) \pmod{\mathfrak{l}}$$

for some $\varepsilon \in \{\pm 1\}$. Then there exists a newform g in $S_2(\Gamma_0(pM))$, for some divisor M of N such that $\bar{\rho}_{f,\mathfrak{l}}$ is isomorphic to $\bar{\rho}_{g,\mathfrak{l}}$. If $2 \notin \mathfrak{l}$ then g can be chosen with $a_p(g) = \varepsilon$.

Hence under some conditions one can *raise the level* of f at p . That is, there is a newform g Galois-congruent to f with level divisible by p once. When considering level-raising of f at p we will tacitly assume that p is *not in the level* of f . In this paper we do level raising at *every* $p > 2$ by admitting congruences at any prime \mathfrak{l} . More precisely, we prove the following.

Theorem 1. *Let f be a newform in $S_2(N)$ and let p be a prime not dividing N . Assume that*

(AbsIrr) $\bar{\rho}_{f,\mathfrak{l}}$ is absolutely irreducible for every \mathfrak{l} .

(a₂) If $p = 2$ assume that $a_2(f)^2 \neq 8$.

Then there exists some M dividing N and some newform g in $S_2(Mp)$ such that f and g are Galois-congruent.

The first author is partially supported by MICINN grant MTM2015-66716-P.

The second author is partially supported by MICINN grant MTM2016-78623-P.

We prove Theorem 1 and a variant of it in section 3. A theorem of B. Mazur implies that Theorem 1 applies to *most* of the rational elliptic curves. We exhibit an infinite family of modular forms satisfying **(AbsIrr)** with coefficient fields constant equal to \mathbb{Q} , see section 4

Remark. *It is worth remarking the existence of infinite families with coefficient fields of unbounded degree satisfying all of the condition **(AbsIrr)**, see [9].*

Lemma 2.3 together with Ribet's theorem imply that we can choose the sign of $a_p(g)$ when the congruence of f and g is in odd characteristic. An obstruction appears in characteristic 2 since Ribet's methods identify $1, -1 \pmod{2}$. Le Hung and Li [12] have recently provided a solution to this problem for some f arising from elliptic curves using 2-adic modularity theorems of [1] for the ordinary case and quaternion algebras for the supersingular case. In this paper we treat the ordinary case.

Theorem 2. *Let f be a newform in $S_2(N)$, let p be a prime not dividing $6N$ and choose a sing $\varepsilon \in \{\pm 1\}$. Assume that f satisfies **(AbsIrr)** and for every $\mathfrak{l} \ni 2$ assume that*

(DiehReal) $\bar{\rho}_{f,\mathfrak{l}}$ has dihedral image induced from a real quadratic extension,

$$\mathbf{(2Ord)} \quad \bar{\rho}_{f,\mathfrak{l}}|_{G_2} \simeq \begin{pmatrix} 1 & * \\ & 1 \end{pmatrix}.$$

Then there exists some M dividing N and some newform g in $S_2(Mp)$ such that f and g are Galois-congruent and $a_p(g) = \varepsilon$.

We deal with this obstruction in section 3.2 following techniques in [12].

Let E/\mathbb{Q} be an elliptic curve. Modularity theorems attach to E a newform $f(E)$ such that $\bar{\rho}_{f,\mathfrak{l}} \simeq E[\ell] \otimes_{\mathbb{F}_\ell} \mathbb{F}_\mathfrak{l}$ modulo semisimplification, for every \mathfrak{l} . We obtain an application to elliptic curves.

Theorem 3. *Let E/\mathbb{Q} be an elliptic curve such that*

- E has no rational q -isogeny for every q prime,
- $\mathbb{Q}(E[2])$ has degree 6 over \mathbb{Q} .

Let p be a prime of good reduction. Then there exists some divisor M of the conductor of E and some newform g in $S_2(Mp)$ such that $f(E)$ and g are Galois-congruent. Let $\varepsilon \in \{\pm 1\}$. Assume further that

- $p \geq 5$,
- E has good or multiplicative reduction at 2 and
- E has positive discriminant

then g can be chosen with $a_p(g) = \varepsilon$.

Notation. Let $\bar{\mathbb{Q}}$ denote the algebraic closure of \mathbb{Q} in \mathbb{C} . Let $\bar{\mathbb{Z}}$ be the ring of algebraic integers contained in $\bar{\mathbb{Q}}$. We use p, q, ℓ to denote rational primes and $\mathfrak{l}, \mathfrak{l}'$ to denote primes of $\bar{\mathbb{Z}}$. We use *prime* of $\bar{\mathbb{Z}}$ to refer to maximal ideals of $\bar{\mathbb{Z}}$, i.e. non-zero prime ideals. We denote by $\mathbb{F}_\mathfrak{l}$ the residue field of \mathfrak{l} and ℓ its characteristic. We consider modular forms as power series with complex coefficients and for a newform f we define by \mathbb{Q}_f its field of coefficients, that is the number field $\mathbb{Q}_f = \mathbb{Q}(\{a_p\}_p)$. We denote by $G_{\bar{\mathbb{Q}}}$ the absolute Galois group $\text{Gal}(\bar{\mathbb{Q}} | \mathbb{Q})$ and by G_p a decomposition group of p contained in $G_{\bar{\mathbb{Q}}}$.

1. NEWFORMS

Let $\Gamma_0(N)$ be the subgroup of $\mathrm{SL}_2(\mathbb{Z})$ corresponding to upper triangular matrices mod N . The space $S_2(N) := S_2(\Gamma_0(N))$ of weight 2 level N trivial Nebentypus cusp forms is a finite dimensional vector space over \mathbb{C} . For every M dividing N , $S_2(M)$ contributes in $S_2(N)$ under the so-called degeneracy maps $S_2(M) \hookrightarrow S_2(N)$. Let $S_2(N)^{old}$ be the subspace of $S_2(N)$ spanned by the images of the degeneracy maps for every $M \mid N$. Let $S_2(N)^{new}$ be the orthogonal space of $S_2(N)^{old}$ with respect to the Peterson inner product. A theorem of Atkin-Lehner says that $S_2(N)^{new}$ admits a basis of Hecke eigenforms called newforms, this basis is unique.

1.1. Galois representation. Let f be a newform of level N and let \mathfrak{l} be a prime of residue characteristic ℓ . A construction of Shimura (see [7] section 1.7) attaches to f an abelian variety A_f over \mathbb{Q} of dimension $n = [\mathbb{Q}_f : \mathbb{Q}]$. A_f has good reduction at primes not dividing N . Let $\mathbb{Q}_{f,\mathfrak{l}}$ denote the completion of \mathbb{Q}_f with respect to \mathfrak{l} . Working with the Tate module $\mathcal{V}_\ell(A_f) = \varprojlim_n A_f[\ell^n] \otimes_{\mathbb{Z}_\ell} \mathbb{Q}_\ell$ one can attach to A_f a continuous Galois representation

$$\rho_{f,\mathfrak{l}} : G_{\mathbb{Q}} \longrightarrow \mathrm{GL}_2(\mathbb{Q}_{f,\mathfrak{l}})$$

such that $\det \rho_{f,\mathfrak{l}}$ is the ℓ -adic cyclotomic character and $\mathrm{tr} \rho_{f,\mathfrak{l}}(\mathrm{Frob}_p) = a_p(f)$ for every $p \nmid N\ell$. Indeed, $\mathcal{V}_\ell(A_f)$ and $\rho_{f,\mathfrak{l}}$ are unramified at $p \nmid N\ell$ by Neron-Ogg-Shafarevich criterion. Then $\bar{\rho}_{f,\mathfrak{l}}$ is obtained as the semisimple reduction of $\rho_{f,\mathfrak{l}}$ mod \mathfrak{l} tensor $\mathbb{F}_\mathfrak{l}$.

Next definition is central in this paper.

Definition 1.1. Let f, g be newforms of weight two, level N, N' respectively and trivial character.

- We say that f and g are *Galois-congruent* if there is some prime \mathfrak{l} in $\bar{\mathbb{Z}}$ such that

$$\bar{\rho}_{f,\mathfrak{l}} \simeq \bar{\rho}_{g,\mathfrak{l}}.$$

- We say that g is a *level-raising of f at p* if f and g are Galois-congruent and $p \parallel N'$ but $p \nmid N$.
- We say that g is a *strong level-raising of f at p over \mathfrak{l}* if g is a level raising of f at p with $N' = Np$.

Remark 1.2. From Brauer-Nesbitt theorem ([6] theorem 30.16) we have that a semisimple Galois representation $\bar{\rho} : \mathrm{Gal}(\bar{\mathbb{Q}} \mid \mathbb{Q}) \rightarrow \mathrm{GL}_2(\bar{\mathbb{F}}_\ell)$ is uniquely determined by the characteristic polynomial function. Hence $\bar{\rho}$ is determined by tr and det . Since all Galois representations we consider have cyclotomic determinant Galois-congruence is equivalent to congruence on traces of unramified Frobenius (cf. Chebotarev density theorem, [17] Corollary 2).

Remark 1.3. Let R be a ring at which 2 is invertible and A a free R -module of rank 2. For an endomorphism f of A we have that $\mathrm{tr}(f^2) = \mathrm{tr}(f)^2 - 2\mathrm{det}(A)$ and hence det is determined by tr . This is [7] Proposition 2.6 b) for $d = 2$ and gives necessary conditions on existence of Galois-Congruency for general weights and levels (cf. [16]).

Remark 1.4. With our definition Le Hung and Li [12] do strong level raising at a set of primes with some extra requirements always in characteristic 2.

Let ω_ℓ denote the mod ℓ cyclotomic character and for $\alpha \in \bar{\mathbb{Z}}$ let λ_α be the unique unramified character $\lambda_\alpha : G_p \rightarrow \mathbb{F}_\ell^\times$ sending the arithmetic Frobenius $Frob_p$ to $\alpha \pmod{\ell}$. We collect in the following theorem work of Deligne, Serre, Fontaine, Edixhoven, Carayol and Langlands. It gives some necessary conditions for level-raising existence.

Theorem 1.5. *Let g be a newform in $S_2(Mp)$ with $p \nmid 2M$ and fix a prime ℓ . Then*

$$\bar{\rho}_{g,\ell}|_{G_p} \simeq \begin{pmatrix} \omega_\ell & * \\ & 1 \end{pmatrix} \otimes \lambda_{a_p(g)}.$$

Let f be a newform $S_2(N)$, $p \nmid 2N$ and fix a prime ℓ containing p . Then either $\bar{\rho}_{f,\ell}|_{G_p}$ is irreducible or

$$\bar{\rho}_{f,\ell}|_{G_p} \simeq \begin{pmatrix} \omega_p \lambda_{a_p(f)^{-1}} & * \\ & \lambda_{a_p(f)} \end{pmatrix}$$

with $$ ‘peu ramifié’.*

Proof. Case $p \in \ell$ is Theorem 6.7 in [3] for $k = 2$. Because $a_p(g) \in \{\pm 1\}$, we have that g is ordinary at p . Case $p \notin \ell$ follows from Carayol’s theorem in [5].

The statement for f is Corollary 4.3.2.1 in [4]. □

Following corollaries were inspired by Proposition 6 in [2].

Corollary 1.6. *Let $f \in S_2(N)$, $g \in S_2(Mp)$ be Galois-congruent newforms over ℓ , $p \nmid 2NM$. Then*

$$a_p(f) \equiv a_p(g)(p+1) \pmod{\ell}.$$

Proof. Case $p \notin \ell$. We have that $\text{tr} \bar{\rho}_{g,\ell}(Frob_p) = a_p(g)(p+1)$. On the other hand $\bar{\rho}_{f,\ell}$ is unramified at p and has trace $a_p(f)$ at $Frob_p$.

Case $p \in \ell$. The isomorphism $\bar{\rho}_{f,\ell}|_{G_p} \simeq \bar{\rho}_{g,\ell}|_{G_p}$ implies that $\bar{\rho}_{f,\ell}|_{G_p}$ reduces and we have equality of characters

$$\{\omega_p \lambda_{a_p(g)}, \lambda_{a_p(g)}\} = \{\omega_p \lambda_{a_p(f)^{-1}}, \lambda_{a_p(f)}\}$$

The mod p cyclotomic character is ramified since $p \neq 2$. In particular $a_p(g) \equiv a_p(f) \pmod{\ell}$. □

As a consequence we obtain a result on congruent modular forms with level-raising.

Corollary 1.7. *Let $f \in S_2(N)$, $g \in S_2(Mp)$ be newforms, $p \nmid 2NM$. If f and g are congruent, that is*

$$a_n(f) \equiv a_n(g) \pmod{\ell} \quad \text{for every } n,$$

then

$$\ell = p \quad \text{and} \quad a_p(f) \equiv a_p(g) = \pm 1 \pmod{\ell}.$$

Proof. Congruency implies Galois-congruency. We have that

$$a_p(g) \equiv a_p(f) \equiv a_p(g)(p+1) \pmod{\ell}.$$

The corollary follows since $a_p(g) \in \{\pm 1\}$. □

1.2. Ribet's level raising. Ribet's theorem says that the necessary condition of Corollary 1.6 for level-raising turns out to be enough modulo some irreducibility condition.

Theorem 1.8 (Ribet's level raising theorem). *Let f be a newform in $S_2(N)$ such that the mod \mathfrak{l} Galois representation*

$$\bar{\rho}_{f,\mathfrak{l}} : \text{Gal}(\overline{\mathbb{Q}} | \mathbb{Q}) \longrightarrow \text{GL}_2(\mathbb{F}_{\mathfrak{l}})$$

is absolutely irreducible. Let $p \nmid N$ be a prime satisfying

$$a_p(f) \equiv \varepsilon(p+1) \pmod{\mathfrak{l}}$$

for some $\varepsilon \in \{\pm 1\}$. Then there exists a newform g in $S_2(pM)$, for some divisor M of N such that $\bar{\rho}_{f,\mathfrak{l}}$ is isomorphic to $\bar{\rho}_{g,\mathfrak{l}}$. If $2 \notin \mathfrak{l}$ g can be chosen with $a_p(g) = \varepsilon$.

Remarks 1.9.

- Ribet's original approach deals with modular Galois representations $\bar{\rho}$ so that in particular there is some newform f such that $\bar{\rho} \simeq \bar{\rho}_{f,\mathfrak{l}}$. His approach deals with traces of Frobenii, this forces him to deal with unramified primes only, hence the hypothesis $p \neq \ell$. As he explains later the theorem can be stated in terms of Hecke operators and hence in terms of Fourier coefficients even if $p = \ell$, when f is p -new.
- Every normalized Hecke eigenform f' has attached a unique newform f so that the \mathfrak{l} -adic Galois representations attached to f' are the ones attached to f . Furthermore, the level of f divides the level of f' . Hence p -new in Ribet's article means new of level pM for some $M | N$.

We introduce a definition in order to deal with the irreducibility condition.

Definition 1.10. Let $f \in S_2(N)$ be a newform, let \mathfrak{l} be a prime of $\overline{\mathbb{Z}}$ and let $\bar{\rho}_{f,\mathfrak{l}} : \text{Gal}(\overline{\mathbb{Q}} | \mathbb{Q}) \rightarrow \text{GL}_2(\overline{\mathbb{F}}_{\mathfrak{l}})$ denote the semisimple mod \mathfrak{l} Galois representation attached to f by Shimura. We say that f satisfies condition (**AbsIrr**) if $\bar{\rho}_{f,\mathfrak{l}}$ is absolutely irreducible for every prime \mathfrak{l} .

In section 5 we provide explicit examples with $\mathbb{Q}_f = \mathbb{Q}$. See [9] Theorem 6.2 for a construction of families $\{f_n\}$ for which the set of degrees $\{\dim_{\mathbb{Q}} \mathbb{Q}_{f_n}\}_n$ is unbounded.

2. BOUNDS AND ARITHMETICS OF FOURIER COEFFICIENTS

In light of Ribet's theorem and the following well-known properties of Fourier coefficients we study some arithmetic properties of $p+1 \pm a_p$.

Theorem 2.1. *Let f be a newform in $S_2(N)$ and let a_p be the p -th Fourier coefficient of f , p prime. Then*

- (i) $a_p \in \overline{\mathbb{Z}}$,
- (ii) a_p is totally real. That is, its minimal polynomial splits over \mathbb{R} ,
- (iii) (Hasse-Weil Theorem) $|\sigma(a_p)| \leq 2\sqrt{p}$ for every embedding $\sigma : \mathbb{Q}(a_p) \hookrightarrow \mathbb{R}$.

We say that $a_p \in \overline{\mathbb{Q}}$ is a p -th Fourier coefficient if a_p satisfies conditions (i) – (iii).

2.1. Arithmetic lemmas. Let K be a number field with ring of integers \mathcal{O} . Let S be the set of complex embeddings $\sigma : K \rightarrow \mathbb{C}$ of K . For every $\alpha \in \mathcal{O}$ we consider the norm

$$N_K(\alpha) = \prod_{\sigma \in S} \sigma(\alpha)$$

and the characteristic polynomial

$$P_\alpha(X) = \prod_{\sigma \in S} (X - \sigma(\alpha)).$$

One has that $P_\alpha(0) = (-1)^{|S|} N_K(\alpha)$. Following well-known lemma is basic algebraic number theory.

Lemma 2.2. *Let K be a number field and α an algebraic integer of K . Then $P_\alpha(X) \in \mathbb{Z}[X]$ and $N_K(\alpha) \in \mathbb{Z}$. A rational prime ℓ divides $N_K(\alpha)$ if and only if there is some prime \mathfrak{l} in $\bar{\mathbb{Z}}$ of residue characteristic ℓ such that $\alpha \equiv 0 \pmod{\mathfrak{l}}$. In particular α is a unit of \mathcal{O} if and only if $N_K(\alpha) = \pm 1$.*

Proof. Let $n = |S| = \dim_{\mathbb{Q}} K$. Consider the embedding $\iota : K \hookrightarrow \text{End}_{\mathbb{Q}}(K)$, where $\iota(\alpha)$ is the multiplication-by- α morphism. A choice of integral basis of K induces an embedding $\iota : K \hookrightarrow M_{n \times n}(\mathbb{Q})$ with $\iota(\mathcal{O}) \subset M_{n \times n}(\mathbb{Z})$. Then $P_\alpha(X)$ is the characteristic polynomial of $\iota(\alpha)$ by Cayley-Hamilton theorem. For a nonzero $\alpha \in \mathcal{O}$ one has that $|N_K(\alpha)| = |\mathcal{O}/\alpha\mathcal{O}| = \prod_i |\mathcal{O}/\mathfrak{p}_i^{e_i}|$ where $\alpha\mathcal{O} = \prod_i \mathfrak{p}_i^{e_i}$ is the factorization in prime ideals of the ideal generated by α . Thus ℓ divides $N_K(\alpha)$ if and only if some prime \mathfrak{p} of \mathcal{O} containing ℓ divides $\alpha\mathcal{O}$. Moreover, the map $\text{MaxSpec}(\bar{\mathbb{Z}}) \rightarrow \text{MaxSpec}(\mathcal{O})$ given by $\mathfrak{l} \mapsto \mathfrak{l} \cap \mathcal{O}$ is surjective and the lemma follows. \square

Lemma 2.3. *Let a_p be a p -th Fourier coefficient.*

- (a) $(p+1+a_p)(p+1-a_p)$ is unit in $\bar{\mathbb{Z}}$ if and only if $p=2$ and $a_2^2=8$.
(b) If $p > 3$ then $p+1 \pm a_p$, is not unit in $\bar{\mathbb{Z}}$.

Proof. Let $K = \mathbb{Q}(a_p)$ and $S = \{\sigma \in: \mathbb{Q}(a_p) \hookrightarrow \mathbb{R}\}$ be the set of embeddings. Its cardinality equals n the degree of $\mathbb{Q}(a_p) | \mathbb{Q}$.

(a) We have

$$\begin{aligned} \sigma((p+1+a_p)(p+1-a_p)) &= (p+1)^2 - \sigma(a_p)^2 \\ &\geq (p+1)^2 - 4p \\ &= (p-1)^2 \\ &\geq 1. \end{aligned}$$

Hence $N_K((p+1+a_p)(p+1-a_p)) \geq (p-1)^{2n} \geq 1$. Equalities hold if and only if $p=2$ and $9-a_2^2=1$.

(b) Since $\sigma(p+1 \pm a_p) \geq p+1-2\sqrt{p} = (\sqrt{p}-1)^2$ then

$$N_K(p+1 \pm a_p) \geq (\sqrt{p}-1)^{2n} > 1$$

provided that $p > 3$.

\square

Lemma 2.4 (Avoiding p). *Fix a positive odd integer n . There exists an integer C_n such that if a_p is a p -th coefficient of degree n with $p > C_n$ then there is a prime \mathfrak{l} not over p such that*

$$\mathfrak{l} \mid (a_p + p + 1)(a_p - p - 1).$$

Proof. Let $K = \mathbb{Q}(a_p)$ and assume that $(p + 1 + a_p)(p + 1 - a_p)$ factors as product of primes over p in the ring of integers of K . Then $N_K(p + 1 - a_p)$, $N_K(p + 1 + a_p)$ are powers of p in the closed interval $I = [(\sqrt{p} - 1)^{2n}, (\sqrt{p} + 1)^{2n}]$. We can take p great enough so that p^n is the unique power of p in I . Thus

$$\begin{aligned} N_K(p + 1 - a_p) &= N_K(p + 1 + a_p) = p^n \\ N_K(-p - 1 - a_p) &= (-1)^n N_K(p + 1 + a_p) = -p^n \end{aligned}$$

In particular $0 \equiv P_{a_p}(p + 1) - P_{a_p}(-p - 1) = 2p^n \pmod{p + 1}$. \square

We can describe the bound C_n : conditions $p^{n+1}, p^{n-1} \notin I$ are equivalent to

$$\begin{aligned} p &> \left(\frac{p}{p - 2\sqrt{p} + 1} \right)^n =: \alpha(p, n), \\ p &> \left(\frac{p + 2\sqrt{p} + 1}{p} \right)^n =: \beta(p, n) \end{aligned}$$

Notice that $\beta < \alpha$ and that p satisfies $p > \alpha(p, n)$ if and only if $x^n > x^{n-1} + 1$ where $x^{2n} = p$. Since n is odd we can take θ the greatest real root of $X^n - X^{n-1} - 1$ and $C_n := \theta^{2n}$. Notice that C_n/n^2 has finite limit.

Lemma 2.5. *The best bound for $n = 1$ is $C_1 = 2$.*

Proof. Notice that $(p + 1)^2 - a_p^2 = 1$ if $p = 2$, $a_p = \pm 1$. Following the notation above we have that $\theta = 2$ for $n = 1$ and $C_1 = 4$ works. Thus it is enough to check that $(4 - a_3)(4 + a_3)$ is not \pm a power of 3. Both factors are positive by Hasse's bound. Thus $4 + a_3 = 3^a$, $4 - a_3 = 3^b$ and $3^a + 3^b = 8$. \square

3. PROOFS

3.1. Proof of main result and variant.

Proof of Theorem 1. Let $f \in S_2(N)$ new and $p \nmid N$. We need to check that Ribet's theorem applies for some \mathfrak{l} . By Lemmas 2.1 and 2.3, $(p + 1 + a_p)(p + 1 - a_p)$ is not invertible in $\bar{\mathbb{Z}}$. Hence it is contained in a maximal ideal \mathfrak{l} . That is, either $a_p \equiv p + 1 \pmod{\mathfrak{l}}$ or $a_p \equiv -p - 1 \pmod{\mathfrak{l}}$. \square

Following variant allows us to do level-raising at p over characteristic $\ell \neq p$. This together with Corollary 1.7 ensures that the predicted Galois-congruency is not a congruence of *all* Fourier coefficients, at least when the level-raising is at $p \neq 2$.

Theorem 3.1. *Let f be a newform in $S_2(N)$ such that $n := \dim_{\mathbb{Q}} K_f$ is odd. Assume that*

(AbsIrr) $\bar{\rho}_{f, \mathfrak{l}}$ is absolutely irreducible for every \mathfrak{l} .

There exists a constant $C > 0$ such that for every prime $p > C$ f has a level-raising g at p over a prime \mathfrak{l} of residue characteristic different from p . C depends only on n .

Proof. Let $f \in S_2(N)$ new. Due to (**AbsIrr**) it is enough to find a maximal ideal \mathfrak{l} not over p . This is done in Lemma 2.4. \square

3.2. Choice of sign mod 2. In this section we adapt some ideas of [12] to our case. The strategy is to solve a finitely ramified deformation problem. This kind of deformation problem consists on specifying the ramification behavior at all but one chosen prime q . If such a deformation problem has solution and some modularity theorem applies this provides newforms with specified weight, character and prime-to- q part level. If one chooses an auxiliary prime q , a twist argument kills the ramification at q so that one recovers a newform with the specified weight, character and level.

Fix a prime ideal $\mathfrak{l} \ni 2$ of $\bar{\mathbb{Z}}$. Let ρ_2 be a Galois representation $G_{\mathbb{Q}} \rightarrow \mathrm{SL}_2(\mathbb{F}_{\mathfrak{l}})$ with dihedral image D and let $E = \bar{\mathbb{Q}}^D$ be the number field fixed by $\ker \rho_2$. The order of an element in $\mathrm{SL}_2(\mathbb{F}_{\mathfrak{l}})$ is either 2 or odd. This forces D to have order $2r$, $2 \nmid r$. In particular $E \mid \mathbb{Q}$ has a unique quadratic subextension $K \mid \mathbb{Q}$ and ρ_2 is induced from a character $\chi : \mathrm{Gal}(\bar{\mathbb{Q}} \mid K) \rightarrow \mathbb{F}_{\mathfrak{l}}^{\times}$ of order r .

We say that q is an *auxiliary prime* for ρ_2 if

- $q \equiv 3 \pmod{4}$ and
- ρ_2 is unramified at q and $\rho_2(\mathrm{Frob}_q)$ is non-trivial of odd order.

Proposition 3.2. *Let g be a newform in $S_2(Mq^{\alpha})$, $q \nmid M$, such that $\bar{\rho}_{g,\mathfrak{l}}$ is unramified at an auxiliary prime q . Then either g or $g \otimes \left(\frac{\cdot}{q}\right)$ has level M .*

Proof. $\bar{\rho}_{g,\mathfrak{l}}(\mathrm{Frob}_q)$ has different eigenvalues by the order condition, thus $\rho_{g,\mathfrak{l}}|_{I_q}$ factors through a quadratic character η of I_q (Lemma 3.4 in [12]). By the structure of tame inertia at $q \neq 2$ there is a unique open subgroup in I_q of index 2 and $\eta : I_q \rightarrow \mathrm{Gal}(\mathbb{Q}_q^{ur}(\sqrt{q}) \mid \mathbb{Q}_q^{ur}) \simeq \{\pm 1\}$. If η is trivial then $\alpha = 0$ and we are done. Otherwise, η extends locally to $G_q \rightarrow \mathrm{Gal}(\mathbb{Q}_q(\sqrt{-q}) \mid \mathbb{Q}_q)$ and globally to the Legendre symbol

$$\left(\frac{\cdot}{q}\right) : G_{\mathbb{Q}} \rightarrow \mathrm{Gal}(\mathbb{Q}(\sqrt{-q}) \mid \mathbb{Q}) \simeq \{\pm 1\}.$$

Legendre symbol over q is only ramified at q and the proposition follows. \square

Auxiliary primes are inert at $\mathbb{Q}(i)$ and split at K by a parity argument. In particular, ρ_2 has auxiliary primes only if $K \neq \mathbb{Q}(i)$.

Lemma 3.3. *Let $\rho_2 : G_{\mathbb{Q}} \rightarrow \mathrm{SL}_2(\mathbb{F}_{\mathfrak{l}})$ be a Galois representation as above. Assume that ρ_2 is not ramified at p and that $K \neq \mathbb{Q}(i)$. Then the set of auxiliary primes for ρ_2 splitting at $\mathbb{Q}(\sqrt{p})$ has positive density in the set of all primes.*

Proof. As in Lemma 3.2 of [12] E and $\mathbb{Q}(i, \sqrt{p})$ are linearly disjoint since E is unramified at p and $K \neq \mathbb{Q}(i)$. Chebotarev density theorem implies the lemma. \square

Theorem 3.4. *Let f be a newform in $S_2(N)$, p be a prime not dividing $6N$ and $\varepsilon \in \{\pm 1\}$ a sign. Assume that $a_p \equiv 1 + p \pmod{\mathfrak{l}}$ for some prime \mathfrak{l} containing 2. Assume that*

- (1) $\bar{\rho}_{f,\mathfrak{l}}$ has dihedral image induced from a real quadratic extension, and
- (2) (**2Ord**) $\bar{\rho}_{f,\mathfrak{l}}|_{G_2} \simeq \begin{pmatrix} 1 & * \\ & 1 \end{pmatrix}$.

then there exists some M dividing N and some newform g in $S_2(Mp)$ such that f and g are Galois-congruent and $a_p(g) = \varepsilon$.

Proof. Let q be an auxiliary prime for $\bar{\rho}_{f,\mathfrak{l}}|_{G_2}$ splitting at $\mathbb{Q}(\sqrt{p})$. By Theorem 4.2 of [12] there is some newform g in $S_2(Npq^\alpha)$ with $a_p(g) = \varepsilon$. Let g' be the newform in $S_2(Np)$ obtained by Proposition 3.2. Then $a_p(g') = a_p(g)$ since $\left(\frac{p}{q}\right) = 1$. \square

Proof of Theorem 2. By Lemma 2.3 there are some maximal ideals \mathfrak{l}^+ , \mathfrak{l}^- such that $a_p(f) \equiv p + 1 \pmod{\mathfrak{l}^+}$ and $a_p(f) \equiv -p - 1 \pmod{\mathfrak{l}^-}$. If $2 \notin \mathfrak{l}^+, \mathfrak{l}^-$ then Ribet's theorem applies and we are done. Otherwise apply previous theorem. \square

4. CASE $n = 1$. ELLIPTIC CURVES AND \mathbb{Q} -ISOGENIES

Let E/\mathbb{Q} be an elliptic curve and let E_p/\mathbb{F}_p be the mod p reduction of (the Néron model of) E for a prime p . Consider the integer $c_p = p + 1 - \#E_p$. Then there is a unique newform f of weight 2 such that $a_p(f) = c_p$ for every prime p . This is a consequence of modularity of elliptic curves over \mathbb{Q} . In particular, $\bar{\rho}_{f,\mathfrak{l}}$ and $E[\mathfrak{l}] \otimes \mathbb{F}_\mathfrak{l}$ are isomorphic up to semisimplification for every prime \mathfrak{l} . In this section we characterize elliptic curves whose corresponding newform f satisfies **(AbsIrr)**.

Let E/\mathbb{Q} be an elliptic curve, ℓ an odd prime and $c \in \text{Gal}(\mathbb{C} | \mathbb{R}) \subset \text{Gal}(\bar{\mathbb{Q}} | \mathbb{Q})$ be the complex conjugation. Then c acts on $E[\ell]$ with characteristic polynomial $X^2 - 1$. This follows from the existence of Weil pairing. In particular $E[\ell]$ is irreducible if and only if $E[\ell] \otimes \mathbb{F}_\mathfrak{l}$ is irreducible. We say that E satisfies **(Irr)** if $E[\ell]$ is irreducible for every ℓ . From a particular study of the case $\ell = 2$ one obtains the

Lemma 4.1. *Let E/\mathbb{Q} be an elliptic curve. Then E satisfies **(AbsIrr)** if and only if E satisfies **(Irr)** and $\mathbb{Q}(E[2])$ has degree 6 over \mathbb{Q} .*

4.1. Isogenies. In practice one can deal with **(Irr)** by studying the graph of isogeny classes. LMFDB project has computed in [13] a huge amount of elliptic curves and isogenies. We recall some well known results on this topic.

Let E, E' be elliptic curves defined over \mathbb{Q} . An *isogeny* is a nonconstant morphism $E \rightarrow E'$ of abelian varieties over \mathbb{Q} . The map

$$\begin{array}{ccc} \{E \rightarrow E' \text{ isogeny}\} / \cong & \longrightarrow & \{\text{finite } \mathbb{Z}[\text{Gal}(\bar{\mathbb{Q}} | \mathbb{Q})]\text{-submodules of } E\} \\ \varphi & \longmapsto & \text{Ker } \varphi \end{array}$$

defines a bijection. Hence, the torsion group $E[n]$ corresponds to the multiplication-by- n map $E \xrightarrow{[n]} E$ under the bijection.

Lemma 4.2. *Let E/\mathbb{Q} be an elliptic curve. The following are equivalent*

- (1) E satisfies **(Irr)**.
- (2) the graph of isogeny classes of E is trivial.
- (3) every finite $\mathbb{Z}[\text{Gal}(\bar{\mathbb{Q}} | \mathbb{Q})]$ -submodule of E is of the form $E[n]$ for some n .

Proof. Let $G_{\mathbb{Q}}$ denote the absolute Galois group $\text{Gal}(\bar{\mathbb{Q}} | \mathbb{Q})$. We will prove that (1) \Rightarrow (2) \Rightarrow (3) \Rightarrow (1). For the first implication let $E \xrightarrow{\varphi} E'$ be an isogeny. Then there exists a maximal n such that φ factors as

$$E \xrightarrow{[n]} E \xrightarrow{\psi} E'$$

for some isogeny $\psi : E \rightarrow E'$. It can be checked that n is the biggest integer satisfying $E[n] \subset \text{Ker } \varphi$. Let $r = \#\text{Ker } \psi$. If $p \mid n$ then $E[p] \cap \text{Ker } \psi$ is a nontrivial subrepresentation of $E[p]$. If E satisfies **(Irr)** then $r = 1$ and ψ is an isomorphism. For the second let H be a finite $\mathbb{Z}[G_{\mathbb{Q}}]$ -submodule of E . It corresponds to some isogeny $E \xrightarrow{\varphi} E'$ with kernel equal to H . By hypothesis E and E' are isomorphic say $E' \xrightarrow{h} E$. Thus $h \circ \varphi$ is an endomorphism of E defined over \mathbb{Q} and hence $h \circ \varphi = [n]$ for some n . The last implication is trivial. \square

If the isograph is unknown one can still do something. In 1978 Barry Mazur proved (see [14]) the

Theorem 4.3 (B. Mazur). *Let E/\mathbb{Q} be an elliptic curve and let ℓ be a prime such that $E[\ell]$ is reducible. Then*

$$\ell \in \mathcal{T} := \{2, 3, 5, 7, 11, 13, 17, 19, 37, 43, 67, 163\}.$$

Hence, there is a complete list of possible irreducible submodules of $E[\ell]$. We will use Mazur's theorem later in order to exhibit a family of elliptic curves satisfying **(Irr)**.

4.2. Twists. Condition **(AbsIrr)** is invariant under $\overline{\mathbb{Q}}$ -isomorphism. This follows from the fact that Galois representations attached to $\overline{\mathbb{Q}}$ -isomorphic rational elliptic curves differ by finite character. The useful invariant in this context is the j -invariant. More precisely, the map

$$j : \begin{array}{ccc} \text{Ell} := \{E/\mathbb{Q} \text{ elliptic curve}\} / \cong_{\overline{\mathbb{Q}}} & \longrightarrow & \mathbb{Q} \\ E & \longmapsto & j(E) \end{array}$$

is a bijection, hence **(AbsIrr)** is codified in the j -invariant.

Definition 4.4. Let $a/b \in \mathbb{Q}$, with a, b coprime integers. The *Weil height* of a/b is

$$h(a/b) := \max\{|a|, |b|\}.$$

Let S be a subset of Ell . We say that S has *Weil density* d if

$$\lim_{n \rightarrow \infty} \frac{\#\{E \in S : h(j(E)) \leq n\}}{\#\{x \in \mathbb{Q} : h(x) \leq n\}} = d.$$

Proposition 4.5. *Let S be the set elliptic curves satisfying **(AbsIrr)** modulo isomorphism. Then S has Weil density 1.*

Proof. j -invariant morphism extends to an isomorphism $X(1)_{\mathbb{Q}} \rightarrow \mathbb{P}_{\mathbb{Q}}^1$ of rational algebraic curves. Here $X(1)_{\mathbb{Q}}$ denotes a rational model of the trivial-level modular curve. Hence Ell is the set $Y(1)(\mathbb{Q}) \subseteq X(1)(\mathbb{Q})$ of rational non-cuspidal points of $X(1)$. Let $p \geq 2$ be prime and let $X_0(p)_{\mathbb{Q}}$ a model over \mathbb{Q} of the modular curve of level $\Gamma_0(p)$. We have the forgetful map $X_0(p) \rightarrow X(1)$ which is a morphism of algebraic curves of degree $p + 1$. Elliptic curves not satisfying **(Irr)** correspond to non-cuspidal points in the image of $f_p : X_0(p)(\mathbb{Q}) \rightarrow X(1)(\mathbb{Q})$, for $p \leq 163$ by Mazur. Either $X_0(p)$ has genus 0, in which case $p \in \{2, 3, 5, 7, 13\}$, or $X_0(N)$ has positive genus, in which case $p \in \{11, 17, 19, 37, 43, 67, 163\}$ and $X_0(p)(\mathbb{Q})$ is finite. Image of f_p has 0 Weil density in $X(1)$ for every $p \leq 163$, this follows from Theorem B.2.5 in [11] for the genus 0 case. In particular elliptic curves satisfying **(Irr)** have density 1. One can deal similarly with the condition $\dim_{\mathbb{Q}} \mathbb{Q}(E[2]) = 6$. \square

5. EXAMPLES

5.1. A family of elliptic curves. In this section we give a family of elliptic curves over \mathbb{Q} satisfying **(AbsIrr)**. First we find a family of elliptic curves with irreducible 2-torsion as $\overline{\mathbb{F}}_2[G_{\mathbb{Q}}]$ -module. This is done by exhibiting a family of rational cubic polynomials with symmetric Galois group. Second we take a subfamily with irreducible ℓ -torsion as $\mathbb{F}_{\ell}[G_{\mathbb{Q}}]$ -module, for every $\ell \in \mathcal{T}$.

Lemma 5.1. *Let $n \neq \pm 1$ be integer such that $3n$ is not square. The polynomial $P_n(X) = X^3 - 3(n+1)X + 2(n+1)$ has Galois group isomorphic to S_3 .*

Proof. Let us see that P_n is irreducible over \mathbb{Q} when $n \neq 0, \pm 1$. Consider a factorization $P_n(X) = (X - a)(X^2 + bX + c)$ over the integers. By equating coefficients we have that

$$\begin{cases} a & = b \\ 2a^2 + 3ac - 2c & = 0 \\ -ac & = 2(n+1) \end{cases}$$

The conic $0 = 18(2X^2 + 3XY - 2Y) = (6X + 9Y + 4)(6X - 4) + 16$ has finitely many integer points, namely

$$(a, b) \in \{(0, 0), (-2, 1), (1, -2), (2, -2)\}.$$

In particular P_n is irreducible if and only if $n \notin \{-1, 0, 1\}$. In this case either P_n has Galois group of order three or P_n has Galois group isomorphic to S_3 , the latter corresponds to the nonsquare discriminant case. The discriminant of P_n is $\Delta_n = 3n \cdot 36(n+1)^2$ and the lemma follows. \square

Lemma 5.2. *Consider the elliptic curve defined over \mathbb{F}_{1427} given by the equation*

$$\bar{E} : Y^2 = X^3 + 3 \cdot 11X - 2 \cdot 11.$$

Then $\bar{E}[\ell]$ is irreducible over \mathbb{F}_{ℓ} for every $\ell \in \mathcal{T}$.

Proof. It can be checked that $\#\bar{E} = 1424$. Let φ denote the Frobenius over 1427, then φ satisfies

$$\varphi^2 - 4\varphi + 1427 = 0$$

as an endomorphism of \bar{E} . The polynomial $X^2 - 4X + 1427$ is irreducible over \mathbb{F}_{ℓ} for every $\ell \in \mathcal{T}$ and hence $\bar{E}[\ell]$ is irreducible. \square

Theorem 5.3. *Let n be an integer such that*

$$k \equiv -11 \pmod{1427}.$$

Then the elliptic curve given by the equation

$$E_k : Y^2 = X^3 - 3kX + 2k$$

*satisfies **(AbsIrr)**. In particular it is Galois-congruent to infinitely many newforms.*

Proof. Since -12 is not a square in \mathbb{F}_{1427} Lemma 5.1 applies and since $E_k[\ell]$ is unramified over 1427 for every $\ell \in \mathcal{T}$ the theorem follows. \square

Remark 5.4. Notice that Theorem 3.1 together with Lemma 2.5 say that every level-raising of E_k at $p > 2$ can be done far from p . This together with Corollary 1.7 implies that odd level-raising of E_k can be chosen not congruent.

5.2. Control of M . Let f be a newform of level N and let \mathfrak{l} be a prime. If $\bar{N} = N(\bar{\rho}_{f,\mathfrak{l}})$ denotes the prime-to- ℓ conductor of $\bar{\rho}_{f,\mathfrak{l}}$ then $\bar{N} \mid N$. With this in mind we manage in next theorem to take $M = N$.

Theorem 5.5. *Let E/\mathbb{Q} be an elliptic curve such that*

- (i) E has trivial graph of isogeny classes,
- (ii) $\mathbb{Q}(E[2])$ has degree 6 over \mathbb{Q} ,
- (iii) E is semistable with good reduction at 2,
- (iv) $\Delta(E)$ is square-free.

Let N denote the conductor of E and let $p \nmid N$ be a prime. Then there exists some newform $g \in S_2(Np)$ Galois-congruent to $f(E)$.

Proof. Let \mathfrak{l} be a prime and g a newform in $S_2(Mp)$ such that g is a level raising of E over \mathfrak{l} . Let us prove that $M = N$. Since $\Delta(E)$ is square-free then $E[\ell]$ is ramified at every prime $p \mid N$, $p \neq \ell$, and the prime-to- ℓ conductor N_ℓ of E is the prime-to- ℓ conductor of $E[\ell]$ (cf. Proposition 2.12 in [7]). In particular

$$M \in \{N, N/\ell\}.$$

Assume that $M \neq N$, then $N = M\ell$ and $\ell \neq 2$ since E has good reduction at 2. Theorem 1.5 (or Tate's p -adic uniformization) says that $E[\ell]|_{G_\ell}$ is reducible. In particular

$$E[\ell]|_{G_\ell} \simeq \bar{\rho}_{f,\mathfrak{l}}|_{G_\ell} \simeq \begin{pmatrix} \omega_\ell \lambda_{a_\ell(f)^{-1}} & * \\ & \lambda_{a_\ell(f)} \end{pmatrix}$$

with $*$ ‘peu ramifié’. This together with Proposition 8.2 of [10] and Proposition 2.12 of [7] leads to a contradiction. \square

Remarks 5.6.

- Condition (iii) is equivalent to N being odd and square-free.
- The rational elliptic curve of conductor 43 satisfies conditions (i) – (iv).

6. AN APPLICATION: SAFE CHAINS

When considering safe chains as in [8] (Steinberg) level-raising at an appropriate (small) prime is a useful tool. In particular, this combined with a standard modular congruence gives an alternative way of introducing a “MGD” prime to the level. Having a MGD prime in the level is one of the key ingredients in a safe chain. Therefore, one could expect to use generalizations of Theorem 1 to build safe chains in more general settings. In the process of doing so one can rely on tools as in [9] to ensure that the condition (**AbsIrr**) holds when required.

ACKNOWLEDGEMENTS

We would like to thank Samuele Anni, Sara Arias-de-Reyna, Roberto Gualdi, Xavier Guitart and Xavier Xarles for helpful conversation and comments.

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L. DIEULEFAIT: DEPARTAMENT DE MATEMÀTIQUES I INFORMÀTICA, UNIVERSITAT DE BARCELONA,
 GRAN VIA DE LES CORTS CATALANES, 585, 08007, BARCELONA, SPAIN
E-mail address: `ldieulefait@ub.edu`

E. SOTO: DEPARTAMENT DE MATEMÀTIQUES I INFORMÀTICA, UNIVERSITAT DE BARCELONA,
 GRAN VIA DE LES CORTS CATALANES, 585, 08007, BARCELONA, SPAIN
E-mail address: `eduard.soto@ub.edu`