

# ASSOCIATED FORM MORPHISM

MAKSYM FEDORCHUK AND ALEXANDER ISAEV

ABSTRACT. We study the geometry of the morphism that sends a smooth hypersurface of degree  $d + 1$  in  $\mathbb{P}^{n-1}$  to its associated hypersurface of degree  $n(d - 1)$  in the dual space  $(\mathbb{P}^{n-1})^\vee$ .

## CONTENTS

1. Introduction	1
2. Associated form of a balanced complete intersection	3
3. Preliminaries on dualities	4
4. The gradient morphism $\nabla$	7
5. The morphism $\mathbf{A}_{\text{Gr}}$	12
References	17

## 1. INTRODUCTION

One of the first applications of Geometric Invariant Theory is a construction of the moduli space of smooth degree  $m$  hypersurfaces in a fixed projective space  $\mathbb{P}^{n-1}$  [15]. This moduli space is an affine GIT quotient

$$U_{m,n} := (\mathbb{P}H^0(\mathbb{P}^{n-1}, \mathcal{O}(m)) \setminus \Delta) // \text{PGL}(n),$$

where  $\Delta$  is the discriminant divisor parameterizing singular hypersurfaces. The GIT construction produces a natural compactification

$$U_{m,n} \subset V_{m,n} := (\mathbb{P}H^0(\mathbb{P}^{n-1}, \mathcal{O}(m)))^{ss} // \text{PGL}(n),$$

given by a categorical quotient of the locus of GIT semistable hypersurfaces. We call  $V_{m,n}$  the GIT compactification of  $U_{m,n}$ .

The subject of this paper is a certain rational map  $V_{m,n} \dashrightarrow V_{n(m-2),n}$ , where  $n \geq 2$ ,  $m \geq 3$  and where we exclude the (trivial) case  $(n, m) = (2, 3)$ . While this map has a purely algebraic construction, which we shall recall soon, it has several surprising geometric properties that we establish in this paper. In particular, this rational map restricts to a locally closed immersion  $\bar{A}: U_{m,n} \rightarrow V_{n(m-2),n}$ , and often

---

**Mathematics Subject Classification:** 14L24, 13A50, 13H10.

**Keywords:** Geometric Invariant Theory, associated forms.

The first author was partially supported by the NSA Young Investigator grant H98230-16-1-0061 and Alfred P. Sloan Research Fellowship.

contracts the discriminant divisor in  $V_{m,n}$ . Consequently, the closure of the image of  $\bar{A}$  in  $V_{n(m-2),n}$  is a compactification of the GIT moduli space  $U_{m,n}$  that is different from the GIT compactification  $V_{m,n}$ .

To define  $\bar{A}$ , we consider the *associated form morphism* defined on the space of smooth homogeneous forms  $f \in \mathbb{C}[x_1, \dots, x_n]$  of fixed degree  $m \geq 3$ . Given such an  $f$ , its associated form  $A(f)$  is a degree  $n(m-2)$  homogeneous form in the graded dual polynomial ring  $\mathbb{C}[z_1, \dots, z_n]$ . In our recent paper [10], we proved that the associated form  $A(f)$  is always polystable in the sense of GIT. Consequently, we obtain a morphism  $\bar{A}$  from  $U_{m,n}$  to  $V_{n(m-2),n}$  sending the image of  $f$  in  $U_{m,n}$  to the image of  $A(f)$  in  $V_{n(m-2),n}$ .

Our first result is that the morphism  $\bar{A}$  is an isomorphism onto its image, a locally closed subvariety in the target.

**Theorem 1.1.** *The morphism*

$$\bar{A}: U_{m,n} \rightarrow V_{n(m-2),n}$$

*is a locally closed immersion.*

In the process of establishing Theorem 1.1, we generalize results of [2] to the case of an arbitrary number of variables, and, in particular, prove that the auxiliary gradient morphism sending a semistable form to the span of its partial derivatives gives rise to a closed immersion on the level of quotients (see Theorem 2.1).

Our second main result is Theorem 2.2, which describes the rational map  $\bar{A}: V_{m,n} \dashrightarrow V_{n(m-2),n}$  in codimension one. Namely, we study how  $\bar{A}$  extends to the generic point of the discriminant divisor in the GIT compactification (see Corollary 5.8), and prove that for  $n = 2, 3$  and  $m \geq 4$ , as well as for  $n \geq 4$ ,  $m \gg 0$ , the morphism  $\bar{A}$  contracts the discriminant divisor to a lower-dimensional subvariety in the target (see Corollary 5.9). In the process, we prove that the image of  $\bar{A}$  contains the orbit of the Fermat hypersurface in its closure and as a result obtain a new proof of the generic smoothness of associated forms (see Corollary 5.10).

**1.1. Notation and conventions.** Let  $S := \text{Sym } V \simeq \mathbb{C}[x_1, \dots, x_n]$  be a symmetric algebra of an  $n$ -dimensional vector space  $V$ , with its standard grading. Let  $\mathcal{D} := \text{Sym } V^\vee \simeq \mathbb{C}[z_1, \dots, z_n]$  be the graded dual of  $S$ , with the structure of the  $S$ -module given by the *polar pairing*  $S \times \mathcal{D} \rightarrow \mathcal{D}$ , which is defined by

$$(1.1) \quad g(x_1, \dots, x_n) \circ F(z_1, \dots, z_n) := g(\partial/\partial z_1, \dots, \partial/\partial z_n)F(z_1, \dots, z_n).$$

A homogeneous polynomial  $f \in S_m$  is called a *direct sum* if, after a linear change of variables, it can be written as the sum of two non-zero polynomials in disjoint sets of variables:

$$f = f_1(x_1, \dots, x_a) + f_2(x_{a+1}, \dots, x_n).$$

We will use the recognition criteria for direct sums established in [8], and so we keep the pertinent terminology of that paper. We will say that  $f \in S_m$  is a  $k$ -partial

Fermat form for some  $k \leq n$ , if, after a linear change of variables, it can be written as follows:

$$f = x_1^m + \cdots + x_k^m + g(x_{k+1}, \dots, x_n).$$

Clearly, any  $n$ -partial Fermat form is linearly equivalent to the standard Fermat form. Furthermore, all  $k$ -partial Fermat forms are direct sums. We denote by  $\mathfrak{DS}_m$  the locus of direct sums in  $S_m$ .

## 2. ASSOCIATED FORM OF A BALANCED COMPLETE INTERSECTION

Fix  $d \geq 2$ . In what follows the trivial case  $(n, d) = (2, 2)$  will be excluded. A length  $n$  regular sequence  $g_1, \dots, g_n$  of elements of  $S_d$  will be called a balanced complete intersection of type  $(d)^n$ . It defines a graded Gorenstein Artin  $\mathbb{C}$ -algebra

$$\mathcal{A}(g_1, \dots, g_n) := S/(g_1, \dots, g_n),$$

whose socle lies in degree  $n(d-1)$ . In [2] an element  $\mathbf{A}(g_1, \dots, g_n) \in \mathcal{D}_{n(d-1)}$ , called *the associated form of  $g_1, \dots, g_n$* , was introduced. The form  $\mathbf{A}(g_1, \dots, g_n)$  is a homogeneous Macaulay inverse system, or a dual socle generator, of the algebra  $\mathcal{A}(g_1, \dots, g_n)$ . It follows that  $[\mathbf{A}(g_1, \dots, g_n)] \in \mathbb{P}\mathcal{D}_{n(d-1)}$  depends only on the linear span  $\langle g_1, \dots, g_n \rangle$ , which we regard as a point in  $\text{Grass}(n, S_d)$ .

Recall that  $g_1, \dots, g_n$  is a regular sequence in  $S_d$  if and only if  $\langle g_1, \dots, g_n \rangle$  does not lie in the resultant divisor  $\mathfrak{Res} \subset \text{Grass}(n, S_d)$ . Setting  $\text{Grass}(n, S_d)_{\text{Res}} := \text{Grass}(n, S_d) \setminus \mathfrak{Res}$ , we obtain a morphism

$$\mathbf{A}: \text{Grass}(n, S_d)_{\text{Res}} \rightarrow \mathbb{P}\mathcal{D}_{n(d-1)}.$$

Given  $f \in S_{d+1}$ , the partial derivatives  $\partial f/\partial x_1, \dots, \partial f/\partial x_n$  form a regular sequence if and only if  $f$  is non-degenerate. For a non-degenerate  $f \in S_{d+1}$ , in [1, 3] the *associated form of  $f$*  was defined to be

$$A(f) := \mathbf{A}(\partial f/\partial x_1, \dots, \partial f/\partial x_n) \in \mathcal{D}_{n(d-1)}.$$

Summarizing, we obtain a commutative diagram

$$\begin{array}{ccc} \mathbb{P}(S_{d+1})_{\Delta} & \xrightarrow{\quad A \quad} & \mathbb{P}(\mathcal{D}_{n(d-1)}) \\ & \searrow \nabla & \nearrow \mathbf{A} \\ & \text{Grass}(n, S_d)_{\text{Res}}, & \end{array}$$

where  $\mathbb{P}(S_{d+1})_{\Delta}$  denotes the complement to the discriminant divisor in  $\mathbb{P}(S_{d+1})$  and  $\nabla$  is the morphism sending a form into the linear span of its first partial derivatives. The above diagram is equivariant with respect to the standard  $\text{SL}(n)$ -actions on  $S$  and  $\mathcal{D}$ . By [2], the morphism  $\mathbf{A}$  is a locally closed immersion, and it was proved in [10] that  $\mathbf{A}$  sends polystable orbits to polystable orbits. Passing to the GIT

quotients, we thus obtain a commutative diagram

$$(2.1) \quad \begin{array}{ccc} \mathbb{P}(S_{d+1})_{\Delta} // \mathrm{SL}(n) & \xrightarrow{\bar{A}} & \mathbb{P}(\mathcal{D}_{n(d-1)})^{ss} // \mathrm{SL}(n) \\ & \searrow \tilde{\nabla} & \nearrow \bar{A} \\ & \mathrm{Grass}(n, S_d)_{\mathrm{Res}} // \mathrm{SL}(n), & \end{array}$$

where  $\tilde{\nabla} := \nabla // \mathrm{SL}(n)$  is a finite injective morphism (see [9]) and  $\bar{A} := A // \mathrm{SL}(n)$  is a locally closed immersion. The main focus of this paper is the geometry of diagram (2.1).

Noting that by [9] the map  $\nabla$  extends to a morphism from  $\mathbb{P}(S_{d+1})^{ss}$  to  $\mathrm{Grass}(n, S_d)^{ss}$  and thus induces a map  $\bar{\nabla}$  of the corresponding GIT quotients, we will now state our two main results as follows:

**Theorem 2.1.** *The morphism  $\bar{\nabla}: \mathbb{P}(S_{d+1})^{ss} // \mathrm{SL}(n) \rightarrow \mathrm{Grass}(n, S_d)^{ss} // \mathrm{SL}(n)$  is a closed immersion.*

**Theorem 2.2.** *The rational map*

$$\bar{A}: \mathbb{P}(S_{d+1})^{ss} // \mathrm{SL}(n) \dashrightarrow \mathbb{P}(\mathcal{D}_{n(d-1)})^{ss} // \mathrm{SL}(n)$$

*extends to the generic point of the discriminant divisor  $\Delta // \mathrm{SL}(n)$  in the GIT compactification and contracts the discriminant divisor to a lower-dimensional variety for all sufficiently large  $d$  as described in Corollaries 5.8 and 5.9.*

### 3. PRELIMINARIES ON DUALITIES

In this section we collect results on Macaulay inverse systems of graded Gorenstein Artin  $\mathbb{C}$ -algebras. We also recall the duality between the Hilbert points of such algebras and the gradient points of their inverse systems.

Recall that we regard  $S = \mathbb{C}[x_1, \dots, x_n]$  as a ring of polynomial differential operators on the graded dual ring  $\mathcal{D} := \mathbb{C}[z_1, \dots, z_n]$  via polar pairing (1.1). For every positive  $m$ , the restricted pairing

$$S_m \times \mathcal{D}_m \rightarrow \mathbb{C}$$

is perfect and so defines an isomorphism

$$(3.1) \quad \mathcal{D}_m \simeq S_m^{\vee},$$

where, as usual,  $V^{\vee}$  stands for the dual of a vector space  $V$ .

Given  $W \subset \mathcal{D}$ , we define

$$W^{\perp} := \{f \in S \mid f \circ g = 0, \text{ for all } g \in W\} \subset S.$$

Similarly given  $U \subset S$ , we define

$$U^{\perp} := \{g \in \mathcal{D} \mid f \circ g = 0, \text{ for all } f \in U\} \subset \mathcal{D}.$$

**Claim 3.1.** *Isomorphism (3.1) sends an element  $\omega \in S_m^\vee$  to the element*

$$\mathfrak{D}_\omega := \sum_{i_1 + \dots + i_n = m} \frac{\omega(x_1^{i_1} \dots x_n^{i_n})}{i_1! \dots i_n!} z_1^{i_1} \dots z_n^{i_n} \in \mathcal{D}_m.$$

*Conversely, an element  $g \in \mathcal{D}_m$  is mapped by isomorphism (3.1) to the projection*

$$S_m \twoheadrightarrow S_m / (g^\perp)_m \simeq \mathbb{C},$$

*where the isomorphism with  $\mathbb{C}$  is chosen so that  $1 \in \mathbb{C}$  pairs to 1 with  $g$ .*

*Proof.* One observes that  $f \circ \mathfrak{D}_\omega = \omega(f)$  for every  $f \in S_m$ , and the first part of the claim follows. The second part is immediate from definitions.  $\square$

**Corollary 3.2.** *Given  $\omega \in S_m^\vee$ , for every  $(a_1, \dots, a_n) \in \mathbb{C}^n$  we have*

$$(3.2) \quad \mathfrak{D}_\omega(a_1, \dots, a_n) = \omega((a_1 x_1 + \dots + a_n x_n)^m / m!).$$

*Proof.*

$$\begin{aligned} \omega((a_1 x_1 + \dots + a_n x_n)^m / m!) &= \frac{(a_1 x_1 + \dots + a_n x_n)^m}{m!} \circ \mathfrak{D}_\omega \\ &= \frac{(a_1 \partial / \partial z_1 + \dots + a_n \partial / \partial z_n)^m}{m!} \mathfrak{D}_\omega = \mathfrak{D}_\omega(a_1, \dots, a_n), \end{aligned}$$

where the last equality is easily checked, say on monomials.  $\square$

*Remark 3.3.* It follows from Corollary 3.2 that all forms in a subset  $W \subset \mathcal{D}_m$  vanish at a given point  $(a_1, \dots, a_n) \in \mathbb{C}^n$  if and only if  $(a_1 x_1 + \dots + a_n x_n)^m \in W^\perp$ .

Notice that the maps

$$[\langle \mathfrak{D}_\omega \rangle \subset \mathcal{D}_m] \mapsto [(\mathfrak{D}_\omega^\perp)_m \subset S_m] = [\ker(\omega) \subset S_m]$$

define isomorphisms

$$\text{Grass}(1, \mathcal{D}_m) \simeq \text{Grass}(\dim_{\mathbb{C}} S_m - 1, S_m).$$

More generally, for any  $1 \leq m \leq \binom{m+n-1}{n-1} - 1$  the correspondence

$$[W \subset \mathcal{D}_m] \mapsto [(W^\perp)_m \subset S_m]$$

yields an isomorphism

$$(3.3) \quad \text{Grass}(k, \mathcal{D}_m) \simeq \text{Grass}(\dim_{\mathbb{C}} S_m - k, S_m).$$

Let  $I \subset S$  be a Gorenstein ideal and  $\nu$  the socle degree of the algebra  $\mathcal{A} = S/I$ . Recall that a (*homogeneous*) *Macaulay inverse system* of  $\mathcal{A}$  is an element  $f_{\mathcal{A}} \in \mathcal{D}_\nu$  such that

$$f_{\mathcal{A}}^\perp = I$$

(see [11, Lemma 2.12] or [6, Exercise 21.7]). As  $(f_{\mathcal{A}}^\perp)_\nu = I_\nu$ , we see that all Macaulay inverse systems are mutually proportional and  $\langle f_{\mathcal{A}} \rangle = ((I_\nu)^\perp)_\nu$ . Clearly, the line  $\langle f_{\mathcal{A}} \rangle \in \text{Grass}(1, \mathcal{D}_\nu)$  maps to the  $\nu^{\text{th}}$  Hilbert point  $H_\nu \in \text{Grass}(\dim_{\mathbb{C}} S_\nu - 1, S_\nu)$  of  $\mathcal{A}$  under isomorphism (3.3) with  $k = 1$ .

*Remark 3.4.* Papers [3, 4], for any  $\omega \in S_\nu^\vee$  with  $\ker \omega = I_\nu$ , introduced the *associated form* of  $\mathcal{A}$  as the element of  $\mathcal{D}_\nu$  given by the right-hand side of formula (3.2) with  $m = \nu$  (up to the factor  $\nu!$ ). By Corollary 3.2, under isomorphism (3.3) with  $k = 1$  the span of every associated form in  $\mathcal{D}_\nu$  also maps to the  $\nu^{\text{th}}$  Hilbert point  $H_\nu \in \text{Grass}(\dim_{\mathbb{C}} S_\nu - 1, S_\nu)$  of  $\mathcal{A}$ . In particular, for the algebra  $\mathcal{A}$  any associated form is simply one of its Macaulay inverse systems, and equation (3.2) with  $m = \nu$  and  $\ker \omega = I_\nu$  is an explicit formula for a Macaulay inverse system of  $\mathcal{A}$  (see [12] for more details).

**3.1. Gradient points.** Given a polynomial  $F \in \mathcal{D}_m$ , we define the  $p^{\text{th}}$  *gradient point* of  $F$  to be the linear span of all  $p^{\text{th}}$  partial derivatives of  $F$  in  $\mathcal{D}_{m-p}$ . We denote the  $p^{\text{th}}$  gradient point by  $\nabla^p(F)$ . Note that

$$\nabla^p(F) = \{g \circ F \mid g \in S_p\}$$

is simply the  $(m-p)^{\text{th}}$  graded piece of the principal  $S$ -module  $SF$ . The  $1^{\text{st}}$  gradient point  $\nabla F := \langle \partial F / \partial z_1, \dots, \partial F / \partial z_n \rangle$  will be called simply *the gradient point* of  $F$ .

**Proposition 3.5** (Duality between gradient and Hilbert points). *The  $p^{\text{th}}$  gradient point of a Macaulay inverse system  $f_{\mathcal{A}} \in \mathcal{D}_\nu$  maps to the  $(\nu - p)^{\text{th}}$  Hilbert point  $H_{\nu-p}$  of  $\mathcal{A}$  under isomorphism (3.3).*

*Proof.* Let  $G$  be the  $p^{\text{th}}$  gradient point of  $f_{\mathcal{A}}$ , that is

$$G := \left\langle \frac{\partial^p}{\partial z_1^{i_1} \dots \partial z_n^{i_n}} f_{\mathcal{A}} \mid i_1 + \dots + i_n = p \right\rangle.$$

We need to verify that  $I_{\nu-p} = (G^\perp)_{\nu-p}$ . We have

$$\begin{aligned} (G^\perp)_{\nu-p} &= \left\{ f \in S_{\nu-p} \mid f \circ \frac{\partial^p}{\partial z_1^{i_1} \dots \partial z_n^{i_n}} f_{\mathcal{A}} = 0 \text{ for all } i_1 + \dots + i_n = p \right\} \\ &= \left\{ f \in S_{\nu-p} \mid f x_1^{i_1} \dots x_n^{i_n} \circ f_{\mathcal{A}} = 0 \text{ for all degree } p \text{ monomials} \right\} \\ &= \left\{ f \in S_{\nu-p} \mid x_1^{i_1} \dots x_n^{i_n} f \in f_{\mathcal{A}}^\perp \text{ for all degree } p \text{ monomials} \right\} \\ &= \left\{ f \in S_{\nu-p} \mid x_1^{i_1} \dots x_n^{i_n} f \in I_\nu \text{ for all degree } p \text{ monomials} \right\} \\ &= I_{\nu-p}, \end{aligned}$$

where the last equality comes from the fact that  $I$  is Gorenstein.  $\square$

As a corollary of the above duality result, we recall in Proposition 3.6 below a generalization of [1, Lemma 4.4]. Although this statement is well-known (it appears, for example, in [5, Proposition 4.1, p. 174]), we provide a short proof for completeness. We first recall that a non-zero homogeneous form  $f$  in  $n$  variables has multiplicity  $\ell + 1$  at a point  $p \in \mathbb{P}^{n-1}$  if and only if all partial derivatives of  $f$  of order  $\ell$  (hence of all orders  $\leq \ell$ ) vanish at  $p$ , and some partial derivative of  $f$  of order  $\ell + 1$  does

not vanish at  $p$ . We define the *Veronese cone*  $\mathcal{C}_m$  to be the variety of all degree  $m$  powers of linear forms in  $S_m$ :

$$\mathcal{C}_m := \{L^m \mid L \in S_1\} \subset S_m.$$

**Proposition 3.6.** *Let  $I \subset S$  be a Gorenstein ideal and  $\nu$  the socle degree of the algebra  $\mathcal{A} = S/I$ . Then a Macaulay inverse system  $f_{\mathcal{A}}$  of  $\mathcal{A}$  has a point of multiplicity  $\ell + 1$  if and only if there exists a non-zero  $L \in S_1$  such that  $L^{\nu-\ell} \in I_{\nu-\ell}$ , and  $L^{\nu-\ell-1} \notin I_{\nu-\ell-1}$ . In particular,  $f_{\mathcal{A}}$  has no points of multiplicity  $\ell + 1$  or higher if and only if*

$$I_{\nu-\ell} \cap \mathcal{C}_{\nu-\ell} = (0).$$

*Proof.* By Proposition 3.5, the  $\ell^{\text{th}}$  gradient point of  $f_{\mathcal{A}}$  is dual to the  $(\nu-\ell)^{\text{th}}$  Hilbert point of  $\mathcal{A}$

$$H_{\nu-\ell}: S_{\nu-\ell} \twoheadrightarrow \mathcal{A}_{\nu-\ell}.$$

We conclude by Remark 3.3 that all partial derivatives of  $f_{\mathcal{A}}$  of order  $\ell$  vanish at  $(a_1, \dots, a_n)$  if and only if

$$(a_1x_1 + \dots + a_nx_n)^{\nu-\ell} \in \ker H_{\nu-\ell} = I_{\nu-\ell}.$$

It follows that  $L = a_1x_1 + \dots + a_nx_n$  satisfies  $L^{\nu-\ell} \in I_{\nu-\ell}$  and  $L^{\nu-\ell-1} \notin I_{\nu-\ell-1}$  if and only if  $f_{\mathcal{A}}$  has multiplicity exactly  $\ell + 1$  at the point  $(a_1, \dots, a_n)$ .  $\square$

#### 4. THE GRADIENT MORPHISM $\nabla$

In this section, we prove Theorem 2.1. Recall that we have the commutative diagram

$$\begin{array}{ccc} \mathbb{P}(S_{d+1})^{ss} & \xrightarrow{\nabla} & \text{Grass}(n, S_d)^{ss} \\ \downarrow \pi_0 & & \downarrow \pi_1 \\ \mathbb{P}(S_{d+1})^{ss} // \text{SL}(n) & \xrightarrow{\bar{\nabla}} & \text{Grass}(n, S_d)^{ss} // \text{SL}(n). \end{array}$$

Let  $\mathfrak{D}\mathfrak{S}_{d+1}^{ss} := \mathbb{P}(\mathfrak{D}\mathfrak{S}_{d+1})^{ss}$  be the locus of semistable direct sums in  $\mathbb{P}(S_{d+1})^{ss}$ . By [8, Section 3], the set  $\mathfrak{D}\mathfrak{S}_{d+1}^{ss}$  is precisely the closed locus in  $\mathbb{P}(S_{d+1})^{ss}$  where  $\nabla$  has positive fiber dimension.

Suppose  $f \in S_{d+1}$  is a semistable form. Then, after a linear change of variables, we have a maximally fine direct sum decomposition

$$(4.1) \quad f = \sum_{i=1}^k f_i(\mathbf{x}^i),$$

where  $V_i = \langle \mathbf{x}^i \rangle$  are such that  $V = \bigoplus_{i=1}^k V_i$ , and where each  $f_i$  is not a direct sum in  $\text{Sym } V_i$ . Set  $n_i := \dim_{\mathbb{C}} V_i$ . We define the canonical torus  $\Theta(f) \subset \text{SL}(n)$  associated to  $f$  as the connected component of the identity of the subgroup

$$\{g \in \text{SL}(n) \mid V_i \text{ is an eigenspace of } g, \text{ for every } i = 1, \dots, k\} \subset \text{SL}(n).$$

Clearly,  $\Theta(f) \simeq (\mathbb{C}^*)^{k-1}$ , and since

$$\nabla([f]) = \nabla([f_1]) \oplus \cdots \oplus \nabla([f_k]), \text{ where } \nabla([f_i]) \in \text{Grass}(n_i, \text{Sym}^d V_i),$$

we also have  $\Theta(f) \subset \text{Stab}(\nabla([f]))$ , where  $\text{Stab}$  denotes the stabilizer under the  $\text{SL}(n)$ -action.

From the definition of  $\Theta(f)$ , it is clear that  $\Theta(f) \cdot [f] \subset \nabla^{-1}(\nabla([f]))$ , and in fact [8, Corollary 3.12] gives a set-theoretic equality  $\nabla^{-1}(\nabla([f])) = \Theta(f) \cdot [f]$ . We will now obtain a stronger result:

**Lemma 4.1.** *One has  $\nabla^{-1}(\nabla([f])) = \Theta(f) \cdot [f]$  scheme-theoretically, or, equivalently,*

$$\ker(d\nabla_{[f]}) = \mathbf{T}_{[f]}(\Theta(f) \cdot [f]),$$

where  $\mathbf{T}_{[f]}$  denotes the tangent space at  $[f]$ .

*Proof.* Under the standard identification of  $\mathbf{T}_{[f]}\mathbb{P}(S_{d+1})$  with  $S_{d+1}/\langle f \rangle$ , the subspace  $\mathbf{T}_{[f]}(\Theta(f) \cdot [f])$  is identified with  $\langle f_1, \dots, f_k \rangle / \langle f \rangle$ . It now suffices to show that every  $g \in S_{d+1}$  that satisfies  $\nabla[g] \subset \nabla[f]$  must lie in  $\langle f_1, \dots, f_k \rangle$ , where  $\nabla[g] := \langle \partial g / \partial x_1, \dots, \partial g / \partial x_n \rangle \subset S_d$ . This is precisely the statement of [8, Corollary 3.12].  $\square$

We note an immediate consequence:

**Corollary 4.2.** *If  $f \in S_{d+1}^{ss}$  is not a direct sum, then  $\nabla$  is unramified at  $[f]$ .*

Further, since  $\nabla$  is equivariant with respect to the  $\text{SL}(n)$ -action, we have the inclusion  $\text{Stab}([f]) \subset \text{Stab}(\nabla([f]))$ . As the following result shows, the difference between  $\text{Stab}([f])$  and  $\text{Stab}(\nabla([f]))$  is controlled by the torus  $\Theta(f)$ .

**Corollary 4.3.** *The subgroup  $\text{Stab}(\nabla([f]))$  is generated by  $\Theta(f)$  and  $\text{Stab}([f])$ .*

*Proof.* Suppose  $\sigma \in \text{Stab}(\nabla([f]))$ . Then  $\nabla(\sigma \cdot [f]) = \nabla([f])$  implies by Lemma 4.1 that  $\sigma \cdot [f] = \tau \cdot [f]$  for some  $\tau \in \Theta(f)$ . Consequently,  $\tau^{-1} \circ \sigma \in \text{Stab}([f])$  as desired.  $\square$

Next, we obtain the following generalization of [2, Proposition 6.3], whose proof we follow almost verbatim.

**Proposition 4.4.** *The morphism  $\nabla$  is a closed immersion along the open locus  $\mathcal{U} := \mathbb{P}(S_{d+1})^{ss} \setminus \mathfrak{D}\mathfrak{S}_{d+1}^{ss}$  of all elements that are not direct sums.*

*Proof.* Since for every  $[f] \in \mathcal{U}$  we have that  $\nabla$  is unramified at  $[f]$  and  $\nabla^{-1}(\nabla([f])) = [f]$ , it suffices to show that  $\nabla$  is a finite morphism when restricted to  $\mathcal{U}$ . Since, by [9], the induced morphism on the GIT quotients is finite, by [13, p. 89, Lemme] it suffices to verify that  $\nabla$  is quasi-finite and that  $\nabla$  sends closed orbits to closed orbits. The former has already been established, and the latter is proved below in Proposition 4.5.  $\square$

**Proposition 4.5.** *Suppose  $f \in S_{d+1}^{ss}$  is polystable and not a direct sum. Then the image  $\nabla([f]) \in \text{Grass}(n, S_d)^{ss}$  is polystable.*



The above result is a generalization of [9, Theorem 1.1], whose method of proof we follow; we also keep the notation of *loc.cit.*, especially as it relates to monomial orderings. We begin with a preliminary observation.

**Lemma 4.6.** *Suppose  $f \in S_{d+1}$  is such that there exists a non-trivial one-parameter subgroup  $\lambda$  of  $\mathrm{SL}(n)$  acting diagonally on  $x_1, \dots, x_n$  with weights  $\lambda_1, \dots, \lambda_n$  and satisfying*

$$w_\lambda(\mathrm{in}_\lambda(\partial f / \partial x_i)) = d\lambda_i.$$

*Then  $f$  is a direct sum.*

*Proof.* We can assume that

$$\lambda_1 \leq \dots \leq \lambda_a < \lambda_{a+1} = \dots = \lambda_n$$

for some  $1 \leq a < n$ . Then the fact that

$$w_\lambda(\mathrm{in}_\lambda(\partial f / \partial x_i)) = d\lambda_i = d\lambda_n,$$

for all  $i = a + 1, \dots, n$ , implies

$$\partial f / \partial x_{a+1}, \dots, \partial f / \partial x_n \in \mathbb{C}[x_{a+1}, \dots, x_n].$$

Consequently,  $f = g_1(x_1, \dots, x_a) + g_2(x_{a+1}, \dots, x_n)$  is a direct sum.  $\square$

*Proof of Proposition 4.5.* Since  $f$  is polystable, by [9, Theorem 1.1] it follows that  $\nabla([f])$  is semistable. Suppose  $\nabla([f])$  is not polystable. Then there exists a one-parameter subgroup  $\lambda$  acting on the coordinates  $x_1, \dots, x_n$  with the weights  $\lambda_1, \dots, \lambda_n$  such that the limit of  $\nabla([f])$  under  $\lambda$  exists and does not lie in the orbit of  $\nabla([f])$ . In particular, the limit of  $[f]$  under  $\lambda$  does not exist.

Then by [9, Lemma 3.5], there is an upper triangular unipotent coordinate change

$$\begin{aligned} x_1 &\mapsto x_1 + c_{12}x_2 + \dots + c_{1n}x_n, \\ x_2 &\mapsto x_2 + \dots + c_{2n}x_n, \\ &\vdots \\ x_n &\mapsto x_n \end{aligned}$$

such that for the transformed form

$$h(x_1, \dots, x_n) := f(x_1 + c_{12}x_2 + \dots + c_{1n}x_n, x_2 + \dots + c_{2n}x_n, \dots, x_n)$$

the initial monomials

$$\mathrm{in}_\lambda(\partial h / \partial x_1), \dots, \mathrm{in}_\lambda(\partial h / \partial x_n)$$

are distinct. Now, setting

$$\mu_i := w_\lambda(\mathrm{in}_\lambda(\partial h / \partial x_i)),$$

by [9, Lemma 3.2] we have

$$\mu_1 + \dots + \mu_n = 0.$$

It follows that with the respect to the one-parameter subgroup  $\lambda'$  acting on  $x_i$  with the weight  $d\lambda_i - \mu_i$ , all monomials of  $h$  have non-negative weights (cf. [9, the proof of Lemma 3.6]). Write  $h = h_0 + h_1$ , where all monomials of  $h_0$  have zero  $\lambda'$ -weights

and all monomials of  $h_1$  have positive  $\lambda'$ -weights. Then  $h_0 \in \overline{\mathrm{SL}(n)} \cdot h = \mathrm{SL}(n) \cdot h$ , by the polystability assumption on  $f$ . Furthermore,  $h_0$  is stabilized by  $\lambda'$ .

If  $\lambda'$  is a trivial one-parameter subgroup, then  $\mu_i = d\lambda_i$  for all  $i = 1, \dots, n$ , and by Lemma 4.6 the form  $h$  is a direct sum, which is a contradiction.

Suppose now that  $\lambda'$  is a non-trivial one-parameter subgroup. Clearly, we have

$$w_\lambda(\mathrm{in}_\lambda(\partial h_0/\partial x_i)) \geq w_\lambda(\mathrm{in}_\lambda(\partial h/\partial x_i)),$$

since the state of  $h_0$  is a subset of the state of  $h$ . If one of the inequalities above is strict, then  $\nabla([h_0])$  is destabilized by  $\lambda$ , contradicting the semistability of  $\nabla([h_0])$  established in [9, Theorem 1.1]. Thus

$$w_\lambda(\mathrm{in}_\lambda(\partial h_0/\partial x_i)) = w_\lambda(\mathrm{in}_\lambda(\partial h/\partial x_i)) = \mu_i.$$

Moreover, since  $h_0$  is  $\lambda'$ -invariant, we have that  $\partial h_0/\partial x_i$  is homogeneous of degree  $-w_{\lambda'}(x_i) = \mu_i - d\lambda_i$  with respect to  $\lambda'$ . Let  $\mu$  be the one-parameter subgroup acting on  $x_1, \dots, x_n$  with the weights  $\mu_1, \dots, \mu_n$ . It follows that

$$w_\mu(\mathrm{in}_\mu(\partial h_0/\partial x_i)) = dw_\lambda(\mathrm{in}_\lambda(\partial h_0/\partial x_i)) + w_{\lambda'}(\mathrm{in}_{\lambda'}(\partial h_0/\partial x_i)) = d\mu_i - \mu_i + d\lambda_i.$$

Then the one-parameter subgroup  $\lambda + \mu$  acting on  $x_1, \dots, x_n$  with the weights  $\lambda_1 + \mu_1, \dots, \lambda_n + \mu_n$  satisfies

$$\begin{aligned} w_{\lambda+\mu}(\mathrm{in}_{\lambda+\mu}(\partial h_0/\partial x_i)) &= w_\lambda(\mathrm{in}_\lambda(\partial h_0/\partial x_i)) + w_\mu(\mathrm{in}_\mu(\partial h_0/\partial x_i)) = \\ &= d\mu_i - \mu_i + d\lambda_i + \mu_i = d(\mu_i + \lambda_i). \end{aligned}$$

Applying Lemma 4.6, we conclude that either  $h_0$  is a direct sum, or

$$\lambda_i + \mu_i = 0 \quad \text{for all } i = 1, \dots, n.$$

In the latter case, it follows that  $\lambda$  is proportional to  $\lambda' = d\lambda - \mu$ . Since the limit of  $h$  under  $\lambda'$  exists and is equal to  $h_0$ , the limit under  $\lambda$  of  $h$  must exist and be equal to  $h_0$  as well. Observing that the inverse of an upper-triangular matrix with 1's on the diagonal has the same form, we see that the limit of

$$f(x_1, \dots, x_n) = h(x_1 + c'_{12}x_2 + \dots + c'_{1n}x_n, x_2 + \dots + c'_{2n}x_n, \dots, x_n)$$

under  $\lambda$  also exists. This contradiction concludes the proof.  $\square$

**Corollary 4.7.** *The morphism  $\nabla: \mathbb{P}(S_{d+1})^{ss} \rightarrow \mathrm{Grass}(n, S_d)^{ss}$  preserves polystability.*

*Proof.* Suppose  $f = f_1 + \dots + f_k$  is the maximally fine direct sum decomposition of a polystable form  $f$ , where  $f_i \in \mathrm{Sym}^{d+1} V_i$ , and where  $V = \bigoplus_{i=1}^k V_i$ . Then each  $f_i$  is polystable and not a direct sum in  $\mathrm{Sym}^{d+1} V_i$ . Hence  $\nabla([f_i])$  is polystable with respect to the  $\mathrm{SL}(V_i)$ -action.

Since  $\Theta(f) \subset \mathrm{Stab}(\nabla([f]))$  is a reductive subgroup, to prove that  $\nabla([f])$  is polystable, it suffices to verify that  $\nabla([f])$  is polystable with respect to the centralizer  $C_{\mathrm{SL}(n)}(\Theta(f))$  of  $\mathrm{Stab}(\Theta(f))$  in  $\mathrm{SL}(n)$ , see [14, Corollaire 1 and Remarque 1]. We have

$$C_{\mathrm{SL}(n)}(\Theta(f)) = (\mathrm{GL}(V_1) \times \dots \times \mathrm{GL}(V_k)) \cap \mathrm{SL}(n).$$

Arguing as on [9, p. 456], we see that every one-parameter subgroup  $\lambda$  of  $C_{\mathrm{SL}(n)}(\Theta(f))$  can be renormalized to a one-parameter subgroup of  $\mathrm{SL}(V_1) \times \cdots \times \mathrm{SL}(V_k)$  without changing its action on  $\nabla([f])$ . Since  $\nabla([f_i])$  is polystable with respect to  $\mathrm{SL}(V_i)$ , it follows that

$$\nabla([f]) = \nabla([f_1]) \oplus \cdots \oplus \nabla([f_k])$$

is polystable with respect to the action of  $\lambda$  thus proving the claim.  $\square$

*Proof of Theorem 2.1.* Suppose that  $f$  is polystable, consider its maximally fine direct sum decomposition and the canonical torus  $\Theta(f)$  in  $\mathrm{Stab}(\nabla([f]))$  as constructed above. In what follows, we will write  $X$  to denote  $\mathbb{P}(S_{d+1})^{ss}$  and  $Y$  to denote  $\mathrm{Grass}(n, S_d)^{ss}$ . Set  $p := \pi_0([f]) \in X // \mathrm{SL}(n)$ .

We will prove that  $\overline{\nabla}$  is unramified at  $p$ . Let  $N_{[f]}$  be the normal space to the  $\mathrm{SL}(n)$ -orbit of  $[f]$  in  $X$  at the point  $[f]$ , and  $N_{\nabla([f])}$  the normal space to the  $\mathrm{SL}(n)$ -orbit of  $\nabla([f])$  in  $Y$  at the point  $\nabla([f])$ . We have a natural map

$$\iota: N_{[f]} \rightarrow N_{\nabla([f])}$$

induced by the differential of  $\nabla$ . The map  $\iota$  is injective by Lemma 4.1.

Since both  $[f]$  and  $\nabla([f])$  have closed orbits in  $X$  and  $Y$ , respectively (see Corollary 4.7), to verify that  $\overline{\nabla}$  is unramified at  $p$ , it suffices, by Luna's étale slice theorem, to prove that the morphism

$$(4.2) \quad s(f): N_{[f]} // \mathrm{Stab}([f]) \rightarrow N_{\nabla([f])} // \mathrm{Stab}(\nabla([f]))$$

is unramified.

As  $\nabla$  is not necessarily stabilizer-preserving at  $[f]$  (i.e.,  $\mathrm{Stab}([f])$  may not be equal to  $\mathrm{Stab}(\nabla([f]))$ ), we cannot directly appeal to the injectivity of  $\iota$ . Instead, consider the  $\Theta(f)$ -orbit, say  $F$ , of  $[f]$  in  $X$ . Let  $\mathcal{N}_{F/X}$  be the  $\Theta(f)$ -invariant normal bundle of  $F$  in  $X$ . Since by Lemma 4.1 we have  $\nabla^{-1}(\nabla([f])) = F$ , there is a natural  $\Theta(f)$ -equivariant map  $J: \mathcal{N}_{F/X} \rightarrow N_{\nabla([f])}$ . We now make a key observation that for the induced map  $\tilde{J}: \mathcal{N}_{F/X} // \Theta(f) \rightarrow N_{\nabla([f])}$  one has

$$\tilde{J}(\mathcal{N}_{F/X} // \Theta(f)) = \iota(N_{[f]}).$$

Since  $\overline{\nabla}$  is finite by [9, Proposition 2.1], the morphism  $s(f)$  from Equation (4.2) is quasi-finite. Applying Lemma 4.8 (proved below), with  $\mathrm{Spec} A = N_{[f]}$ ,  $\mathrm{Spec} B = N_{\nabla([f])}$ ,  $T = \Theta(f)$ ,  $H = \mathrm{Stab}([f])$ ,  $G = \mathrm{Stab}(\nabla([f]))$ , as well as Corollary 4.3, we obtain that  $s(f)$  is in fact a closed immersion, and so is unramified. Note that here the group  $G$  is reductive by Matsushima's criterion. This proves that  $\overline{\nabla}$  is unramified at  $p$ .

We now note that  $\overline{\nabla}$  is injective. Indeed, this follows as in the proof of [9, Part (2) of Proposition 2.1] from Corollary 4.7 and the finiteness of  $\overline{\nabla}$ . We then conclude that  $\overline{\nabla}$  is a closed immersion.  $\square$

**Lemma 4.8** (GIT lemma). *Suppose  $G$  is a reductive group. Suppose  $T \subset G$  is a connected reductive subgroup, and  $H \subset G$  is a reductive subgroup such that  $G$*

is generated by  $T$  and  $H$ . Suppose we have a  $G$ -equivariant closed immersion of normal affine schemes admitting an action of  $G$

$$\mathrm{Spec} A \hookrightarrow \mathrm{Spec} B.$$

such that  $\mathrm{Spec} A^H \rightarrow \mathrm{Spec} B^G$  is quasi-finite. Then  $\mathrm{Spec} A^G \simeq \mathrm{Spec} A^H$  and, consequently,  $\mathrm{Spec} A^H \rightarrow \mathrm{Spec} B^G$  is a closed immersion.

*Proof.* We have the following commutative diagram

$$\begin{array}{ccc} \mathrm{Spec} A^H & \xrightarrow{\quad} & \mathrm{Spec} B^H \\ \downarrow & \searrow & \downarrow \\ (\mathrm{Spec} A^H)//T \simeq \mathrm{Spec} A^G & \xrightarrow{\quad} & (\mathrm{Spec} B^H)//T \simeq \mathrm{Spec} B^G. \end{array}$$

Since the diagonal arrow is quasi-finite by assumption, and the bottom arrow is a closed immersion, we conclude that the GIT quotient  $\mathrm{Spec} A^H \rightarrow (\mathrm{Spec} A^H)//T$  is quasi-finite as well. Since this is a good quotient by a connected group, the morphism  $\mathrm{Spec} A^H \rightarrow (\mathrm{Spec} A^H)//T \simeq \mathrm{Spec} A^G$  must be an isomorphism.  $\square$

**Corollary 4.9** (Theorem 1.1). *The morphism*

$$\bar{A}: \mathbb{P}(S_{d+1})_{\Delta} // \mathrm{SL}(n) \rightarrow \mathbb{P}(\mathcal{D}_{n(d-1)})^{ss} // \mathrm{SL}(n)$$

*is a locally closed immersion.*

## 5. THE MORPHISM $\mathbf{A}_{\mathrm{Gr}}$

In this section, we prove Theorem 2.2. In fact, we study in detail the rational map  $\bar{A}: (\mathbb{P}S_{d+1})^{ss} // \mathrm{SL}(n) \dashrightarrow \mathbb{P}(\mathcal{D}_{n(d-1)})^{ss} // \mathrm{SL}(n)$  in codimension one.

As in Section 2, fix  $d \geq 2$ . As always, we assume that  $n \geq 2$  and disregard the trivial case  $(n, d) = (2, 2)$ . Given  $U \in \mathrm{Grass}(n, S_d)$ , we take  $I_U$  to be the ideal in  $S$  generated by the elements in  $U$ . Consider the following locus in  $\mathrm{Grass}(n, S_d)$ :

$$W_{n,d} = \{U \in \mathrm{Grass}(n, S_d) \mid \dim_{\mathbb{C}}(S/I_U)_{n(d-1)-1} = n\}.$$

Since  $\dim_{\mathbb{C}}(S/I_U)_{n(d-1)-1}$  is an upper semi-continuous function on  $\mathrm{Grass}(n, S_d)$  and for every  $U \in \mathrm{Grass}(n, S_d)$  one has  $\dim_{\mathbb{C}}(S/I_U)_{n(d-1)-1} \geq n$ , we conclude that  $W_{n,d}$  is an open subset of  $\mathrm{Grass}(n, S_d)$ . Moreover, since for  $U \in \mathrm{Grass}(n, S_d)_{\mathrm{Res}}$  the ideal  $I_U$  is Gorenstein of socle degree  $n(d-1)$ , we have  $\mathrm{Grass}(n, S_d)_{\mathrm{Res}} \subset W_{n,d}$ .

Applying polar pairing, we obtain a morphism

$$\begin{aligned} \mathbf{A}_{\mathrm{Gr}}: W_{n,d} &\rightarrow \mathrm{Grass}(n, \mathcal{D}_{n(d-1)-1}), \\ \mathbf{A}_{\mathrm{Gr}}(U) &= \left[ (I_U)_{n(d-1)-1}^{\perp} \subset \mathcal{D}_{n(d-1)-1} \right]. \end{aligned}$$

From the duality between Hilbert and gradient points it follows that

$$\nabla(\mathbf{A}(U)) = \mathbf{A}_{\mathrm{Gr}}(U) \text{ for every } U \in \mathrm{Grass}(n, S_d)_{\mathrm{Res}}.$$

We conclude that we have the commutative diagram:

$$\begin{array}{ccccc}
\mathbb{P}(S_{d+1})^{ss} // \mathrm{SL}(n) & & & & \mathbb{P}(\mathcal{D}_{n(d-1)})^{ss} // \mathrm{SL}(n) \\
\uparrow \pi_0 & & & & \uparrow \pi_2 \\
\mathbb{P}(S_{d+1})^{ss} & \longleftarrow & \mathbb{P}(S_{d+1})_{\Delta} & \xrightarrow{A} & \mathbb{P}(\mathcal{D}_{n(d-1)})^{ss} \\
\downarrow \nabla & & \downarrow \nabla & \nearrow \mathbf{A} & \downarrow \nabla \\
\mathrm{Grass}(n, S_d)^{ss} & \longleftarrow & \mathrm{Grass}(n, S_d)_{\mathrm{Res}} & \longrightarrow & \mathrm{Grass}(n, \mathcal{D}_{n(d-1)-1})^{ss} \\
\downarrow \pi_1 & & \downarrow & \nearrow \mathbf{A}_{\mathrm{Gr}} & \downarrow \pi_3 \\
\mathrm{Grass}(n, S_d)^{ss} // \mathrm{SL}(n) & & W_{n,d} & & \mathrm{Grass}(n, \mathcal{D}_{n(d-1)-1})^{ss} // \mathrm{SL}(n).
\end{array}$$

**Proposition 5.1.** *Suppose  $U \in \mathrm{Grass}(n, S_d)$  is such that*

$$\mathbb{V}(I_U) = \{p_1, \dots, p_k\}$$

*is scheme-theoretically a set of  $k$  distinct points in general linear position in  $\mathbb{P}^{n-1}$ . Then  $U \in W_{n,d}$ .*

*Remark 5.2.* A set  $\{p_1, \dots, p_k\}$  points in  $\mathbb{P}^{n-1}$  is in general linear position if and only if  $k \leq n$ , and, up to the  $\mathrm{PGL}(n)$ -action,

$$p_i = \{x_1 = \dots = \hat{x}_i = \dots = x_n = 0\}, \quad i = 1, \dots, k,$$

in the homogeneous coordinates  $[x_1 : \dots : x_n]$  on  $\mathbb{P}^{n-1}$ .

*Proof of Proposition 5.1.* Since  $\mathrm{depth}(I_U) = n - 1$ , we can choose degree  $d$  generators  $g_1, \dots, g_n$  of  $I_U$  such that  $g_1, \dots, g_{n-1}$  form a regular sequence. Then  $\Gamma := \mathbb{V}(g_1, \dots, g_{n-1})$  is a finite-dimensional subscheme of  $\mathbb{P}^{n-1}$ . By Bézout's theorem,  $\Gamma$  is a set of  $d^{n-1}$  points, counted with multiplicities.

Set  $R := S/(g_1, \dots, g_{n-1})$ . Consider the Koszul complex  $K_{\bullet} := K_{\bullet}(g_1, \dots, g_n)$ . We have

$$H_0(K_{\bullet}) = S/(g_1, \dots, g_n) = S/I_U.$$

Since  $g_1, \dots, g_{n-1}$  is a regular sequence, we also have

$$H_i(K_{\bullet}) = 0 \quad \text{for all } i > 0$$

and

$$H_1(K_{\bullet}) = (((g_1, \dots, g_{n-1}) :_S (g_1, \dots, g_n)) / (g_1, \dots, g_{n-1}))(-d) \simeq \mathrm{Ann}_R(g_n)(-d).$$

To establish the identity

$$\mathrm{codim}((I_U)_{n(d-1)-1}, S_{n(d-1)-1}) = n$$

it suffices to prove

$$H_1(K_{\bullet})_{n(d-1)-1} = 0.$$

Indeed, in this case the graded degree  $n(d-1) - 1$  part of the Koszul complex will be an exact complex of vector spaces and so the dimension of  $(S/I_U)_{n(d-1)-1}$  will

coincide with that in the situation when  $g_1, \dots, g_n$  is a regular sequence, that is, with  $n$ .

As we have already observed, we have

$$H_1(K_\bullet)_{n(d-1)-1} = \text{Ann}_R(g_n)_{n(d-1)-1}(-d) = \text{Ann}_R(g_n)_{n(d-1)-1-d}.$$

Hence it suffices to prove that  $\text{Ann}_R(g_n)_{n(d-1)-1-d} = 0$ . Write  $\Gamma = \Gamma' \cup \Gamma''$ , where  $\Gamma' \neq \emptyset$  and  $\Gamma'' := \{p_1, \dots, p_k\}$ . Since  $g_n$  vanishes on all of  $\Gamma''$  but does not vanish at any point of  $\Gamma'$ , every element of  $\text{Ann}_R(g_n)_{n(d-1)-1-d}$  comes from a degree  $n(d-1)-1-d$  form that vanishes on all of  $\Gamma'$ . We apply the Cayley-Bacharach Theorem [7, Theorem CB6], which implies the following statement:

**Claim 5.3.** *Set  $s := d(n-1) - (n-1) - 1 = n(d-1) - d$ . If  $r \leq s$  is a non-negative integer, then the dimension of the family of projective hypersurfaces of degree  $r$  containing  $\Gamma'$  modulo those containing all of  $\Gamma$  is equal to the failure of  $\Gamma''$  to impose independent conditions on projective hypersurfaces of complementary degree  $s - r$ .*

In our situation  $r = s - 1$ , and  $\Gamma''$  imposes independent conditions on hyperplanes by the general linear position assumption. Hence we conclude by Claim 5.3 that every form of degree  $n(d-1) - 1 - d$  that vanishes on all of  $\Gamma'$  also vanishes on all of  $\Gamma''$  and therefore, as the ideal  $(g_1, \dots, g_{n-1})$  is saturated, maps to 0 in  $R$ . We thus see that  $\text{Ann}_R(g_n)_{n(d-1)-1-d} = 0$ . This finishes the proof.  $\square$

Motivated by the result above, we consider the following partial stratification of the resultant divisor  $\mathfrak{Res} \subset \text{Grass}(n, S_d)$ . For  $1 \leq k \leq n$ , define  $Z_k$  to be the locally closed subset of  $\text{Grass}(n, S_d)$  consisting of all subspaces  $U$  such that  $\mathbb{V}(I_U)$  is scheme-theoretically a set of  $k$  distinct points in general linear position in  $\mathbb{P}^{n-1}$ . Clearly,  $Z_1$  is dense in  $\mathfrak{Res}$ , and

$$\overline{Z}_k \supset Z_{k+1} \cup \dots \cup Z_n.$$

We will also set  $\Sigma_k := \nabla^{-1}(Z_k) \subset \mathbb{P}(S_{d+1})$ . By the Jacobian criterion,  $\Sigma_k$  is the locus of hypersurfaces with only  $k$  ordinary double points in general linear position and no other singularities.

**Lemma 5.4.** *For every  $1 \leq k \leq n$ , one has that  $Z_k$  is a non-empty and irreducible subset of  $\text{Grass}(n, S_d)$ , and  $\Sigma_k$  is a non-empty and irreducible subset of  $\mathbb{P}(S_{d+1})^{ss}$ .*

*Proof.* It follows from the Hilbert-Mumford numerical criterion that any hypersurface in  $\mathbb{P}^{n-1}$  of degree  $d+1$  with at worst ordinary double point singularities is semistable.

Having  $k$  singularities at  $k$  fixed points  $p_1, \dots, p_k$  (resp., having  $k$  fixed base points  $p_1, \dots, p_k$ ) in general linear position is a linear condition on the elements of  $\mathbb{P}(S_{d+1})$  (resp., the elements of the Stiefel variety over  $\text{Grass}(n, S_d)$ ) and so defines an irreducible closed subvariety  $\Sigma(p_1, \dots, p_k)$  in  $\mathbb{P}(S_{d+1})$  (resp.,  $Z(p_1, \dots, p_k)$  in  $\text{Grass}(n, S_d)$ ). The property of having exactly ordinary double points at  $p_1, \dots, p_k$  (resp., having the base locus being equal to  $\{p_1, \dots, p_k\}$  scheme-theoretically) is an open condition in  $\Sigma(p_1, \dots, p_k)$  in  $\mathbb{P}(S_{d+1})$  (resp.,  $Z(p_1, \dots, p_k)$  in  $\text{Grass}(n, S_d)$ ).

and so defines an irreducible subvariety  $\Sigma^0(p_1, \dots, p_k)$  (resp.,  $Z^0(p_1, \dots, p_k)$ ). We conclude the proof of irreducibility by noting that  $\Sigma_k = \text{PGL}(n) \cdot \Sigma^0(p_1, \dots, p_k)$  (resp.,  $Z_k = \text{PGL}(n) \cdot Z^0(p_1, \dots, p_k)$ ).

Since  $\Sigma_k = \nabla^{-1}(Z_k)$ , it suffices to check the non-emptiness of  $\Sigma_k$ . If  $F \in \Sigma_n$  has ordinary double points at  $p_1, \dots, p_n$ , then by the deformation theory of hypersurfaces, there exists a deformation of  $F$  with ordinary double points at  $p_1, \dots, p_k$  and no other singularities. Indeed, if  $G \in S_{d+1}$  is a general form vanishing at  $p_1, \dots, p_k$  and non-vanishing at  $p_{k+1}, \dots, p_n$ , then  $F + tG \in \Sigma^0(p_1, \dots, p_k)$  will have ordinary double points at  $p_1, \dots, p_k$  and no other singularities for  $0 < t \ll 1$ .

It remains to prove that  $\Sigma_n$  is non-empty. Indeed, the following is an element of  $\Sigma_n$ :

$$(d-1)(x_1 + \dots + x_n)^{d+1} - (d+1)(x_1 + \dots + x_n)^{d-1}(x_1^2 + \dots + x_n^2) + 2(x_1^{d+1} + \dots + x_n^{d+1}).$$

In fact, a generic linear combination of all degree  $(d+1)$  monomials with the exception of  $x_i^{d+1}$ , for  $i = 1, \dots, n$ , and  $x_i^d x_j$ , for  $i, j = 1, \dots, n$ ,  $i < j$ , is a form with precisely  $n$  ordinary double point singularities in general linear position.  $\square$

By Proposition 5.1, we know that  $\mathbf{A}_{\text{Gr}}$  is defined at all points of  $Z_1 \cup \dots \cup Z_n$ . In fact, we can explicitly compute  $\mathbf{A}_{\text{Gr}}(U)$  for all  $U \in Z_n$ , as well as the orbit closure of  $\mathbf{A}_{\text{Gr}}(U)$  for all  $U \in Z_{n-1}$ . We need a preliminary fact.

**Proposition 5.5.** *Suppose  $U \in \text{Grass}(n, S_d)$  and  $p \in \mathbb{V}(I_U) \subset \mathbb{P}V^\vee$ . Let  $L \in V^\vee$  be a non-zero linear form corresponding to  $p$ . Then  $L^{n(d-1)-1} \in (I_U)_{n(d-1)-1}^\perp$ .*

*Proof.* Since  $p \in \mathbb{V}(I_U)$ , all elements of  $(I_U)_{n(d-1)-1}$  vanish at  $p$ , and it follows that  $F \circ L^{n(d-1)-1} = 0$  for all  $F \in (I_U)_{n(d-1)-1}$  (cf. Remark 3.3).  $\square$

**Corollary 5.6.** *Suppose  $U \in Z_k$  is such that*

$$\mathbb{V}(I_U) = \{p_1 := [1 : 0 : \dots : 0], p_2 := [0 : 1 : \dots : 0], \dots, p_k := [0 : \dots : 1 : \dots : 0]\}.$$

*Then*

$$\mathbf{A}_{\text{Gr}}(U) = \langle z_1^{n(d-1)-1}, \dots, z_k^{n(d-1)-1}, g_{k+1}(z_1, \dots, z_n), \dots, g_n(z_1, \dots, z_n) \rangle,$$

*for some  $g_{k+1}, \dots, g_n \in \mathcal{D}_{n(d-1)-1}$ . In particular, for  $U \in Z_n$  one has*

$$\mathbf{A}_{\text{Gr}}(U) = \langle z_1^{n(d-1)-1}, \dots, z_n^{n(d-1)-1} \rangle = \nabla([z_1^{n(d-1)-1} + \dots + z_n^{n(d-1)-1}]).$$

*Moreover, for a generic  $U \in Z_k$ , we have  $\mathbf{A}_{\text{Gr}}(U) \in \text{Grass}(n, \mathcal{D}_{n(d-1)})_{\text{Res}}$ .*

*Proof.* Since the point  $p_i = \mathbb{V}(x_1, \dots, \widehat{x}_i, \dots, x_n) \in \mathbb{P}V^\vee$  corresponds to the linear form  $z_i \in V^\vee$ , Proposition 5.5 implies that  $z_i^{n(d-1)-1} \in \mathbf{A}_{\text{Gr}}(U)$  for every  $i = 1, \dots, k$ .

As  $Z_n \subset \overline{Z}_k$  and  $\mathbf{A}_{\text{Gr}}(U) \in \text{Grass}(n, \mathcal{D}_{n(d-1)})_{\text{Res}}$  for every  $U \in Z_n$ , it follows that  $\mathbf{A}_{\text{Gr}}(U)$  is also spanned by a regular sequence for a generic  $U \in Z_k$ . The claim follows.  $\square$

Consider the rational maps

$$\begin{array}{ccc} \mathbb{P}(S_{d+1})^{ss} // \mathrm{SL}(n) & \overset{\bar{A}}{\dashrightarrow} & \mathbb{P}(\mathcal{D}_{n(d-1)})^{ss} // \mathrm{SL}(n) \\ & \searrow \bar{\nabla} & \nearrow \bar{A} \\ & \mathrm{Grass}(n, S_d)^{ss} // \mathrm{SL}(n) & \end{array}$$

of projective GIT quotients.

**Theorem 5.7.** *There is a dense open subset  $Y_k$  of  $Z_k$  such that*

$$\bar{A}: \mathrm{Grass}(n, S_d)^{ss} // \mathrm{SL}(n) \dashrightarrow \mathbb{P}(\mathcal{D}_{n(d-1)})^{ss} // \mathrm{SL}(n)$$

is defined on  $\pi_1(Y_k)$ ,  $k = 1, \dots, n$ . Moreover, for  $U \in Y_k$  the value  $\bar{A}(\pi_1(U))$  is the image under  $\pi_2$  of a polystable  $k$ -partial Fermat form. In particular, for every  $U \in Z_n$  and for a generic  $U \in Z_{n-1}$

$$\bar{A}(\pi_1(U)) = \pi_2 \left( z_1^{n(d-1)} + \dots + z_n^{n(d-1)} \right).$$

*Proof.* Recall that  $Z_k$  is non-empty by Lemma 5.4. Suppose  $U \in Z_k$  is generic, then by Corollary 5.6 in suitable coordinates we have

$$\mathbf{A}_{\mathrm{Gr}}(U) = \langle z_1^{n(d-1)-1}, \dots, z_k^{n(d-1)-1}, g_{k+1}(z_1, \dots, z_n), \dots, g_n(z_1, \dots, z_n) \rangle,$$

and  $\mathbf{A}_{\mathrm{Gr}}(U) \notin \mathfrak{Res}$ . It follows (as in the proof of [10, Proposition 2.7]) that the closure of the  $\mathrm{SL}(n)$ -orbit of  $\mathbf{A}_{\mathrm{Gr}}(U)$  contains

$$(5.1) \quad \langle z_1^{n(d-1)-1}, \dots, z_k^{n(d-1)-1}, \bar{g}_{k+1}(z_{k+1}, \dots, z_n), \dots, \bar{g}_n(z_{k+1}, \dots, z_n) \rangle,$$

where  $\bar{g}_i := g_i(0, \dots, 0, z_{k+1}, \dots, z_n)$  for  $i = k+1, \dots, n$ . Then the claim follows for  $k = n-1$  and  $k = n$  as in these cases we necessarily have  $\bar{g}_n = z_n^{n(d-1)-1}$ .

For  $k$  arbitrary, since  $\bar{\nabla}$  is a closed immersion by Theorem 2.1, we conclude that  $\bar{A}$  is defined at  $\pi_1(U)$ . Let  $F \in \mathbb{P}(\mathcal{D}_{n(d-1)})^{ss}$  be a polystable element with  $\pi_2(F) = \bar{A}(\pi_1(U))$ . Then we must have  $\nabla(F) \in \overline{\mathrm{SL}(n) \cdot \mathbf{A}_{\mathrm{Gr}}(U)}$ , and so  $\nabla(F)$  is linearly equivalent to an element of the form (5.1). It follows at once that

$$\bar{A}(\pi_1(U)) = \pi_2 \left( z_1^{n(d-1)} + \dots + z_k^{n(d-1)-1} + G(z_{k+1}, \dots, z_n) \right)$$

is the image under  $\pi_2$  of a polystable  $k$ -partial Fermat form.  $\square$

We will now establish Theorem 2.2 as detailed in the next two corollaries.

**Corollary 5.8.** *The rational map*

$$\bar{A}: (\mathbb{P}S_{d+1})^{ss} // \mathrm{SL}(n) \dashrightarrow \mathbb{P}(\mathcal{D}_{n(d-1)})^{ss} // \mathrm{SL}(n)$$

is defined at a generic point of  $\pi_0(\Sigma_{n-1})$  and at every point of  $\pi_0(\Sigma_n)$ . For a generic  $f \in \Sigma_{n-1}$  and for every  $f \in \Sigma_n$ , we have

$$\bar{A}(\pi_0(f)) = \pi_2(z_1^{n(d-1)} + \dots + z_n^{n(d-1)}).$$



**Corollary 5.9.** *When  $n = 2$ , the rational map  $\bar{A}$  contracts the discriminant divisor to a point (corresponding to the orbit of the Fermat form in  $\mathcal{D}_{2d-4}$ ) for all  $d \geq 3$ . When  $n = 3$ , the rational map  $\bar{A}$  contracts the discriminant divisor to a lower-dimensional subvariety if  $d \geq 3$ . More generally, for every  $n \geq 4$  there exists  $d_0$  such that for all  $d \geq d_0$  the map  $\bar{A}$  contracts the discriminant divisor to a lower-dimensional subvariety.*

*Proof.* Notice that  $\Sigma_1$  is dense in the discriminant divisor  $\Delta$ . Hence, for  $n = 2$  the statement follows from Corollary 5.8.

When  $n = 3$ , Theorem 5.7 implies that  $\bar{A}(\pi_0(\Sigma_1))$  lies in the locus of a 1-partial Fermat form in  $\mathcal{D}_{3(d-1)}$ . The linear equivalence classes of 1-partial ternary Fermat forms are in bijection with the linear equivalence classes of binary degree  $3(d-1)$  forms. The dimension of this locus is  $3d - 6$ , which for  $d \geq 3$  is strictly less than the dimension  $\binom{d+3}{2} - 10$  of the discriminant divisor.

If  $n \geq 4$ , by Theorem 5.7 the set  $\bar{A}(\pi_0(\Sigma_1))$  lies in the locus of a 1-partial Fermat form in  $\mathcal{D}_{n(d-1)}$ . The linear equivalence classes of 1-partial Fermat forms in  $n$  variables are in bijection with the linear equivalence classes of degree  $n(d-1)$  forms in  $n-1$  variables. The dimension of this locus is  $\binom{n(d-1)+(n-2)}{n-2}$ , which for sufficiently large  $d$  is strictly less than the dimension of the discriminant divisor  $\binom{(d+1)+(n-1)}{n-1} - (n^2 + 1)$ .  $\square$

We conclude the paper with an alternative proof of the main fact of [1] (see Proposition 4.3 therein).

**Corollary 5.10** (Generic smoothness of associated forms). *The closure of  $\text{Im } A$  in  $\mathbb{P}(\mathcal{D}_{n(d-1)})^{ss}$  contains the orbit*

$$\text{SL}(n) \cdot \left\{ z_1^{n(d-1)} + \dots + z_n^{n(d-1)} \right\}$$

*of the Fermat hypersurface. Consequently,  $A(f)$  is a smooth form for a generic smooth  $f \in S_{d+1}$ .*

*Proof.* By Corollary 5.8, we have

$$\pi_2(z_1^{n(d-1)} + \dots + z_n^{n(d-1)}) \in \text{Im}(\bar{A}).$$

Since the orbit of the Fermat hypersurface is closed in  $\mathbb{P}(\mathcal{D}_{n(d-1)})^{ss}$ , it lies in the closure of  $\text{Im } A$ .  $\square$

## REFERENCES

- [1] Jarod Alper and Alexander Isaev. Associated forms in classical invariant theory and their applications to hypersurface singularities. *Math. Ann.*, 360(3-4):799–823, 2014.
- [2] Jarod Alper and Alexander Isaev. Associated forms and hypersurface singularities: The binary case. *J. reine angew. Math.*, 2016. To appear, DOI: 10.1515/crelle-2016-0008.
- [3] Michael Eastwood and Alexander Isaev. Extracting invariants of isolated hypersurface singularities from their moduli algebras. *Math. Ann.*, 356(1):73–98, 2013.

- [4] Michael Eastwood and Alexander Isaev. Invariants of Artinian Gorenstein algebras and isolated hypersurface singularities. In *Developments and retrospectives in Lie theory*, volume 38 of *Dev. Math.*, pages 159–173. Springer, Cham, 2014.
- [5] Richard Ehrenborg and Gian-Carlo Rota. Apolarity and canonical forms for homogeneous polynomials. *European J. Combin.*, 14(3):157–181, 1993.
- [6] David Eisenbud. *Commutative algebra with a view toward algebraic geometry*, volume 150 of *Graduate Texts in Mathematics*. Springer-Verlag, New York, 1995.
- [7] David Eisenbud, Mark Green, and Joe Harris. Cayley-Bacharach theorems and conjectures. *Bull. Amer. Math. Soc. (N.S.)*, 33(3):295–324, 1996.
- [8] Maksym Fedorchuk. Direct sum decomposability of polynomials and factorization of associated forms, 2017. [arXiv:1705.03452](https://arxiv.org/abs/1705.03452).
- [9] Maksym Fedorchuk. GIT semistability of Hilbert points of Milnor algebras. *Math. Ann.*, 367(1-2):441–460, 2017.
- [10] Maksym Fedorchuk and Alexander Isaev. Stability of associated forms. *J. Algebraic Geom.*, to appear. [arXiv:1703.00438](https://arxiv.org/abs/1703.00438).
- [11] Anthony Iarrobino and Vassil Kanev. *Power sums, Gorenstein algebras, and determinantal loci*, volume 1721 of *Lecture Notes in Mathematics*. Springer-Verlag, Berlin, 1999.
- [12] Alexander Isaev. A criterion for isomorphism of Artinian Gorenstein algebras. *J. Commut. Algebra*, 8(1):89–111, 2016.
- [13] Domingo Luna. Slices étalés. *Mémoires de la S. M. F.*, 33:81–105, 1973.
- [14] Domingo Luna. Adhérences d’orbite et invariants. *Invent. Math.*, 29(3):231–238, 1975.
- [15] David Mumford, John Fogarty, and Frances Kirwan. *Geometric invariant theory*, volume 34 of *Ergebnisse der Mathematik und ihrer Grenzgebiete (2) [Results in Mathematics and Related Areas (2)]*. Springer-Verlag, Berlin, third edition, 1994.

(Fedorchuk) DEPARTMENT OF MATHEMATICS, BOSTON COLLEGE, 140 COMMONWEALTH AVE,  
CHESTNUT HILL, MA 02467, USA

*E-mail address:* maksym.fedorchuk@bc.edu

(Isaev) MATHEMATICAL SCIENCES INSTITUTE, AUSTRALIAN NATIONAL UNIVERSITY, ACTON,  
CANBERRA, ACT 2601, AUSTRALIA

*E-mail address:* alexander.isaev@anu.edu.au