

LEFSCHETZ PROPERTIES FOR HIGHER ORDER NAGATA IDEALIZATIONS

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ABSTRACT. We study a generalization of Nagata idealization for level algebras. These algebras are standard graded Artinian algebras whose Macaulay dual generator is given explicitly as a bigraded polynomial of bidegree $(1, d)$. We consider the algebra associated to polynomials of the same type of bidegree (d_1, d_2) . We prove that the geometry of the Nagata hypersurface of order e is very similar to the geometry of the original hypersurface. We study the Lefschetz properties for Nagata idealizations of order d_1 , proving that WLP holds if $d_1 \geq d_2$. We give a complete description of the associated algebra in the monomial square free case.

INTRODUCTION

The Lefschetz properties are algebraic abstractions inspired by the so called Hard Lefschetz Theorem about the cohomology of smooth projective varieties over the complex numbers (see [La, Ru]). Since the cohomology ring of such varieties are standard graded Artinian \mathbb{K} -algebras satisfying the Poincaré duality, from the algebraic viewpoint these algebras can be characterized as standard graded Artinian Gorenstein algebras, AG algebras for short (see [HMMNWW, MW]). In this context, $A = \bigoplus_{k=0}^d A_k$, the Hard Lefschetz Theorem can be reformulated as a possible purely algebraic property of the algebra A .

It is important to highlight that nowadays the Lefschetz properties are considered in a number of distinct contexts, such as Kähler manifolds, solvmanifolds (see [Ka]), arithmetic hyperbolic manifolds (see [Be]), Shimura varieties (see [HL]), convex polytopes (see [KN]), Coxeter groups (see [NW]), matroids, simplicial complexes [St, St2, BN, GZ, KN] among others. In these new contexts the Lefschetz properties showed to have interactions with the algebra itself, the geometry and the combinatorics. This work lies at the intersection of these three areas. In fact, standard graded AG algebras can be presented as $A = Q / \text{Ann}(f)$ where $f \in \mathbb{K}[x_0, \dots, x_n]_d$ is a homogeneous form of degree d in a polynomial ring, $Q = \mathbb{K}[\partial_0, \dots, \partial_n]$ is the associated ring of differential operators and $\text{Ann}(f)$ is the ideal of differential

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operators that annihilates f . Therefore, in this paper we are interested in the algebraic structure of A , together the geometry of the hypersurface $X = V(f) \subset \mathbb{P}^n$ and the combinatorics of the form f in a very particular way.

The cornerstone of the algebraic theory of Lefschetz properties were the original papers of Stanley [St, St2, St3] and the works of Watanabe, summarized in [HMMNWW]. A very important construction that appears many times in these works is the so called Nagata idealization also called trivial extension. In general Nagata idealization is a useful tool, developed by Nagata, to convert any R -module M in a ideal of another ring, $A \times M$. In our perspective the starting point is a very interesting isomorphism between the Nagata idealization of an ideal $I = (g_0, \dots, g_m) \subset \mathbb{K}[u_1, \dots, u_m]$ and a level algebra in such way that the new ring is an AG algebra and we get an explicit formula for the Macaulay generator f (see [HMMNWW, Proposition 2.77])

$$(1) \quad f = x_0 g_0 + \dots + x_n g_n \in \mathbb{K}[x_0, \dots, x_n, u_1, \dots, u_m]_{(1, d-1)}.$$

This bigraded polynomial is closely related with Gordan-Noether and Perazzo constructions of forms with vanishing Hessian (see [GN, Pe, CRS, GRu]). It is not a coincidence since in [MW] the authors present a Hessian criterion for the SLP saying that the vanishing of a (higher) Hessian implies the failure of SLP. This criterion was generalized in [GZ2] also for the WLP using mixed Hessians. Following the original ideas of Gordan-Noether and Perazzo, the second author in [Go] constructed families of polynomials whose k -th Hessian is zero. A natural generalization of (1) should be to consider polynomials of the form:

$$(2) \quad f = x_0^{d_1} g_0 + \dots + x_n^{d_1} g_n \in \mathbb{K}[x_0, \dots, x_n, u_1, \dots, u_m]_{(d_1, d_2)}.$$

These polynomials are called Nagata polynomials of order d_1 (see Definition 3.1).

The study of the hypersurfaces with vanishing Hessian began in 1852, when O. Hesse wrote two papers (see [He, He1]), in which he claimed they must be cones. Given an irreducible hypersurface $X = V(f) \subset \mathbb{P}^N$ of degree $\deg f = d \geq 3$, P. Gordan and M. Noether proved in [GN] that Hesse's claim is true for $N \leq 3$, but it is false for $N \geq 4$; in fact it is possible to construct counterexamples in \mathbb{P}^N for each $N \geq 4$ and for all $d \geq 3$. Moreover, they classified all the counterexamples to Hesse's claim in \mathbb{P}^4 (see [CRS, Go, GN]). Perazzo classified cubic hypersurfaces with vanishing Hessian in \mathbb{P}^N for $N \leq 6$ (see [Pe]), this work was revisited and generalized in [GRu]. The problem is open in the other cases. In all the cases where the classification of hypersurfaces with vanishing Hessian are done they share two very particular geometric properties (see [CRS, GR, GRu, Ru]):

- (i) there is a linear space L in the singular locus of X , that is the linear span of the dual variety of the image of the polar (gradient) map, that is $L = \langle Z^* \rangle$;
- (ii) the hypersurface is a tangent scroll over the dual of the polar image.

In this paper we study the Lefschetz properties for the algebras associated to Nagata polynomials of order d_1 , the geometry of the Nagata hypersurfaces of order d_1 and the interaction between the combinatorics of f and the algebraic structure of A in the case that the g_i are square free monomials, by using a simplicial complex to study this case.

We show that the geometry of Nagata hypersurfaces is very similar to the geometry of the known hypersurfaces with vanishing Hessian. Hence these are hypersurfaces, satisfying at least a Laplace equation (see [DI, DDI]). We proved that they are scroll hypersurfaces in Theorem 2.9 and Corollary 2.10. These are

our first main results.

From the algebraic viewpoint we are interested in the Lefschetz properties and the algebraic structure of Nagata idealizations. The Lefschetz properties are studied in two cases:

- (1) $d_1 < d_2$, in this case we give examples with small numbers of summands where the SLP holds and we recall a result proved in [Go] (see Proposition 2.5);
- (2) $d_1 \geq d_2$, in this case A has the WLP as proved in Proposition 2.7. This is our second main result.

The structure of the algebra A , including the Hilbert vector and a complete description of the ideal I was proved in Theorem 3.5 for the case in which the g_i are square free monomials. This is our third main result. To prove it, we use the combinatorics of the simplicial complex associated to the monomials g_i in order to describe both A_k and I_k .

Part of this paper was inspired by the discussions of a group work in the workshop *Lefschetz Properties and Artinian Algebras* at BIRS, Banff, Canada in Mach, 2016. The participants of the group work were M. Boij, R. Gondim, J. Migliore, U. Nagel, A. Seceleanu, H. Schenck and J. Watanabe.

1. ARTINIAN GORENSTEIN ALGEBRAS AND THE LEFSCHETZ PROPERTIES

In this section we recall some basic facts about Artinian Gorenstein algebras and the Lefschetz properties. For a more detailed account, let see [HMMNWW, MN1, Ru, MW, Go].

1.1. Standard graded Artinian Gorenstein algebras and Hilbert vector.

In all the paper \mathbb{K} denotes a field of characteristic zero.

Definition 1.1. Let $R = \mathbb{K}[x_0, \dots, x_n]$ be the polynomial ring in $n+1$ variables and $I \subset R$ be an homogeneous Artinian ideal such that $I_1 = 0$. We say that a graded Artinian \mathbb{K} -algebra $A = R/I = \bigoplus_{i=0}^d A_i$ is a standard graded Artinian \mathbb{K} -algebra if it is generated in degree 1 as algebra. Setting $h_i = \dim_{\mathbb{K}} A_i$, the *Hilbert vector* is $\text{Hilb}(A) = (1, h_1, \dots, h_d)$. If $I_1 = 0$, then h_1 is called the codimension of A .

Definition 1.2. A standard graded Artinian algebra A is Gorenstein if and only if $\dim A_d = 1$ and the restriction of the multiplication of the algebra in complementary degree, that is, $A_k \times A_{d-k} \rightarrow A_d$ is a perfect paring for $k = 0, 1, \dots, d$ (see [MW]). If $A_j = 0$ for $j > d$, then d is called the socle degree of A .

Remark 1.3. Since $A_k \times A_{d-k} \rightarrow A_d$ is a perfect paring for $k = 0, 1, \dots, d$, it induces two \mathbb{K} -linear maps, $A_{d-k} \rightarrow A_k^*$, with $A_k^* := \text{Hom}(A_k, A_d)$ and $A_k \rightarrow A_{d-k}^*$, with $A_{d-k}^* := \text{Hom}(A_{d-k}, A_d)$, that are two isomorphisms.

Let $R = \mathbb{K}[x_0, \dots, x_n]$ be the polynomial ring in $n+1$ variables. We denote by $R_d = \mathbb{K}[x_0, \dots, x_n]_d$ the \mathbb{K} -vector space of homogeneous polynomials of degree d . We denote by $Q = \mathbb{K}[X_0, \dots, X_n]$ the ring of differential operators of R , where $X_i := \frac{\partial}{\partial x_i}$ for $i = 0, \dots, n$. We denote by $Q_k = Q[X_0, \dots, X_n]_k$ the \mathbb{K} -vector space of homogeneous differential operators of R of degree k .

For each $d \geq k \geq 0$ there exist natural \mathbb{K} -bilinear maps $R_d \times Q_k \rightarrow R_{d-k}$ defined by differentiation:

$$(f, \alpha) \rightarrow f_\alpha := \alpha(f).$$

Let $f \in R$ be a homogeneous polynomial of degree $\deg f = d \geq 1$, we define:

$$\text{Ann}(f) := \{\alpha \in Q \mid \alpha(f) = 0\} \subset Q.$$

This is called the *annihilator of f* .

Since $\text{Ann}(f)$ is a homogeneous ideal of Q , we can define

$$A = \frac{Q}{\text{Ann}(f)}.$$

A is a standard graded Artinian Gorenstein \mathbb{K} -algebra.

Conversely, by the theory of inverse systems, we get the following characterization of standard graded Artinian Gorenstein \mathbb{K} -algebras.

Theorem 1.4. (Double annihilator Theorem of Macaulay)

Let $R = \mathbb{K}[x_0, \dots, x_n]$ and let $Q = \mathbb{K}[X_0, \dots, X_n]$ be the ring of differential operators. Let $A = \bigoplus_{i=0}^d A_i = Q/I$ be an Artinian standard graded \mathbb{K} -algebra. Then A is Gorenstein if and only if there exists $f \in R_d$ such that $A \simeq Q/\text{Ann}(f)$.

A proof of this result can be found in [MW, Theorem 2.1].

Remark 1.5. With the previous notation, let $A = \bigoplus_{i=0}^d A_i = Q/I$ be an Artinian Gorenstein \mathbb{K} -algebra with $I = \text{Ann}(f)$, $I_1 = 0$ and $A_d \neq 0$. The socle degree of A coincides with the degree of the form f .

Now we deal with standard bigraded Artinian Gorenstein algebras, i.e. Artinian Gorenstein algebras, $A = \bigoplus_{i=0}^d A_i$, such that

$$\begin{cases} A_d \neq 0 \\ A_k = \bigoplus_{i=0}^k A_{(i,k-i)} \text{ for } k < d \end{cases}.$$

The pair (d_1, d_2) , such that $A_{(d_1, d_2)} \neq 0$ and $d_1 + d_2 = d$, is said the socle bidegree of A .

Remark 1.6. Since $A_k^* \simeq A_{d-k}$ and since duality is compatible with direct sum, we get $A_{(i,j)}^* \simeq A_{(d_1-i, d_2-j)}$.

By abuse notation, we denote the polynomial ring viewed as standard bigraded ring in the set of variables $\{x_0, \dots, x_n\}$ and $\{u_1, \dots, u_m\}$ by $R = \mathbb{K}[x_0, \dots, x_n, u_1, \dots, u_m]$. A homogeneous polynomial $f \in R_{(d_1, d_2)}$ is said to be bihomogeneous polynomial of total degree $\deg f = d = d_1 + d_2$ if f can be written in the following way:

$$(3) \quad f = \sum_{i=1}^s f_i g_i,$$

where $f_i \in \mathbb{K}[x_0, \dots, x_n]_{d_1}$ and $g_i \in \mathbb{K}[u_1, \dots, u_m]_{d_2}, \forall i \leq s$.

Remark 1.7. All bihomogeneous polynomials $f \in \mathbb{K}[x_0, \dots, x_n, u_1, \dots, u_m]_{(d_1, d_2)}$ can be written as (3), where $f_i \in \mathbb{K}[x_0, \dots, x_n]_{d_1}$ and $g_i \in \mathbb{K}[u_1, \dots, u_m]_{d_2}, \forall i \leq s$, are monomials.

A homogeneous ideal $I \subset R$ is a bihomogeneous ideal if

$$I = \bigoplus_{i,j=0}^{\infty} I_{(i,j)}$$

where $I_{(i,j)} = I \cap R_{(i,j)} \forall i, j$. Let $Q = \mathbb{K}[X_0, \dots, X_n, U_1, \dots, U_m]$ be the associated ring of differential operators and let $f \in R_{(d_1, d_2)}$ be a bihomogeneous polynomial of total degree $d = d_1 + d_2$, then $I = \text{Ann}(f) \subset Q$ is a bihomogeneous ideal and $A = Q/I$ is a standard bigraded Artinian Gorenstein algebra of socle bidegree (d_1, d_2) and codimension $N = n + m + 1$.

Remark 1.8. Let $f \in R_{(d_1, d_2)}$ be a bihomogeneous polynomial of degree (d_1, d_2) , and let A be the associated bigraded algebra of socle bidegree (d_1, d_2) , then for $i > d_1$ or $j > d_2$:

$$I_{(i,j)} = Q_{(i,j)}.$$

In fact for all $\alpha \in Q_{(i,j)}$ with $i > d_1$ or $j > d_2$ we get $\alpha(f) = 0$, so $Q_{(i,j)} = I_{(i,j)}$. As consequence, we have the following decomposition for all A_k :

$$A_k = \bigoplus_{i \leq d_1, j \leq d_2, i+j=k} A_{(i,j)}.$$

Furthermore for $i < d_1$ and $j < d_2$, the evaluation map $Q_{(i,j)} \rightarrow A_{(d_1-i, d_2-j)}$ given by $\alpha \rightarrow \alpha(f)$ provides the following short exact sequence:

$$0 \longrightarrow I_{(i,j)} \longrightarrow Q_{(i,j)} \longrightarrow A_{(d_1-i, d_2-j)} \longrightarrow 0.$$

1.2. The Lefschetz properties and the Hessian criterion.

Definition 1.9. Let

$$A = \bigoplus_{i=0}^d A_i$$

be an Artinian graded \mathbb{K} -algebra with $A_d \neq 0$.

The algebra A is said to have *the Weak Lefschetz Property*, briefly *WLP*, if there exists an element $L \in A_1$ such that the multiplication map

$$\bullet L : A_i \rightarrow A_{i+1}$$

is of maximal rank for $0 \leq i \leq d-1$.

The algebra A is said to have *the Strong Lefschetz Property*, briefly *SLP*, if there exists an element $L \in A_1$ such that the multiplication map

$$\bullet L^k : A_i \rightarrow A_{i+k}$$

is of maximal rank for $0 \leq i \leq d$ and $0 \leq k \leq d-i$.

A is said to have *the Strong Lefschetz Property in the narrow sense* if there exists an element $L \in A_1$ such that the multiplication map

$$\bullet L^{d-2i} : A_i \rightarrow A_{d-i}$$

is bijective for $i = 0, \dots, \lfloor \frac{d}{2} \rfloor$.

Remark 1.10. In the case of standard graded Artinian Gorenstein algebras the two condition SLP and SLP in the narrow sense are equivalent.

Definition 1.11. Let $f \in R_d$ be a homogeneous polynomial, let $A = \bigoplus_{i=0}^d A_i = \frac{Q}{\text{Ann}(f)}$ be the associated Artinian Gorenstein algebra and let $\mathcal{B} = \{\alpha_j | j = 1, \dots, \sigma_k\} \subset$

A_k be an ordered \mathbb{K} -basis of A_k . The k -th Hessian matrix of f with respect to \mathcal{B} is

$$\text{Hess}_f^k := (\alpha_i \alpha_j(f))_{i,j=1}^{\sigma_k}.$$

The k -th Hessian of f with respect to \mathcal{B} is

$$\text{hess}_f^k := \det(\text{Hess}_f^k).$$

Theorem 1.12. ([Wa1], [MW]) *Let notation be as above. An element $L = a_1 X_1 + \dots + a_n X_n \in A_1$ is a strong Lefschetz element of $A = Q/\text{Ann}(f)$ if and only if $\text{hess}_f^k(a_1, \dots, a_n) \neq 0$ for all $k = 0, \dots, \lfloor d/2 \rfloor$. In particular, if for some $k \leq \lfloor \frac{d}{2} \rfloor$ we have $\text{hess}_f^k = 0$, then A does not have the SLP.*

2. HIGHER ORDER NAGATA IDEALIZATION

2.1. Nagata idealization.

Definition 2.1. Let A be a ring and M be a A -module. The idealization of M , $A \times M$, is the product set $A \times M$ in which addition and multiplication are defined as follows:

$$(a, m) + (b, n) = (a + b, m + n) \text{ and } (a, m) \cdot (b, n) = (ab, bm + an).$$

The following is a known result whose proof can be found in [HMMNWW, Theorem 2.77].

Theorem 2.2. *Let $R = \mathbb{K}[u_1, \dots, u_n]$ and $R' = \mathbb{K}[u_1, \dots, u_n, x_0, \dots, x_n]$ be polynomial rings and let $Q = \mathbb{K}[\partial_1, \dots, \partial_n]$ and $Q' = \mathbb{K}[\partial_1, \dots, \partial_n, \delta_0, \dots, \delta_n]$ the associated ring of differential operators. Let $I = (g_1, \dots, g_m) \subset Q$ be an ideal generated by forms of degree d and let $A = Q/\text{Ann}(g_1, \dots, g_m)$ be the associated level algebra. Let $f = x_0 g_0 + \dots + x_m g_m \in R'$ be a bihomogeneous polynomial and let $A' = Q'/\text{Ann}(f)$ be the associated algebra. Considering I as an A -module, we have*

$$A \times I \simeq A'$$

2.2. Lefschetz properties for higher order Nagata idealization.

Definition 2.3. A bihomogeneous polynomial

$$(4) \quad f = \sum_{i=0}^s x_i^{d_1} g_i \in \mathbb{K}[x_0, \dots, x_n, u_1, \dots, u_m]_{(d_1, d_2)}$$

is called a Nagata polynomial of order d_1 , if the polynomials g_i are linearly independent and they depend on all variables.

By Theorem 2.2, the algebra $A = Q/\text{Ann}(f)$ can be realized as a trivial extension and it is said Nagata idealization of order d_1 , socle degree $d_1 + d_2$ and codimension $n + m + 1$.

Let $R = \mathbb{K}[x_0, \dots, x_n, u_1, \dots, u_m]$ be the polynomial ring and $f \in R_{(d_1, d_2)}$, with

$d_1 \geq 1$, be a polynomial of type $f = \sum_{i=0}^n x_i^{d_1} g_i$, where g_i is a polynomial in u_1, \dots, u_m

variables, for all $i = 0, \dots, m$. We denote by $Q = \mathbb{K}[X_0, \dots, X_n, U_1, \dots, U_m]$ the ring of differential operators of R , where $X_i = \frac{\partial}{\partial x_i}$, for $i = 0, \dots, n$ and $U_j = \frac{\partial}{\partial u_j}$, for $j = 1, \dots, m$. Let $A = \frac{Q}{\text{Ann}(f)}$ the associated algebra.

In the case $d_1 < d_2$, we have an example such that A has the SLP, hence A has the WLP:

Example 2.4. Let $f = x^2 u^3 + y^2 v^3$ be a bihomogeneous polynomial. Hence A has bidegree $(2, 3)$, Hilbert vector $(1, 4, 6, 6, 4, 1)$ and A has the SLP. By the Hessian criterion, Theorem 1.12, there are two Hessians to control, $\text{hess}_f^1 \neq 0$ and $\text{hess}_f^2 \neq 0$.

If the number of summands in f is great enough, we get the following Proposition:

Proposition 2.5 ([Go], Proposition 2.5). *Let x_0, \dots, x_n and u_1, \dots, u_m be independent sets of indeterminates with $n \geq m \geq 2$. For $j = 1, \dots, s$, let $f_j \in \mathbb{K}[x_0, \dots, x_n]_{d_1}$ and $g_j \in \mathbb{K}[u_1, \dots, u_m]_{d_2}$ be linearly independent forms with $1 \leq d_1 < d_2$. If $s > \binom{m-1+d_1}{d_1}$, then the form of degree $d_1 + d_2$ given by*

$$f = f_1 g_1 + \dots + f_s g_s$$

satisfies

$$\text{hess}_f^k = 0$$

Corollary 2.6. *Let A be a Nagata idealization of order $d_1 < d_2$, then A fails SLP.*

If we consider $d_1 \geq d_2$, we have the following Proposition:

Proposition 2.7. *With the same notations, if $d_1 \geq d_2$, then A has the WLP and $L = \sum_{i=0}^n X_i$ is a weak Lefschetz element.*

Proof. (The idea of this result was shared by the work group in Banff).

We denote by $k = \lfloor \frac{d_1+d_2}{2} \rfloor$. We note that $d_1 \geq k$. In fact, by hypothesis $d_1 \geq d_2$, hence:

$$d_1 + d_1 \geq d_1 + d_2 \Rightarrow \frac{2d_1}{2} \geq \frac{d_1 + d_2}{2} \Rightarrow d_1 \geq \frac{d_1 + d_2}{2} \geq \lfloor \frac{d_1 + d_2}{2} \rfloor = k.$$

We have:

$$A_k = A_{(k,0)} \oplus A_{(k-1,1)} \oplus \dots \oplus A_{(k-d_2,d_2)}.$$

We want to prove that for $L = X_0 + \dots + X_n \in Q[X_0, \dots, X_n]_1$

$$\bullet L: A_{(k-i,i)} \rightarrow A_{(k-i+1,i)}$$

has maximal rank for all $i = 0, \dots, d_2$. Since A is a standard graded AG algebra it is enough to check it in the middle (see [MMN], Proposition 2.1).

We denote $\omega_j = X_j^{k-i} \alpha_j$, where $\alpha_j \in Q[U_1, \dots, U_m]_i$, for $j = 0, \dots, n$ and we suppose that $\{\omega_j\}$ is a basis for $A_{(k-i,i)}$. Hence we get

$$\sum_j b_j \omega_j = 0 \Rightarrow b_j = 0.$$

It implies that the $\alpha_j(g_j)$ are linear independent in $\mathbb{K}(x_1, \dots, x_n)$.

Let $\Omega_j = X_j^{k-i+1} \alpha_j = \bullet L(\omega_j)$, we want to prove that $\{\Omega_0, \dots, \Omega_n\}$ is a linear independent system for $A_{(k-i+1,i)}$. We consider the following linear combination

$\sum_j c_j \Omega_j = 0$. By definition, we get:

$$0 = \sum_j c_j \Omega_j(f) = \sum_j c_j \Omega_j \left(\sum_i x_i^{d_1} g_i \right) = \sum_j c_j x_j^{d_1 - k + i - 1} \alpha_j(g_j).$$

Since $\alpha_j(g_j)$ are linear independent in $\mathbb{K}(x_1, \dots, x_n)$, for all $j = 0, \dots, n$, we have

$$c_j x_j^{d_1 - k + i - 1} = 0 \Rightarrow c_j = 0.$$

The result follows. \square

For this case, there is nothing we are able to say about the SLP.

2.3. The geometry of Nagata hypersurfaces of order d_1 .

Definition 2.8. Let $R = \mathbb{K}[x_0, \dots, x_n, u_1, \dots, u_m]$ be the polynomial ring, with \mathbb{K} an algebraically closed field. Let $f \in R$ be a Nagata polynomial of order d_1 and degree $\deg f = d = d_1 + d_2$.

The hypersurface $X = V(f) \subset \mathbb{P}^N$ is called a Nagata hypersurface of order d_1 .

Let $X = V(f) \subset \mathbb{P}^N$ be a Nagata hypersurface of order d_1 . We can consider two linear space respectively \mathbb{P}^{m-1} with coordinates u_1, \dots, u_m and \mathbb{P}^n with coordinates x_0, x_1, \dots, x_n . Let $p_\alpha \in \mathbb{P}^{m-1}$ be a point and we consider the following linear space of dimension $n + 1$:

$$\mathcal{L}_\alpha := \langle p_\alpha, \mathbb{P}^n \rangle = \{ \langle p_\alpha, q \rangle : q \in \mathbb{P}^n \}.$$

If we consider the intersection \mathcal{L}_α with X , we obtain a variety Y_α . Y_α is reducible whose irreducible components are the linear space \mathbb{P}^n and a variety, called *residue* and denoted by \tilde{Y}_α . \tilde{Y}_α is a cone of vertex p_α over a $(n - 1)$ -dimensional basis.

Theorem 2.9. A Nagata hypersurface $X = V(f) \subset \mathbb{P}^N$ of order e consists of the union of the residue parts \tilde{Y}_α , i.e.

$$X = \cup_\alpha \tilde{Y}_\alpha.$$

Proof. Fixed a point $p_\alpha = (0 : \dots : 0 : a_1 : \dots : a_m) \in \mathbb{P}^{m-1}$ and let $\bar{p} = (\bar{x}_0 : \dots : \bar{x}_n : 0 : \dots : 0)$ be a point in \mathbb{P}^n . We consider the line that joins the points p_α and \bar{p} :

$$\mathcal{L}_\alpha : \begin{cases} x_0 = \lambda \bar{x}_0 \\ \dots \dots \dots \\ x_n = \lambda \bar{x}_n \\ u_1 = \mu a_1 \\ \dots \dots \dots \\ u_m = \mu a_m \end{cases}$$

with $\lambda, \mu \in \mathbb{K}$.

Since $X = V(f)$ is a Nagata hypersurface of order d_1 , we have:

$$f = x_0^{d_1} g_0 + \dots + x_n^{d_1} g_n.$$

If we consider the intersection between the line \mathcal{L}_α and the Nagata hypersurface X , we get:

$$f_{\mathcal{L}_\alpha} = \lambda^{d_1} \bar{x}_0^{d_1} g_0(\mu a_1, \dots, \mu a_m) + \dots + \lambda^{d_1} \bar{x}_n^{d_1} g_n(\mu a_1, \dots, \mu a_m) = \lambda^{d_1} \mu^{d_2} \sum_{i=0}^n \bar{x}_i^{d_1} g_i(\underline{a})$$

where \underline{a} is the vector (a_1, \dots, a_m) .

Since p_α and \bar{p} are points of X , then $\sum_{i=0}^n \bar{x}_i^{d_1} g_i(\underline{a}) = 0$. Therefore

$$\tilde{Y}_\alpha = V \left(\sum_{i=0}^n \bar{x}_i^{d_1} g_i(\underline{a}) \right)$$

and, by arbitrariness of the points $p_\alpha \in \mathbb{P}^{m-1}$ and $\bar{p} \in \mathbb{P}^n$, we have $\cup_\alpha \tilde{Y}_\alpha = X$. \square

As consequence of the above theorem, we can say how many linear spaces there are in a Nagata hypersurface of order e . We note that \mathbb{P}^{m-1} and \mathbb{P}^n are linear spaces on X . Thus we have:

Corollary 2.10. *Let $X = V(f) \subset \mathbb{P}^N$ be a Nagata hypersurface of order d_1 . There is a family of lines of dimension $m + n - 1$ on X .*

Proof. Let $p_\alpha \in \mathbb{P}^{m-1}$ be a point, then there is a family of lines of dimension n that joins p_α and the linear space \mathbb{P}^n , for all $p_\alpha \in \mathbb{P}^{m-1}$. This family covers \tilde{Y}_α . Then we have a family of lines of dimension $(n) + (m - 1) = n + m - 1$ on X . The singular locus of X contains \mathbb{P}^{m-1} .

Conversely, let $\bar{p} \in \mathbb{P}^n$ be a point, then there is a family of lines of dimension $m - 1$ that joins \bar{p} and all points q in the linear space \mathbb{P}^{m-1} . So the proof follows. \square

3. SIMPLICIAL NAGATA IDEALIZATION OF ORDER k

Definition 3.1. A bihomogeneous polynomial

$$(5) \quad f = \sum_{i=0}^n x_i^k g_i \in \mathbb{K}[x_0, \dots, x_n, u_1, \dots, u_m]_{(k, d-k)}$$

is called a simplicial Nagata polynomial of order k if all g_i are square free monomials.

The following combinatorial constructions were inspired by [GZ].

Definition 3.2. Let $V = \{u_1, \dots, u_m\}$ be a finite set. A *simplicial complex* Δ with vertex set V is a collection of subsets of V , i.e. a subset of the power set 2^V , such that for all $A \in \Delta$ and for all subset $B \subset A$, we have $B \in \Delta$.

We say that Δ is a simplex if $\Delta = 2^V$.

The members of Δ are referred as *faces* and the maximal faces (respect to the inclusion) are the *facets*. The vertex set of Δ is also called *0-skeleton*. If $A \in \Delta$ and $|A| = k$, it is called a $(k - 1)$ -face, or a face of dimension $k - 1$: the 0-faces are the vertices and the 1-faces are called *edges*.

Definition 3.3. If all the facets have the same dimension $d > 0$, the complex is said to be *pure*.

Let Δ be a pure simplicial complex of dimension $d > 0$ with vertex set $V = \{u_1, \dots, u_m\}$, we denote by f_k the number of $(k - 1)$ -faces, hence $f_0 = 1$, $f_1 = m$, f_{d+1} is the number of facets of Δ and $f_j = 0$, for $j > d + 1$.

Remark 3.4. There is a natural bijection between the square free monomials, of degree r , in the variables u_1, \dots, u_m . and the $(r - 1)$ -faces of the simplex 2^V , with vertex set $V = \{u_1, \dots, u_m\}$. In fact, a square free monomial $g = u_{i_1} \cdots u_{i_r}$, in the variables u_1, \dots, u_m , corresponds to the finite subset of 2^V given by $\{u_{i_1}, \dots, u_{i_r}\}$. 9, to any finite subset F of 2^V , we associate the monomial $m_F = \prod_{u_i \in F} u_i$ of square free type.

An important result about simplicial Nagata idealization can be found in [GZ, Theorem 3.2].

3.1. Simplicial Nagata idealization of order k . Let $f \in \mathbb{K}[x_0, \dots, x_n, u_1, \dots, u_m]_{(k, k+1)}$ be a simplicial Nagata polynomial of order k :

$$(6) \quad f = \sum_{r=1}^n x_r^k g_r$$

with g_r monomials in variables u_1, \dots, u_m of degree $k + 1$.

We want to characterize the Hilbert vector of the algebras associated to the Nagata polynomial of type (6).

Let Δ be a pure simplicial complex of dimension k , with vertex set $V = \{u_1, \dots, u_m\}$.

We denote by f_k the number of $(k-1)$ -faces, hence $f_0 = 1, f_1 = m, f_{k+1}$ is the number of the facets of Δ and $f_j = 0$ for $j > k+1$.

The facets of Δ , associated to f , corresponding to the monomials g_i , will be labeled by g_i . The associated algebra is $A_\Delta = Q/\text{Ann}(f_\Delta)$. By abuse of notation, we will always denote f_Δ with f and A_Δ with A .

If $p \in \mathbb{K}[u_1, \dots, u_m]$ is a square free monomial, we denote by $P \in \mathbb{K}[U_1, \dots, U_m]$ the dual differential operator $P = p(U_1, \dots, U_m)$.

Theorem 3.5. *Let $f \in \mathbb{K}[x_0, \dots, x_n, u_1, \dots, u_m]_{(k,k+1)}$ be a simplicial Nagata polynomial of order k :*

$$f = \sum_{r=1}^n x_r^k g_r$$

with g_r monomials in variables u_1, \dots, u_m of degree $k+1$. Let Δ be a pure simplicial complex of dimension k and let $A = Q/\text{Ann}(f)$. Then

$$A = \bigoplus_{i=0}^{d=2k+1} A_i \text{ where } A_i = A_{(i,0)} \oplus A_{(i-1,1)} \oplus \dots \oplus A_{(0,i)}, \quad A_d = A_{(k,k+1)}$$

(1) for all $j = 1, \dots, k+1$:

$$\dim A_{(i,j)} = \begin{cases} f_j & \text{for } i = 0 \\ (n+1) \cdot \bar{f}_j & \text{for } 1 \leq i < k \\ f_{k+1-j} & \text{for } i = k \end{cases}$$

where \bar{f}_j is the number of the subfaces, of dimension $j-1$, of the facet, g_i , of Δ .

(2) $I = \text{Ann}_Q(f)$ is generated by

- (a) $\langle X_0, \dots, X_n \rangle^{k+1}$ and U_1^2, \dots, U_m^2 ;
- (b) the monomials in I representing the minimal faces of the complement of Δ, Δ^c ;
- (c) the monomials $X_r^i P_r$, for $i = 1, \dots, k$, such that, fixed the facet M_r of Δ , corresponding to the monomial g_r , P_r is the dual differential operator of p_r ; p_r is a monomial in the variables u_1, \dots, u_m , corresponding to a face M' of Δ s.t. $M' \cap M_r = \emptyset$;
- (d) the binomials $X_r^k \tilde{G}_r - X_s^k \tilde{G}_s$ where $g_r = \tilde{g}_r g_{rs}$ and $g_s = \tilde{g}_s g_{rs}$ and g_{rs} represents a common subface of g_r, g_s .

Proof. (1) Let f be of type (6) associated to the pure simplicial complex Δ of dimension k . The variables u_1, \dots, u_m represent the vertices of Δ .

We consider the following cases:

- for $i = 0$ and $j = 1, \dots, k+1$, $A_{(0,j)}$ is generated by the only monomials of degree j , in the variables U_1, \dots, U_{k+1} , that do not annihilate f . These monomials represent $(j-1)$ -faces of Δ . We need to show that they are linearly independent over \mathbb{K} .

Consider $\{\Omega_1, \dots, \Omega_\nu\}$ a system of monomials of $Q_{(0,j)}$, where Ω_s , for $s = 1, \dots, \nu$, is associated to any $(j-1)$ -face ω . We take any linear combination:

$$0 = \sum_{r=0}^{\nu} c_r \Omega_r(f) = \sum_{r=0}^{\nu} c_r \sum_{s=0}^n x_s^k \Omega_r(g_s) = \sum_{s=0}^n x_s^k \sum_{r=0}^{\nu} c_r \Omega_r(g_s).$$

Therefore we get $\sum_{r=0}^{\nu} c_r \Omega_r(g_s) = 0$, for all $s = 0, \dots, n$. For each $r = 0, \dots, \nu$, there is a $s = 0, \dots, n$, such that if $\Omega_r(g_s) \neq 0$, then

$c_r = 0$ for all r . Hence $\dim A_{(0,j)} = f_j$, where f_j is the number of $(j-1)$ -faces of Δ .

- for $1 \leq i < k$ and $j = 1, \dots, k+1$, the generators of $A_{(i,j)}$ are the monomials of type $X_s^i U_{r_1} U_{r_2} \cdots U_{r_j}$ for $s = 0, \dots, n$, for all j . Fix $s = 0, \dots, n$, and let M_s be the facet of Δ , corresponding to the monomial g_s , the monomial $U_{r_1} U_{r_2} \cdots U_{r_j}$ of $Q_{(0,j)}$ is the dual differential operator of the monomial $u_{r_1} u_{r_2} \cdots u_{r_j}$, that gives the $(j-1)$ -dimensional subfaces of M_s . The monomials $X_s^i U_{r_1} U_{r_2} \cdots U_{r_j}$ for $s = 0, \dots, n$, for all j are linearly independent. In fact, denoting by Ω_s^i the monomial $X_s^i U_{r_1} U_{r_2} \cdots U_{r_j}$, for $s = 0, \dots, n$, we note that:

$$\Omega_s^i(f) = c x_s^{k-i} (U_{r_1} \cdots U_{r_j})(g_s) \neq 0$$

since $(U_{r_1} \cdots U_{r_j})(g_s)$ identifies the vertices of the $(j-1)$ -dimensional face. We get:

$$\sum_{s=0}^n c_s \Omega_s^i(f) = 0 \Leftrightarrow c_s = 0 \quad \forall s.$$

For $s = 0, \dots, n$, in correspondence of $\Omega_s^i(f)$, we can get a number of $(j-1)$ -dimensional faces of Δ . Denoting such number by \bar{f}_j , we have $\dim A_{(i,j)} = (n+1) \cdot \bar{f}_j$.

- for $i = k$ and $j = 1, \dots, k$, by duality $A_{(0,k+1-j)}^* \simeq A_{(k,j)}$, thence we have:

$$\dim A_{(k,j)} = \dim A_{(0,k+1-j)}^* = f_{k+1-j}.$$

- (2) Let $I = \text{Ann}(f)$ be the annihilator. We consider the following exact sequence:

$$(7) \quad 0 \longrightarrow I_{(i,j)} \longrightarrow Q_{(i,j)} \longrightarrow A_{(k-i,k+1-j)} \longrightarrow 0.$$

we have the following cases:

- for $i = 0$ and $1 \leq j \leq k+1$, we have by (2)

$$\dim A_{(0,j)} = f_j \Rightarrow \dim I_{(0,j)} = \dim Q_{(0,j)} - f_j.$$

Since $A_{(0,j)}$ has a basis given by the $(j-1)$ -faces of Δ , then $I_{(0,j)}$ is generated by monomials representing all the $(j-1)$ -faces of the complement of Δ . In I , it is enough to consider the minimal faces of Δ^c , by definition of ideal.

We note that in $I_{(0,2)}$ there are also the monomials U_1^2, \dots, U_m^2 , since the monomials g_i , in the variables u_1, \dots, u_m are square free.

- for $1 \leq i < k$ and $1 \leq j \leq k+1$, fix the facet M_r of Δ , corresponding to g_r , since $A_{(i,j)}$ has a basis given by the $(j-1)$ -dimensional subfaces of M_r , then $I_{(i,j)}$ is generated by monomials $X_r^i P_r$ where P_r is the dual differential operator of p_r ; p_r is a monomial in the variables u_1, \dots, u_m , corresponding to a $(j-1)$ -dimensional face \bar{M}_r s.t. $\bar{M}_r \in \Delta^c$ or $\bar{M}_r \in \Delta$ and $\bar{M}_r \cap M_r = \emptyset$.
- for $i = k$ and $1 \leq j \leq k+1$, we fix two facets of Δ , M_r and M_s , corresponding to the monomials g_r and g_s , and such that $M_r \cap M_s \neq \emptyset$. Let $M_{rs} = M_r \cap M_s$; we denote the monomial corresponding to it by g_{rs} . We consider $\tilde{M}_r = M_r \setminus M_{rs}$ and $\tilde{M}_s = M_s \setminus M_{rs}$. Let \tilde{g}_r and \tilde{g}_s be the monomials corresponding to \tilde{M}_r and \tilde{M}_s . We note that $\tilde{M}_r \cap \tilde{M}_s = \emptyset$. Hence the binomials, $X_r^k \tilde{G}_r - X_s^k \tilde{G}_s$, are in $I_{(k,j)}$, where \tilde{G}_r and \tilde{G}_s are the dual differential operators of \tilde{g}_r and \tilde{g}_s respectively.

Let us consider the following exact sequence:

$$0 \longrightarrow I_{(k,j)} \longrightarrow Q_{(k,j)} \longrightarrow A_{(0,k+1-j)} \longrightarrow 0,$$

we get $\dim I_{(k,j)} = \dim Q_{(k,j)} - f_{k+1-j}$. Let $\tilde{Q}_{(k,j)}$ be the \mathbb{K} -space spanned by all the monomials $X_r^k \tilde{G}_r$, where \tilde{G}_r is the dual differential operator of g_r that is a monomial in the variables u_1, \dots, u_m , corresponding to a subface of M_r . Let $\tilde{I}_{(k,j)} \subset I_{(k,j)}$ be the \mathbb{K} -vector space spanned by the monomials $X_r^k P_r$, where P_r is the dual differential operator of the monomial, in the variables u_1, \dots, u_m, p_r , not corresponding to a subface of M_r . They are two \mathbb{K} -vector spaces s.t. $Q_{(k,j)} = \tilde{Q}_{(k,j)} \oplus \tilde{I}_{(k,j)}$. We consider the ideal $\tilde{I}_{(k,j)} \subset \tilde{Q}_{(k,j)}$. The exact sequence given by evaluation restricted to $\tilde{Q}_{(k,j)}$ becomes:

$$0 \longrightarrow \tilde{I}_{(k,j)} \longrightarrow \tilde{Q}_{(k,j)} \longrightarrow A_{(0,k+1-j)} \longrightarrow 0.$$

We note:

$$\begin{aligned} \dim I_{(k,j)} &= \dim Q_{(k,j)} - f_{k+1-j} = \dim \tilde{Q}_{(k,j)} + \dim \tilde{I}_{(k,j)} - f_{k+1-j} = \\ &= \dim \tilde{I}_{(k,j)} + f_{k+1-j} + \dim \tilde{I}_{(k,j)} - f_{k+1-j} = \dim \tilde{I}_{(k,j)} + \dim \tilde{I}_{(k,j)}. \end{aligned}$$

Hence $I_{(k,j)} = \tilde{I}_{(k,j)} \oplus \tilde{I}_{(k,j)}$. The generators of $\tilde{I}_{(k,j)}$ are the binomial $X_r^k \tilde{G}_r - X_s^k \tilde{G}_s$ precisely. The result follows.

Moreover for $i = k+1$ and $j = 0$, it is clear that $I_{(k+1,0)} = (X_0, \dots, X_n)^{k+1}$. In fact $X_i^{k+1}(f) = 0$, for $i = 0, \dots, n$, since the monomials in x_0, \dots, x_n of f have degree k , by Remark 1.8. □

We discuss the following example:

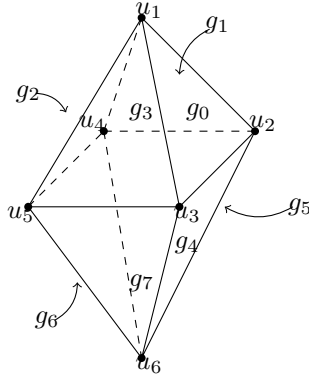
Example 3.6. Let $V = \{u_1, \dots, u_6\}$ be a finite set. We have:

$$2^V = \{\emptyset, \{u_1\}, \dots, \{u_6\}, \dots, \{u_1, \dots, u_6\}\}$$

Let Δ be the following simplicial complex:

$$\Delta = \left\{ \emptyset, \underbrace{\{u_1\}, \dots, \{u_6\}}_{\text{vertices}}, \underbrace{\{u_1, u_2\}, \dots, \{u_5, u_6\}}_{\text{edges}}, \underbrace{\{u_1, u_2, u_3\}, \dots, \{u_2, u_3, u_6\}}_{\text{2-faces}} \right\}$$

It is given by two pyramids, with the common basis, of vertices u_1, \dots, u_5 and u_6 and faces labeled by g_0, \dots, g_6 and g_7 :



The 2-faces are the facets of Δ , then Δ is pure of dimension 2.

Let

$$f = f_{\Delta} = x_0^2 u_1 u_2 u_3 + x_1^2 u_1 u_2 u_4 + x_2^2 u_1 u_4 u_5 + x_3^2 u_1 u_3 u_5 + \\ + x_4^2 u_2 u_3 u_6 + x_5^2 u_2 u_4 u_6 + x_6^2 u_4 u_5 u_6 + x_7^2 u_3 u_5 u_6$$

be the bihomogeneous polynomial of degree 5. It is a Nagata polynomial of order 2 and the monomials $g_0 = u_1 u_2 u_3$, $g_1 = u_1 u_2 u_4$, $g_2 = u_1 u_4 u_5$, $g_3 = u_1 u_3 u_5$, $g_4 = u_2 u_3 u_6$, $g_5 = u_2 u_4 u_6$, $g_6 = u_4 u_5 u_6$ and $g_7 = u_3 u_5 u_6$ are of square free type.

We have

$$A = A_0 \oplus A_1 \oplus A_2 \oplus A_3 \oplus A_4 \oplus A_5$$

and the Hilbert vector is given by:

$$h_0 = 1 = h_5 \text{ and } h_1 = 14 = h_4.$$

We calculate $h_2 = \dim A_2$ and $h_3 = \dim A_3$.

By Theorem 3.5, we have

$$h_2 = \dim A_2 = \dim A_{(2,0)} + \dim A_{(1,1)} + \dim A_{(0,2)} = \\ = f_3 + 8 \cdot \overline{f_1} + f_2 = 8 + 8 \cdot 3 + 12 = 44$$

$$h_3 = \dim A_3 = \dim A_{(3,0)} + \dim A_{(2,1)} + \dim A_{(1,2)} + \dim A_{(0,3)} = \\ = 0 + f_2 + 8 \cdot \overline{f_2} + f_3 = 12 + 8 \cdot 3 + 8 = 44$$

Hence the Hilbert vector is $(1, 14, 44, 44, 14, 1)$.

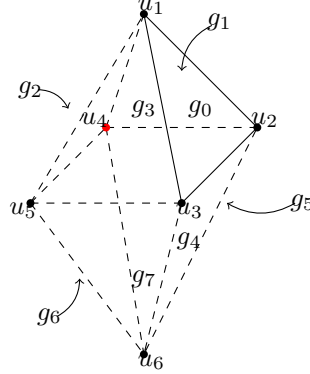
By Theorem 3.5, $I = \text{Ann}(f)$ is generated by:

- $\langle X_0, \dots, X_7 \rangle^3$ and U_1^2, \dots, U_m^2 , by the part (2a);
- since the complement of Δ is:

$$\Delta^c = \underbrace{\{\{u_1, u_6\}, \dots, \{u_2, u_5\}\}}_{\text{diagonals}}, \underbrace{\{\{u_1, u_2, u_6\}, \dots, \{u_1, u_5, u_6\}\}}_{\text{2-faces}}, \\ \underbrace{\{\{u_1, u_2, u_3, u_5\}, \dots, \{u_2, u_3, u_5, u_6\}, \dots, \{u_1, \dots, u_6\}\}}_{\text{3-faces}}$$

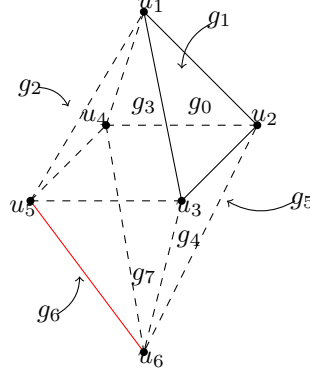
and since diagonals are the minimal faces of Δ^c , then the monomials $U_1 U_6$, $U_3 U_4$ and $U_2 U_5$ are in $I = \text{Ann}(f)$, by the part (2b).

- For $i = 1, 2$, fix the facet $M_0 = \{u_1, u_2, u_3\} \in \Delta$, corresponding to the monomial g_0 , we have that the monomial p_0 represents:
 - one of the remaining vertices, for example u_4 :



and finally we get: $P_0 = p_0(U_1, \dots, U_8) = U_4$. Thence the monomial of degree $i + 1$, $X_0^i U_4$ is in $I = \text{Ann}(f)$. The other monomials of this type are obtained with the same procedure.

- one of the remaining edges, for example the edge that joins the vertices u_5 and u_6 :

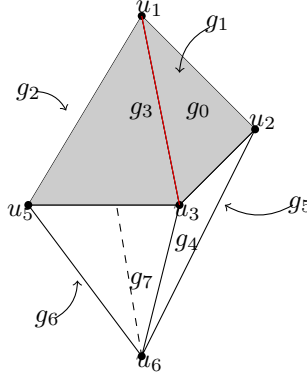


We get:

$$P_0 = p_0(U_1, \dots, U_8) = U_5 U_6$$

and the monomial of degree $i + 2$, $X_0^i U_5 U_6$, is in $I = \text{Ann}(f)$, by part (2c). The other monomials of this type are obtained by the same way.

- The faces g_0 and g_3 have the common edge that joins the vertices $u_1 u_3$:



$g_{1,3}$ represents the edge that joins the vertices u_1 and u_3 . \tilde{g}_0 and \tilde{g}_3 represent the vertices u_2 and u_5 respectively. We have:

$$\tilde{G}_0 = \tilde{g}_0(U_1, \dots, U_6) = U_2 \text{ and } \tilde{G}_3 = \tilde{g}_3(U_1, \dots, U_6) = U_5.$$

The binomial, of degree 3, $X_0^2 U_2 - X_3^2 U_5$, is in $I = \text{Ann}(f)$ by the part (2d). The other binomials of degree 3 of this type are obtained by the same procedure. We note that the faces g_0 and g_2 have the common vertex u_1 , hence, in the ideal $I = \text{Ann}(f)$ there is the binomial, of degree 4, $X_0^2 U_2 U_3 - X_2^2 U_4 U_5$; the other binomials, of degree 4, are obtained by the same procedure.

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