

# Ramanujan-Slater Type Identities Related to the Moduli 18 and 24

James McLaughlin

*Department of Mathematics, West Chester University, West Chester, PA;  
telephone 610-738-0585; fax 610-738-0578*

Andrew V. Sills

*Department of Mathematical Sciences, Georgia Southern University, Statesboro,  
GA; telephone 912-681-5892; fax 912-681-0654*

## Abstract

We present several new families of Rogers-Ramanujan type identities related to the moduli 18 and 24. A few of the identities were found by either Ramanujan, Slater, or Dyson, but most are believed to be new. For one of these families, we discuss possible connections with Lie algebras. We also present two families of related false theta function identities.

*Key words:* Rogers-Ramanujan identities, Bailey pairs,  $q$ -series identities, basic hypergeometric series, false theta functions, affine Lie algebras, principal character  
*1991 MSC:* 11B65, 33D15, 05A10, 17B57, 17B10

## 1 Introduction

The Rogers-Ramanujan identities are

### Theorem 1.1 (The Rogers-Ramanujan Identities)

$$\sum_{n=0}^{\infty} \frac{q^{n^2}}{(q; q)_n} = \frac{(q^2, q^3, q^5; q^5)_{\infty}}{(q; q)_{\infty}}, \quad (1.1)$$

*Email addresses:* [jmclaugh1@wcupa.edu](mailto:jmclaugh1@wcupa.edu) (James McLaughlin),  
[asills@GeorgiaSouthern.edu](mailto:asills@GeorgiaSouthern.edu) (Andrew V. Sills).

*URLs:* <http://math.wcupa.edu/~mclaughlin> (James McLaughlin),  
<http://math.georgiasouthern.edu/~asills> (Andrew V. Sills).

and

$$\sum_{n=0}^{\infty} \frac{q^{n(n+1)}}{(q; q)_n} = \frac{(q, q^4, q^5; q^5)_{\infty}}{(q; q)_{\infty}}, \quad (1.2)$$

where

$$(a; q)_m = \prod_{j=0}^{m-1} (1 - aq^j), \quad (a; q)_{\infty} = \prod_{j=0}^{\infty} (1 - aq^j),$$

and

$$(a_1, a_2, \dots, a_r; q)_s = (a_1; q)_s (a_2; q)_s \dots (a_r; q)_s.$$

(Although the results in this paper may be considered purely from the point of view of formal power series, they also yield identities of analytic functions provided  $|q| < 1$ .)

The Rogers-Ramanujan identities are due to L. J. Rogers [29], and were re-discovered by S. Ramanujan [28] and I. Schur [31]. Rogers and others discovered many series-product identities similar in form to the Rogers-Ramanujan identities, and such identities are called “identities of the Rogers-Ramanujan type.” Two of the largest collections of Rogers-Ramanujan type identities are contained in Slater’s paper [34] and Ramanujan’s Lost Notebook [8, Chapters 10–11], [9, Chapters 1–5].

Rogers-Ramanujan type identities occur in closely related “families.” Just as there are two Rogers-Ramanujan identities related to the modulus 5, there are a family of three Rogers-Selberg identities related to the modulus 7 [30, p. 331, (6)], a family of three identities related to the modulus 9 found by Bailey [11, p. 422, Eqs. (1.6)–(1.8)], a family of four identities related to the modulus 27 found by Dyson [11, p. 433, Eqs. (B1)–(B4)], etc.

While both Ramanujan and Slater usually managed to find all members of a given family, this was not always the case. In this paper, we present several complete families of identities for which Ramanujan or Slater found only one member, as well as two complete new families.

The following family of four identities related to the modulus 18 is believed to be new:

$$\sum_{n=0}^{\infty} \frac{q^{n(n+1)} (-1; q^3)_n}{(-1; q)_n (q; q)_{2n}} = \frac{(q, q^8, q^9; q^9)_{\infty} (q^7, q^{11}; q^{18})_{\infty}}{(q; q)_{\infty}} \quad (1.3)$$

$$\sum_{n=0}^{\infty} \frac{q^{n^2} (-1; q^3)_n}{(-1; q)_n (q; q)_{2n}} = \frac{(q^2, q^7, q^9; q^9)_{\infty} (q^5, q^{13}; q^{18})_{\infty}}{(q; q)_{\infty}} \quad (1.4)$$

$$\sum_{n=0}^{\infty} \frac{q^{n(n+1)} (-q^3; q^3)_n}{(-q; q)_n (q; q)_{2n+1}} = \frac{(q^3, q^6, q^9; q^9)_{\infty} (q^3, q^{15}; q^{18})_{\infty}}{(q; q)_{\infty}} \quad (1.5)$$

$$\sum_{n=0}^{\infty} \frac{q^{n(n+2)} (-q^3; q^3)_n}{(q^2; q^2)_n (q^{n+2}; q)_{n+1}} = \frac{(q^4, q^5, q^9; q^9)_{\infty} (q, q^{17}; q^{18})_{\infty}}{(q; q)_{\infty}} \quad (1.6)$$

**Remark 1.2** We included Identity (1.5) in our joint paper with D. Bowman [13, Eq. (6.30)], as it also occurs as part of a different family of four identities.

A closely related family of mod 18 identities is as follows.

$$1 + \sum_{n=1}^{\infty} \frac{q^{n^2}(q^3; q^3)_{n-1}(2+q^n)}{(q; q)_{n-1}(q; q)_{2n}} = \frac{(-q, -q^8, q^9; q^9)_{\infty}(q^7, q^{11}; q^{18})_{\infty}}{(q; q)_{\infty}} \quad (1.7)$$

$$1 + \sum_{n=1}^{\infty} \frac{q^{n^2}(q^3; q^3)_{n-1}(1+2q^n)}{(q; q)_{n-1}(q; q)_{2n}} = \frac{(-q^2, -q^7, q^9; q^9)_{\infty}(q^5, q^{13}; q^{18})_{\infty}}{(q; q)_{\infty}} \quad (1.8)$$

$$\sum_{n=0}^{\infty} \frac{q^{n(n+1)}(q^3; q^3)_n}{(q; q)_n(q; q)_{2n+1}} = \frac{(-q^3, -q^6, q^9; q^9)_{\infty}(q^3, q^{15}; q^{18})_{\infty}}{(q; q)_{\infty}} \quad (1.9)$$

$$\sum_{n=0}^{\infty} \frac{q^{n(n+2)}(q^3; q^3)_n}{(q; q)_n^2(q^{n+2}; q)_{n+1}} = \frac{(-q^4, -q^5, q^9; q^9)_{\infty}(q, q^{17}; q^{18})_{\infty}}{(q; q)_{\infty}} \quad (1.10)$$

Identity (1.9) is due to Dyson [11, p. 434, Eq. (B3)] and also appears in Slater [34, p. 161, Eq. (92)]. In both [11] and [34], the right hand side of (1.9) appears in a different form and thus is seen to be a member of a different family of four identities related to the modulus 27.

Following Ramanujan (cf. [8, p. 11, Eq (1.1.7)]), let us use the notation

$$\psi(q) = \frac{(q^2; q^2)_{\infty}}{(q; q^2)_{\infty}}.$$

Ramanujan recorded the identity

$$\sum_{n=0}^{\infty} \frac{q^{n^2}(-q^3; q^6)_n}{(q^2; q^2)_{2n}} = \frac{(q^2, q^{10}, q^{12}; q^{12})_{\infty}(q^8, q^{16}; q^{24})_{\infty}}{\psi(-q)} \quad (1.11)$$

in his lost notebook [9, Entry 5.3.8]. As we see below, it is actually only one of a family of five similar identities.

$$\sum_{n=0}^{\infty} \frac{q^{n(n+2)}(-q; q^2)_n(-1; q^6)_n}{(q^2; q^2)_{2n}(-1; q^2)_n} = \frac{(q, q^{11}, q^{12}; q^{12})_{\infty}(q^{10}, q^{14}; q^{24})_{\infty}}{\psi(-q)} \quad (1.12)$$

$$\sum_{n=0}^{\infty} \frac{q^{n^2}(-q; q^2)_n(-1; q^6)_n}{(q^2; q^2)_{2n}(-1; q^2)_n} = \frac{(q^3, q^9, q^{12}; q^{12})_{\infty}(q^6, q^{18}; q^{24})_{\infty}}{\psi(-q)} \quad (1.13)$$

$$\sum_{n=0}^{\infty} \frac{q^{n(n+2)}(-q^3; q^6)_n}{(q; q)_{2n+1}(-q; q)_{2n}} = \frac{(q^4, q^8, q^{12}; q^{12})_{\infty}(q^4, q^{20}; q^{24})_{\infty}}{\psi(-q)} \quad (1.14)$$

$$\sum_{n=0}^{\infty} \frac{q^{n(n+2)}(-q; q^2)_{n+1}(-q^6; q^6)_n}{(q^4; q^4)_n(q^{2n+4}; q^2)_{n+1}} = \frac{(q^5, q^7, q^{12}; q^{12})_{\infty}(q^2, q^{22}; q^{24})_{\infty}}{\psi(-q)} \quad (1.15)$$

Ramanujan also recorded the identity

$$\sum_{n=0}^{\infty} \frac{q^{n^2} (q^3; q^6)_n}{(q; q^2)_n^2 (q^4; q^4)_n} = \frac{(-q^2, -q^{10}, q^{12}; q^{12})_{\infty} (q^8, q^{16}; q^{24})_{\infty}}{\psi(-q)} \quad (1.16)$$

in the lost notebook [9, Entry 5.3.9].

Again, it is one of a family of five similar identities. This time, however, two of the remaining four identities were found by Slater. Identity (1.19) is a corrected presentation of [34, p. 164, Eq. (110)] and identity (1.20) is a corrected presentation of [34, p. 163, Eq. (108)].

$$1 + \sum_{n=1}^{\infty} \frac{q^{n^2} (-q; q^2)_n (q^6; q^6)_{n-1} (2 + q^{2n})}{(q^2; q^2)_{2n} (q^2; q^2)_{n-1}} = \frac{(-q, -q^{11}, q^{12}; q^{12})_{\infty} (q^{10}, q^{14}; q^{24})_{\infty}}{\psi(-q)} \quad (1.17)$$

$$1 + \sum_{n=1}^{\infty} \frac{q^{n^2} (-q; q^2)_n (q^6; q^6)_{n-1} (1 + 2q^{2n})}{(q^2; q^2)_{2n} (q^2; q^2)_{n-1}} = \frac{(-q^3, -q^9, q^{12}; q^{12})_{\infty} (q^6, q^{18}; q^{24})_{\infty}}{\psi(-q)} \quad (1.18)$$

$$\sum_{n=0}^{\infty} \frac{q^{n(n+2)} (q^3; q^6)_n (-q; q^2)_{n+1}}{(q^2; q^2)_{2n+1} (q; q^2)_n} = \frac{(-q^4, -q^8, q^{12}; q^{12})_{\infty} (q^4, q^{20}; q^{24})_{\infty}}{\psi(-q)} \quad (1.19)$$

$$\sum_{n=0}^{\infty} \frac{q^{n(n+2)} (-q; q^2)_{n+1} (q^6; q^6)_n}{(q^{2n+4}; q^2)_{n+1} (q^2; q^2)_n^2} = \frac{(-q^5, -q^7, q^{12}; q^{12})_{\infty} (q^2, q^{22}; q^{24})_{\infty}}{\psi(-q)} \quad (1.20)$$

We believe that the following family of five identities has not previously appeared in the literature:

$$\sum_{n=0}^{\infty} \frac{q^{n(n+1)} (-q^2; q^2)_n (-q^3; q^6)_n}{(q; q)_{2n} (-q; q)_{2n+1} (-q; q^2)_n} = \frac{(q, q^{11}, q^{12}; q^{12})_{\infty} (q^{10}, q^{14}; q^{24})_{\infty}}{\varphi(-q^2)} \quad (1.21)$$

$$\sum_{n=0}^{\infty} \frac{q^{n(n+1)} (-1; q^6)_n (-q^2; q^2)_n}{(q^2; q^2)_{2n} (-1; q^2)_n} = \frac{(q^2, q^{10}, q^{12}; q^{12})_{\infty} (q^8, q^{16}; q^{24})_{\infty}}{\varphi(-q^2)} \quad (1.22)$$

$$\sum_{n=0}^{\infty} \frac{q^{n(n+1)} (-q^2; q^2)_n (-q^3; q^6)_n}{(q^2; q^2)_{2n+1} (-q; q^2)_n} = \frac{(q^3, q^9, q^{12}; q^{12})_{\infty} (q^6, q^{18}; q^{24})_{\infty}}{\varphi(-q^2)} \quad (1.23)$$

$$\sum_{n=0}^{\infty} \frac{q^{n(n+1)} (-q^6; q^6)_n}{(q^2; q^2)_{2n+1}} = \frac{(q^4, q^8, q^{12}; q^{12})_{\infty} (q^4, q^{20}; q^{24})_{\infty}}{\varphi(-q^2)} \quad (1.24)$$

$$\sum_{n=0}^{\infty} \frac{q^{n(n+3)} (-q^2; q^2)_n (-q^3; q^6)_n}{(q^2; q^2)_{2n+1} (-q; q^2)_n} = \frac{(q^5, q^7, q^{12}; q^{12})_{\infty} (q^2, q^{22}; q^{24})_{\infty}}{\varphi(-q^2)}, \quad (1.25)$$

where

$$\varphi(q) := \frac{(-q; -q)_{\infty}}{(q; -q)_{\infty}}$$

is another notation used by Ramanujan.

In the following counterpart to the preceding family, two of the five identities appear in Slater's list.

$$\sum_{n=0}^{\infty} \frac{q^{n(n+1)}(-q^2; q^2)_n (q^3; q^6)_n}{(q; q)_{2n+1}(-q; q)_{2n} (q; q^2)_n} = \frac{(-q, -q^{11}, q^{12}; q^{12})_{\infty} (q^{10}, q^{14}; q^{24})_{\infty}}{\varphi(-q^2)} \quad (1.26)$$

$$1 + \sum_{n=1}^{\infty} \frac{q^{n(n+1)}(q^6; q^6)_{n-1}(-q^2; q^2)_n}{(q^2; q^2)_{n-1} (q^2; q^2)_{2n}} = \frac{(-q^2, -q^{10}, q^{12}; q^{12})_{\infty} (q^8, q^{16}; q^{24})_{\infty}}{\varphi(-q^2)} \quad (1.27)$$

$$\sum_{n=0}^{\infty} \frac{q^{n(n+1)}(-q^2; q^2)_n (q^3; q^6)_n}{(q^2; q^2)_{2n+1} (q; q^2)_n} = \frac{(-q^3, -q^9, q^{12}; q^{12})_{\infty} (q^6, q^{18}; q^{24})_{\infty}}{\varphi(-q^2)} \quad (1.28)$$

$$\sum_{n=0}^{\infty} \frac{q^{n(n+1)}(q^6; q^6)_n (-q^2; q^2)_n}{(q^2; q^2)_{2n+1} (q^2; q^2)_n} = \frac{(-q^4, -q^8, q^{12}; q^{12})_{\infty} (q^4, q^{20}; q^{24})_{\infty}}{\varphi(-q^2)} \quad (1.29)$$

$$\sum_{n=0}^{\infty} \frac{q^{n(n+3)}(-q^2; q^2)_n (q^3; q^6)_n}{(q^2; q^2)_{2n+1} (q; q^2)_n} = \frac{(-q^5, -q^7, q^{12}; q^{12})_{\infty} (q^2, q^{22}; q^{24})_{\infty}}{\varphi(-q^2)} \quad (1.30)$$

Identity (1.28) is due to Slater [34, p. 163, Eq. (107)]. Identity (1.29) is originally due to Dyson [11, p. 434, Eq. (D2)] and also appears in Slater [34, p. 160, Eq. (77)].

The following false theta series identities, which are closely related to identities (1.21)–(1.30), are believed to be new, except for (1.37) and (1.39). Identity (1.37) is due to Dyson [11, p. 434, Eq. (E1)], while Identity (1.39) appears in Ramanujan's lost notebook [9, Entry 5.4.2] and was rediscovered by Dyson [11, p. 434, Eq. (E2)].

$$\begin{aligned} & \sum_{n=0}^{\infty} \frac{(-1)^n q^{n(n+1)}(-q^3; q^6)_n}{(q^2; q^4)_n (-q; q)_{2n+1}} \\ &= \sum_{n=0}^{\infty} (-1)^n q^{18n^2+3n} (1 + q^{30n+15}) - q \sum_{n=0}^{\infty} (-1)^n q^{18n^2+9n} (1 + q^{18n+9}) \end{aligned} \quad (1.31)$$

$$\sum_{n=0}^{\infty} \frac{(-1)^n q^{n(n+3)}(-q^6; q^6)_n}{(q^2; q^4)_{n+1} (-q^2; q^2)_n (-q^2; q^2)_{n+1}} = \sum_{n=0}^{\infty} (-1)^n q^{18n^2+12n} (1 + q^{12n+6}) \quad (1.32)$$

$$\begin{aligned} & \sum_{n=0}^{\infty} \frac{(-1)^n q^{n(n+1)}(-q^3; q^6)_n}{(q^2; q^4)_{n+1} (-q; q)_{2n}} \\ &= \sum_{n=0}^{\infty} (-1)^n q^{18n^2+3n} (1 + q^{30n+15}) + q^3 \sum_{n=0}^{\infty} (-1)^n q^{18n^2+15n} (1 + q^{6n+3}) \end{aligned} \quad (1.33)$$

$$\begin{aligned} & \sum_{n=0}^{\infty} \frac{(-1)^n q^{n(n+1)}(-q^6; q^6)_n}{(q^2; q^4)_{n+1} (-q^2; q^2)_n^2} \\ &= \sum_{n=0}^{\infty} (-1)^n q^{18n^2+6n} (1 + q^{24n+12}) + 2q^4 \sum_{n=0}^{\infty} (-1)^n q^{18n^2+18n} \end{aligned} \quad (1.34)$$

$$\begin{aligned}
& \sum_{n=0}^{\infty} \frac{(-1)^n q^{n(n+3)} (-q^3; q^6)_n}{(q^2; q^4)_{n+1} (-q; q)_{2n}} \\
&= \sum_{n=0}^{\infty} (-1)^n q^{18n^2+9n} (1 + q^{18n+9}) + q^2 \sum_{n=0}^{\infty} (-1)^n q^{18n^2+15n} (1 + q^{6n+3}) \quad (1.35)
\end{aligned}$$

$$\begin{aligned}
& \sum_{n=0}^{\infty} \frac{(-1)^n q^{n(n+1)} (q^3; q^6)_n}{(q^2; q^4)_n (-q^2; q^2)_n (q; q^2)_{n+1}} \\
&= \sum_{n=0}^{\infty} q^{18n^2+3n} (1 - q^{30n+15}) + q \sum_{n=0}^{\infty} q^{18n^2+9n} (1 - q^{18n+9}) \quad (1.36)
\end{aligned}$$

$$\sum_{n=0}^{\infty} \frac{(-1)^n q^{n(n+3)} (q^6; q^6)_n}{(q; q)_{2n+1} (-q; q)_{2n+2}} = \sum_{n=0}^{\infty} q^{18n^2+12n} (1 - q^{12n+6}) \quad (1.37)$$

$$\begin{aligned}
& \sum_{n=0}^{\infty} \frac{(-1)^n q^{n(n+1)} (q^3; q^6)_n}{(q^2; q^4)_{n+1} (-q^2; q^2)_n (q; q^2)_n} \\
&= \sum_{n=0}^{\infty} q^{18n^2+3n} (1 - q^{30n+15}) - q^3 \sum_{n=0}^{\infty} q^{18n^2+15n} (1 - q^{6n+3}) \quad (1.38)
\end{aligned}$$

$$\sum_{n=0}^{\infty} \frac{(-1)^n q^{n(n+1)} (q^6; q^6)_n}{(q^2; q^2)_{2n+1}} = \sum_{n=0}^{\infty} q^{18n^2+6n} (1 - q^{24n+12}) \quad (1.39)$$

$$\begin{aligned}
& \sum_{n=0}^{\infty} \frac{(-1)^n q^{n(n+3)} (q^3; q^6)_n}{(q^2; q^4)_{n+1} (-q^2; q^2)_n (q; q^2)_n} \\
&= \sum_{n=0}^{\infty} q^{18n^2+9n} (1 - q^{18n+9}) + q^2 \sum_{n=0}^{\infty} q^{18n^2+15n} (1 - q^{6n+3}) \quad (1.40)
\end{aligned}$$

In §2, we will review some standard definitions and results to be used in the sequel. In §3, we indicate the Bailey pairs necessary to prove Identities (1.3)–(1.40) and provide the keys to proving Identities (1.3)–(1.30). In §4, we will discuss and prove the false theta series identities (1.31)–(1.40). Finally, in §5 we discuss possible connections between Identities (1.3)–(1.6) and the standard level 6 modules associated with the Lie algebra  $A_2^{(2)}$ .

## 2 Standard definitions and results

We will require a number of definitions and theorems from the literature. It will be convenient to adopt Ramanujan's notation for theta functions [8, p. 11, Eqs. (1.1.5)–(1.1.8)].

**Definition 2.1** For  $|ab| < 1$ , let

$$f(a, b) := \sum_{n=-\infty}^{\infty} a^{n(n+1)/2} b^{n(n-1)/2}, \quad (2.1)$$

$$\varphi(q) := f(q, q), \quad (2.2)$$

$$\psi(q) := f(q, q^3), \quad (2.3)$$

$$f(-q) := f(-q, -q^2). \quad (2.4)$$

Both the Jacobi triple product identity and the quintuple product identity were used extensively by Ramanujan (cf. [8], [9]) and Slater [34]. Rogers, on the other hand, appears to have been unaware of the quintuple product identity, since he referred to [29, p. 333, Eq. (16)]

$$\frac{(q^2; q^2)_{\infty}}{(q^{30}; q^{30})_{\infty}(q; q^5)_{\infty}(q^4; q^5)_{\infty}} = (q^{13}; q^{30})_{\infty}(q^{17}; q^{30})_{\infty} + q(q^7; q^{30})_{\infty}(q^{23}; q^{30})_{\infty}, \quad (2.5)$$

which follows immediately from the quintuple product identity, as a “remarkable identity” after observing that both sides of (2.5) are equal to the same series. Accordingly, we have chosen the name “Ramanujan-Slater type identities” in our title for the identities in this paper rather than “Rogers-Ramanujan type identities.”

Many proofs of the Jacobi triple product identity are known; see, e.g., [7, pp. 496–500] for two proofs. For a history and many proofs of the quintuple product identity, see S. Cooper’s excellent survey article [19].

**Theorem 2.2 (Jacobi’s triple product identity)** For  $|ab| < 1$ ,

$$f(a, b) = (-a, -b, ab; ab)_{\infty}. \quad (2.6)$$

**Theorem 2.3 (Quintuple product identity)** For  $|w| < 1$  and  $x \neq 0$ ,

$$\begin{aligned} f(-wx^3, -w^2x^{-3}) + xf(-wx^{-3}, -w^2x^3) &= \frac{f(w/x, x)f(-w/x^2, -wx^2)}{f(-w^2)} \\ &= (-wx^{-1}, -x, w; w)_{\infty}(wx^{-2}, wx^2; w^2)_{\infty}. \end{aligned} \quad (2.7)$$

The following is a special case of Bailey’s  ${}_6\psi_6$  summation formula [10, Eq. (4.7)] which appears in Slater [33, p. 464, Eq. (3.1)].

**Theorem 2.4 (Bailey)**

$$\sum_{r=-\infty}^{\infty} \frac{(1 - aq^{6r})(q^{-n}; q)_{3r}(e; q^3)_r a^{2r} q^{3nr}}{(1 - a)(aq^{n+1}; q)_{3r}(aq^3/e; q^3)_r e^r}$$

$$= \frac{(a; q^3)_\infty (q^3/a; q^3)_\infty (aq^2/e; q^3)_\infty (aq/e; q^3)_\infty (q; q)_n (aq; q)_n (a^2/e; q^3)_n}{(q; q^3)_\infty (q^2; q^3)_\infty (q^3/e; q^3)_\infty (a^2/e; q^3)_\infty (a; q)_{2n} (aq/e; q)_n}, \quad (2.8)$$

where  $a$  must be a power of  $q$  so that the series terminates below.

The next two  $q$ -hypergeometric summation formulas are due to Andrews [2, p. 526, Eqs. (1.8) and (1.9) respectively].

**Theorem 2.5** ( $q$ -analog of Gauss's  ${}_2F_1(\frac{1}{2})$  sum)

$$\sum_{n=0}^{\infty} \frac{q^{n(n+1)} (a; q^2)_n (b; q^2)_n}{(q^2; q^2)_n (abq^2; q^4)_n} = \frac{(aq^2; q^4)_\infty (bq^2; q^4)_\infty}{(q^2; q^4)_\infty (abq^2; q^4)_\infty}. \quad (2.9)$$

**Theorem 2.6** ( $q$ -analog of Bailey's  ${}_2F_1(\frac{1}{2})$  sum)

$$\sum_{n=0}^{\infty} \frac{(bq; q^2)_n (b^{-1}q; q^2)_n c^n q^{n^2}}{(cq; q^2)_n (q^4; q^4)_n} = \frac{(b^{-1}cq^2; q^4)_\infty (bcq^2; q^4)_\infty}{(cq; q^2)_\infty}. \quad (2.10)$$

**Definition 2.7** A pair of sequences

$$(\{\alpha_n(a, q)\}_{n=0}^{\infty}, \{\beta_n(a, q)\}_{n=0}^{\infty})$$

is called a Bailey pair relative to  $a$  if

$$\beta_n(a, q) = \sum_{r=0}^n \frac{\alpha_r(a, q)}{(aq; q)_{n+r} (q; q)_{n-r}}. \quad (2.11)$$

Bailey [12, p. 3, Eq. (3.1)] proved a key result, now known as ‘‘Bailey’s lemma,’’ which led to the discovery of many Rogers-Ramanujan type identities.

We will require several special cases of Bailey’s lemma.

**Theorem 2.8** If  $(\{\alpha_n(a, q)\}, \{\beta_n(a, q)\})$  form a Bailey pair, then

$$\sum_{n=0}^{\infty} a^n q^{n^2} \beta_n(a, q) = \frac{1}{(aq; q)_\infty} \sum_{r=0}^{\infty} a^r q^{r^2} \alpha_r(a, q) \quad (2.12)$$

$$\sum_{n=0}^{\infty} a^n q^{n^2} (-q; q^2)_n \beta_n(a, q^2) = \frac{(-aq; q^2)_\infty}{(aq^2; q^2)_\infty} \sum_{r=0}^{\infty} a^r q^{r^2} \alpha_r(a, q^2) \quad (2.13)$$

$$\frac{1}{1-q^2} \sum_{n=0}^{\infty} q^{n(n+1)} (-q^2; q^2)_n \beta_n(q^2, q^2) = \frac{1}{\varphi(-q^2)} \sum_{r=0}^{\infty} q^{r(r+1)} \alpha_r(q^2, q^2). \quad (2.14)$$

$$\frac{1}{1-q^2} \sum_{n=0}^{\infty} (-1)^n q^{n(n+1)} (q^2; q^2)_n \beta_n(q^2, q^2) = \sum_{r=0}^{\infty} (-1)^r q^{r(r+1)} \alpha_r(q^2, q^2). \quad (2.15)$$



Eq. (2.12) is [12, p. 3, Eq. (3.1) with  $\rho_1, \rho_2 \rightarrow \infty$ ]. Eq. (2.13) is [12, p. 3, Eq. (3.1) with  $\rho_1 = -\sqrt{q}; \rho_2 \rightarrow \infty$ ]. Eq. (2.14) is [12, p. 3, Eq. (3.1) with  $\rho_1 = -q; \rho_2 \rightarrow \infty$ ]. Eq. (2.15) is [12, p. 3, Eq. (3.1) with  $\rho_1 = q; \rho_2 \rightarrow \infty$ ].

### 3 Proofs of Identities (1.3)–(1.30)

To facilitate the proofs of many of the identities, we will first need to establish a number of Bailey pairs. For instance,

**Lemma 3.1** *If*

$$\alpha_n(1, q) = \begin{cases} 1 & \text{if } n = 0 \\ q^{\frac{9}{2}r^2 - \frac{3}{2}r}(1 + q^{3r}) & \text{if } n = 3r > 0 \\ -q^{\frac{9}{2}r^2 - \frac{9}{2}r + 1} & \text{if } n = 3r - 1 \\ -q^{\frac{9}{2}r^2 + \frac{9}{2}r + 1} & \text{if } n = 3r + 1 \end{cases}$$

and

$$\beta_n(1, q) = \frac{(-1; q^3)_n}{(q; q)_{2n}(-1; q)_n},$$

then  $(\alpha_n(1, q), \beta_n(1, q))$  form a Bailey pair relative to 1.

**PROOF.** Set  $a = q$  and  $e = -q^2$  in (2.8) and simplify to obtain

$$\sum_{r \in \mathbb{Z}} \frac{(1 - q^{6r+1})q^{\frac{9}{2}r^2 - \frac{3}{2}r}}{(q; q)_{n-3r}(q; q)_{n+3r+1}} = \frac{(-1; q^3)_n}{(q; q)_{2n}(-1; q)_n}. \quad (3.1)$$

$$\begin{aligned} & \sum_{r=0}^n \frac{\alpha_r(1, q)}{(q; q)_{n-r}(q; q)_{n+r}} \\ &= \frac{1}{(q; q)_n^2} + \sum_{r \geq 1} \frac{\alpha_{3r}(1, q)}{(q; q)_{n-3r}(q; q)_{n+3r}} + \sum_{r \geq 1} \frac{\alpha_{3r-1}(1, q)}{(q; q)_{n-3r+1}(q; q)_{n+3r-1}} \\ & \quad + \sum_{r \geq 0} \frac{\alpha_{3r+1}(1, q)}{(q; q)_{n-3r-1}(q; q)_{n-3r+1}} \\ &= \sum_{r \in \mathbb{Z}} \frac{q^{\frac{9}{2}r^2 - \frac{3}{2}r}}{(q; q)_{n-3r}(q; q)_{n+3r}} - \sum_{r \in \mathbb{Z}} \frac{q^{\frac{9}{2}r^2 + \frac{9}{2}r + 1}}{(q; q)_{n+3r+1}(q; q)_{n-3r-1}} \\ &= \sum_{r \in \mathbb{Z}} \frac{q^{\frac{9}{2}r^2 - \frac{3}{2}r}}{(q; q)_{n-3r}(q; q)_{n+3r+1}} \left( (1 - q^{n+3r+1}) - q^{6r+1}(1 - q^{n-3r}) \right) \\ &= \sum_{r \in \mathbb{Z}} \frac{q^{\frac{9}{2}r^2 - \frac{3}{2}r}(1 - q^{6r+1})}{(q; q)_{n-3r}(q; q)_{n+3r+1}} = \frac{(-1; q^3)}{(q; q)_{2n}(-1; q)_n} \quad (\text{by (3.1)}) \quad \square \end{aligned}$$

The other necessary Bailey pairs can be established similarly, so we omit the details and summarize the results in Table 3.1.

With the required Bailey pairs in hand, the identities can be proved. For example, to prove Identity (1.3), we proceed as follows:

**PROOF.** Insert the Bailey pair P2 into Eq. (2.12) with  $a = 1$  to obtain

$$\begin{aligned}
& \sum_{n=0}^{\infty} \frac{q^{n(n+1)}(-1; q^3)_n}{(q; q)_{2n}(-1; q)_n} \\
&= \frac{1}{(q; q)_{\infty}} \left( 1 + \sum_{r=1}^{\infty} q^{\frac{27}{2}r^2 - \frac{3}{2}r} (1 + q^{3r}) - \sum_{r=1}^{\infty} q^{\frac{27}{2}r^2 - \frac{15}{2}r+1} - \sum_{r=0}^{\infty} q^{\frac{27}{2}r^2 + \frac{15}{2}r+1} \right) \\
&= \frac{1}{(q; q)_{\infty}} \left( \sum_{r=-\infty}^{\infty} q^{\frac{27}{2}r^2 - \frac{3}{2}r} - q \sum_{r=-\infty}^{\infty} q^{\frac{27}{2}r^2 - \frac{15}{2}r} \right) \\
&= \frac{f(q^{12}, q^{15}) - qf(q^6, q^{21})}{f(-q)} \quad (\text{by (2.6)}) \\
&= \frac{(q, q^8, q^9; q^9)_{\infty} (q^7, q^{11}; q^{18})_{\infty}}{(q; q)_{\infty}} \quad (\text{by (2.7)}) . \quad \square
\end{aligned}$$

The details of the proofs of the other identities are similar and therefore omitted, with the key information summarized in Table 3.2.

	$a$	$e$	$\beta_n$	$\alpha_{3r+1}$	$\alpha_{3r}$	$\alpha_{3r-1}$	rel to
P1	$q$	$-q^2$	$\frac{(-1;q^3)_n}{(q;q)_{2n}(-1;q)_n}$	$-q^{\frac{9}{2}r^2+\frac{9}{2}r+1}$	$q^{\frac{9}{2}r^2-\frac{3}{2}r}(1+q^{3r})$	$-q^{\frac{9}{2}r^2-\frac{9}{2}r+1}$	1
P2	$q$	$-q^2$	$\frac{q^n(-1;q^3)_n}{(q;q)_{2n}(-1;q)_n}$	$-q^{\frac{9}{2}r^2+\frac{3}{2}r}$	$q^{\frac{9}{2}r^2-\frac{3}{2}r}(1+q^{3r})$	$-q^{\frac{9}{2}r^2-\frac{3}{2}r}$	1
P3	$q^2$	$-q$	$\frac{(-q^3;q^3)_n}{(q^2;q)_{2n}(-q;q)_n}$	$-2q^{\frac{9}{2}r^2+\frac{9}{2}r+1}$	$q^{\frac{9}{2}r^2+\frac{3}{2}r}$	$q^{\frac{9}{2}r^2-\frac{3}{2}r}$	$q$
P4	$q^2$	$-q^{5/2}$	$\frac{(-q^{3/2};q^3)_n}{(q^2;q)_{2n}(-q^{1/2};q)_n}$	$-q^{\frac{9}{2}r^2+3r+\frac{1}{2}}(1+q^{3r+\frac{3}{2}})$	$q^{\frac{9}{2}r^2}$	$q^{\frac{9}{2}r^2}$	$q$
P5	$q^2$	$-q^{5/2}$	$\frac{q^n(-q^{3/2};q^3)_n}{(q^2;q)_{2n}(-q^{1/2};q)_n}$	$-q^{\frac{9}{2}r^2}(q^{6r+\frac{3}{2}}+q^{3r})$	$q^{\frac{9}{2}r^2+3r}$	$q^{\frac{9}{2}r^2-3r}$	$q$
P6	$q$	$-q^2$	$\frac{(1-q)(-1;q^3)_n}{(q;q)_{2n}(-1;q)_n}$	0	$q^{\frac{9}{2}r^2-\frac{3}{2}r}(1-q^{6r+1})$	$-q^{\frac{9}{2}r^2-\frac{9}{2}r+1}(1-q^{6r-1})$	$q$
P7	$q$	$q^2$	$\frac{(q^3;q^3)_{n-1}}{(q^2;q)_{2n-1}(q;q)_{n-1}}$	$(-1)^{r+1}q^{\frac{9}{2}r^2+\frac{3}{2}r+1}\frac{1-q^{6r+3}}{1-q}$	$(-1)^r q^{\frac{9}{2}r^2-\frac{3}{2}r}\frac{1-q^{6r+1}}{1-q}$	$(-1)^{r+1}q^{\frac{9}{2}r^2-\frac{9}{2}r+1}\frac{1-q^{6r-1}}{1-q}$	$q$

Table 3.1

By specializing  $a$  and  $e$  in (2.8) as indicated, each of the following seven Bailey pairs (relative to 1 or  $q$  as stated) can be established. In all cases  $\alpha_0 = \beta_0 = 1$ .

## 4 False theta series identities

Rogers introduced the term “false theta series” and included a number of related identities in his 1917 paper [30]. Ramanujan presented a number of identities involving false theta series in his lost notebook [8, p. 256–259, §11.5].

Recalling that Ramanujan defines the theta function as

$$\begin{aligned} f(a, b) &:= \sum_{n=-\infty}^{\infty} a^{n(n+1)/2} b^{n(n-1)/2} \\ &= \sum_{n=0}^{\infty} a^{n(n+1)/2} b^{n(n-1)/2} + \sum_{n=1}^{\infty} a^{n(n-1)/2} b^{n(n+1)/2} \\ &= 1 + a + b + a^3 b + ab^3 + a^6 b^3 + a^3 b^6 + a^{10} b^6 + a^6 b^{10} + \dots, \end{aligned}$$

let us define the corresponding *false theta function* as

$$\begin{aligned} \Psi(a, b) &:= \sum_{n=0}^{\infty} a^{n(n+1)/2} b^{n(n-1)/2} - \sum_{n=1}^{\infty} a^{n(n-1)/2} b^{n(n+1)/2} \\ &= \sum_{n=0}^{\infty} a^{n(n+1)/2} b^{n(n-1)/2} (1 - b^{2n+1}) \\ &= 1 + a - b + a^3 b - ab^3 + a^6 b^3 - a^3 b^6 + a^{10} b^6 - a^6 b^{10} + \dots \end{aligned}$$

In practice,  $a$  and  $b$  are always taken to be  $\pm q^h$  for some integer or half-integer  $h$ .

The key to the proof of each false theta series identity is indicated in Table 4.1.

## 5 Connections with Lie algebras

Let  $\mathfrak{g}$  be the affine Kac-Moody Lie algebra  $A_1^{(1)}$  or  $A_2^{(2)}$ . Let  $h_0, h_1$  be the usual basis of a maximal toral subalgebra  $T$  of  $\mathfrak{g}$ . Let  $d$  denote the “degree derivation” of  $\mathfrak{g}$  and  $\tilde{T} := T \oplus \mathbb{C}d$ . For all dominant integral  $\lambda \in \tilde{T}^*$ , there is an essentially unique irreducible, integrable, highest weight module  $L(\lambda)$ , assuming without loss of generality that  $\lambda(d) = 0$ . Now  $\lambda = s_0 \Lambda_0 + s_1 \Lambda_1$  where  $\Lambda_0$  and  $\Lambda_1$  are the fundamental weights, given by  $\Lambda_i(h_j) = \delta_{ij}$  and  $\Lambda_i(d) = 0$ ; here  $s_0$  and  $s_1$  are nonnegative integers. For  $A_1^{(1)}$ , the canonical central element is  $c = h_0 + h_1$ , while for  $A_2^{(2)}$ , the canonical central element is  $c = h_0 + 2h_1$ . The quantity  $\lambda(c)$  (which equals  $s_0 + s_1$  for  $A_1^{(1)}$  and which equals  $s_0 + 2s_1$  for  $A_2^{(2)}$ ) is called the *level* of  $L(\lambda)$ . (cf.[21], [23].)

Additionally (see [23]), there is an infinite product  $F_{\mathfrak{g}}$  associated with  $\mathfrak{g}$ , often light-heartedly called the “fudge factor,” which needs to be divided out of the

Table 3.2  
Proofs of identities (1.3)– (1.30)

Eq.	Bailey pair	Bailey lemma	$a$	
(1.3)	P2	(2.12)	1	
(1.4)	P1	(2.12)	1	
(1.5)	P3	(2.12)	$q$	
(1.6)	–	–	–	$q^{-1} \times ((1.4) - (1.3))$
(1.7)	–	–	–	[11, p. 433, (B4) + $q \times (B2)$ ]
(1.8)	–	–	–	[11, p. 433, (B4) + $q^2 \times (B1)$ ]
(1.9)	–	–	–	[11, p. 433, (B3)]
(1.10)	–	–	–	[11, p. 433, (B2) - $q \times (B1)$ ]
(1.11)	–	–	–	Set $b = e^{\pi i/3}$ and $c = 1$ in (2.10).
(1.12)	P2	(2.13)	1	
(1.13)	P1	(2.13)	1	
(1.14)	–	–	–	Set $b = e^{\pi i/3}$ and $c = q^2$ in (2.10).
(1.15)	–	–	–	$q^{-1} \times ((1.13) - (1.12))$
(1.16)	–	–	–	Set $b = e^{2\pi i/3}$ and $c = 1$ in (2.10).
(1.17)	–	–	–	[11, p. 434, (C3) + $q \times (C2)$ ]
(1.18)	–	–	–	[11, p. 434, (C3) + $q^3 \times (C1)$ ]
(1.19)	–	–	–	Set $b = e^{2\pi i/3}$ and $c = q^2$ in (2.10)
(1.20)	–	–	–	$q^{-1} \times ((1.17) - (1.18))$
(1.21)	–	–	–	(1.23) - $q \times (1.25)$
(1.22)	–	–	–	Set $a = e^{\pi i/3}$ , $b = e^{-\pi i/3}$ in (2.9).
(1.23)	P4	(2.14)	$q$	
(1.24)	–	–	–	Set $a = e^{\pi i/3} q^2$ , $b = e^{-\pi i/3} q^2$ in (2.9).
(1.25)	P5	(2.14)	$q$	
(1.26)	–	–	–	(1.28) + $q \times (1.30)$
(1.27)	–	–	–	Set $a = e^{2\pi i/3}$ , $b = e^{-2\pi i/3}$ in (2.9).
(1.28)	J4 [34, p. 149]	(2.14)	$q$	
(1.29)	–	–	–	Set $a = e^{2\pi i/3} q^2$ , $b = e^{-2\pi i/3} q^2$ in (2.9).
(1.30)	J5 [34, p. 149]	(2.14)	$q$	

Table 4.1  
 Proofs of identities (1.31)– (1.40)

Eq.	Bailey pair	form of Bailey lemma	$a$	
(1.31)	–	–	–	(1.33)– $q \times$ (1.35)
(1.32)	P6	(2.15)	$q$	
(1.33)	P4	(2.15)	$q$	
(1.34)	P3	(2.15)	$q$	
(1.35)	P5	(2.15)	$q$	
(1.36)	–	–	–	(1.38)+ $q \times$ (1.40)
(1.37)	P7	(2.15)	$q$	
(1.38)	J4 [34, p. 149]	(2.15)	$q$	
(1.39)	–	–	–	See [9, Entry 5.4.2]
(1.40)	J5 [34, p. 149]	(2.15)	$q$	

the principally specialized character  $\chi(L(\lambda)) = \chi(s_0\Lambda_0 + s_1\Lambda_1)$ , in order to obtain the quantities of interest here. For  $\mathfrak{g} = A_1^{(1)}$ , the fudge factor is given by  $F_{\mathfrak{g}} = (q; q^2)_{\infty}^{-1}$ , while for  $\mathfrak{g} = A_2^{(2)}$ , it is given by  $F_{\mathfrak{g}} = [(q; q^6)_{\infty}(q^5; q^6)_{\infty}]^{-1}$ .

Now  $\mathfrak{g}$  has a certain infinite-dimensional Heisenberg subalgebra known as the “principal Heisenberg vacuum subalgebra”  $\mathfrak{s}$  (see [24] for the construction of  $A_1^{(1)}$  and [22] for that of  $A_2^{(2)}$ ). As shown in [25], the principal character  $\chi(\Omega(s_0\Lambda_0 + s_1\Lambda_1))$ , where  $\Omega(\lambda)$  is the vacuum space for  $\mathfrak{s}$  in  $L(\lambda)$ , is

$$\chi(\Omega(s_0\Lambda_0 + s_1\Lambda_1)) = \frac{\chi(L(s_0\Lambda_0 + s_1\Lambda_1))}{F_{\mathfrak{g}}}, \quad (5.1)$$

where  $\chi(L(\lambda))$  is the principally specialized character of  $L(\lambda)$ .

By [23] applied to (5.1) in the case of  $A_1^{(1)}$ , for standard modules of odd level  $2k + 1$ ,

$$\chi(\Omega((2k - i + 2)\Lambda_0 + (i - 1)\Lambda_1))$$

is given by Andrews’ analytic generalization of the Rogers-Ramanujan identities [3]:

$$\sum_{n_1, n_2, \dots, n_k \geq 0} \frac{q^{N_1^2 + N_2^2 + \dots + N_k^2 + N_i + N_{i+1} + \dots + N_k}}{(q; q)_{n_1} (q; q)_{n_2} \cdots (q; q)_{n_k}} = \frac{(q^i, q^{2k+3-i}, q^{2k+3}, q^{2k+3})_{\infty}}{(q; q)_{\infty}}, \quad (5.2)$$

where  $1 \leq i \leq k + 1$  and  $N_j := n_j + n_{j+1} + \dots + n_k$ . The combinatorial counterpart to (5.2) is Gordon’s partition theoretic generalization of the Rogers-Ramanujan identities [20]; this generalization was explained vertex-operator theoretically in [26] and [27].

In addition, for the  $A_1^{(1)}$  standard modules of even level  $2k$ ,

$$\chi(\Omega((2k - i + 1)\Lambda_0 + (i - 1)\Lambda_1))$$

is given by Bressoud's analytic identity [15, p. 15, Eq. (3.4)]

$$\sum_{n_1, n_2, \dots, n_k \geq 0} \frac{q^{N_1^2 + N_2^2 + \dots + N_k^2 + N_i + N_{i+1} + \dots + N_k}}{(q; q)_{n_1} (q; q)_{n_2} \cdots (q; q)_{n_{k-1}} (q^2; q^2)_{n_k}} = \frac{(q^i, q^{2k+2-i}, q^{2k+2}; q^{2k+2})_\infty}{(q; q)_\infty}, \quad (5.3)$$

where  $1 \leq i \leq k + 1$ , and its partition theoretic counterpart [14, p. 64, Theorem,  $j = 0$  case]; likewise, this generalization was explained vertex-operator theoretically in [26] and [27].

Notice that the infinite products associated with level  $\ell$  standard modules for  $A_1^{(1)}$  in (5.2) and (5.3) are instances of the Jacobi triple product identity for modulus  $\ell + 2$  divided by  $(q; q)_\infty$ .

Probably the most efficient way of deriving (5.2) is via the Bailey lattice [1], which is an extension of the Bailey chain concept ([4]; cf. [5, §3.5, pp. 27ff]) built upon the “unit Bailey pair”

$$\beta_n(1, q) = \begin{cases} 1 & \text{if } n = 0 \\ 0 & \text{if } n > 0 \end{cases}$$

$$\alpha_n(1, q) = \begin{cases} 1 & \text{if } n = 0 \\ (-1)^n q^{n(n-1)/2} (1 + q^n) & \text{if } n > 0. \end{cases}$$

Similarly, (5.3) follows from a Bailey lattice built upon the Bailey pair

$$\beta_n(1, q) = \frac{1}{(q^2; q^2)_n},$$

$$\alpha_n(1, q) = \begin{cases} 1 & \text{if } n = 0 \\ (-1)^n 2q^{n^2} & \text{if } n > 0. \end{cases}$$

Thus the standard modules of  $A_1^{(1)}$  may be compactly “explained” via two interlaced instances of the Bailey lattice.

In contrast, the standard modules of  $A_2^{(2)}$  are not as well understood, and a uniform  $q$ -series and partition correspondence analogous to what is known for  $A_1^{(1)}$  has thus far remained elusive.

As with  $A_1^{(1)}$ , there are  $1 + \lfloor \frac{\ell}{2} \rfloor$  inequivalent level  $\ell$  standard modules associated with the Lie algebra  $A_2^{(2)}$ , but the analogous quantity for the level  $\ell$  standard modules

$$\chi(\Omega((\ell - 2i + 2)\Lambda_0 + (i - 1)\Lambda_1))$$

is given by instances of the quintuple product identity (rather than the triple product identity) divided by  $(q; q)_\infty$ :

$$\frac{(q^i, q^{\ell+3-i}, q^{\ell+3}, q^{\ell+3})_\infty (q^{\ell+3-2i}, q^{\ell+2i+3}, q^{2\ell+6})_\infty}{(q; q)_\infty}, \quad (5.4)$$

where  $1 \leq i \leq 1 + \lfloor \frac{\ell}{2} \rfloor$ ; see [23].

It seems quite plausible that in the case of  $A_2^{(2)}$ , the analog of the Andrews-Gordon-Bressoud identities would involve the interlacing of six Bailey lattices in contrast to the two that were necessary for  $A_1^{(1)}$ . To see this, consider the following set of Andrews-Gordon-Bressoud type identities where the product sides involve instances of the quintuple product identity rather than the triple product identity:

$$\begin{aligned} \sum_{n_1, n_2, \dots, n_k \geq 0} \frac{q^{N_1(N_1+1)/2 + N_2(N_2+1) + N_3(N_3+1) + \dots + N_k(N_k+1) + N_k^2}}{(q; q)_{n_1} (q; q)_{n_2} \cdots (q; q)_{n_{k-1}} (q; q)_{2n_k+1} (-q^{N_1+1}; q)_\infty} \\ = \frac{(q^k, q^{5k-1}, q^{6k-1}, q^{6k-1})_\infty (q^{4k-1}, q^{8k-1}, q^{12k-2})_\infty}{(q; q)_\infty} \end{aligned} \quad (5.5)$$

$$\begin{aligned} \sum_{n_1, n_2, \dots, n_{k+1} \geq 0} \frac{q^{N_1^2 + N_2^2 + \dots + N_k^2} \left( \frac{n_k - n_{k+1} + 1}{3} \right)}{(q; q)_{n_1} (q; q)_{n_2} \cdots (q; q)_{n_{k+1}} (q; q)_{2n_k - n_{k+1}}} \\ = \frac{(q^k, q^{5k}, q^{6k}, q^{6k})_\infty (q^{4k}, q^{8k}, q^{12k})_\infty}{(q; q)_\infty} \end{aligned} \quad (5.6)$$

$$\begin{aligned} \sum_{n_1, n_2, \dots, n_k \geq 0} \frac{q^{N_1(N_1+1)/2 + N_2(N_2+1) + N_3(N_3+1) + \dots + N_k(N_k+1)}}{(q; q)_{n_1} (q; q)_{n_2} \cdots (q; q)_{n_{k-1}} (q; q)_{2n_k+1} (-q^{N_1+1}; q)_\infty} \\ = \frac{(q^{2k}, q^{4k+1}, q^{6k+1}, q^{6k+1})_\infty (q^{2k+1}, q^{10k+1}, q^{12k+2})_\infty}{(q; q)_\infty} \end{aligned} \quad (5.7)$$

$$\begin{aligned} \sum_{n_1, n_2, \dots, n_k \geq 0} \frac{q^{N_1^2 + N_2^2 + \dots + N_{k-1}^2 + 2N_k^2}}{(q; q)_{n_1} (q; q)_{n_2} \cdots (q; q)_{n_{k-1}} (q; q)_{2n_k}} \\ = \frac{(q^k, q^{5k+2}, q^{6k+2}, q^{6k+2})_\infty (q^{4k+2}, q^{8k+2}, q^{12k+4})_\infty}{(q; q)_\infty} \end{aligned} \quad (5.8)$$



$$\sum_{n_1, n_2, \dots, n_k \geq 0} \frac{q^{N_1^2 + N_2^2 + \dots + N_k^2} (-1; q^3)_{n_k}}{(q; q)_{n_1} (q; q)_{n_2} \cdots (q; q)_{n_{k-1}} (q; q)_{2n_k} (-1; q)_{n_k}} \\ = \frac{(q^{k+1}, q^{5k+2}, q^{6k+3}; q^{6k+3})_\infty (q^{4k+1}, q^{8k+5}; q^{12k+6})_\infty}{(q; q)_\infty} \quad (5.9)$$

$$\sum_{n_1, n_2, \dots, n_k \geq 0} \frac{q^{N_1^2 + N_2^2 + \dots + N_k^2}}{(q; q)_{n_1} (q; q)_{n_2} \cdots (q; q)_{n_{k-1}} (q; q)_{2n_k}} \\ = \frac{(q^{k+1}, q^{5k+3}, q^{6k+4}; q^{6k+4})_\infty (q^{4k+2}, q^{8k+6}; q^{12k+8})_\infty}{(q; q)_\infty}, \quad (5.10)$$

where  $\left(\frac{n}{p}\right)$  in (5.6) is the Legendre symbol. We note that (5.6) first appeared in [32, p. 400, Eq. (1.7)] and that (5.10) is due to Andrews [4, p. 269, Eq. (1.8)]. While (5.5), (5.7), and (5.8) probably have not appeared explicitly in the literature, they each follow from building a Bailey chain on a known Bailey pair and may be regarded as nothing more than a standard exercise in light of Andrews' discovery of the Bailey chain ([4]; cf. [5, §3.5]). Indeed the  $k = 1$  cases of (5.5), (5.7), (5.8), and (5.10) are all due to Rogers and appear in Slater's list [34] as Eqs. (62), (80), (83), and (98) respectively. On the other hand, (5.9) is new since it arises from inserting a new Bailey pair, namely the one from Lemma 3.1 in this paper, into the Bailey chain mechanism. Notice that as  $k$  runs through the positive integers in the numerators of the right hand sides of (5.5)–(5.10), we obtain instances of the quintuple product identity for all moduli represented in (5.4) (except for the trivial level 1 case where the relevant identity reduces to “ $1 = 1$ ”). It is because of the preceding observations that we conjecture that  $A_2^{(2)}$  may be “explained” by six interlaced Bailey lattices.

We now turn our attention to combinatorial considerations in the context of  $A_2^{(2)}$ . In his 1988 Ph.D. thesis S. Capparelli [16] conjectured two beautiful partition identities resulting from his analysis of the two inequivalent level 3 standard modules of  $A_2^{(2)}$ , using the theory in [26] and [27]. Capparelli's conjectures were first proved by Andrews [6] using combinatorial methods. Later, Lie algebraic proofs were found by Tamba and Xie [35] and Capparelli himself [17]. More recently, Capparelli [18] related the principal characters of the vacuum spaces for the standard modules of  $A_2^{(2)}$  for levels 5 and 7 to some known  $q$ -series and partition identities. In the same way, our identities (1.3)–(1.6) appear to correspond to the standard modules for level 6.

## Acknowledgements

Many thanks are due to Jim Lepowsky and Robert Wilson for their help with the exposition in §5. We also thank George Andrews for his encouragement and several useful suggestions. Finally, we thank the referee for helpful comments.

## References

- [1] A. K. Agarwal, G. E. Andrews, and D. M. Bressoud, The Bailey lattice, *J. Indian Math. Soc. (N. S.)* 51 (1987) 57–73.
- [2] G. E. Andrews, On the  $q$ -analog of Kummer’s theorem and applications, *Duke Math. J.* 40 (1973) 525–528.
- [3] G. E. Andrews, An analytic generalization of the Rogers-Ramanujan identities for odd moduli, *Proc. Nat. Acad. Sci. USA*, 71 (1974) 4082–4085.
- [4] G. E. Andrews, Multiple series Rogers-Ramanujan type identities, *Pacific J. Math.*, 114 (1984) 267–283.
- [5] G. E. Andrews, *q-series: their development and application in analysis, number theory, combinatorics, physics, and computer algebra*, CBMS Regional Conferences Series in Mathematics, no. 66, American Mathematical Society, Providence, RI, 1986.
- [6] G. E. Andrews, Schur’s theorem, Capparelli’s conjecture and  $q$ -trinomial coefficients. The Rademacher legacy to mathematics (University Park, PA, 1992) 141–154, *Contemp. Math.*, 166, Amer. Math. Soc., Providence, RI, 1994.
- [7] G. E. Andrews, R. Askey, and R. Roy, *Special Functions*, Cambridge University Press, 1999.
- [8] G. E. Andrews and B. C. Berndt, *Ramanujan’s Lost Notebook*, part I, Springer, 2005.
- [9] G. E. Andrews and B. C. Berndt, *Ramanujan’s Lost Notebook*, part II, Springer, to appear.
- [10] W. N. Bailey, Series of hypergeometric type which are infinite in both directions, *Quart. J. Math.* 7 (1936) 105–115.
- [11] W. N. Bailey, Some identities in combinatory analysis, *Proc. London Math. Soc. (2)* 49 (1947) 421–435.
- [12] W. N. Bailey, Identities of the Rogers-Ramanujan type, *Proc. London Math. Soc. (2)* 50 (1949) 1–10.
- [13] D. Bowman, J. McLaughlin, and A. Sills, Some more identities of the Rogers-Ramanujan type, *Ramanujan J.*, to appear.

- [14] D. M. Bressoud, A generalization of the Rogers-Ramanujan identities for all moduli, *J. Combin. Theory Ser. A* 27 (1979) 64–68.
- [15] D. M. Bressoud, Analytic and combinatorial generalizations of the Rogers-Ramanujan identities, *Mem. Amer. Math. Soc.* 24 (1980) no. 227, 1–54.
- [16] S. Capparelli, Vertex operator relations for affine algebras and combinatorial identities, Ph.D thesis, Rutgers University, 1988.
- [17] S. Capparelli, A construction of the level 3 modules for the affine Lie algebra  $A_2^{(2)}$  and a new combinatorial identity of the Rogers-Ramanujan type, *Trans. Amer. Math. Soc.* 348 (1996) 481–501.
- [18] S. Capparelli, On some theorems of Hirschhorn, *Comm. Algebra* 32 (2004) 629–635.
- [19] S. Cooper, The quintuple product identity, *Int. J. Number Theory* 2 (2006) 115–161.
- [20] B. Gordon, A combinatorial generalization of the Rogers-Ramanujan identities, *Amer. J. Math.* 83 (1961) 393–399.
- [21] V. G. Kac, *Infinite Dimensional Lie Algebras*, 3rd ed., Cambridge Univ. Press, 1990.
- [22] V. G. Kac, D. A. Kazhdan, J. Lepowsky, and R. Wilson, Realization of the basic representations of the Euclidean Lie algebras, *Adv. Math.* 42 (1981) 83–112.
- [23] J. Lepowsky and S. Milne, Lie algebraic approaches to classical partition identities, *Adv. Math.* 29 (1978) 15–59.
- [24] J. Lepowsky and R. L. Wilson, Construction of the affine Lie algebra  $A_1^{(1)}$ , *Comm. Math. Phys.* 62 (1978) 43–53.
- [25] J. Lepowsky and R. L. Wilson, A Lie theoretic interpretation and proof of the Rogers-Ramanujan identities, *Adv. Math.* 45 (1982) 21–72.
- [26] J. Lepowsky and R. L. Wilson, The structure of standard modules I: Universal algebras and the Rogers-Ramanujan identities, *Invent. Math.* 77 (1984) 199–290.
- [27] J. Lepowsky and R. L. Wilson, The structure of standard modules II: the case  $A_1^{(1)}$ , principal gradation, *Invent. Math.* 79 (1985) 417–442.
- [28] P. A. MacMahon, *Combinatory Analysis*, vol. 2, Cambridge Univ. Press, London, 1918.
- [29] L. J. Rogers, Second memoir on the expansion of certain infinite products, *Proc. London Math Soc.* 25 (1894) 318–343.
- [30] L. J. Rogers, On two theorems of combinatory analysis and some allied identities, *Proc. London Math. Soc.* 16 (1917) 315–336.

- [31] I. Schur, Ein Beitrag zur additiven Zahlentheorie und zur Theorie der Kettenbrüche, Sitzungsberichte der Berliner Akademie (1917) 302–321.
- [32] A. V. Sills, On series expansions of Capparelli’s infinite product, Adv. Appl. Math. 33 (2004) 397–408.
- [33] L. J. Slater, A new proof of Rogers transformation of infinite series, Proc. London Math Soc. (2) 53 (1951) 460–475
- [34] L. J. Slater, Further identities of the Rogers-Ramanujan type, Proc. London Math Soc. (2) 54 (1952) 147–167.
- [35] M. Tamba and C.-F. Xie, Level three standard modules for  $A_2^{(2)}$  and combinatorial identities, J. Pure Appl. Algebra 105 (1995) 53–92.