AUTOMORPHISMS OF RELATIVE QUOT SCHEMES

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ABSTRACT. Let k be an algebraically closed field of characteristic zero. Let S be a smooth projective variety over k and let $p_S : X \to S$ be a family of smooth projective curves over S. Let E be a vector bundle over X. For $s \in S$ let X_s be the fibre of p_S over s and let E_s be the restriction of E to X_s . Fix $d \ge 1$. Let $\mathcal{Q}(E,d) \to S$ be the relative Quot scheme parameterizing torsion quotients of E_s over X_s of degree d for all $s \in S$. In this article we compute the identity component of relative automorphism group scheme which parameterizes automorphisms of $\mathcal{Q}(E,d)$ over S.

1. INTRODUCTION

Let k be an algebraically closed field of characteristic zero. Let $Y \to S$ be a smooth morphism between two projective varieties over k. Associated to this morphism we have the automorphism group scheme $\operatorname{Aut}(Y/S)$ which parameterizes automorphisms of Y over S. Let us denote identity component of $\operatorname{Aut}(Y/S)$ by $\operatorname{Aut}^o(Y/S)$. It is known that $\operatorname{Aut}^o(Y/S)$ is an algebraic group and if $\mathcal{T}_{Y/S}$ is the relative tangent bundle, then $\operatorname{Lie}(\operatorname{Aut}^o(Y/S)) =$ $H^0(Y, \mathcal{T}_{Y/S})$ [MO67, Theorem 3.7], [Bri18, Theorem 2.3]. We refer to [Bri14], [Bri18] for other properties of this group scheme.

We refer to [HL10, Section 2] for definitions and properties of Quot Schemes in general. The Quot Scheme which we will study in this article can be defined in the following manner. Let $p_S: X \to S$ be a family of smooth projective curves over an algebraically closed field k of characteristic zero. Assume X and S are smooth projective varieties. Let E be a vector bundle over X of rank r. For a closed point $s \in S$ let X_s be the fibre of p_S over s and let E_s be the restriction of E to X_s . Fix $d \ge 1$. Then associated to the morphism p_S and the vector bundle E we have the relative Quot scheme $\pi_S: \mathcal{Q}(E,d) \to S$ whose closed points correspond to quotients $E_s \to B_d$, $\forall s \in S$ where B_d is a torsion sheaf of degree d over the smooth projective curve X_s [HL10, Theorem 2.2.4]. It is known that $\mathcal{Q}(E,d)$ is a smooth projective variety [HL10, Proposition 2.2.8]. These schemes have been studied extensively. We refer the reader to [BGL94], [BDW96], [BDH15] for other properties of this scheme. In this article we compute the group scheme Aut^o($\mathcal{Q}(E,d)/S$). We recall that in the case when S is a point and E the

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trivial bundle of rank r this group scheme was computed in [BDH15]. In [BM16] this group scheme was computed in another special case. We refer to Corollary 3.2 and Corollary 3.5 where these results are stated explicitly.

Over X we fix a certain ample line bundle \mathcal{M} (this line bundle is defined just before Lemma 2.12). Then the main theorem of this article is

Theorem (Theorem 2.15). Suppose either $r := \operatorname{rank} E \ge 3$ or r = 2, E is semistable with respect to \mathcal{M} and genus of X_s is ≥ 2 for $s \in S$. In both of these cases we have isomorphisms

- (1) $\operatorname{Aut}^{o}(\mathcal{Q}(E,d)) \cong \operatorname{Aut}^{o}(\mathbb{P}(E)/S)$.
- (2) $H^0(\mathbb{P}(E), \mathcal{T}_{\mathbb{P}(E)/S}) \cong H^0(\mathcal{Q}(E, d), \mathcal{T}_{\mathcal{Q}(E, d)/S}).$

As consequences of Theorem 2.15 we deduce the results of [BDH15] and [BM16] as Corollary 3.2 and Corollary 3.5. We also compute the identity component of the automorphism group scheme of the flag scheme parameterizing chains of torsion quotients of trivial bundle over a smooth projective curve(Corollary 3.4). We refer to Section 3 for more details.

2. Main Theorem

Let us denote the projection $X \times_S \mathcal{Q}(E, d) \to X$ by π_X and the projection $X \times_S \mathcal{Q}(E, d) \to \mathcal{Q}(E, d)$ by $p_{\mathcal{Q}}$ i.e. we have the following diagram:

$$\begin{array}{ccc} X \times_S \mathcal{Q}(E,d) & \xrightarrow{\pi_X} X \\ & & \downarrow^{p_{\mathcal{Q}}} & & \downarrow^{p_S} \\ \mathcal{Q}(E,d) & \xrightarrow{\pi_S} & S \end{array}$$

We denote the universal quotient on $X \times_S \mathcal{Q}(E, d)$ by

$$\pi_{\mathcal{Q}}^* E \to \mathcal{B} \to 0.$$

Lemma 2.1. We have a closed immersion of algebraic groups

$$\operatorname{Aut}^{o}(\mathbb{P}(E)/S) \hookrightarrow \operatorname{Aut}^{o}(\mathcal{Q}(E,d)/S).$$

Proof. By [MO67, Corollary 2.2] any automorphism $g \in \operatorname{Aut}^{o}(\mathbb{P}(E)/S)$ descends to an automorphism $h \in \operatorname{Aut}^{o}(X/S)$. Therefore we have the following diagram:

$$\mathbb{P}(E) \cong \mathbb{P}((g_1)^*E) \xrightarrow{g} \mathbb{P}(E)$$

$$\downarrow^p \qquad \qquad \downarrow^p$$

$$X \xrightarrow{h} \qquad X$$

Then $E \cong h^*E \otimes p^*L$ for some line bundle L on X. Let us denote this isomorphism of bundles by Ψ_g . On $X \times_S \mathcal{Q}(E, d)$ consider the quotient

$$\pi_{\mathcal{Q}}^*E \cong \pi_{\mathcal{Q}}^*h^*E \otimes \pi_{\mathcal{Q}}^*L \to (h \times id)^*\mathcal{B} \otimes \pi_{\mathcal{Q}}^*L$$

where the first isomorphism is induced from Ψ_g and the second morphism is the pullback of the universal quotient $\pi_{\mathcal{Q}}^* E \to \mathcal{B} \to 0$ under the map $(h \times id)$ tensored with $\pi_{\mathcal{Q}}^* L$. This gives a quotient of $\pi_{\mathcal{Q}}^* E$ over $X \times_S \mathcal{Q}(E,d)$ and by the universal property of Quot schemes this induces an automorphism of $\mathcal{Q}(E, d)$. Hence, we have a homomorphism

$$\operatorname{Aut}^{o}(\mathbb{P}(E)/S) \to \operatorname{Aut}^{o}(\mathcal{Q}(E,d)/S)$$

Next we show that this homomorphism is injective. At the level of closed points the above automorphism of $\mathcal{Q}(E,d)$ induced by $g \in \operatorname{Aut}^o(\mathbb{P}(E)/S)$ is given by

$$[E_s \to B_d \to 0] \to [E_s \xrightarrow{\Psi_{g,s}} h^*(E_s \to B_d \to 0) \otimes L_s]$$

Suppose $g \in \operatorname{Aut}^{o}(\mathbb{P}(E)/S)$ induces the identity automorphism on $\mathcal{Q}(E, d)$. We will show that $g = \operatorname{id}$. First we show that $h = \operatorname{id}$. Fix $x \in X$ and let $p_{S}(x) = s \in S$. Consider any quotient

$$E_s \to \mathcal{O}_{X_s,x}/\mathfrak{m}^d_{X_s,x}$$

where $\mathcal{O}_{X_s,x}$ is the local ring of X_s at x and $\mathfrak{m}_{X_s,x}$ is its maximal ideal. Then under the automorphism induced by g the image of this quotient is of the form

$$E_s \to \mathcal{O}_{X_s,h(x)}/\mathfrak{m}^d_{X_s,h(x)}$$

Hence if g induces the identity automorphism of $\mathcal{Q}(E, d)$ then h = id. Next we show that g = id. Let $v \in \mathbb{P}(E)$, let p(v) = x and $p_S(x) = s$. Then v corresponds to a quotient of vector spaces $E|_x \xrightarrow{v} k$. Since, h = id, p(g(v)) = x. is a quotient of the form $E|_x \to k$. Let us fix d-1 degree 1 quotients $E \xrightarrow{v_i} k_{x_i}$ for $1 \le i \le d-1$ such that all x_i, x are distinct and $p_S(x_i) = p_S(x) = s$. Then the summation of these quotients gives us a point in $\mathcal{Q}(E, d)$

$$E_s \to E|_x \oplus \bigoplus_{i=1}^{d-1} E|_{x_i} \to k_x \oplus \bigoplus_{i=1}^{d-1} k_{x_i}.$$

Note that each of the quotients $E|_x \xrightarrow{h} k$ and $E|_{x_i} \to k$ can be recovered from the above degree d quotient simply by restricting this quotient to the points x and x_i respectively. By assumption the automorphism induced by g is identity. Therefore applying the automorphism induced by g and restricting it to x, we get that g(v) = v. This completes the proof of injectivity. \Box

Corollary 2.2. We have an inclusion of lie algebras

$$H^0(\mathbb{P}(E), \mathcal{T}_{\mathbb{P}(E)/S}) \hookrightarrow H^0(\mathcal{Q}(E, d), \mathcal{T}_{\mathcal{Q}(E, d)/S}).$$

Proof. This follows from Lemma 2.1 and [MO67, Theorem 3.7].

Let \mathcal{Z} be the fibered product of d copies of $\mathbb{P}(E)$ over S. We will construct a rational map $\Phi : \mathcal{Z} \dashrightarrow \mathcal{Q}(E, d)$. Note that this map was already constructed in [Gan18, Section 2] in the special case when $S = \{\text{pt}\}$ and $E = \mathcal{O}_X^r$. First we set some notations.

Notation 2.3.

(1) Let $p : \mathbb{P}(E) \to X$ be the projection.

(2) Let $p_i : \mathbb{Z} \to \mathbb{P}(E)$ be the *i*-th projection.

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- (3) For, $i \neq j$, let $\Delta_{i,j} \hookrightarrow \mathcal{Z}$ be the closed subscheme given by the equation $p_i = p_j$.
- (4) For i, j distinct let $\Delta_{i,j,X} \hookrightarrow \mathcal{Z}$ be the closed subscheme given by the equation $p \circ p_i = p \circ p_j$.
- (5) For i, j, k all distinct, let $\Delta_{i,j,k,X} \hookrightarrow \mathcal{Z}$ be the closed subscheme given by the equation $p \circ p_i = p \circ p_j = p \circ p_k$.
- (6) Let $\pi_1: X \times_S \mathcal{Z} \to X$ and $\pi_2: X \times_S \mathcal{Z} \to \mathcal{Z}$ be the first and second projections respectively.
- (7) Let $p_i \circ \pi_2 : X \times_S \mathcal{Z} \to \mathbb{P}(E)$ be denoted by $\pi_{2,i}$.
- (8) Let $\Delta_i \hookrightarrow X \times_S \mathcal{Z}$ be the closed subscheme given by the equation $\pi_1 = p \circ \pi_{2,i}$.

We define an open set

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$$\mathcal{U} := \mathcal{Z} \setminus (\bigcup_{i,j} \Delta_{i,j} \bigcup \bigcup_{i,j,k} \Delta_{i,j,k,X}).$$

Consider the following composition of morphisms over $X \times_S \mathcal{Z}$

$$q: \pi_1^* E \to \bigoplus_{i=1}^d \pi_1^* E|_{\Delta_i} \cong \bigoplus_{i=1}^d \pi_{2,i}^* E|_{\Delta_i} \to \bigoplus_{i=1}^d \pi_{2,i}^* \mathcal{O}(1)|_{\Delta_i}.$$

Let $u \in \mathcal{U}$. Then $q|_{X \times_S u}$ is the morphism

$$E \to \bigoplus_{i=1}^{a} E|_{p \circ p_i(u)} \to \bigoplus_{i=1}^{a} k_{p \circ p_i(u)}$$

where the map $E|_{p \circ p_i(u)} \to k_{p \circ p_i(u)}$ is given by $p_i(u) \in \mathbb{P}(E)$. Since $u \in \mathcal{U}$ for any $1 \leq i \leq d$, there can exist atmost one pair $j \neq i$ such that $p \circ p_i(u) = p \circ p_j(u)$, and for such a pair $(i, j), p_i(u) \neq p_j(u)$. Hence $q|_{X \times_S u}$ is a surjection. Therefore $q|_{X \times_S \mathcal{U}}$ is a surjection. By universal property of $\mathcal{Q}(E, d)$ the surjection $q|_{X \times_S \mathcal{U}}$ induces a map

$$\Phi: \mathcal{U} \to \mathcal{Q}(E, d) \,.$$

Then we prove the following proposition

Proposition (Proposition 2.14). Suppose either $r \geq 3$ or r = 2, E is semistable with respect to \mathcal{M} and genus of C is ≥ 2 . In both of these cases we have an isomorphism

$$H^{0}(\mathcal{U}, \Phi^{*}\mathcal{T}_{\mathcal{Q}(E,d)/S}) = \bigoplus_{i=1}^{d} H^{0}(\mathbb{P}(E), \mathcal{T}_{\mathbb{P}(E)/S}).$$

To prove Proposition 2.14 we need a few lemmas. We define $\mathcal{F}(E, d) := \ker q$.

Lemma 2.4. We have an isomorphism of vector bundles

$$\Phi^* \mathcal{T}_{\mathcal{Q}(E,d)/S} \cong \bigoplus_{i=1}^a (\pi_2)_* \mathscr{H}om(\mathcal{F}(E,d), \pi_{2,i}^* \mathcal{O}(1)|_{\Delta_i})|_{\mathcal{U}}$$

Proof. Over $X \times_S \mathcal{Q}(E, d)$, we have the universal exact sequence:

$$0 \to \mathcal{A}(E,d) \to \pi_X^* E \to \mathcal{B}(E,d) \to 0.$$

Then it is known that $\mathcal{A}(E, d)$ is a vector bundle of rank r [Gan18, Lemma 2.2] and by [HL10, Proposition 2.2.7] we have

$$\mathcal{T}_{\mathcal{Q}(E,d)/S} = (p_{\mathcal{Q}})_* \mathcal{H}om(\mathcal{A}(E,d), \mathcal{B}(E,d)) \,.$$

Consider the following diagram:

$$\begin{array}{ccc} X \times_{S} \mathcal{U} \xrightarrow{id_{X} \times \Phi} X \times_{S} \mathcal{Q}(E,d) \\ & & \downarrow^{\pi_{1}} & \downarrow^{p_{\mathcal{Q}}} \\ \mathcal{U} \xrightarrow{\Phi} \mathcal{Q}(E,d) \end{array}$$

By Grauert's theorem [Har77, Corollary 12.9], We get that

$$\Phi^* \mathcal{T}_{\mathcal{Q}(E,d)/S} = (\Phi)^* (p_{\mathcal{Q}})_* \mathcal{H}om(\mathcal{A}(E,d), \mathcal{B}(E,d))$$
$$\cong (\pi_1)_* (id_X \times \Phi)^* \mathcal{H}om(\mathcal{A}(E,d), \mathcal{B}(E,d))$$

Since $\mathcal{A}(E, d)$ is a vector bundle, we have

$$(id_X \times_S \Phi)^* \mathscr{H}om(\mathcal{A}(E,d), \mathcal{B}(E,d)) = \mathscr{H}om((id_X \times_S \Phi)^* \mathcal{A}(E,d), (id_X \times_S \Phi)^* \mathcal{B}(E,d)).$$

By the definition of the map Φ we have

$$(id_X \times_S \Phi)^* \mathcal{B}(E,d) \cong (\bigoplus_{i=1}^d \pi^*_{2,i} \mathcal{O}(1)|_{\Delta_i})|_{X \times_S \mathcal{U}}.$$

Also

$$\Phi^*\mathcal{A}(E,d) \cong \mathcal{F}(E,d)|_{X \times_S \mathcal{U}}.$$

since by [Gan18, Lemma 2.2] $\mathcal{F}(E,d)|_{X\times_{S}\mathcal{U}}$ is again a vector bundle of rank r and there exists a surjection $\Phi^*\mathcal{A}(E,d) \twoheadrightarrow \mathcal{F}(E,d)|_{X\times_{S}\mathcal{U}}$. This completes the proof of the lemma.

Lemma 2.5. For $1 \leq i, j \leq d$ and $i \neq j$ we have

$$\mathscr{H}om(\pi_{2,i}^*\mathcal{O}(1)|_{\Delta_i}, \pi_{2,j}^*\mathcal{O}(1)|_{\Delta_j}) = 0.$$

Proof. By adjunction, we have

$$\mathscr{H}om(\pi_{2,i}^*\mathcal{O}(1)|_{\Delta_i}, \pi_{2,j}^*\mathcal{O}(1)|_{\Delta_j}) = \mathscr{H}om(\pi_{2,i}^*\mathcal{O}(1)|_{\Delta_i\cap\Delta_j}, \pi_{2,j}^*\mathcal{O}(1)|_{\Delta_j})$$

Since Δ_j is an integral scheme and $\Delta_i \cap \Delta_j$ is a proper subset of Δ_j , the later term in the above expression is zero.

Lemma 2.6. For any $1 \le j \le d$ we have

$$H^0(X \times_S \mathcal{U}, \mathscr{H}om((\pi_1 \times \pi_{2,j})^* \mathcal{F}(E, 1), \pi_{2,j}^* \mathcal{O}(1)|_{\Delta_j})) = H^0(\mathbb{P}(E), \mathcal{T}_{\mathbb{P}(E)/S}).$$

Proof. The projection π_2 induces isomorphism $\Delta_j \xrightarrow{\sim} \mathcal{Z}$. Identifying Δ_j with \mathcal{Z} we have

$$H^{0}(X \times_{S} \mathcal{U}, \mathcal{H}om((\pi_{1} \times \pi_{2,j})^{*}\mathcal{F}(E, 1), \pi_{2,j}^{*}\mathcal{O}(1)|_{\Delta_{j}}))$$

= $H^{0}(\mathcal{U}, \mathcal{H}om(p_{j}^{*}\mathcal{F}(E, 1)|_{\Delta_{1}}, p_{j}^{*}\mathcal{O}(1)))$
= $H^{0}(\mathcal{U}, p_{j}^{*}(\mathcal{F}(E, 1)^{\vee}|_{\Delta_{1}} \otimes \mathcal{O}(1))).$

Since $\mathcal{F}(E, 1)$ is vector bundle over \mathcal{Z} and codimension of $\mathcal{Z} \setminus \mathcal{U} \geq 2$ we have

$$H^{0}(\mathcal{U}, p_{j}^{*}(\mathcal{F}(E, 1)^{\vee}|_{\Delta_{1}} \otimes \mathcal{O}(1))) = H^{0}(\mathcal{Z}, p_{j}^{*}(\mathcal{F}(E, 1)^{\vee}|_{\Delta_{1}} \otimes \mathcal{O}(1))).$$

Using projection formula for the morphism p_j we get that

$$H^{0}(\mathcal{U}, p_{j}^{*}(\mathcal{F}(E, 1)^{\vee}|_{\Delta_{1}} \otimes \mathcal{O}(1))) = H^{0}(\mathbb{P}(E), (\mathcal{F}(E, 1)^{\vee}|_{\Delta_{1}} \otimes \mathcal{O}(1))).$$

Now over $\mathbb{P}(E)$ we have

$$\mathcal{F}(E,1)^{\vee}|_{\Delta_1} \otimes \mathcal{O}(1) \cong \mathcal{T}_{\mathbb{P}(E)/S}$$

This completes the proof of the lemma.

Lemma 2.7. For $1 \le i, j \le d$, $i \ne j$, we have an isomorphism of sheaves: $\mathscr{E}xt^{1}(\pi_{2,i}^{*}\mathcal{O}(1)|_{\Delta_{i}},\pi_{2,j}^{*}\mathcal{O}(1)|_{\Delta_{j}}) \cong \pi_{2,i}^{*}\mathcal{O}(-1) \otimes \pi_{2,j}^{*}\mathcal{O}(1)) \otimes \pi_{1}^{*}\mathcal{T}_{X/S}|_{\Delta_{i}\cap\Delta_{j}}.$

Proof. Consider the exact sequence:

$$0 \longrightarrow \mathcal{O}(-\Delta_i) \longrightarrow \mathcal{O}_{X \times_S \mathcal{Z}} \longrightarrow \mathcal{O}_{\Delta_i} \longrightarrow 0$$

Applying $\mathscr{H}om(\ ,\mathcal{O}_{\Delta_j})$ to the above exact sequence, we get:

$$0 \longrightarrow \mathcal{O}_{\Delta_j} \longrightarrow \mathcal{O}(\Delta_i)|_{\Delta_j} \longrightarrow \mathscr{E}xt^1(\mathcal{O}_{\Delta_i}, \mathcal{O}_{\Delta_j}) \longrightarrow 0$$

Therefore

$$\mathscr{E}xt^1(\mathcal{O}_{\Delta_i},\mathcal{O}_{\Delta_j})\cong \pi_1^*\mathcal{T}_{X/S}|_{\Delta_i\cap\Delta_j}.$$

and the statement follows immediately from this.

The following corollary follows immediately from Lemma 2.7.

Corollary 2.8. We have an isomorphism of sheaves on \mathcal{Z} $(\pi_2)_* \mathscr{E}xt^1(\pi_{2,i}^*\mathcal{O}(1)|_{\Delta_i}, \pi_{2,i}^*\mathcal{O}(1)|_{\Delta_i}) \cong p_i^*\mathcal{O}(-1) \otimes p_i^*\mathcal{O}(1) \otimes (p \circ p_i)^*\mathcal{T}_{X/S}|_{\Delta_{i,i,X}}.$ **Lemma 2.9.** Fix $1 \le i, j \le d$ with $i \ne j$. Then for $1 \le k, l, m \le d$ with k, l, m distinct we have

- (1) $\operatorname{codim}(\Delta_{k,l} \cap \Delta_{i,j,X}, \Delta_{i,j,X}) \ge 2$ if $\{k,l\} \neq \{i,j\}$.
- (2) $\operatorname{codim}(\Delta_{i,j}\cap, \Delta_{i,j,X}, \Delta_{i,j,X}) = r.$ (3) If $\{i, j\} \nsubseteq \{k, l, m\}$ then $\operatorname{codim}(\Delta_{k,l,m,X} \cap \Delta_{i,j,X}, \Delta_{i,j,X}) \ge 2.$
- (4) $\operatorname{codim}(\Delta_{i,j,k,X}, \Delta_{i,j,X}) = 1.$

Proof. Without loss of generality we can assume (i, j) = (1, 2). Then

$$\Delta_{1,2,X} \cong \mathbb{P}(E)_X^2 \times_S \mathbb{P}(E)_S^{d-2}.$$

(1) Let $\{k, l\} \cap \{1, 2\} = \emptyset$. Without loss of generality we can assume (k, l) = (3, 4). Then

$$\Delta_{k,l} \cap \Delta_{1,2,X} \cong \mathbb{P}(E)_X^2 \times_S \mathbb{P}(E) \times_S \mathbb{P}(E)_S^{d-4}.$$

Let $\{k, l\} \cap \{1, 2\} = \{2\}$. Without loss of generality we can assume (k, l) = (2, 3). Then

$$\Delta_{k,l} \cap \Delta_{1,2,X} \cong \mathbb{P}(E)_X^2 \times_S \mathbb{P}(E)_S^{d-3}$$

Therefore in both these cases it have codimension ≥ 2 in $\Delta_{1,2,X}$.

- (2) If $\{k,l\} = \{1,2\}$ then $\Delta_{1,2} \cong \mathbb{P}(E) \times_S \mathbb{P}(E)_S^{d-2}$. Hence it has codimension r in $\Delta_{1,2,X}$.
- (3) Let $\{1,2\} \cap \{k,l,m\} = \emptyset$. Without loss of generality we can assume (k, l, m) = (3, 4, 5). Then

$$\Delta_{k,l,m,X} \cap \Delta_{1,2,X} \cong \mathbb{P}(E)_X^2 \times_S \mathbb{P}(E)_X^3 \times_S \mathbb{P}(E)_S^{d-5}$$

Let $\{i, j\} \cap \{k, l, m\} = \{i\}$. Without loss of generality we can assume k = i = 1 and (l, m) = (3, 4). Then

$$\Delta_{k,l,m,X} \cap \Delta_{1,2,X} \cong \mathbb{P}(E)_X^4 \times_S \mathbb{P}(E)_S^{d-4}.$$

Hence in both of these two cases it has codimension ≥ 2 in $\Delta_{1,2,X}$. (4) $\Delta_{1,2,k,X} \cong \mathbb{P}(E) \times_X \mathbb{P}(E) \times_X \mathbb{P}(E) \times_S \mathbb{P}(E)_S^{d-3}$. Hence it has codimension 1 in Y.

On $\Delta_{i,j,X}$ we define the line bundle

$$\mathcal{L} := p_i^* \mathcal{O}(-1) \otimes p_j^* \mathcal{O}(1) \otimes (p \circ p_i)^* \mathcal{T}_{X/S}|_{\Delta_{i,j,X}} \,.$$

By Lemma 2.9 we have that $\Delta_{i,j,k,X} \subset \Delta_{i,j,X}$ is a divisor on $\Delta_{i,j,X}$.

Lemma 2.10. Fix $1 \le i, j \le d$ with $i \ne j$. For any $n \ge 0$ we have

$$H^0(\Delta_{i,j,X},\mathcal{L}\otimes\mathcal{O}(\sum_{k
eq i,j}n\cdot\Delta_{i,j,k,X}))=0\,.$$

Proof. Let $f: \Delta_{i,j,X} \to \mathbb{P}(E)^{d-1}_S$ be the product of all the projections except the i-th projection. Then by projection formula

$$f_*(\mathcal{L} \otimes \mathcal{O}(\sum_{k \neq i,j} n \cdot \Delta_{i,j,k,X})) = (f_*p_i^*\mathcal{O}(-1)) \otimes \mathcal{L}'$$

for some line bundle \mathcal{L}' on $\mathbb{P}(E)_S^{d-1}$. Consider the following fibered diagram:

$$\begin{array}{ccc} \Delta_{i,j,X} & \stackrel{p_i}{\longrightarrow} & \mathbb{P}(E) \\ & & & \downarrow^f & & \downarrow^p \\ \mathbb{P}(E)_S^{d-1} & \stackrel{g_i}{\longrightarrow} & X \end{array}$$

Here g_i is the composition of *i*-th projection from $\mathbb{P}(E)_S^{d-1}$ and the morphism $p:\mathbb{P}(E)\to X$. Since g_i is flat we have

$$f_*p_i^*\mathcal{O}(-1) = g_i^*p_*\mathcal{O}(-1)\,.$$

Since $p_*\mathcal{O}(-1) = 0$ we have that $f_*p_i^*\mathcal{O}(-1) = 0$. This completes the proof of the lemma.

Proposition 2.11. Let $r = \operatorname{rank} E \ge 3$. For $1 \le i, j \le d$, $i \ne j$ we have

$$H^0(X \times_S \mathcal{U}, \mathscr{E}xt^1(\pi_{2,i}^*\mathcal{O}(1)|_{\Delta_i}, \pi_{2,j}^*\mathcal{O}(1)|_{\Delta_j})) = 0.$$

Proof. By Corollary 2.7 it is enough to show

$$H^0(\mathcal{U} \cap \Delta_{i,j,X}, \mathcal{L}) = 0$$

Since $r \ge 3$ by Lemma 2.9 we have

$$H^0(\mathcal{U} \cap \Delta_{i,j,X}, \mathcal{L}) = H^0(\Delta_{i,j,X} \setminus (\bigcup_{k \neq i,j} \Delta_{i,j,k,X}), \mathcal{L}).$$

Let $s \in H^0(V, \mathcal{L}|_V)$. Then for some n large enough, there exists a section $0 \neq t \in H^0(\Delta_{i,j,X}, \mathcal{O}(\sum_{k \neq i,j} n \cdot \Delta_{1,2,k,X}))$ such that the section st^n extends to a global section of $\mathcal{L} \otimes \mathcal{O}(\sum_{k \neq i,j} n \cdot \Delta_{1,2,k,X})$. However by Lemma 2.10 there are no global sections of this line bundle and this completes the proof of the proposition. \Box

Since p_S is a projective morphism, we have a p_S -ample line bundle $\mathcal{O}_X(1)$. Let $\mathcal{O}_S(1)$ be an ample line bundle on S. Then for $a \gg 0$ the line bundle $\mathcal{O}_X(1) \otimes \mathcal{O}_S(a)$ is an ample line bundle on X. We fix such an ample line bundle \mathcal{M} on X.

Lemma 2.12. Let E be semistable with respect to \mathcal{M} , rank E = 2 and genus of $X_s \ge 2$ for any $s \in S$. Fix $1 \le i \le d$. Then for any $n \ge 0$ we have

$$H^{0}(\mathcal{Z}, p_{i}^{*}\mathcal{T}^{n}_{\mathbb{P}(E)/X} \otimes (p \circ p_{i})^{*}\mathcal{T}_{X/S} \otimes \mathcal{O}(\sum_{k \neq i} n \cdot \Delta_{i,k,X})) = 0.$$

Proof. Without loss of generality we can assume i = 1. Let us denote the *j*-th projection from $(X)_S^d$ to X by $p_{j,X}$. We define $X_j \subseteq (X)_S^d$ to be the closed set defined by the equation $p_{1,X} = p_{j,X}$. By projection formula we have

$$(\prod_{j} (p \circ p_{j}))_{*} (p_{i}^{*} \mathcal{T}_{\mathbb{P}(E)/X}^{n} \otimes (p \circ p_{i})^{*} \mathcal{T}_{X/S} \otimes \mathcal{O}(\sum_{k \neq i} n \cdot \Delta_{i,k,X}))$$

= $p_{1,X}^{*} (S^{2n}(E) \otimes (\det(E^{\vee}))^{n}) \otimes p_{1,X}^{*} \mathcal{T}_{X/S} \otimes \mathcal{O}(\sum_{k=2}^{d} n \cdot X_{k}).$

Now we have the following exact sequence

$$0 \longrightarrow \mathcal{O}(nX_k) \longrightarrow \mathcal{O}((n+1)X_k) \longrightarrow \mathcal{O}((n+1)X_k)|_{X_k} \longrightarrow 0$$

Note that $\mathcal{O}((n+1)X_k)|_{X_k} = p_{1,X}^* \mathcal{T}_{X/S}^{n+1}$. Tensoring the above exact sequence with $p_{1,X}^*(S^{2n}(E) \otimes (\det(E^{\vee}))^n) \otimes p_{1,X}^* \mathcal{T}_{X/S}$ and applying H^0 we get that it is enough to show

$$H^0(\mathcal{Z}, p_{1,X}^*(S^{2n}(E) \otimes (\det(E^{\vee}))^n) \otimes p_{1,X}^m \mathcal{T}_{X/S}) = 0 \ \forall n \ge 0, m \ge 1.$$

Applying projection formula for the morphism $p_{1,X}$ we get

$$(p_{1,X})_*(p_{1,X}^*(S^{2n}(E)\otimes (\det(E^{\vee}))^n)\otimes p_{1,X}^m\mathcal{T}_{X/S})$$

= $S^{2n}(E)\otimes (\det(E^{\vee}))^n\otimes \mathcal{T}_{X/S}^m$.

Hence it is enough to show that

$$H^0(X, S^{2n}(E) \otimes (\det(E^{\vee}))^n \otimes \mathcal{T}^m_{X/S}) = 0 \ \forall n \ge 0, m \ge 1.$$

Now

deg
$$S^{2n}(E) = {\binom{2+2n-1}{2}} deg E = n(2n+1) deg E$$

and rank $S^{2n}(E) = 2n + 1$. Therefore

deg
$$S^{2n}(E) \otimes (\det(E^{\vee}))^n \otimes \mathcal{T}^m_{X/S} = m(2n+1)(\deg \mathcal{T}_{X/S}).$$

Since genus of each fibre of $X \to S$ is ≥ 2 , deg $\mathcal{T}_{X/S} < 0$. Hence

$$\deg S^{2n}(E) \otimes (\det(E^{\vee}))^n \otimes \mathcal{T}^m_{X/S} < 0.$$

Since E is semistable we have that the bundle $S^{2n}(E) \otimes (\det(E^{\vee}))^n \otimes \mathcal{T}_{X/S}^m$ is also semistable with negative degree. Therefore it does not have any global section.

Proposition 2.13. Let $r = \operatorname{rank} E = 2$, E is semistable with respect to \mathcal{M} and genus of C is ≥ 2 . For $1 \leq i, j \leq d$, $i \neq j$ we have

$$H^{0}(X \times_{S} \mathcal{U}, \mathscr{E}xt^{1}(\pi_{2,i}^{*}\mathcal{O}(1)|_{\Delta_{i}}, \pi_{2,j}^{*}\mathcal{O}(1)|_{\Delta_{j}})) = 0.$$

Proof. By Corollary 2.7 it is enough to show

$$H^0(\mathcal{U} \cap \Delta_{i,j,X}, \mathcal{L}) = 0$$
 .

Define the open set

$$V := \Delta_{i,j,X} \setminus (\Delta_{i,j} \bigcup \bigcup_{k \neq i,j}^d \Delta_{i,j,k,X}) \subset \Delta_{i,j,X}.$$

By Lemma 2.9 we have

$$H^0(\Delta_{i,j,X} \cap \mathcal{U}, \mathcal{L}) = H^0(V, \mathcal{L})$$

Therefore, to show that this space vanishes, it is enough to show that

$$H^{0}(Y, \mathcal{L}(n(\Delta_{i,j} + \sum_{k \neq i,j} \Delta_{i,j,k,X}))) = 0 \ \forall n \ge 0.$$

Now consider the following exact sequence:

$$0 \longrightarrow \mathcal{O}(n \cdot \Delta_{i,j}) \longrightarrow \mathcal{O}((n+1) \cdot \Delta_{i,j}) \longrightarrow \mathcal{O}(n \cdot \Delta_{i,j})|_{\Delta_{i,j}} \longrightarrow 0$$

Tensoring the above exact sequence by $\mathcal{L}(n \cdot \sum_{k \neq i,j} \Delta_{i,j,k,X})$ and applying H^0 we see that it is enough to show that

$$H^0(\Delta_{i,j}, \mathcal{L}(n(\Delta_{i,j} + \sum_{i=1}^d \Delta_{i,j,k,X}))|_{\Delta_{i,j}}) = 0.$$

Note that $\mathcal{O}(\Delta_{i,j})|_{\Delta_{i,j}} = p_i^* \mathcal{T}_{\mathbb{P}(E)/S}|_{\Delta_{i,j}}$. Then

$$\begin{aligned} \mathcal{L}(n(\Delta_{i,j} + \sum_{k \neq i,j} \Delta_{i,j,k,X}))|_{\Delta_{i,j}} \\ = p_i^* \mathcal{O}(-1) \otimes p_j^* \mathcal{O}(1) \otimes (p \circ p_i)^* \mathcal{T}_{X/S} \otimes p_i^* \mathcal{T}_{\mathbb{P}(E)/X}^n \otimes \mathcal{O}(\sum_{k \neq i,j} n \cdot \Delta_{i,j,k,X}))|_{\Delta_{i,j}} \\ = p_i^* \mathcal{T}_{\mathbb{P}(E)/X}^n \otimes (p \circ p_i)^* \mathcal{T}_{X/S} \otimes \mathcal{O}(\sum_{k \neq i,j} n \cdot \Delta_{i,j,k,X}))|_{\Delta_{i,j}} \,. \end{aligned}$$

Identifying $\Delta_{i,j}$ with $\mathbb{P}(E)_S^{d-1}$ the statement follows from Lemma 2.12. \Box

Proposition 2.14. Suppose either $r \geq 3$ or r = 2, E is semistable with respect to \mathcal{M} and genus of C is ≥ 2 . In both of these cases we have an isomorphism

$$H^{0}(\mathcal{U}, \Phi^{*}\mathcal{T}_{\mathcal{Q}(E,d)/S}) = \bigoplus_{i=1}^{d} H^{0}(\mathbb{P}(E), \mathcal{T}_{\mathbb{P}(E)/S}).$$

Proof. By Lemma 2.4 we have

$$\Phi^* \mathcal{T}_{\mathcal{Q}(E,d)/S} \cong \bigoplus_{i=1}^d (\pi_2)_* \mathscr{H}om(\mathcal{F}(E,d), \pi_{2,i}^* \mathcal{O}(1)|_{\Delta_i})|_{\mathcal{U}}$$

Hence for a fixed $1 \leq j \leq d$ it is enough to show

$$H^{0}(X \times_{S} \mathcal{U}, \mathscr{H}om(\mathcal{F}(E, d), \pi^{*}_{2,j}\mathcal{O}(1)|_{\Delta_{j}}) = H^{0}(\mathbb{P}(E), \mathcal{T}_{\mathbb{P}(E)/S}).$$

Over $X \times_S \mathcal{U}$ we have the following commutative diagram:

Using snake lemma for the above diagram we get the following exact sequence over $X\times_S \mathcal{U}$

$$0 \to \mathcal{F}(E,d) \to (\pi_1 \times \pi_{2,j})^* \mathcal{F}(E,1) \to \bigoplus_{i=1, i \neq j}^d \pi_{2,i}^* \mathcal{O}(1)|_{\Delta_i} \to 0$$

We apply $\mathscr{H}om(\pi_{2,j}^*\mathcal{O}(1)|_{\Delta_j})$ and then the functor H^0 . Now the result follows from Lemma 2.5, Lemma 2.6 and Proposition 2.13.

Theorem 2.15. Suppose either $r := \operatorname{rank} E \ge 3$ or r = 2, E is semistable with respect to \mathcal{M} and genus of X_s is ≥ 2 for $s \in S$. In both of these cases we have isomorphisms

- (1) $\operatorname{Aut}^{o}(\mathcal{Q}(E,d)) \cong \operatorname{Aut}^{o}(\mathbb{P}(E)/S).$
- (2) $H^0(\mathbb{P}(E), \mathcal{T}_{\mathbb{P}(E)/S}) \cong H^0(\mathcal{Q}(E, d), \mathcal{T}_{\mathcal{Q}(E, d)/S}).$

Proof. By Corollary 2.2 we have an inclusion of lie algebras:

(2.16)
$$H^0(\mathbb{P}(E), \mathcal{T}_{\mathbb{P}(E)/S}) \hookrightarrow H^0(\mathcal{Q}(E, d), \mathcal{T}_{\mathcal{Q}(E, d)/S}).$$

By Lemma 2.4 and Proposition 2.14 we have an inclusion

$$H^0(\mathcal{Q}(E,d),\mathcal{T}_{\mathcal{Q}(E,d)/S}) \hookrightarrow \bigoplus_{i=1}^d H^0(\mathbb{P}(E),\mathcal{T}_{\mathbb{P}(E)/S}).$$

Since $\mathcal{Z} \to \mathcal{Q}(E, d)$ is invariant under the action of the symmetric group S_d we get that this inclusion factors through

$$H^{0}(\mathcal{Q}(E,d),\mathcal{T}_{\mathcal{Q}(E,d)/S}) \hookrightarrow (\bigoplus_{i=1}^{d} H^{0}(\mathbb{P}(E),\mathcal{T}_{\mathbb{P}(E)/S}))^{S_{d}} = H^{0}(\mathbb{P}(E),\mathcal{T}_{\mathbb{P}(E)/S}).$$

Comparing the dimensions we get that the (2.16) is an isomorphism. Hence the inclusion in Lemma 2.1 is an isomorphism.

3. Applications

Corollary 3.1. Suppose either $r \ge 3$ or r = 2, E is semistable with respect to \mathcal{M} and genus of C is ≥ 2 . Then we have the following left exact sequence of algebraic groups

$$0 \to \operatorname{GL}(E)/k^* \to \operatorname{Aut}^o(\mathcal{Q}(E,d)/S) \to \operatorname{Aut}^o(X/S)$$

The corresponding sequence of lie algebras is given by

$$0 \to H^0(X, \mathrm{ad}\ E) \to H^0(\mathcal{Q}(E, d), \mathcal{T}_{\mathcal{Q}(E, d)/S}) \to H^0(X, \mathcal{T}_{X/S})$$

Proof. The left exactness of the above sequences follow from Theorem 2.15 and from the fact that $\operatorname{Aut}^{o}(\mathbb{P}(E)/S)$ and its lie algebra fits into the above exact sequences.

Corollary 3.2. Let the genus of the fibres of $X \to S$ is ≥ 2 . Suppose either $r \geq 3$ or r = 2 and E is semistable with respect to \mathcal{M} . Then

(1)
$$\operatorname{Aut}^{o}(\mathcal{Q}(E,d)/S) = GL(E)/k^{*}.$$

(2) $H^{0}(\mathcal{Q}(E,d),\mathcal{T}_{\mathcal{Q}(E,d)/S}) = H^{0}(X, ad E).$

Proof. If genus of each fibre is ≥ 2 then $(p_S)_*\mathcal{T}_{X/S} = 0$. In particular $H^0(X, \mathcal{T}_{X/S}) = 0$. Hence $\operatorname{Aut}^o(X/S) = 0$. Now the corollary follows from Corollary 3.1.

Taking S to be a point and $E = \mathcal{O}_C^r$ in Corollary 3.2 we get [BDH15, Theorem 3.1] and [BDH15, Corollary 3.2].

Corollary 3.3. Let C be a smooth projective curve of genus ≥ 2 over an algebraically closed field k of characteristic zero. Then

- (1) $\operatorname{Aut}^{o}(\mathcal{Q}(\mathcal{O}_{C}^{r},d)/S) = PGL(r).$
- (2) $H^0(\mathcal{Q}(\mathcal{O}_C^r, d), \mathcal{T}_{\mathcal{Q}(\mathcal{O}_C^r, d)}) = \mathfrak{sl}(r).$

Let C be a smooth projective curve of genus ≥ 2 over an algebraically closed field k of characteristic zero. Fix $\underline{d} = (d_1, d_2, \ldots, d_k) \in \mathbb{N}^k$ with $d_1 > d_2 > \ldots > d_k$ and $r \geq 1$. Let $\mathcal{D}(r, \underline{d})$ be the flag scheme parametrizing chain of quotients of $\mathcal{O}_C^r \to B_1 \to B_2 \to \ldots \to B_d$ where B_i is a torsion quotient of degree d_i [HL10, 2.A.1]. It is known that $\mathcal{D}(r, \underline{d})$ is a smooth projective variety.

Corollary 3.4. We have the following isomorphisms of algebraic groups and lie algebras

- (1) $\operatorname{Aut}^{o}(\mathcal{D}(r,\underline{d})) \cong \operatorname{PGL}(r).$
- (2) $H^0(\mathcal{D}(r,\underline{d}),\mathcal{T}_{D(r,\underline{d})}) = \mathfrak{sl}(r).$

Proof. Let $\underline{d}' := (d_2, d_3, \ldots, d_k)$. Over $C \times \mathcal{D}(r, \underline{d}')$ we have the universal chain of filtrations:

$$\mathcal{A}(r, d_2) \subset \mathcal{A}(r, d_3) \subset \ldots \subset \mathcal{A}(r, d_k) \subset \mathcal{O}^r_{C \times \mathcal{D}(r, d')}$$

Then $D(r, \underline{d})$ is the relative quot scheme of torsion quotients of degree $d_1 - d_2$ of the vector bundle $\mathcal{A}(r, d_2)$ for the map

$$C \times \mathcal{D}(r, \underline{d}') \to \mathcal{D}(r, \underline{d}')$$
.

By Corollary 3.2 we get that

$$H^0(\mathcal{D}(r,\underline{d}), \mathcal{T}_{\mathcal{D}(r,\underline{d})/\mathcal{D}(r,\underline{d}')}) = H^0(C \times \mathcal{D}(r,\underline{d}'), \text{ad } \mathcal{A}(r,d_2)).$$

By [Gan18, Theorem 3.2.4, Theorem 5.1] the bundle $\mathcal{A}(r, d_2)$ is stable with respect to certain polarisations on $C \times \mathcal{D}(r, \underline{d}')$. Hence by Corollary 3.2 we have

$$H^0(C \times \mathcal{D}(r, \underline{d}'), \text{ad } \mathcal{A}(r, d_2)) = 0.$$

By induction on k we get that

$$H^0(\mathcal{D}(r,\underline{d}),\mathcal{T}_{D(r,\underline{d})}) = H^0(C, \mathrm{ad} \ \mathcal{O}_C^r) = \mathfrak{sl}(r).$$

This completes the proof of the corollary.

Let *C* be a smooth projective curve over an algebraically closed field of characteristic zero. In [BM16] the authors computed the identity component of automorphism group scheme of a certain generalized quot scheme $\mathcal{Q}_C(r, d_p, d_z)$. We recall the definition of this scheme: Fix $r \geq 2, d_p, d_z \geq 1$. Consider the quot scheme $\mathcal{Q}(\mathcal{O}_C^r, d_p)$ and the universal kernel bundle $\mathcal{A}(r, d_p)$ over $C \times \mathcal{Q}(\mathcal{O}_C^r, d_p)$. Then $\mathcal{Q}(r, d_p, d_z)$ is defined as the relative Quot scheme associated to the projection $C \times \mathcal{Q}(\mathcal{O}_C^r, d_p) \to \mathcal{Q}(\mathcal{O}_C^r, d_p)$ and the bundle

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 $\mathcal{A}(r, d_p)^{\vee}$. By [Gan18, Theorem 3.2.4] $\mathcal{A}(r, d_p)$ is stable with respect to certain polarisations. Hence $H^0(C \times \mathcal{Q}(r, d_p), \text{ad } \mathcal{A}(r, d_p)^{\vee}) = 0$. Now from Theorem 2.15 we get the result proved in [BM16]:

Corollary 3.5. [BM16, Theorem 2.1] Let C be a smooth projective curve of genus ≥ 2 over an algebraically closed field k of characteristic zero. We have the following isomorphisms of algebraic groups and lie algebras

- (1) $\operatorname{Aut}^{o}(\mathcal{Q}(r, d_p, d_z)) \cong \operatorname{PGL}(r).$
- (2) $H^0(\mathcal{Q}(r, d_p, d_z), \mathcal{T}_{\mathcal{Q}(r, d_p, d_z)}) = \mathfrak{sl}(r).$

Corollary 3.6. Let C be a smooth projective curve over an algebraically closed field k. Let E be a vector bundle of rank ≥ 3 over C. Fix $d \geq 1$. Let Q(E, d) be the quot scheme of torsion quotients of E of degree d. Then we have

(1) If genus of C = 0, i.e. $C \cong \mathbb{P}^1$, then

$$\operatorname{Aut}^{o}(\mathcal{Q}(E,d)) = \operatorname{PGL}(2,k) \ltimes \operatorname{GL}(E)/k^{*}$$

(2) If genus of C = 1 and if E is semistable then we have the following sequence of algebraic groups

 $0 \to \operatorname{GL}(E)/k^* \to \operatorname{Aut}^o(\mathcal{Q}(E,d)) \to \operatorname{Aut}^o(C) \to 0.$

(3) If E is not semistable, then $\operatorname{Aut}^{o}(\mathcal{Q}(E,d)) = GL(E)/k^{*}$.

Proof. If $C \cong \mathbb{P}^1$ then any vector bundle E admits a $\operatorname{GL}(2)$ linearisation, in paricular we have a homomorphism $\operatorname{GL}(2) \to \operatorname{Aut}^o(\mathcal{Q}(E,d))$. This homomorphism factors through $\operatorname{PGL}(2,k)$ and gives a section to the map $\operatorname{Aut}^o(\mathcal{Q}(E,d)) \to \operatorname{PGL}(2,k)$. Therefore the left exact sequence in Corollary 3.1 is exact in this case and it splits.

From now on we assume that genus of C is 1 i.e. C is an elliptic curve. Recall that a bundle E is called semi-homogeneous if $\operatorname{Aut}^o(\mathbb{P}(E)) \to \operatorname{Aut}^o(C) = C$ is surjective ([Muk78, Definition 5.2]). By [Muk78, Proposition 6.13] every semi-homogenous bundle is semistable. Hence (3) follows from Corollary 3.1. Let us assume E is semistable. Then $E \cong \oplus E_i$, where E_i are indecomposable of slope $\mu(E_i) = \mu(E)$. By [Ati57, Theorem 10] any indecomposable bundle over C is semi-homogenous and therefore by [Muk78, Proposition 6.9] we have that E is semi-homogenous. Now (2) follows from Corollary 3.1.

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