# LOWER BOUNDS ON THE ESSENTIAL DIMENSION OF REDUCTIVE GROUPS

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Abstract. We introduce a new technique for proving lower bounds on the essential dimension of split reductive groups. As an application, we strengthen the best previously known lower bounds for various split simple algebraic groups, most notably for the exceptional group  $E_8$ . In the case of the projective linear group  $PGL_n$ , we recover A. Merkurjev's celebrated lower bound with a simplified proof. Our technique relies on decompositions of loop torsors over valued fields due to P. Gille and A. Pianzola.

#### CONTENTS



#### 1. Introduction

<span id="page-0-0"></span>Let G be a smooth linear algebraic group over a field  $k_0$  and  $\gamma \in H^1(L, G)$  a G-torsor over a field  $k_0 \subset L$ . A field of definition for  $\gamma$  is a subfield  $k_0 \subset F \subset L$  such that  $\gamma$  lies in the image of the natural map:

$$
H^1(F, G) \to H^1(L, G).
$$

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The essential dimension of  $\gamma$  is the minimal number of parameters needed to define  $\gamma$ . It is given by the formula:

$$
ed(\gamma) = \min \Big\{ t \text{rdeg}_{k_0}(F) \mid F \text{ is a field of definition of } \gamma \Big\}.
$$

The essential dimension of G is defined as the supremum  $ed(G) = sup\{ed(\gamma)\}\$  taken over all torsors  $\gamma \in H^1(L, G)$  and all fields  $k_0 \subset L$ . It should be thought of as the minimal number of algebraically independent parameters needed to define an arbitrary G-torsor. Often Gtorsors correspond bijectively to a class of algebraic objects, in which case  $ed(G)$  is the minimal number of independent parameters needed to define a member of that class. For example:

- The essential dimension of the symmetric group  $S_d$  is the number of independent variables required to define an arbitrary field extension of degree d. In this form, mathematicians have tried to compute it as early as the 17th century. For an overview, see  $[22],[10]$  $[22],[10]$  and  $[9]$ .
- The essential dimension of  $PGL_d$  is the number of independent variables required to define an arbitrary central division algebra of degree  $d$ . This quantity has been studied since generic division algebras were first defined by C. Procesi [\[51,](#page-49-0) Section 2].

The problem of computing  $ed(G)$  for a general algebraic group G has been studied by many authors since it was first posed by J. Buhler-Z. Reichstein [\[9\]](#page-48-2) and Reichstein [\[52\]](#page-49-1). For a comprehensive survey of the developments in the field, we refer the reader to [\[44\]](#page-49-2).

Let p be a prime integer. The *essential dimension at* p of G, denoted ed( $G; p$ ), measures how many parameters are required to construct an arbitrary G-torsor up to prime-to-p extensions. See Section [2](#page-4-0) for a precise definition. The inequality  $ed(G) \geq ed(G; p)$  always holds, and almost all existing techniques to prove lower bounds on  $ed(G)$  apply to  $ed(G; p)$ as well; See [\[53,](#page-49-3) Section 5]. The same is true of the new technique introduced in this paper.

1.1. Overview of previous techniques. B. Youssin-Z. Reichstein gave the first systematic way to prove lower bounds on the essential dimension of algebraic groups. We recall their main theorem, commonly referred to as "the fixed-point method" because it was proven by an analysis of fixed points on generically free G-varieties. See  $[27], [14]$  $[27], [14]$  for generalizations to positive characteristic.

<span id="page-1-0"></span>Theorem 1.1. [\[55,](#page-49-4) Theorem 7.7] Assume G is defined over an algebraically closed field of characteristic zero  $k_0$  and  $G^\circ$  is semisimple. Let  $A \subset G(k_0)$  be a finite abelian group and p a prime number.

- (1) If  $C_G(A \cap G^{\circ})$  is finite, then  $ed(G) \geq rank(A)$ .
- (2) If A is a p-group and  $C_G(A \cap G^{\circ})$  is finite, then  $ed(G; p) \geq rank(A)$ .

While Theorem [1.1](#page-1-0) applies to many groups, it usually gives bounds which are far from tight. This is partially explained by the fact that the G-torsors witnessing the lower bound can be constructed over an iterated Laurent series field  $k_0((t_1)) \dots ((t_r))$  which is a relatively simple field when  $k_0$  is algebraically closed (here  $r = \text{rank}(A)$ ).

P.Brosnan-A.Vistoli-Reichstein [\[8\]](#page-48-5), [\[6\]](#page-48-6) and later A.Merkurjev-N.Karpenko [\[32\]](#page-49-5) introduced stack-theoretic techniques to construct G-torsors of high essential dimension over function fields of Severi-Brauer varieties. Stack-theoretic techniques give much stronger lower bounds than is possible using Theorem [1.1](#page-1-0) for some groups, like finite  $p$ -groups and algebraic tori [\[32\]](#page-49-5),[\[36\]](#page-49-6). However, they give trivial lower bounds for most semisimple groups, including all adjoint simple groups. In [\[43\]](#page-49-7), Merkurjev overcame this limitation of the stack-theoretic methods for adjoint groups of type  $A_n$  by proving  $ed(PGL_n; p) \geq ed(T; p)$  for a certain torus T. He then computed ed $(T;p)$  using [\[36\]](#page-49-6) to obtain the lower bounds

<span id="page-2-1"></span>
$$
(1.1) \qquad \qquad \text{ed}(\text{PGL}_{p^r}; p) \ge (r-1)p^r + 1
$$

which are orders of magnitude stronger than the lower bounds previously obtained by The-orem [1.1.](#page-1-0) Merkurjev's arguments are specific to groups of type  $A_n$  because they rely on explicit computations in the Brauer group (see [\[13\]](#page-48-7) for generalizations to  $SL_n/\mu_d$ ).

1.2. Main results. In this paper, we introduce a new technique to prove lower bounds on  $ed(G), ed(G; p)$ , which applies whenever  $G^{\circ}$  is split reductive. We proceed in two steps:

- (1) We first prove  $ed(G) \geq ed(C_G(A))$  for any finite split diagonalizable subgroup  $A \subset G$ satisfying certain conditions.
- (2) We choose A in a systematic way, so that  $C_G(A)$  is an extension of a torus by a finite group. This allows us to apply the results of [\[37\]](#page-49-8) to give a strong lower bound on  $ed(C_G(A)).$

In this way we obtain new lower bounds on the essential dimension of some simple groups as well as recover  $(1.1)$ , see Theorem [1.5](#page-3-0) below and Section [14.](#page-34-0) The next theorem gives sufficient conditions for the inequality  $ed(G) \geq ed(C_G(A))$  to hold. Note that we do not assume  $G^{\circ}$ is split. Recall that a G-torsor  $[c_{\sigma}] \in H^1(F, G)$  is called *anisotropic* if the twisted group  $_c G$ contains no copy of  $\mathbb{G}_m$ . A finite algebraic group A over  $k_0$  is called *split-diagonalizable*, if it is isomorphic to  $\mu_{n_1} \times \cdots \times \mu_{n_r}$  for some  $n_1, \ldots, n_r$  coprime to char  $k_0$ .

<span id="page-2-0"></span>**Theorem 1.2.** Let G be a smooth linear algebraic group over a field  $k_0$ . Assume either  $k_0$ is perfect or  $G^{\circ}$  is reductive. Let  $A \subset G$  be a finite split-diagonalizable subgroup.

(1) Let  $p \neq$  char  $k_0$  be a prime. If A is a p-group and  $C_G(A)$  admits an anisotropic torsor over some p-closed field  $k_0 \subset k$ , then we have:

$$
\operatorname{ed}(G;p) \ge \operatorname{ed}(C_G(A);p).
$$

(2) Assume char  $k_0$  is good for G (see Definition [2.1\)](#page-4-1). If  $C_G(A)$  admits an anisotropic torsor over some field  $k_0 \subset k$ , then we have:

$$
ed(G) \geq ed(C_G(A)).
$$

Let F be a Henselian valued field with value group of finite rank. Our proof of Theorem  $1.2$ relies on the decompositions of *loop torsors* over  $F$ . Loop torsors and their decompositions were introduced by P. Gille-A. Pianzola for iterated Laurent series over a characteristic zero field in the context of the classification of loop algebras [\[25\]](#page-48-8). We will use both [\[25\]](#page-48-8) and the recent generalizations to valuation rings of positive characteristic obtained by Gille [\[24\]](#page-48-9).

**Remark 1.3.** Theorem [1.1](#page-1-0) is a special case of Theorem [1.2.](#page-2-0) Indeed, if  $C_G(A \cap G^{\circ})$  is finite, then so is  $C_G(A)$ . Combining [\[9,](#page-48-2) Lemma 4.1] and [9, Theorem 6] gives:

$$
ed(C_G(A)) \ge ed(A) = rank(A).
$$

Since  $C_G(A)$  is finite, all  $C_G(A)$ -torsors are anisotropic. Therefore Theorem [1.2](#page-2-0) implies:

$$
ed(G) \ge ed(C_G(A)) \ge rank(A).
$$

Note that the above argument works under the assumption  $|C_G(A)| < \infty$ , which is strictly weaker than  $|C_G(A \cap G^{\circ})| < \infty$ .

As noted in the previous remark, any  $C_G(A)$ -torsor is anisotropic when  $C_G(A)$  is finite. However, in general, there is no simple criterion to determine whether  $C_G(A)$  admits anisotropic torsors. J. Tits classified the simple simply connected split groups that do not admit anisotropic torsors [\[63\]](#page-50-0). For a generalization of his work to arbitrary simple groups and for semisimple groups of certain types, see  $[48]$ . We have no examples where the inequalities of Theorem [1.2](#page-2-0) fail, so it is natural to ask if one may improve the theorem as follows:

<span id="page-3-1"></span>**Question 1.4.** Is the conclusion of Theorem [1.2](#page-2-0) true without the assumption that  $C_G(A)$ admits anisotropic torsors?

After the proof of Theorem [1.2](#page-2-0) in Section [10,](#page-25-0) we give an example where all  $C_G(A)$ -torsors are isotropic and our proof breaks down. However, we have no reason to expect a negative answer to Question [1.4.](#page-3-1)

In Section [12,](#page-30-0) we give a streamlined root-theoretic approach to choosing split-diagonalizable subgroups of split groups that satisfy the conditions of Theorem [1.2.](#page-2-0) This leads to the following new lower bounds:

<span id="page-3-0"></span>**Theorem 1.5.** Assume char  $k_0 \neq 2, 3$ .

 $(1) \text{ ed}(E_8; 2) = \text{ed}(HSpin_{16}; 2) \geq 56$ (2) ed( $E_8$ ; 3)  $\geq 13$ (3) ed( $E_6^{ad}$ ; 3)  $\geq 6$ (4)  $ed(E_7^{ad}; 2) \ge 19$ (5) If  $n = 2^r m \ge 4$  for  $r \ge 1$  and m is odd, then  $\operatorname{ed}(\operatorname{PGO}_{2n}^+; 2) \ge (r-1)2^{r+1} + n.$ 

(6) If  $n > 3$  is odd, then

$$
ed(PGO_{2n}^+;2) \ge 3n-4.
$$

The inequalities in Theorem [1.5](#page-3-0) improve on long-standing lower bounds. To put them into context, we include a list indicating the best lower and upper bounds for these groups that were known prior to this paper.

**Previously known bounds.** Assume char  $k_0 \neq 2, 3$ .

(1)  $120 \ge \text{ed}(E_8; 2) \ge 9$ (2)  $73 \ge \text{ed}(E_8; 3) \ge 5$ (3)  $21 \ge \text{ed}(E_6^{ad}; 3) \ge 4$ (4)  $57 \ge \text{ed}(E_7^{ad}; 2) \ge 8$ (5) If  $n = 2<sup>r</sup>m$  for some  $r \ge 0$  and  $m \ge 3$  is odd, then  $(m-1)2^{2(r+1)} - n \ge \text{ed}(\text{PGO}_{2n}^+; 2) \ge 2n - 2.$ 

(6) If  $n = 2^r$  for some  $r \geq 2$ , then

$$
n^2 - n \ge \text{ed}(\text{PGO}_{2n}^+; 2) \ge r2^r.
$$

All of the lower bounds in  $(1)-(4)$  follow from the characteristic-free versions of Theo-rem [1.1;](#page-1-0) See  $[14]$  and  $[27]$ . For references for the upper bounds in  $(1)-(4)$ , see  $[44, 3h]$ . The upper bounds in  $(5)$  and  $(6)$  are due to M. Macdonald [\[39,](#page-49-10) Section 0.2]. For the lower bounds in  $(5),(6)$ , see [\[14,](#page-48-4) Theorem 13] and [\[1,](#page-47-1) Lemma 2.6] respectively. We note that  $(5)$  implies

$$
3n - 4 \ge \text{ed}(\text{PGO}_{2n}^+; 2)
$$

for odd n; See also [\[1,](#page-47-1) Corollary 3.2]. Therefore the inequality in Theorem [1.5\(](#page-3-0)6) is tight.

Recall that the *reductive rank* of G is the dimension of a maximal torus in  $T \subset G$ . Clearly if  $H \subset G$  is a subgroup, then  $rank(H) \le rank(G)$ . A subgroup H is said to be of maximal rank if  $rank(H) = rank(G)$ . In Section [11,](#page-28-0) we use Theorem [1.2](#page-2-0) to prove

$$
\mathrm{ed}(G) \ge \mathrm{ed}(H), \ \mathrm{ed}(G;p) \ge \mathrm{ed}(H;p)
$$

for reductive subgroups  $H \subset G$  of maximal rank under certain conditions; See Corollary [11.1.](#page-28-1) We use this corollary to establish Parts  $(1),(2)$  and  $(4)$  of Theorem [1.5.](#page-3-0) The proof relies on Borel–de Siebenthal's theorem on reductive subgroups of maximal rank [\[4\]](#page-47-2).

1.3. Outline of the paper. The rest of the paper is structured as follows. Sections [2](#page-4-0)[-4](#page-10-0) deal with notation and preliminaries. In Section [5](#page-13-0) we introduce the definition of loop torsors and their decompositions. In Section [6](#page-14-0) we adapt of a theorem of Gille-Pianzola about the uniqueness of decompositions of anisotropic loop torsors to our needs. Section [7](#page-17-0) deals with the functoriality of decompositions of loop torsors with respect to extension of scalars. In Section [8](#page-20-0) we give criteria to determine whether a G-torsor is a loop torsor. The proof of Theorem [1.2](#page-2-0) is completed in Section [10.](#page-25-0) Section [12](#page-30-0) contains a method of choosing subgroups  $A \subset G$  that satisfy the conditions of Theorem [1.2](#page-2-0) and such that  $C_G(A)^\circ$  is a split torus. The last four sections contain the computations needed to prove Theorem [1.5.](#page-3-0)

1.4. **Acknowledgments.** I am grateful to A. Soofiani for many fruitful discussions, and to V. Chernousov for pointing out the connection between my ideas and his work with P. Gille and A. Pianzola. This connection was further explained to me by Gille and it simplified this paper greatly. Finally, I would like to thank my supervisor Z. Reichstein for many useful meetings, suggestions and advice throughout the work on this project.

# 2. NOTATION

<span id="page-4-0"></span>Throughout this paper,  $G$  will denote a smooth linear algebraic group over a base field  $k_0$ . All fields and rings we consider will contain  $k_0$ . We will sometimes assume G and  $k_0$  satisfy the following additional assumptions.

<span id="page-4-1"></span>**Definition 2.1.** Let  $R_u(G) \subset G^{\circ}$  denote the unipotent radical of G and set  $\overline{G} = G/R_u(G);$ See [\[46,](#page-49-11) Definition 6.44] for the definition of  $R_u(G)$ . We say that the characteristic of  $k_0$  is good for G if the following hold:

- (1) The group  $\overline{G}^{\circ}$  is reductive.
- (2) There exists a maximal torus  $T \subset \overline{G}$  split by a prime-to-char  $k_0$  extension of  $k_0$  and such that  $|N_{\overline{G}}(T)/T|$  is prime to char  $k_0$  (note that  $|N_{\overline{G}}(T)/T|$  is finite because  $\overline{G}^{\circ}$  is reductive).

Note that condition (1) is automatic if  $G^{\circ}$  is reductive or  $k_0$  is perfect [\[46,](#page-49-11) Proposition 19.11].

We fix a system of compatible primitive roots of unity  $\zeta_n \in k_{0, \text{sep}}$  for all n not divisible by char  $k_0$ . Let  $k_0 \subset k$  be a field. We will denote the cyclotomic character of k by:

$$
\theta : \operatorname{Gal}(k) \to (\hat{\mathbb{Z}}')^*.
$$

Here  $\hat{\mathbb{Z}}' = \prod_{p \neq \text{char } k_0} \hat{\mathbb{Z}}_p$  is the prime-to-char  $k_0$  part of the profinite integers  $\hat{\mathbb{Z}}$ . The cyclotomic character is the unique homomorphism satisfying:

<span id="page-5-0"></span>
$$
\sigma \zeta_n = \zeta_n^{\theta(\sigma)}
$$

for all n not divisible by char  $k_0$  and  $\sigma \in \text{Gal}(k)$ . We will identify étale groups A over k with their Gal(k)-group of points  $A(k_{\text{sep}})$ . This will be particularly convenient when working with finite split-diagonalizable subgroups  $A \subset G$ . Recall that a *finite split-diagonalizable* group A over k is an étale group scheme isomorphic to  $\mu_{n_1} \times \cdots \times \mu_{n_r}$  for some  $n_1, \ldots, n_r$  coprime to char  $k_0$ . The following characterization of split-diagonalizable groups will be used implicitly throughout the paper. We leave the proof as an exercise to the reader.

<span id="page-5-1"></span>**Fact 2.2.** Let A be an abelian étale group over k such that |A| is prime to char k. Then A is split-diagonalizable if and only if for any  $a \in A$  and  $\sigma \in \text{Gal}(k)$ :

$$
(2.1) \t\t\t\t $\sigma_a = a^{\theta(\sigma)}.$
$$

The letter O will denote a Henselian valuation ring containing  $k_0$ . We set  $F = \text{Frac}(\mathcal{O})$ and let  $\nu: F^* \to \Gamma_F$  be the corresponding valuation. The residue field of  $\nu$  will be denoted k. Note there exists a natural embedding  $k_0 \subset k$  induced from the inclusion  $k_0 \subset \mathcal{O}$ . Since  $\nu$ is Henselian, it admits a unique extension to any algebraic extension  $F \subset L$ . We will denote the extension of  $\nu$  to L by  $\nu$  again by abuse of notation. Unless explicitly stated we always assume:

## <span id="page-5-3"></span>**Assumption 2.3.** The value group  $\Gamma_F$  is a finitely generated (free) abelian group.

We will say  $(F, \nu)$  is an *iterated Laurent series field*, if it is isomorphic to  $k((t_1)) \dots ((t_r))$ equipped with the usual  $(t_1, \ldots, t_r)$ -adic valuation for some  $r \geq 0$ ; See [\[62,](#page-50-1) Section 1.1]. Let  $F_{\text{in}} \subset F_{\text{tr}}$  be the maximal inertial and tamely ramified extensions of F inside a fixed separable closure  $F_{\text{sep}}$ . The corresponding Henselian valuation rings are denoted  $\mathcal{O}_{\text{in}} \subset \mathcal{O}_{\text{tr}}$ . We will use the following notation for the tamely ramified part of the absolute Galois group of  $F$ :

$$
Gal_{tr}(F) = Gal(F_{tr}/F).
$$

We will denote the homomorphism  $G(\mathcal{O}_{tr}) \to G(k_{sep})$  induced from the residue homomorphism  $\mathcal{O}_{tr} \to k_{sep}$  by  $g \mapsto \overline{g}$ . We refer the reader to [\[18\]](#page-48-10), [\[62,](#page-50-1) Appendix A] for general results on valuation theory.

<span id="page-5-2"></span>**Remark 2.4.** All of  $\mathcal{O}, \mathcal{O}_{\text{in}}, \mathcal{O}_{\text{tr}}$  are stabilized by  $Gal_{tr}(F)$  because the extension of  $\nu$  to  $F_{tr}$  is preserved by  $Gal_{tr}(F)$ . Moreover,  $Gal_{tr}(F)$  stabilizes the maximal ideal of  $\mathcal{O}_{tr}$  and so it acts on its residue field  $k_{\text{sep}}$ . Therefore  $Gal_{tr}(F)$  acts on  $G(\mathcal{O}_{\text{in}}), G(\mathcal{O}_{\text{tr}}), G(k_{\text{sep}})$  and the induced map  $G(\mathcal{O}_{tr}) \to G(k_{sep})$  is  $Gal_{tr}(F)$ -equivariant.

The symbol  $H^1(F, G)$  will stand for the Galois cohomology set  $H^1(\text{Gal}(F), G(F_{\text{sep}}))$ . We will denote cohomology classes in  $H^1(F, G)$  by  $[c_{\sigma}]$  where  $c_{\sigma} \in Z^1(\text{Gal}(F), G(F_{\text{sep}}))$  is a  $Gal(F)$ -cocycle. Let  $F \subset L$  be a field extension and assume  $F_{sep} \subset L_{sep}$ . The restriction map of absolute Galois groups

$$
\mathrm{Gal}(L) \to \mathrm{Gal}(F), \ \sigma \mapsto \sigma_{|F_{\mathrm{sep}}}
$$

gives rise to inflation maps

$$
H^1(F, G) \to H^1(L, G), \ \gamma \mapsto \gamma_L.
$$

Here  $\gamma_L$  is the cohomology class of the inflation of  $c_{\sigma}$  to L given by

$$
\mathrm{Inf}_{L/F}(c)_{\sigma} = c_{\sigma_{|F_{\mathrm{sep}}}}.
$$

The set of *tamely ramified torsors* will be denoted:

$$
H_{\text{tr}}^1(F, G) = H^1(\text{Gal}_{\text{tr}}(F), G(F_{\text{tr}})).
$$

There is always a natural inclusion  $H^1_{tr}(F, G) \subset H^1(F, G)$  which is a bijection if  $G^{\circ}$  is reductive and char  $k_0$  is good for G in the sense of Definition [2.1](#page-4-1) (see Proposition [8.1\)](#page-20-1).

The morphism  $\text{Spec } \mathcal{O}_{\text{in}} \to \text{Spec } \mathcal{O}$  is the universal pro-étale cover of  $\text{Spec } \mathcal{O}$  and therefore there is a natural identification  $\pi_1(\text{Spec } \mathcal{O}) = \text{Gal}(F_{\text{in}}/F)$  [\[45,](#page-49-12) Example 5.2.(d)]. For any cocycle  $a_{\sigma} \in Z^1(\text{Gal}(F_{\text{in}}/F), G(\mathcal{O}_{\text{in}}))$ , we will write <sub>a</sub>G for the twist of  $G_{\mathcal{O}}$  by the G-torsor defined by  $a_{\sigma}$  over  $\mathcal{O}$ ; See [\[23,](#page-48-11) Section 2.2].

A variety X over  $k_0$  is a reduced (but possibly reducible) quasi-projective scheme of finite type. Finally, we recall the definition of the essential dimension of G at a prime.

**Definition 2.5.** Let  $\gamma \in H^1(F, G)$  be a G-torsor over a field  $k_0 \subset F$  and p a prime integer. An algebraic extension  $F \subset L$  is called a *prime-to-p* extension if any finite subextension  $F \subset L' \subset L$  is of degree prime to p. The *essential dimension at* p of  $\gamma$  is the minimal number of parameters required to define  $\gamma$  if one ignores prime-to-p extensions:

$$
ed(\gamma; p) = \min \Big\{ ed(\gamma_L) \mid F \subset L \text{ is a prime-to-}p \text{ field extension} \Big\}.
$$

<span id="page-6-0"></span>We define the *essential dimension at p* of G by  $ed(G; p) = sup\{ed(\gamma; p)\}\$ , the supremum taken over all G-torsors as before.

## <span id="page-6-1"></span>3. Preliminaries about Henselian valued fields

3.1. A fundamental exact sequence. Recall that the Galois extensions  $F \subset F_{\text{in}} \subset F_{\text{tr}}$ give us the exact sequence

(3.1) 
$$
1 \to \mathrm{Gal}_{\mathrm{tr}}(F_{\mathrm{in}}) \to \mathrm{Gal}_{\mathrm{tr}}(F) \to \mathrm{Gal}(F_{\mathrm{in}}/F) \to 1.
$$

The groups  $Gal<sub>tr</sub>(F<sub>in</sub>)$  and  $Gal(F<sub>in</sub>/F)$  can be understood in terms of the value group  $\Gamma_F$ and the residue field k respectively. There is a natural isomorphism  $Gal(F_{in}/F) \cong Gal(k)$ given by taking an automorphism  $\sigma \in Gal(F_{\rm in}/F)$  to the unique automorphism  $\overline{\sigma} \in Gal(k)$ satisfying for all  $x \in \mathcal{O}$ :

$$
\overline{\sigma}(\overline{x}) = \sigma(x),
$$

<span id="page-6-3"></span>where  $\overline{x} \in k$  is the residue class of x [\[62,](#page-50-1) Theorems A.23].

**Remark 3.1.** We will often identify  $Gal(F_{in}/F)$  and  $Gal(k)$  using this isomorphism. For example, we might act on  $G(F_{\text{in}})$  using  $Gal(k)$  or act on  $G(k_{\text{sep}})$  using  $Gal(F_{\text{in}}/F)$ .

The group  $Gal_{tr}(F_{in})$  is the *tame inertia group* of F. Let  $\mathbb{Z}' = \prod_{p \neq char k} \mathbb{Z}_p$  be the primeto-char k part of  $\hat{\mathbb{Z}}$  and set  $\Gamma_F^{\vee} := \text{Hom}(\Gamma_F, \hat{\mathbb{Z}}')$ . There is a natural isomorphism (depending only on our choice of roots of unity)

<span id="page-6-4"></span><span id="page-6-2"></span>.

(3.3) 
$$
\Phi : \mathrm{Gal}_{\mathrm{tr}}(F_{\mathrm{in}}) \tilde{\rightarrow} \Gamma_F^{\vee}
$$

The isomorphism  $\Phi$  is uniquely determined by the following equation, which holds for all n prime to char  $k, x \in F^*$  and  $\sigma \in \text{Gal}_{tr}(F_{\text{in}})$ :

<span id="page-7-0"></span>(3.4) 
$$
\frac{\sigma(x^{1/n})}{x^{1/n}} = \zeta_n^{\Phi(\sigma)(\nu(x))}.
$$

<span id="page-7-3"></span>See [\[62,](#page-50-1) Theorem A.24] for example. Note that the above expression does not depend on a choice of an *n*-th root of x because  $F_{\text{in}}$  contains all *n*-th roots of unity.

**Remark 3.2.** Since we are assuming  $\Gamma_F \cong \mathbb{Z}^r$  for some r, we have  $\Gamma_F^{\vee} \cong \text{Hom}(\mathbb{Z}^r, \hat{\mathbb{Z}}') \cong \hat{\mathbb{Z}}^{r}$ . In particular, any finite quotient of  $\Gamma_F^{\vee}$  is an abelian group of order prime to char k.

Next we introduce uniformizers. They will help us describe splittings of  $(3.1)$  in a systematic way.

**Definition 3.3.** A left inverse  $\pi : \Gamma_F \to F^*$  to  $\nu : F^* \to \Gamma_F$  will be called a *uniformizing* parameter. Since the group operation in  $\Gamma_F$  is written additively while  $F^*$  is written multiplicatively, it will be convenient for us to use the exponential notation  $\pi^{\gamma}$  in place of  $\pi(\gamma)$ , for any  $\gamma \in \Gamma_F$ .

<span id="page-7-1"></span>The next proposition gives a convenient splitting of  $(3.1)$ . It is originally due to J. Neukirch [\[47,](#page-49-13) Satz 2].

**Proposition 3.4.** Let  $\pi : \Gamma_{F_{tr}} \to F_{tr}^*$  be a uniformizer such that  $\pi^\gamma \in F$  for all  $\gamma \in \Gamma_F$ .

- (1) The field  $F_{\pi} := F(\pi^{\gamma}; \gamma \in \Gamma_{F_{tr}})$  is a complement of  $F_{in}$  in  $F_{tr}$ . That is, it satisfies  $F_{\pi}F_{\text{in}}=F_{\text{tr}}$  and  $F_{\pi}\cap F_{\text{in}}=F$ .
- (2) There exists a unique section  $s_{\pi}$ : Gal $(F_{\text{in}}/F) \rightarrow$  Gal<sub>tr</sub> $(F)$  of the homomorphism  $Gal<sub>tr</sub>(F) \rightarrow Gal(F<sub>in</sub>/F)$  in [\(3.1\)](#page-6-1) whose image fixes  $F<sub>\pi</sub>$ .
- (3) Let  $\Phi$ :  $Gal<sub>tr</sub>(F<sub>in</sub>) \rightarrow \Gamma_F^{\vee}$  be the isomorphism [\(3.3\)](#page-6-2) and denote by

$$
\overline{s_{\pi}} : \operatorname{Gal}(k) \to \operatorname{Gal}_{\operatorname{tr}}(F)
$$

the composition of  $s_{\pi}$  with the isomorphism  $Gal(k) \to Gal(F_{\rm in}/F)$ . There exists an isomorphism:

<span id="page-7-2"></span>
$$
\Psi_{\pi}: Gal(k) \ltimes \Gamma_F^{\vee} \tilde{\to} Gal_{tr}(F), \ (\sigma, f) \mapsto \overline{s}_{\pi}(\sigma) \Phi^{-1}(f)
$$

Here the Gal(k)-action on  $\Gamma_F^{\vee}$  is given by pointwise multiplication with the cyclotomic  $character \ \theta : Gal(k) \rightarrow (\hat{\mathbb{Z}}')^*$ .

(4) For any  $(\sigma, f) \in \text{Gal}(k) \ltimes \Gamma_F^{\vee}$ ,  $u \in F_{\text{in}}$ , m coprime to char(k) and  $\gamma \in \Gamma_F$ , we have:

(3.5) 
$$
\Psi_{\pi}(\sigma, f)(u\pi^{\gamma/m}) = \sigma(u)\zeta_m^{\theta(\sigma)f(\gamma)}\pi^{\gamma/m}.
$$

Here we let  $Gal(k)$  act on  $F_{\text{in}}$  as in Remark [3.1.](#page-6-3)

*Proof.* Let  $\gamma_1, \ldots, \gamma_r$  be a Z-basis for  $\Gamma_F$ . The field  $F_n = F(\pi_1^{\gamma_1/n})$  $\pi_1^{\gamma_1/n}, \ldots, \pi_r^{\gamma_r/n}$ ) is totally tamely ramified with value group  $\frac{1}{n}\Gamma_F$  for all n prime to chark. Since  $\Gamma_{F_{tr}} = \bigcup_{n \text{ prime to } p}$ 1  $\frac{1}{n}\Gamma_F$  [\[62,](#page-50-1) Theorem A.24], we have:

$$
F_{\pi} = \bigcup_{n \text{ prime to } p} F_n.
$$

Therefore residue field of  $F_{\pi}$  is k and  $\Gamma_{F_{\pi}} = \Gamma_{F_{tr}}$ . This implies Parts (1) and (2) by [\[47,](#page-49-13) Satz 2] and the discussion following it; See also the proof of [\[18,](#page-48-10) Theorem 22.1.1]. Part (3)

follows from the fact that  $s_{\pi}$  splits the exact sequence [\(3.1\)](#page-6-1); See [\[18,](#page-48-10) Corollary 22.1.2]. To prove Part (4), we compute using Part (3):

$$
\Psi_{\pi}(\sigma, f)(u\pi^{\gamma/m}) = \overline{s}_{\pi}(\sigma)\Phi^{-1}(u\pi^{\gamma/m})
$$
  
\n
$$
= \overline{s}_{\pi}(\sigma)(u\zeta_m^{f(\gamma)}\pi^{\gamma/m})
$$
  
\n
$$
= \sigma(u\zeta_m^{f(\gamma)})\overline{s}_{\pi}(\sigma)(\pi^{\gamma/m})
$$
  
\n
$$
= \sigma(u)\zeta_m^{e(\sigma)f(\gamma)}\pi^{\gamma/m}.
$$

<span id="page-8-2"></span>Here the last three inequalities follow from  $(3.4)$ ,  $(3.2)$  and the fact that  $\overline{s}_{\pi}(\sigma)$  fixes  $F_{\pi}$ .  $\Box$ 

**Remark 3.5.** From now on, whenever we use a uniformizer  $\pi$  of  $F_{tr}$ , we will always assume  $\pi^{\gamma} \in F$  for all  $\gamma \in \Gamma_F$ . For any  $\pi_1, \ldots, \pi_r \in F^*$  such that  $\nu(\pi_1), \ldots, \nu(\pi_r)$  is a basis for  $\Gamma_F$ there exists a uniformizer  $\pi$  for F such that for all  $1 \leq i \leq r$ .

$$
\pi^{\nu(\pi_i)}=\pi_i.
$$

Moreover,  $\pi$  may be extended to a uniformizer of  $F_{tr}$ . To see this pick a compatible system of roots  $\pi^{1/n}$  for all *n* prime to  $q = \text{char } k_0$  as in [\[54,](#page-49-14) Lemma 3.2]. By [\[18,](#page-48-10) Section 16.2],  $\Gamma_{F_{tr}} = \mathbb{Z}_{(q)} \otimes_{\mathbb{Z}} \Gamma_F$ , where:

$$
\mathbb{Z}_{(q)} = \{ \frac{m}{n} \in \mathbb{Q} \mid n \text{ prime to } q \}.
$$

Therefore  $\nu(\pi_1), \ldots, \nu(\pi_r) \in \Gamma_{F_{tr}}$  is a  $\mathbb{Z}_{(q)}$  basis and the homomorphism

$$
\mathbb{Z}_{(q)} \otimes_{\mathbb{Z}} \Gamma_F \to F_{\text{tr}}, \quad \sum_{i=1,\dots,r} \frac{m_i}{n_i} \nu(\pi_i) \mapsto \prod_{i=1,\dots,r} \pi_i^{m_i/n_i},
$$

is a uniformizer for  $F_{tr}$  extending  $\pi$ .

3.2. Extensions of Henselian fields. Let  $L/F$  be an extension of Henselian fields and denote the corresponding extension of residue fields by  $l/k$ . Pick separable closures such that  $F_{\rm sep} \subset L_{\rm sep}$ . Then we have  $F_{\rm tr} \subset L_{\rm tr}$  and  $F_{\rm in} \subset L_{\rm in}$ . Therefore there are well-defined restriction maps:

$$
\mathrm{Gal}_{\mathrm{tr}}(L) \to \mathrm{Gal}_{\mathrm{tr}}(F), \ \ \mathrm{Gal}(L_{\mathrm{in}}/L) \to \mathrm{Gal}(F_{\mathrm{in}}/F), \ \mathrm{Gal}_{\mathrm{tr}}(L_{\mathrm{in}}) \to \mathrm{Gal}_{\mathrm{tr}}(F_{\mathrm{in}}).
$$

<span id="page-8-1"></span>There is also a restriction map  $\Gamma_L^{\vee} \to \Gamma_F^{\vee}$ ,  $f \mapsto f_{|F}$ , given by restricting a homomorphism  $f \in \Gamma_L^{\vee}$  to  $\Gamma_F \subset \Gamma_L$ . Next we state a functoriality lemma for the decomposition of  $Gal_{tr}(F)$ .

**Lemma 3.6.** Let  $F \subset L$  be an extension of Henselian valued fields and denote by  $\nu$  the valuation on L. Denote the residue field of F, L by k and l respectively. Let  $\pi, \tau$  be uniformizers for  $F_{tr}$  and  $L_{tr}$  respectively. Denote the induced section  $\overline{s}_{\tau}$ : Gal(l)  $\rightarrow$  Gal<sub>tr</sub>(L) and isomorphisms as in Proposition [3.4](#page-7-1) by

$$
\Psi_{\pi}^{F}: Gal(k) \ltimes \Gamma_{F}^{\vee} \to Gal_{tr}(F), \ \Psi_{\tau}^{L}: Gal(l) \ltimes \Gamma_{L}^{\vee} \to Gal_{tr}(L).
$$

For any  $\gamma \in \Gamma_{F_{tr}}$  set  $u^{\gamma} = \pi^{\gamma} \tau^{-\gamma}$ . For any  $\sigma \in \text{Gal}(l)$ , there exists a unique homomorphism  $\chi_{\sigma} \in \Gamma_F^{\vee}$  satisfying the equation:

(3.6) 
$$
\frac{\overline{s}_{\tau}(\sigma)(u^{\gamma/n})}{u^{\gamma/n}} = \zeta_n^{\chi_{\sigma}(\gamma)}
$$

for all  $\gamma \in \Gamma_F$  and n prime to chark. We have for all  $\sigma \in \text{Gal}(l), f \in \Gamma_L^{\vee}$ .

<span id="page-8-0"></span>
$$
\Psi_{\tau}^{L}(\sigma,f)_{|F_{\text{tr}}} = \Psi_{\pi}^{F}(\sigma_{|k_{\text{sep}}},f_{|F} + \theta(\sigma)^{-1}\chi_{\sigma}).
$$

*Proof.* For any  $\gamma \in \Gamma_F$  and n prime to chark,  $u^{\gamma/n}$  is an n-th root of  $u^{\gamma} \in L_{\text{in}}$ . Since  $u^{\gamma}$  is a unit and the residue field of  $L_{\text{in}}$  is separably closed, Hensel's lemma implies  $u^{\gamma/n} \in L_{\text{in}}$ . In particular, we have:

$$
\overline{s}_{\tau}(\sigma)(u^{\gamma/n}) = \sigma(u^{\gamma/n}).
$$

Therefore for any  $v \in F_{\text{in}}$ ,  $\gamma \in \Gamma_F$  applying  $(3.5)$  twice gives:

$$
\Psi_{\tau}^{L}(\sigma, f)(v\pi^{\gamma/n}) = \Psi_{\tau}^{L}(\sigma, f)(vu^{\gamma/n}\tau^{\gamma/n})
$$
  
\n
$$
=^{(3.5)} \sigma(v)\sigma(u^{\gamma/n})\zeta_{n}^{\theta(\sigma)f(\gamma)}\tau^{\gamma/n}
$$
  
\n
$$
= \sigma(v)\frac{\sigma(u^{\gamma/n})}{u^{\gamma/n}}\zeta_{n}^{\theta(\sigma)f(\gamma)}u^{\gamma/n}\tau^{\gamma/n}
$$
  
\n
$$
= \sigma(v)\zeta_{n}^{\chi_{\sigma}(\gamma)}\zeta_{n}^{\theta(\sigma)f(\gamma)}\tau^{\gamma/n}
$$
  
\n
$$
= \sigma(v)\zeta_{n}^{\theta(\sigma)f(\gamma)+\chi_{\sigma}(\gamma)}\tau^{\gamma/n}
$$
  
\n
$$
=^{(3.5)} \Psi_{\pi}^{F}(\sigma_{k_{\text{sep}}}, f_{|F} + \theta(\sigma)^{-1}\chi_{\sigma})(v\pi^{\gamma/n})
$$

The claim follows because elements of the form  $v\pi^{\gamma/n}$  generate  $F_{tr}$  over F by Proposi-tion [3.4\(](#page-7-1)1).

Next, we recall A. Ostrowski's foundational theorem in valuation theory. It will be used often and sometimes implicitly; See [\[18,](#page-48-10) Theorem 17.2.1] for a modern proof.

**Theorem 3.7.** [\[49\]](#page-49-15) Let  $F \subset L$  be a finite extension of Henselian valued fields with value groups  $\Gamma_F \subset \Gamma_L$  and residue fields  $k \subset l$ . There exists an integer  $\delta$  such that:

$$
[L : F] = \delta[\Gamma_L : \Gamma_F][l : k].
$$

If char  $k > 0$ , then  $\delta$  is a power of chark and  $\delta = 1$  otherwise. In particular, both  $[\Gamma_L : \Gamma_F]$ and  $[l : k]$  divide  $[L : F]$ .

<span id="page-9-0"></span>We finish this section with a technical lemma that will be used to avoid working with infinite algebraic extensions.

**Lemma 3.8.** Let  $(F, \nu)$  be an ascending union of a countable chain of valued field  $F_1 \subset$  $F_2 \subset \ldots$  and let  $L/F$  be a tamely ramified Galois extension. For all large enough i there exists a tamely ramified Galois extension  $L_i/F_i$  such that L is a compositum  $L = L_iF$  and the restriction map  $Gal(L/F) \rightarrow Gal(L_i/F_i)$  is an isomorphism.

*Proof.* Let  $x \in L$  be an element such that  $L = F(x)$  and let  $P(t) \in F[t]$  be the minimal polynomial of x. For large enough  $i, P(t) \in F_i[t]$  and so  $P(t)$  is also the minimal polynomial of x over  $F_i$ . Set  $L_i := F_i(x)$  and note

$$
[L_i : F_i] = \deg P = [L : F].
$$

By enlarging i further we can make  $P(t)$  factor fully over  $L_i$  so that  $L_i/F_i$  is Galois. Let  $l_i/k_i$ denote the residue field extension of  $L_i/F_i$ . A similar argument shows that  $l_i/k_i$  is Galois of degree  $\{l : k\}$  for large enough i. One also easily checks that for large enough i we have  $[\Gamma_{L_i} : \Gamma_{F_i}] = [\Gamma_L : \Gamma_F]$ . Combining all of this together, we find that for large *i*:

$$
[L_i : F_i] = [L : F] = [\Gamma_L : \Gamma_F][l : k] = [\Gamma_{L_i} : \Gamma_{F_i}][l_i : k_i].
$$

Therefore  $L_i/F_i$  is defectless and tamely ramified. The restriction map  $Gal(L/F) \rightarrow Gal(L_i/F_i)$ is an isomorphism because it is injective and

$$
|\operatorname{Gal}(L/F)| = [L : F] = [L_i : F_i] = |\operatorname{Gal}(L_i/F_i)|.
$$

<span id="page-10-0"></span>Indeed, any two different elements  $\sigma, \tau \in \text{Gal}(L/F)$  differ on  $L_i$  for large enough i.

#### 4. Preliminaries about anisotropic torsors

<span id="page-10-1"></span>In this final section of preliminaries, we record a couple of facts about versal torsors and anisotropic torsors. We first recall the definition of a versal torsor.

**Definition 4.1.** A versal torsor  $\gamma \in H^1(l, G)$  is the generic fiber of a G-torsor for the étale topology  $T \to B$  such that:

- (1) B is an irreducible smooth variety over  $k_0$ .
- (2) For any field extension  $E/k_0$  with  $|E| = \infty$  and open dense subset  $U \subset B$ , there exists  $u \in U(E)$  such that:

$$
\eta \cong T_u := T \times_u \operatorname{Spec} E.
$$

Note that there exists a versal G-torsor [\[59,](#page-50-2) Section 5.3]. A G-torsor  $T \to B$  is called isotropic if there exists an embedding  $\mathbb{G}_m \to {}_T G$  over B, where  ${}_T G$  denotes the twisted group defined by  $T$ ; See [\[23,](#page-48-11) Page 6]. The following technical lemma shows the notion of isotropy plays well with inductive limits of base rings.

<span id="page-10-2"></span>**Lemma 4.2.** Let  $T \to B$  be a G-torsor over an irreducible variety B over  $k_0$ .

- (1) If T is isotropic, then so is  $T_u$  for any  $k_0$ -algebra R and  $u \in B(R)$ .
- (2) Let  $(\Lambda, \leq)$  be a partially ordered filtered set with minimal element  $0 \in \Lambda$ . Let  $(R_{\lambda})_{\lambda \in \Lambda}$ be an inductive system of  $k_0$ -algebras over  $\Lambda$  with transition maps  $\sigma_{\lambda\mu}: R_\lambda \to R_\mu$  for all  $\lambda \leq \mu$ . Let  $u_0$ : Spec  $R_0 \to B$  be a point and set  $u_\lambda = u_0 \circ \sigma_{0\lambda}$  for any  $\lambda \in \Lambda$ . Assume  $(R_{\lambda})_{\lambda \in \Lambda}$  has an inductive limit R and let  $u = u_0 \circ \iota$ , where  $\iota : \text{Spec } R \to$ Spec  $R_0$  is the canonical morphism. If  $T_u$  is isotropic, then  $T_{u_\lambda}$  is isotropic for some  $\lambda \in \Lambda$ .
- (3) Let  $u \in B(L)$  be the generic point of B. If  $T_u$  is isotropic, then there exists an open  $U \subset B$  such that  $T_U$  is isotropic.
- (4) Let  $\gamma \in H^1(k, G)$  be a torsor over a field extension  $k/k_0$ . If  $\gamma_l$  is isotropic for some  $l/k$ , then  $\gamma_{l'}$  is isotropic for some finitely generated subextension  $k \subset l' \subset l$ .

*Proof.* Let  $G' = T G$  be the twisted group over B defined by T. For any  $u \in B(R)$ , let  $G'_u = T_u G$  denote the group  $G' \times_u \text{Spec } R$  over  $\text{Spec } R$ .

(1) If T is isotropic, then there exists an embedding  $\mathbb{G}_{m,B} \subset G'$ . This embedding specializes to an embedding  $\mathbb{G}_{m,R} \subset G'_u$  and so  $T_u$  is isotropic.

(2) Since  $T_u$  is isotropic, there exists an embedding  $\mathbb{G}_{m,R} \subset G'_u$ . This embedding is induced from an embedding  $\mathbb{G}_{m,R_\lambda} \subset G'_{u_\lambda}$  for some  $\lambda \in \Lambda$  by [\[30,](#page-49-16) Lemma 10.62]. Therefore  $T_{u_{\lambda}}$  is isotropic.

(3) Assume without loss of generality that  $B = \text{Spec } R$  for some integral domain R of finite type over  $k_0$ . Let L be the fraction field of R. The generic point u corresponds to the inclusion  $R \subset L$ . Since L is the inductive limit of all localization  $R[f^{-1}]$  for  $f \in R \setminus \{0\},$ the result follows from Part (2).

(4) The result follows immediately from Part  $(2)$  because l is the inductive limit of all finitely generated subextensions  $k \subset l' \subset l$ .  $\prime$   $\subset$  l.

Before the next lemma, recall that a field  $k$  is called p-closed for some prime p if it admits no proper prime-to-p extension. An algebraic extension  $k^{(p)}/k$  is called a p-closure of k if  $k^{(p)}$  is p-closed and any finite subextension  $k \subset k' \subset k^{(p)}$  is of degree prime-to-p. Any field k admits p-closures for any  $p \neq \text{char } k$ . We refer the reader to [\[19,](#page-48-12) Proposition 101.16] for more details.

<span id="page-11-1"></span>**Proposition 4.3.** Let  $\gamma \in H^1(k, G)$  be a versal torsor over some field  $k/k_0$ .

- (1) If G admits an anisotropic torsor  $\eta$  over some other field  $k_0 \subset l$ , then  $\gamma$  is anisotropic.
- (2) Let  $p \neq$  char  $k_0$  be a prime. If G admits an anisotropic torsor  $p$  over some a p-closed field  $k_0 \subset l$ , then  $\gamma_{k^{(p)}}$  is anisotropic for any p-closure  $k^{(p)}/k$ .

*Proof.* Assume  $\gamma$  is the generic fiber of a G-torsor  $T \to B$  as in Definition [4.1.](#page-10-1) Let  $\eta$  be an arbitrary G-torsor over a field extension  $k_0 \subset l$ .

(1) Let  $u \in B(k)$  be a generic point such that  $\gamma = T_u$  is isotropic. By Lemma [4.2\(](#page-10-2)3), there exists a dense open  $U \subset B$  such that  $T_U$  is isotropic. We may assume  $|l| = \infty$  because passing to  $l((t))$  does not affect whether  $\eta$  is anisotropic or not; See e.g. [\[24,](#page-48-9) Proposition 4.8]. By versality, there exists a point  $v \in U(l)$  such that  $T_v = \eta$ . Therefore  $\eta$  is isotropic by Lemma  $4.2(1)$  $4.2(1)$ . This proves Part  $(1)$ .

(2) Assume l is p-closed. If  $\gamma_{k^{(p)}}$  is isotropic, then  $\gamma_{k'}$  is isotropic for some prime-to-p extension  $k \subset k' \subset k^{(p)}$  by Lemma [4.2\(](#page-10-2)4). The extension  $k \subset k'$  is induced from a morphism  $f: V \to B$  of varieties for some irreducible variety V over  $k_0$  with function field  $k_0(V) = k'$ . By Lemma [4.2\(](#page-10-2)3), we may replace V with an open subset to assume  $T_V = T \times_f V$  is isotropic. Since f is generically finite, there exist dense opens  $U \subset B$ ,  $W \subset V$  such that  $f(W) \subset U$ and the restriction  $f: W \to U$  is finite and flat [\[60,](#page-50-3) Tag 02NX]. Replace B by U and V by W to assume f is finite and flat. Now let  $u \in B(l)$  be a point such that  $T_u = \eta$  (note that l is infinite because it contains all roots of unity of order prime to p and char  $k_0$ ). Since f is flat, finite of degree prime-to-p and l is p-closed, we can lift u to a point  $v \in V(l)$  such that

$$
f(v) = u.
$$

See Lemma [4.4](#page-11-0) below. Associativity of fiber products gives a canonical G-equivariant isomorphism:

$$
T_u = T \times_u \text{Spec } l \cong T_V \times_v \text{Spec } l.
$$

Therefore

$$
\eta = T_u = T_V \times_v \text{Spec } l
$$

<span id="page-11-0"></span>is isotropic by Lemma  $4.2(1)$  $4.2(1)$ .

**Lemma 4.4.** Let  $k_0 \subset l$  be a p-closed field for some prime  $p \neq \text{char } k_0$ . Let  $f : V \to U$  be a finite flat map of varieties over  $k_0$ . If the degree of f is prime-to-p, then the induced map  $V(l) \rightarrow U(l)$  is surjective.

*Proof.* Let  $u : \text{Spec } l \to U$  be a point. The scheme  $\text{Spec } l \times_U V$  is finite of degree prime to p over Spec l by our assumption on f. Therefore Spec  $l \times_U V = \text{Spec } R$  for some finite l-algebra R of dimension prime to p. By the structrue theorem for Artin rings there exist local Artin rings  $R_1, \ldots, R_n$  such that:

$$
R \cong R_1 \times \cdots \times R_n
$$
  
12

as *l*-algebras. Comparing dimensions, we see that  $\dim_l R_i$  is prime to p for some  $1 \leq i \leq n$ . Let  $\mathfrak{m} \subset R_i$  be its maximal ideal and  $d = [R_i/\mathfrak{m} : l]$  the residue field degree. By [\[20,](#page-48-13) Lemma A.1.3], we have:

$$
\dim_l(R_i) = d \operatorname{len}(R_i),
$$

where len( $R_i$ ) denotes the length of  $R_i$  as a module over itself. In particular, d is prime to p. Since l has no prime-to-p extensions, it follows that  $R_i/\mathfrak{m} = l$ . The composition:

$$
R \tilde{\to} R_1 \times \cdots \times R_n \to R_i \to R_i/\mathfrak{m} = l,
$$

gives a section of the inclusion  $l \subset R$ . Dualizing, we obtain a section of the left column of the following Cartesian square:

$$
\operatorname{Spec} R = \operatorname{Spec} l \times_{U} V \xrightarrow{f^{*}(u)} V
$$

$$
s \overset{\circ}{\underset{\text{Spec} l}{\big\downarrow}} \qquad \qquad \downarrow f
$$

$$
\operatorname{Spec} l \xrightarrow{u} U
$$

The composition  $f^*(u) \circ s : \text{Spec } l \to V$  is a lift of u to  $V(l)$ .

<span id="page-12-1"></span>The next lemma will be used in Section [9](#page-23-0) to reduce the proof of Theorem [1.2](#page-2-0) to the case where  $G^{\circ}$  is reductive.

**Lemma 4.5.** Let  $f : G \to H$  be a homomorphism of smooth linear algebraic groups over  $k_0$ . Let  $k_0 \subset k$  be a field and denote the induced pushforward map by  $f_* : H^1(k, G) \rightarrow$  $H^1(k, H)$ . If  $\gamma \in H^1(k, G)$  is anisotropic and one of the following conditions hold, then  $f_*(\gamma)$  is anisotropic.

(1) f is an embedding and  $\dim G = \dim H$ .

(2) The base field  $k_0$  is perfect and f is a quotient map with a unipotent kernel.

*Proof.* Assume  $\gamma = [c_{\sigma}]$  for some cocycle  $c_{\sigma}$  and denote its pushforward to H by  $f_*(c)$ . Consider the homomorphism of twisted groups defined by  $f$ :

$$
{}_cf: {}_cG \to {}_{f_*(c)}H.
$$

(1) If f is an embedding, then so is  $_{c}f$ . By assumption we have:

<span id="page-12-0"></span>
$$
\dim(_cG) = \dim(_{f_*(c)}H).
$$

Therefore  $_{c}f$  restricts to an isomorphism  $_{c}G^{\circ} \cong f_{* (c)}H^{\circ}$ . A split torus  $T \subset f_{* (c)}H$  is contained in  $f_*(c)H^{\circ}$  because it is connected. Since  $cG^{\circ} \cong f_*(c)H^{\circ}$  is anisotropic, this implies  $T = \{e\}.$ Therefore  $[f_*(c)] = f_*(\gamma)$  is anisotropic.

(2) Assume f is a quotient map with unipotent kernel  $U \subset G$ . Let  $T \subset {}_{f_*(c)}H$  be a split torus and denote by S the (scheme-theoretic) preimage of T under  $_c f$ . Restricting  $_c f$  to S gives an exact sequence  $[46,$  Theorem 5.55]:

$$
(4.1) \t\t 1 \to U \to S \to T \to 1.
$$

Since  $k_0$  is perfect and T is connected the exact sequence [\(4.1\)](#page-12-0) splits by [\[26,](#page-48-14) Expose XVII, Theorem 5.1.1]. We obtain an embedding  $T \to S \subset {}_{c}G$ . Since  ${}_{c}G$  is anisotropic, we conclude T must be trivial. This shows  $[f_*(c)] = f_*(\gamma)$  is anisotropic.

 $\Box$ 

#### 5. Loop torsors

<span id="page-13-0"></span>Loop torsors were introduced by Gille and Pianzola in the monograph [\[25\]](#page-48-8). They can be defined in a few equivalent ways. The useful point of view for us will be:

**Definition 5.1.** A torsor  $\gamma \in H^1_{\text{tr}}(F, G)$  is called a *loop torsor* if it can be represented by a Gal<sub>tr</sub> $(F)$ -cocycle taking values in  $G(\mathcal{O}_{\text{in}})$ . We denote the subset of all loop torsors by  $H_{\text{loop}}^1(F, G) \subset H_{\text{tr}}^1(F, G).$ 

We use loop torsors for two reasons. They can be decomposed in tandem with the decomposition of  $Gal<sub>tr</sub>(F)$ , and they are integral, so one can apply the homomorphism  $G(\mathcal{O}_{\text{in}}) \to G(k_{\text{sep}})$  to obtain  $G(k_{\text{sep}})$ -valued cocycles from them. We start by describing the decomposition of loop torsors introduced in [\[25,](#page-48-8) Section 3.3].

Let  $\Psi_{\pi}^F$ : Gal(k)  $\ltimes \Gamma_F^{\vee} \to \text{Gal}_{tr}(F)$  be the isomorphism induced by a uniformizer  $\pi$  as in Proposition [3.4.](#page-7-1) Any loop cocycle  $c_{\tau} \in Z^1(\text{Gal}_{\text{tr}}(F), G(\mathcal{O}_{\text{in}}))$  defines a Gal(k)-cocycle  $a_{\sigma} \in Z^1(\text{Gal}(k), G(\mathcal{O}_{\text{in}}))$  and a homomorphism  $\varphi : \Gamma_F^{\vee} \to G(\mathcal{O}_{\text{in}})$  by the formulas:

$$
a_{\sigma} = c_{\Psi_{\pi}(\sigma,0)}, \varphi(x) = c_{\Psi_{\pi}(1,x)}.
$$

Note that  $\varphi$  is a homomorphism because the tame inertia group of F acts fixes  $G(F_{\text{in}})$ . The cocycle  $a_{\sigma}$  is called the arithmetic part of  $c_{\tau}$  and  $\varphi$  is called its geometric part. Clearly  $c_{\tau}$  is uniquely determined by  $a_{\sigma}$  and  $\varphi$ . We will use the notation:

$$
c_{\tau} = \langle a_{\sigma}, \varphi \rangle_{\pi},
$$

to denote a loop cocycle  $c_{\tau}$  with arithmetic part  $a_{\sigma}$  and geometric part  $\varphi$ . The corresponding loop torsor will be denoted:

$$
[a_{\sigma}, \varphi]_{\pi} := [\langle a_{\sigma}, \varphi \rangle_{\pi}] \in H^1_{\text{loop}}(F, G).
$$

<span id="page-13-1"></span>Any torsor  $\gamma \in H_{\text{loop}}^1(F, G)$  is by definition of the form  $\gamma = [a_{\sigma}, \varphi]_{\pi}$  for some  $a_{\sigma}, \varphi$ , but the symbol  $\langle a_{\sigma}, \varphi \rangle_{\pi}$  is not defined for an arbitrary pair  $a_{\sigma}, \varphi$ .

**Definition 5.2.** We will call a cocycle  $a_{\sigma} \in Z^1(\text{Gal}(k), G(\mathcal{O}_{\text{in}}))$  and homomorphism  $\varphi$ :  $\Gamma_F^{\vee} \to G(\mathcal{O}_{\text{in}})$  compatible if there exists a cocycle  $c_{\tau} \in Z^1(\text{Gal}_{\text{tr}}(F), G(\mathcal{O}_{\text{in}}))$  such that  $c_{\tau} =$  $\langle a_{\sigma}, \varphi \rangle_{\pi}.$ 

Let  $\theta: Gal(k) \to (\hat{\mathbb{Z}}')^*$  be the cyclotomic character. One can check that a cocycle  $a_{\sigma}$  and a homomorphism  $\varphi$  as above are compatible if and only if they satisfy:

(5.1) 
$$
a_{\sigma}^{\ \sigma} \varphi(f) a_{\sigma}^{-1} = \varphi(f)^{\theta(\sigma)},
$$

<span id="page-13-2"></span>for all  $f \in \Gamma_F^{\vee}$ ,  $\sigma \in \text{Gal}(k)$ ; See [\[25,](#page-48-8) Lemma 3.7] for a similar computation. Using this, we see that centralizers of finite abelian subgroups are a natural source for loop torsors.

**Example 5.3.** Let  $\varphi : \Gamma_F^{\vee} \to G(\mathcal{O}_{\text{in}})$  be a continuous homomorphism onto a finite splitdiagonalizable subgroup  $A \subset G(\mathcal{O}_{\text{in}})$  and let  $a_{\sigma} \in Z^1(\text{Gal}(k), C_G(A)(\mathcal{O}_{\text{in}}))$  a cocycle. The formula:

<span id="page-13-3"></span>
$$
c_{\Psi_{\pi}(\sigma,f)} = a_{\sigma}^{\sigma} \varphi(f)
$$

defines a loop cocycle  $c_{\tau} = \langle a_{\sigma}, \varphi \rangle_{\pi}$  because

$$
\sigma\varphi(f) = \varphi(f)^{\theta(\sigma)}
$$

for all  $\sigma \in \text{Gal}(k)$ ,  $f \in \Gamma_F^{\vee}$  by [\(2.1\)](#page-5-0). That is,  $a_{\sigma}$  and  $\varphi$  are compatible in the sense of Definition [5.2.](#page-13-1)

<span id="page-14-3"></span>One can often assume a loop torsor is of the form given in Example [5.3](#page-13-2) using the next lemma.

**Lemma 5.4.** Let  $c_{\tau} = \langle a_{\sigma}, \varphi \rangle_{\pi}$  be a loop cocycle and set  $A = \text{im } \varphi$ . If the group

$$
\overline{A} := \{ \overline{a} \in G(k_{\rm sep}) \mid a \in A \}
$$

is split-diagonalizable, then  $\overline{a_{\sigma}} \in C_G(A)(k_{\text{sep}})$  for all  $\sigma \in \text{Gal}(k)$ .

*Proof.* Let  $\theta$ : Gal(k)  $\rightarrow (\hat{\mathbb{Z}}')^*$  denote the cyclotomic character. Since  $c_{\tau}$  is a cocycle, [\(5.1\)](#page-13-3) gives:

$$
a_{\sigma}^{\ \sigma}\varphi(f)a_{\sigma}^{-1} = \varphi(f)^{\theta(\sigma)}.
$$

Reducing modulo the maximal ideal of  $\mathcal{O}_{\text{in}}$  we get:

$$
\overline{a_{\sigma}}^{\sigma}\overline{\varphi(f)}\overline{a_{\sigma}}^{-1} = \overline{\varphi(f)}^{\theta(\sigma)}.
$$

We have

$$
\sigma\overline{\varphi(f)}=\overline{\varphi(f)}^{\theta(\sigma)}
$$

because  $\overline{A}$  is split-diagonalizable by Fact [2.2.](#page-5-1) Therefore  $\overline{a_{\sigma}}$  centralizers  $\sigma \overline{\varphi(f)}$ . Since  $f \in \Gamma_F^{\vee}$ was arbitrary, we conclude that  $\overline{a_{\sigma}} \in C_G(A)(k_{\text{sep}})$ .

<span id="page-14-2"></span>We finish this section by examining when do two loop cocycles  $\langle a_{\sigma}, \varphi \rangle_{\pi}, \langle a_{\sigma}, \varphi \rangle_{\pi}$  give rise to the same class in  $H^1_{\text{tr}}(F, G(\mathcal{O}_{\text{in}})).$ 

**Lemma 5.5.** Two loop cocycle  $\langle a_{\sigma}, \varphi \rangle_{\pi}, \langle b_{\sigma}, \psi \rangle_{\pi}$  are cohomologous in  $H^1_{\text{tr}}(F, G(\mathcal{O}_{\text{in}}))$  if and only if there exists  $s \in G(\mathcal{O}_{\text{in}})$  such that:

(5.2) 
$$
s^{-1}a_{\sigma}{}^{\sigma}s = b_{\sigma}, \quad s^{-1}\varphi s = \psi.
$$

*Proof.* For any  $s \in G(\mathcal{O}_{\text{in}}), \sigma \in Gal(k), f \in \Gamma_F^{\vee}, \tau := \Psi_{\pi}(\sigma, f)$  we have:

$$
s^{-1} \langle a_{\sigma}, \varphi \rangle_{\pi} (\tau)^{\tau} s = s^{-1} a_{\sigma}{}^{\sigma} \varphi(f)^{\sigma} s
$$
  
=  $s^{-1} a_{\sigma}{}^{\sigma} s^{\sigma} (s^{-1} \varphi(f) s) = \langle s^{-1} a_{\sigma}{}^{\sigma} s, s^{-1} \varphi s \rangle_{\pi} (\tau).$ 

<span id="page-14-0"></span>This proves the claim.

# 6. A theorem of Gille and Pianzola

Recall that a valued field  $(F, \nu)$  is an iterated Laurent series field, if it is isomorphic to  $k((t_1)) \dots ((t_r))$  equipped with the usual  $(t_1, \dots, t_r)$ -adic valuation. In this section we prove the following adaptation of a theorem of Gille and Pianzola.

<span id="page-14-1"></span>**Theorem 6.1.** Let  $(F, \nu)$  be an iterated Laurent series field and assume  $G^{\circ}$  is reductive. Two anisotropic loop torsors  $[a_{\sigma}, \varphi]_{\pi}$ ,  $[b_{\sigma}, \psi]_{\pi} \in H_{\text{loop}}^1(F, G)_{an}$  are cohomologous if and only if there exists  $s \in G(k_{\text{sep}})$  such that:

(6.1) 
$$
s^{-1}\overline{\varphi(f)}s = \overline{\psi(f)}, \quad s^{-1}\overline{a_{\sigma}}^{\sigma}s = \overline{b_{\sigma}}
$$

for all  $f \in \Gamma_F^{\vee}, \sigma \in \text{Gal}(k)$ .

Since  $F$  is an iterated Laurent series field, it admits a coefficient field. That is, there exists an inclusion  $k \subset \mathcal{O}$  splitting the map onto the residue field  $\mathcal{O} \to k$ . This inclusion extends to an embedding  $k_{\text{sep}} \subset \mathcal{O}_{\text{in}}$  splitting the homomorphism  $\mathcal{O}_{\text{in}} \to k_{\text{sep}}$ , which in turn gives a section  $G(k_{\text{sep}}) \subset G(\mathcal{O}_{\text{in}})$  of the homomorphism  $G(\mathcal{O}_{\text{in}}) \to G(k_{\text{sep}})$ . Gille proved Theorem [6.1](#page-14-1) in case  $a_{\sigma}$ ,  $\varphi$ ,  $b_{\sigma}$ ,  $\psi$  all take values in  $G(k_{\rm sep}) \subset G(\mathcal{O}_{\rm in})$  [\[24,](#page-48-9) Corollary 4.11] (before that Gille-Pianzola proved it under the additional assumption char  $k = 0$  in [\[25\]](#page-48-8)). Thus in order to prove Theorem [6.1](#page-14-1) it suffices to establish the following proposition:

<span id="page-15-2"></span>**Proposition 6.2.** Let  $G(k_{\text{sep}}) \subset G(\mathcal{O}_{\text{in}})$  denote the inclusion induced from the inclusion of a coefficient field  $k_{\rm sep} \subset \mathcal{O}_{\rm in}$ . The corresponding map of cohomology sets

(6.2) 
$$
H^1_{\text{tr}}(F, G(k_{\text{sep}})) \to H^1_{\text{tr}}(F, G(\mathcal{O}_{\text{in}}))
$$

is a bijection.

<span id="page-15-3"></span><span id="page-15-1"></span>For the proof of this proposition we will need the following consequences of Hensel's lemma.

 ${\bf Lemma~6.3.}$  Let  $\varphi, \psi:\Gamma_F^\vee\to G(\mathcal{O}_{\rm in})$  be two continuous homomorphisms and let  $\overline{\varphi},\overline{\psi}:\Gamma_F^\vee\to$  $G(k_{\rm sep})$  be the composition of  $\varphi, \psi$  with the reduction homomorphism  $G(\mathcal{O}_{\rm in}) \to G(k_{\rm sep}), g \mapsto g$  $\overline{g}$ . If  $s\overline{\varphi}s^{-1} = \overline{\psi}$  for some  $s \in G(k_{\rm sep})$ , then  $\tilde{s}\varphi\tilde{s}^{-1} = \psi$  for some  $\tilde{s} \in G(\mathcal{O}_{\rm in})$ .

*Proof.* Let  $\Gamma_F^{\vee} \to A$  be a large enough finite quotient of  $\Gamma_F^{\vee}$  so that both  $\varphi$  and  $\psi$  factor through A. Let  $\varphi', \psi' : A \to G_{\mathcal{O}_{in}}$  denote the induced homomorphisms and denote by  $\text{Tran}_G(\varphi', \psi')$  the transporter of  $\varphi'$  and  $\psi'$ . This is a closed subscheme of  $G_{\mathcal{O}_{\text{in}}}$  such that for any ring homomorphism  $\mathcal{O}_{\text{in}} \to R$ :

$$
\text{Tran}_G(\varphi', \psi')(R) = \{ g \in G(R) \mid g\varphi'_R g^{-1} = \psi'_R \}.
$$

Note that A is a finite abelian group of order prime to char  $k$  by Remark [3.2.](#page-7-3) Therefore  $\text{Tran}_G(\varphi', \psi')$  is smooth because A is of multiplicative type; See [\[26,](#page-48-14) Expose XI, Corollaire 5.2. By assumption  $\text{Tran}_G(\varphi', \psi')$  $(k_{\text{sep}}) \neq \emptyset$ . It follows from Hensel's lemma that  $\text{Tran}_G(\varphi', \psi')(\mathcal{O}_{\text{in}}) \neq \emptyset$  and the result follows.

$$
\Box
$$

<span id="page-15-0"></span>**Lemma 6.4.** For any smooth algebraic group C over  $\mathcal{O}$ , the reduction homomorphism  $C(\mathcal{O}_{\text{in}}) \rightarrow C(k_{\text{sep}})$  induces a bijection on Galois cohomology sets:

$$
H^1(\text{Gal}(k), C(\mathcal{O}_{\text{in}})) \to H^1(\text{Gal}(k), C(k_{\text{sep}})).
$$

*Proof.* Any C-torsor for the étale topology over  $Spec \mathcal{O}$  is split by the universal cover Spec  $\mathcal{O}_{\text{in}} \to \text{Spec } \mathcal{O}$  because Spec  $\mathcal{O}$  is local. The fundamental group of Spec  $\mathcal{O}$  is Gal(k) by [\[45,](#page-49-12) Section 5, Example 5.2.d]. Therefore [\[23,](#page-48-11) Section 2.2] gives isomorphisms

$$
H^1(\text{Gal}(k), C(\mathcal{O}_{\text{in}})) \tilde{\rightarrow} H^1_{\text{\'{e}tale}}(\text{Spec } \mathcal{O}, C), \quad H^1(\text{Gal}(k), C(k_{\text{sep}})) \tilde{\rightarrow} H^1_{\text{\'{e}tale}}(\text{Spec } k, C).
$$

Now the lemma follows from [\[26,](#page-48-14) Expose XXIV,Proposition 8.1], which states that the bottom row in the following commutative square is a bijection:

$$
H^1(\text{Gal}(k), C(\mathcal{O}_{\text{in}})) \longrightarrow H^1(\text{Gal}(k), C(k_{\text{sep}}))
$$
  
\n
$$
\downarrow \qquad \qquad \downarrow
$$
  
\n
$$
H^1_{\text{\'etale}}(\text{Spec } \mathcal{O}, C) \longrightarrow H^1_{\text{\'etale}}(\text{Spec } k, C)
$$

 $\Box$ 

Next we prove that any loop torsor is represented by a cocycle taking values in  $G(k_{\text{sep}})$ . Note that  $Gal<sub>tr</sub>(F)$  acts on the coefficient field  $k_{sep} \subset \mathcal{O}_{in}$  and on  $G(k_{sep}) \subset G(\mathcal{O}_{in})$  because  $k \subset F$  is fixed by  $Gal_{tr}(F)$  and  $k_{sep}/k$  is Galois. Let  $[a_{\sigma}, \varphi]_{\pi} \in H^1_{loop}(F, G)$  be a loop torsor. Denote the images of  $a_{\sigma}$  under the reduction homomorphism  $G(\mathcal{O}_{\text{in}}) \to G(k_{\text{sep}})$  by  $\overline{a}_{\sigma}$  and define  $\overline{\varphi}: \Gamma_F^{\vee} \to G(k_{\rm sep})$  by the formula

$$
\overline{\varphi}(f) = \overline{\varphi(f)}
$$

for all  $f \in \Gamma_F^{\vee}$ . By Remark [2.4,](#page-5-2) the map :

$$
\langle \overline{a}_{\sigma}, \overline{\varphi} \rangle_{\pi} : \text{Gal}(k) \ltimes \Gamma_F^{\vee} \to G(\mathcal{O}_{\text{in}}), \ (\sigma, f) \mapsto \overline{a}_{\sigma}{}^{\sigma} \overline{\varphi}(f)
$$

<span id="page-16-1"></span>is a  $Gal_{tr}(F)$ -cocycle in  $G(\mathcal{O}_{in})$ . Therefore  $\overline{a}_{\sigma}, \overline{\varphi}$  are compatible in the sense of Definition [5.2](#page-13-1) and the loop torsor  $[\overline{a}_{\sigma}, \overline{\varphi}]_{\pi} \in H^1_{\text{loop}}(F, G)$  is defined.

**Lemma 6.5.** Let  $G(k_{sep}) \subset G(\mathcal{O}_{in})$  denote the inclusion induced from the inclusion of a coefficient field  $k_{\text{sep}} \subset \mathcal{O}_{\text{in}}$ . Any loop cocycle  $\langle a_{\sigma}, \varphi \rangle_{\pi}$  is cohomologous in  $H^1_{\text{tr}}(F, G(\mathcal{O}_{\text{in}}))$  to  $\langle \overline{a}_{\sigma}, \overline{\varphi} \rangle_{\pi}$ . In particular, we have:

<span id="page-16-0"></span>
$$
[a_{\sigma}, \varphi]_{\pi} = [\overline{a}_{\sigma}, \overline{\varphi}]_{\pi}.
$$

*Proof.* Let  $\langle a_{\sigma}, \varphi \rangle_{\pi}$  be a loop cocycle. We need to prove  $\langle a_{\sigma}, \varphi \rangle_{\pi}$  and  $\langle \overline{a}_{\sigma}, \overline{\varphi} \rangle_{\pi}$  are cohomologous in  $H^1_{\text{tr}}(F, G(\mathcal{O}_{\text{in}}))$ . By Lemma [5.5,](#page-14-2) it suffices to find  $s \in G(\mathcal{O}_{\text{in}})$  such that:

(6.3) 
$$
s^{-1}a_{\sigma}{}^{\sigma}s = \overline{a}_{\sigma}, \quad s^{-1}\varphi(f)s = \overline{\varphi}(f)
$$

for all  $\sigma \in \text{Gal}(k)$ ,  $f \in \Gamma_F^{\vee}$ . We start by tackling the special case where  $\varphi(f) \in G(k_{\text{sep}})$  for all f and so  $\overline{\varphi} = \varphi$ . Define for all  $\sigma \in \text{Gal}(k)$ :

$$
c_{\sigma} = \overline{a_{\sigma}} a_{\sigma}^{-1}.
$$

It is simple to check that  $c_{\sigma}$  is a cocycle in  ${}_{a}G(\mathcal{O}_{\text{in}})$  (here  ${}_{a}G$  is the twisted group defined by a, see Section [2\)](#page-4-0). We check  $c_{\sigma}$  centralizes im  $\varphi$ . Since both  $a_{\sigma}$ ,  $\varphi$  and  $\overline{a_{\sigma}}$ ,  $\varphi$  are compatible [\(5.1\)](#page-13-3) gives for any  $f \in \Gamma_F^{\vee}$ :

$$
a_{\sigma^{-1}}{}^{\sigma^{-1}}\varphi(f)a_{\sigma^{-1}} = \varphi(f)^{\theta(\sigma^{-1})} = \overline{a_{\sigma^{-1}}}{}^{\sigma^{-1}}\varphi(f)\overline{a_{\sigma^{-1}}}{}^{-1}.
$$

Therefore  $\overline{a_{\sigma^{-1}}}^{-1}a_{\sigma^{-1}}$  centralizes  $\sigma^{-1}\varphi(f)$ . Applying  $\sigma(\cdot)$  to both sides and using the cocycle identity we get:

$$
C_{aG}(\varphi(f))(\mathcal{O}_{\rm in}) \ni \sigma(\overline{a_{\sigma^{-1}}}^{-1}a_{\sigma^{-1}}) = \sigma \overline{a_{\sigma^{-1}}}^{-1}a_{\sigma^{-1}} = \overline{a_{\sigma}}a_{\sigma}^{-1} = c_{\sigma}.
$$

Since  $f \in \Gamma_F^{\vee}$  was arbitrary this shows  $c_{\sigma} \in C_{aG}(\text{im }\varphi)$ . The class  $[c_{\sigma}] \in H^1(\text{Gal}(k), C_{aG}(\varphi)(\mathcal{O}_{\text{in}}))$ clearly goes to zero under the map

$$
H^1(\text{Gal}(k), C_{aG}(\varphi)(\mathcal{O}_\text{in})) \to H^1(\text{Gal}(k), C_{aG}(\varphi)(k_{\text{sep}})).
$$

This implies  $[c_{\sigma}]$  is split in  $H^1(\text{Gal}(k), C_{aG}(\varphi)(\mathcal{O}_{\text{in}}))$  by Lemma [6.4.](#page-15-0) Therefore there exists  $s \in C_{aG}(\varphi)(\mathcal{O}_{\text{in}})$  such that for all  $\sigma \in \text{Gal}(k)$ :

$$
\overline{a_{\sigma}}a_{\sigma}^{-1} = c_{\sigma} = s^{-1}a_{\sigma}^{~\sigma}sa_{\sigma}^{-1}.
$$

Multiply by  $a_{\sigma}$  on the right to obtain

$$
s^{-1}a_{\sigma}{}^{\sigma}s = \overline{a_{\sigma}}.
$$

Since s centralizes  $\varphi = \overline{\varphi}$ , we conclude that it satisfies [\(6.3\)](#page-16-0).

We now tackle the case where  $\varphi \neq \overline{\varphi}$ . By Lemma [6.3,](#page-15-1)  $\varphi$  and  $\overline{\varphi}$  are conjugate by an element  $s \in G(\mathcal{O}_{\text{in}})$ . That is, for any  $f \in \Gamma_F^{\vee}$  we have:

$$
s^{-1}\varphi(f)s = \overline{\varphi}(f).
$$

Applying the reduction map  $G(\mathcal{O}_{\text{in}}) \to G(k_{\text{sep}}), g \mapsto \overline{g}$  to the above equation we get:

$$
\overline{s}^{-1}\overline{\varphi}(f)\overline{s}=\overline{\varphi}(f).
$$

Therefore the element  $t = s\overline{s}^{-1} \in G(\mathcal{O}_{\text{in}})$  satisfies  $\overline{t} = 1$  and

(6.4) 
$$
t^{-1}\varphi(f)t = \overline{s}s^{-1}(f)s\overline{s}^{-1} = \overline{s}\overline{\varphi}(f)\overline{s}^{-1} = \overline{\varphi}(f).
$$

By Lemma [5.5,](#page-14-2) [\(6.4\)](#page-17-1) implies  $\langle a_{\sigma}, \varphi \rangle_{\pi}$  and  $\langle t^{-1} a_{\sigma}{}^{\sigma} t, \overline{\varphi} \rangle_{\pi}$  represent the same class in  $H^1_{\text{tr}}(F, G(\mathcal{O}_{\text{in}})).$ By the first case we tackled and because  $\overline{t} = 1$ , we conclude:

<span id="page-17-1"></span>
$$
[\langle t^{-1}a_{\sigma}{}^{\sigma}t,\overline{\varphi}\rangle_{\pi}]=[\langle \overline{t^{-1}}\overline{a}_{\sigma}{}^{\sigma}\overline{t},\overline{\varphi}\rangle_{\pi}]=[\langle \overline{a}_{\sigma},\overline{\varphi}\rangle_{\pi}] \text{ in } H^1_{\text{tr}}(F,G(\mathcal{O}_{\text{in}})).
$$

Therefore  $\langle \overline{a}_{\sigma}, \overline{\varphi} \rangle_{\pi}$  and  $\langle a_{\sigma}, \varphi \rangle_{\pi}$  represent the same class in  $H^1_{\text{tr}}(F, G(\mathcal{O}_{\text{in}}))$ . This finishes the proof.  $\Box$ 

We now prove Proposition [6.2,](#page-15-2) which completes the proof of Theorem [6.1.](#page-14-1)

*Proof.* The map  $(6.2)$  is injective because it has a left inverse induced by the reduction homomorphism  $G(\mathcal{O}_{\text{in}}) \to G(k_{\text{sep}}), g \mapsto \overline{g}$ . To prove surjectivity, one needs to show any loop cocycle is cohomologous in  $H^1_{tr}(F, G(\mathcal{O}_m))$  to a cocycle taking values in  $G(k_{sep})$ . This is immediate from Lemma [6.5.](#page-16-1)

#### 7. Functoriality of decompositions of loop torsors

<span id="page-17-0"></span>Let  $L/F$  be an extension of Henselian valued fields with uniformizers  $\pi$  and  $\tau$ . It is clear from the definition that  $\gamma_L$  is a loop torsor for any  $\gamma \in H^1_{\text{loop}}(F, G)$ . Therefore there is an induced map  $H_{\text{loop}}^1(F, G) \to H_{\text{loop}}^1(L, G)$ . Our goal in this section is to understand the functoriality of the decompositions  $\gamma = [a_{\sigma}, \varphi]_{\pi}$ . That is, we wish to write  $\gamma_L = [b_{\sigma}, \psi]_{\tau}$  for some compatible  $b_{\sigma}$ ,  $\psi$  explicitly described in terms of  $a_{\sigma}$  and  $\varphi$ . The following lemma shows we have to account for the choice of uniformizers  $\pi$ ,  $\tau$ .

<span id="page-17-2"></span>**Lemma 7.1.** For any  $\sigma \in \text{Gal}(l)$ , let  $\chi_{\sigma} \in \Gamma_F^{\vee}$  be as in [\(3.6\)](#page-8-0) (note that  $\chi_{\sigma}$  depends on  $\pi$ and  $\tau$ ). The following holds for any compatible pair  $a_{\sigma}$ ,  $\varphi$ .

$$
([a_{\sigma}, \varphi]_{\pi})_{L} = [\varphi(\chi_{\sigma}) \operatorname{Inf}_{l/k}(a)_{\sigma}, \varphi_{|L}]_{\tau}.
$$

Here Inf<sub>l/k</sub>(a) is the inflation of  $a_{\sigma}$  to l and  $\varphi_{|L}$  is the composition of  $\varphi$  with the restriction  $map \Gamma_L^{\vee} \to \Gamma_F^{\vee}$ .

*Proof.* Let  $\Psi_{\pi}^{F}: Gal(k) \ltimes \Gamma_F^{\vee} \to Gal_{tr}(F), \Psi_{\tau}^{L}: Gal(l) \ltimes \Gamma_L^{\vee} \to Gal_{tr}(L)$  be the isomorphisms of Proposition [3.4.](#page-7-1) Lemma [3.6](#page-8-1) gives for any  $\sigma \in \text{Gal}(l), f \in \Gamma_L^{\vee}$ :

$$
\langle a_{\sigma}, \varphi \rangle_{\pi} (\Psi_{\tau}^{L}(\sigma, f)_{|F_{tr}}) = \langle a_{\sigma}, \varphi \rangle_{\pi} (\Psi_{\pi}^{F}(\sigma_{|k_{\text{sep}}}, f_{|F} + \theta(\sigma)^{-1} \chi_{\sigma}))
$$
  
\n
$$
= a_{\sigma_{|k_{\text{sep}}}} \sigma(\varphi(\chi_{\sigma})^{\theta(\sigma)^{-1}} \varphi(f_{|F}))
$$
  
\n
$$
= a_{\sigma_{|k_{\text{sep}}}} \sigma(\chi_{\sigma})^{\theta(\sigma)^{-1} \sigma} \varphi(f_{|F})
$$
  
\n
$$
= {^{(5.1)}} \varphi(\chi_{\sigma}) a_{\sigma_{|k_{\text{sep}}}} \sigma \varphi(f_{|F})
$$
  
\n
$$
= \langle \varphi(\chi_{\sigma}) \operatorname{Inf}_{l/k}(a)_{\sigma}, \varphi_{|L} \rangle_{\tau} (\Psi_{\tau}^{L}(\sigma, f)).
$$

<span id="page-18-4"></span>The result follows.

**Corollary 7.2.** If  $\Gamma_F = \Gamma_L$ , then the following holds for any compatible pair  $a_{\sigma}$ ,  $\varphi$ :

$$
([a_{\sigma}, \varphi]_{\pi})_{L} = [\mathrm{Inf}_{l/k}(a)_{\sigma}, \varphi]_{\pi}.
$$

Note that  $\pi$  is a uniformizer for  $L_{tr}$  because  $\Gamma_{Ftr} = \Gamma_{Ltr}$ .

*Proof.* The result is immediate from Lemma [7.1](#page-17-2) once we note that  $\chi_{\sigma} = 1$  for all  $\sigma \in \text{Gal}(l)$ by  $(3.6)$ .

<span id="page-18-2"></span>To improve Lemma [7.1](#page-17-2) we need to understand the cocycle  $\varphi(\chi_{\sigma}) \in Z^1(\text{Gal}(l), A)$  for arbitrary uniformizers  $\tau$  of L.

**Lemma 7.3.** Let  $\varphi : \Gamma_F^{\vee} \to A$  be a continuous homomorphism onto  $A = \mu_{n_1} \times \cdots \times \mu_{n_r}$  for some  $n_1, \ldots, n_r$  coprime to chark.

(1) There are elements  $\gamma_1, \ldots, \gamma_r \in \Gamma_F$  such that for all  $f \in \Gamma_F^{\vee}$ .

(7.1) 
$$
\varphi(f) = (\zeta_{n_1}^{f(\gamma_1)}, \dots, \zeta_{n_r}^{f(\gamma_r)}).
$$

(2) Let  $u^{\gamma} = \pi^{\gamma} \tau^{-\gamma}$  for  $\gamma \in \Gamma_{F_{tr}}$  as in Lemma [3.6.](#page-8-1) The class  $[\varphi(\chi_{\sigma})] \in H^1(l, A)$  represents  $(\overline{u^{\gamma_1}}, \ldots, \overline{u^{\gamma_r}})$  under the Kummer isomorphism  $H^1(l, A) \cong l^*/l^{*n_1} \times \cdots \times l^*/l^{*n_r}$ .

*Proof.* One proves Part (1) by choosing a basis for  $\Gamma_F$ . The details are left to the reader. We prove Part (2). Recall that by the definition of  $\chi_{\sigma}$  in [\(3.6\)](#page-8-0), we have:

$$
\zeta_{n_i}^{\chi_{\sigma}(\gamma_i)} = \frac{\overline{s}_{\tau}(\sigma)(u^{\gamma_i/n_i})}{u^{\gamma_i/n_i}},
$$

for any  $1 \leq i \leq r$ . Note that  $\nu(u^{\gamma_i/n_i}) = 0$ , so the residue class  $u^{\gamma_i/n_i} \in l_{\text{sep}}$  is defined. Since  $\overline{s}_{\tau}$  is a section of  $Gal<sub>tr</sub>(L) \rightarrow Gal(l)$ , applying [\(3.2\)](#page-6-4) gives:

(7.2) 
$$
\zeta_{n_i}^{\chi_{\sigma}(\gamma_i)} = \overline{\zeta_{n_i}^{\chi_{\sigma}(\gamma_i)}} = \frac{\sigma(u^{\gamma_i/n_i})}{u^{\gamma_i/n_i}}.
$$

Since  $u^{\gamma_i/n_i}$  is an  $n_i$ -th roof of  $\overline{u^{\gamma_i}}$ , the right hand side in [\(7.2\)](#page-18-0) is a cocycle representing  $\overline{u^{\gamma_i}}$ under the classical kummer isomoprhism  $H^1(l, \mu_{n_i}) \cong l^* / l^{*n_i}$ . Now Part (2) follows from Part (1) which states:

<span id="page-18-0"></span>
$$
\varphi(\chi_{\sigma}) = (\zeta_{n_1}^{\chi_{\sigma}(\gamma_1)}, \ldots, \zeta_{n_r}^{\chi_{\sigma}(\gamma_r)}).
$$

<span id="page-18-3"></span>Corollary 7.4. Let  $\pi$  be a uniformizer for  $F_{tr}$  and  $\varphi : \Gamma_F^{\vee} \to G(\mathcal{O}_{in})$  a continuous homomorphism onto a split-diagonalizable subgroup  $A \subset G(\mathcal{O}_{\text{in}})$ . If  $[L : F]$  and  $|A|$  are coprime, then there exists a uniformizer  $\tau : \Gamma_{L_{tr}} \to L_{tr}^*$  such that

<span id="page-18-1"></span>
$$
([a_{\sigma},\varphi]_{\pi})_{L}=[\mathrm{Inf}_{l/k}(a)_{\sigma},\varphi_{|L}]_{\tau}
$$

for any Gal(k)-cocycle  $a_{\sigma} \in G(\mathcal{O}_{\text{in}})$  centralizing A.

*Proof.* There exists a basis  $e_1, \ldots, e_r$  of  $\Gamma_L$  and integers  $d_1, \ldots, d_r$  such that  $d_1e_1, \ldots, d_re_r$  is a basis for  $\Gamma_F$  and  $\prod_i d_i = [\Gamma_L : \Gamma_F]$  [\[34,](#page-49-17) Theorem III.7.8]. By Ostrowski Theorem,  $[\Gamma_L : \Gamma_F]$ is prime to |A|. Choose integers  $m_1, \ldots, m_r$  such that:

$$
(7.3) \t\t\t m_i d_i \equiv 1 \mod |A|.
$$

Let  $x_i \in L^*$  be such that  $\nu(x_i) = e_i$ . Set  $\pi_i = \pi^{d_i e_i}$  and define

$$
\tau_i := x_i (\pi_i x_i^{-d_i})^{m_i}.
$$

We have for any  $1 \leq i \leq r$ :

$$
\nu(\tau_i) = \nu(x_i) + m_i(\nu(\pi_i) - d_i\nu(x_i)) = e_i + m_i(d_i e_i - d_i e_i) = e_i.
$$

Therefore there exists a uniformizer  $\tau$  for  $L_{tr}$  such that  $\tau^{e_i} = \tau_i$  for all i by Remark [3.5.](#page-8-2) For this uniformizer we have:

$$
\pi^{d_i e_i} \tau^{-d_i e_i} = \pi_i \tau_i^{-d_i} = \pi_i x_i^{-d_i} (\pi_i x_i^{-d_i})^{-d_i m_i} = (\pi_i x_i^{-d_i})^{1-d_i m_i}.
$$

Therefore  $\pi^{d_ie_i}\tau^{-d_ie_i}$  is an |A|-th power for all i by [\(7.3\)](#page-18-1). This gives  $[\chi_{\sigma}] = 1$  in  $H^1(k, A)$  by Lemma [7.3\(](#page-18-2)2). Let  $a \in A$  be such that for all  $\sigma \in \text{Gal}(k)$ :

$$
\chi_{\sigma} = a^{-1} \, \, \sigma a.
$$

We use Lemma [7.1](#page-17-2) and the fact that  $a_{\sigma}$  centralizes A to get:

$$
([a_{\sigma}, \varphi]_{\pi})_L = [a^{-1\sigma} a \operatorname{Inf}_{l/k}(a)_{\sigma}, \varphi_{|L}]_{\tau} = [a^{-1} \operatorname{Inf}_{l/k}(a)_{\sigma}{}^{\sigma} a, \varphi_{|L}]_{\tau}.
$$

We finish the proof by noting that Lemma  $5.5$  gives:

$$
[a^{-1}\operatorname{Inf}_{l/k}(a)_{\sigma}{}^{\sigma}a,\varphi_{|L}]_{\tau}=[\operatorname{Inf}_{l/k}(a)_{\sigma},a\varphi_{|L}a^{-1}]_{\tau}=[\operatorname{Inf}_{l/k}(a)_{\sigma},\varphi_{|L}]_{\tau}.
$$

 $\Box$ 

Let F be an iterated Laurent series field and  $\varphi : \Gamma_F^{\vee} \to G(k_{\rm sep})$  be a continuous homomorphism onto a split-diagonalizable p-group  $A \subset G$ . Let  $a_{\sigma} \in Z^1(\text{Gal}(k), C_G(A)(k_{\text{sep}}))$  be a cocycle and set

$$
\gamma=[a_\sigma,\varphi]_\pi
$$

as in Example [5.3.](#page-13-2) If  $G^{\circ}$  is reductive, [\[24,](#page-48-9) Proposition 4.8] implies  $\gamma$  is anisotropic if and only if  $[a_{\sigma}] \in H^1(k, C_G(A))$  is anisotropic. We will use Corollary [7.4](#page-18-3) to upgrade this to a criterion deciding whether  $\gamma$  is anisotropic over a *p*-closure of *F*.

<span id="page-19-0"></span>**Lemma 7.5.** Assume  $G^{\circ}$  is reductive. If k is p-closed, and  $[a_{\sigma}] \in H^1(k, C_G(A))$  is anisotropic, then for any prime-to-p extension  $F \subset L$ :

 $(1)$  im  $\varphi_{|L} = A$ (2)  $\gamma_L$  is anisotropic

*Proof.* The residue field of L is a prime-to-p extension of k by Ostrowski's theorem and so it must be k because k is p-closed. Since A is a p-group, Corollary [7.4](#page-18-3) implies there exists uniformizer  $\tau : \Gamma_{L_{tr}} \to L_{tr}^*$  such that:

$$
([a_{\sigma}, \varphi]_{\pi})_{L} = [a_{\sigma}, \varphi_{|L}]_{\tau}.
$$

The inclusion  $\Gamma_F \to \Gamma_L$  induces an exact sequence:

$$
\Gamma_L^{\vee} \to \Gamma_F^{\vee} \to \text{Ext}^1_{\mathbb{Z}}(\Gamma_L/\Gamma_F, \hat{\mathbb{Z}}').
$$

Since  $\text{Ext}^1_{\mathbb{Z}}(\Gamma_L/\Gamma_F, \hat{\mathbb{Z}}')$  is killed by  $[\Gamma_L : \Gamma_F]$ , so is the cokernel of  $\Gamma_L^{\vee} \to \Gamma_F^{\vee}$ . In particular, this cokernel is of order prime to p because  $[\Gamma_L : \Gamma_F]$  divides  $[L : F]$  by Ostrowski's Theorem. Since im  $\varphi = A$  is a p-group, it follows that

$$
\varphi_{|L}: \Gamma_L^{\vee} \to A
$$
  
20

is a surjection (recall that  $\varphi_{|L}$  is the composition of  $\varphi$  with the restriction map  $\Gamma_L^{\vee} \to \Gamma_F^{\vee}$ ). The torsor  $[a_{\sigma}] \in H^1(k, C_G(A))$  is anisotropic because  $\gamma = [a_{\sigma}, \varphi]_{\pi}$  is anisotropic by [\[24,](#page-48-9) Proposition 4.8]. Applying [\[24,](#page-48-9) Proposition 4.8] once more, we get that  $\gamma_L = [a_{\sigma}, \varphi_{|L}]_{\tau}$  is anisotropic because  $C_G(\varphi_L) = C_G(A)$ .

<span id="page-20-3"></span>**Corollary 7.6.** Let  $A \subset G$  be a finite split-diagonalizable p-subgroup for some prime  $p \neq 0$ char  $k_0$ . Assume  $G^{\circ}$  is reductive and  $C_G(A)$  admits an anisotropic torsor over some p-closed field  $k_0 \,\subset k$ . There exists a valued field  $k_0 \subset F$  with residue field k such that G admits anisotropic torsors over any p-closure  $F^{(p)}$  of F.

*Proof.* Set  $r = \text{rank}(A)$ . Let  $F = k((t_1)) \dots ((t_r))$  be an iterated Laurent series field equipped with its  $(t_1, \ldots, t_r)$ -adic valuation and let  $\pi$  be a uniformizer for  $F_{tr}$ . Since  $\Gamma_F = \mathbb{Z}^r$ , we have

$$
\Gamma_F^{\vee} = \text{Hom}(\Gamma_F, \hat{\mathbb{Z}}') = \hat{\mathbb{Z}}^{r}.
$$

Therefore there exists a surjection  $\varphi : \Gamma_F^{\vee} \to A$ . If  $[a_{\sigma}] \in H^1(k, C_G(A))$  is anisotropic, then

$$
\gamma = [a_{\sigma}, \varphi]_{\pi} \in H^1_{\text{loop}}(F, G)
$$

<span id="page-20-0"></span>is anisotropic over any prime-to-p extension  $F \subset F'$  by Lemma [7.5.](#page-19-0) Therefore  $\gamma_{F^{(p)}}$  is anisotropic for any *p*-closure  $F \subset F^{(p)}$  by Lemma [4.2.](#page-10-2)

## 8. Almost all torsors are loop torsors

In the proof of Theorem [1.2,](#page-2-0) we will need to show certain G-torsors are in fact loop torsors. To achieve this, in this section we collect propositions that show all torsors under reductive groups are loop torsors "up to wild ramification". In particular, when  $G^{\circ}$  is reductive and the characteristic of  $k_0$  is good for G, all G-torsors are loop torsors.

<span id="page-20-1"></span>**Proposition 8.1.** Assume  $G<sup>°</sup>$  is reductive. If the characteristic of  $k_0$  is good for G (see Definition [2.1\)](#page-4-1), then

$$
H_{\text{loop}}^1(F, G) = H_{\text{tr}}^1(F, G) = H^1(F, G).
$$

*Proof.* A torsor induced from a finite subgroup  $S \subset G$  of order prime to char  $k_0$  is a loop torsor; See [\[25,](#page-48-8) Lemma 3.12]. If the characteristic of  $k_0$  is good for G, then all G-torsors are induced from some such subgroup  $S \subset G$  defined over  $k_0$  [\[38,](#page-49-18) Corollary 18]. The result follows.  $\Box$ 

<span id="page-20-2"></span>The next lemma is the only occasion on which we relax Assumption [2.3.](#page-5-3) The proof is inspired by  $[25, 6.2]$ .

**Lemma 8.2.** Let  $(F, \nu)$  be a Henselian valued field with residue field k and value group  $\Gamma_F$ not necessarily finitely generated. Assume  $F_{tr} = F_{sep} = F_{alg}$ . If  $G^{\circ}$  is a torus, then

$$
H_{\text{loop}}^1(F, G) = H_{\text{tr}}^1(F, G) = H^1(F, G).
$$

*Proof.* Set  $T = G^{\circ}$  and  $W = G/T$ . We have an exact sequence:

$$
1 \to T \to G \to W \to 1.
$$

Since G is smooth, W is an étale group over  $k_0$  [\[26,](#page-48-14) Expose VI, Proposition 5.5.1]. This implies that  $W_{k_{0,\text{sep}}}$  is a constant finite group and in particular

$$
W(k_{0,\text{sep}}) = W(\mathcal{O}_{\text{in}}) = W(F_{\text{sep}}).
$$

Therefore  $T(\mathcal{O}_{\text{in}}) \subset G(F_{\text{sep}})$  is a normal subgroup. Consider the induced exact sequence:

(8.1)  $1 \rightarrow T(F_{\text{sep}})/T(\mathcal{O}_{\text{in}}) \rightarrow N(F_{\text{sep}})/T(\mathcal{O}_{\text{in}}) \rightarrow W(k_{0,\text{sep}}) \rightarrow 1.$ 

Let  $\gamma \in H^1(F, N)$  and denote by  $\gamma' \in H^1(F, N(F_{\rm sep})/T(\mathcal{O}_{\rm in}))$  the image of  $\gamma$  under the natural map:

<span id="page-21-0"></span>
$$
H^1(F, N) \to H^1(F, N(F_{\text{sep}})/T(\mathcal{O}_{\text{in}})).
$$

The torsor  $\gamma$  lies in the image of  $H^1(F, N(\mathcal{O}_m)) \to H^1(F, N)$  if and only if  $\gamma'$  lies in the image of the map

$$
H^1(F, N(\mathcal{O}_{\text{in}})/T(\mathcal{O}_{\text{in}})) \to H^1(F, N(F_{\text{sep}})/T(\mathcal{O}_{\text{in}})).
$$

See for example [\[48,](#page-49-9) Lemma 3.1].

The exact sequence [\(8.1\)](#page-21-0) splits. Indeed, the subgroup

$$
\mathit{N}(\mathcal{O}_\mathrm{in})/\mathit{T}(\mathcal{O}_\mathrm{in}) \subset \mathit{N}(F_\mathrm{sep})/\mathit{T}(\mathcal{O}_\mathrm{in})
$$

intersects  $T(F_{\rm sep})/T(\mathcal{O}_{\rm in})$  trivially and it surjects onto  $W(k_{0,\rm sep})$  because  $N(k_{0,\rm sep}) \subset N(\mathcal{O}_{\rm in})$ . Therefore we may rewrite [\(8.1\)](#page-21-0) as:

<span id="page-21-1"></span>
$$
(8.2) \t 1 \to T(F_{\rm sep})/T(\mathcal{O}_{\rm in}) \to T(F_{\rm sep})/T(\mathcal{O}_{\rm in}) \rtimes N(\mathcal{O}_{\rm in})/T(\mathcal{O}_{\rm in}) \to W(k_{0,\rm sep}) \to 1.
$$

Since [\(8.2\)](#page-21-1) is split, to show that  $\gamma$  is induced from  $H^1(F, N(\mathcal{O}_m)/T(\mathcal{O}_m))$  it suffices to prove that  $T(F_{\rm sep})/T(\mathcal{O}_{\rm in})$  is a uniquely divisible abelian group by Lemma [8.3](#page-21-2) below. Let  $X_*(T)$ be the  $Gal(F)$ -module of cocharacters of T. We have isomorphisms of  $Gal(F)$ -modules:

$$
T(F_{\text{sep}}) \cong X_*(T) \otimes_{\mathbb{Z}} F_{\text{sep}}^*, \ T(\mathcal{O}_{\text{in}}) \cong X_*(T) \otimes_{\mathbb{Z}} \mathcal{O}_{\text{in}}^*.
$$

Therefore to show

$$
T(F_{\text{sep}})/T(\mathcal{O}_{\text{in}}) \cong X_*(T) \otimes F_{\text{sep}}^*/\mathcal{O}_{\text{in}}^*
$$

is uniquely divisible it suffices to show  $F_{\rm sep}^*/\mathcal{O}_{\rm in}^*$  is uniquely divisible. The group  $F_{\rm sep}^*/\mathcal{O}_{\rm in}^*$ is divisible because  $F_{\text{sep}}^* = F_{\text{alg}}^*$  is divisible. To show  $F_{\text{sep}}^*/\mathcal{O}_{\text{in}}^*$  is torsion-free, let  $\alpha \in F_{\text{sep}}^*$ be such that  $\alpha^n \in \mathcal{O}_{\text{in}}^*$ . Our goal is to prove  $\alpha \in \mathcal{O}_{\text{in}}^*$ . Let  $n = q^r m$  for some m prime to  $q = \text{char } k$  and integer r. The polynomial  $x^m - \alpha^n$  is separable and splits fully over the residue field of  $k_{\rm sep}$  of  $F_{\rm in}$ . By Hensel's lemma, it follows that all roots of  $x^m - \alpha^n$  lie in  $\mathcal{O}_{\rm in}^*$ . In particular,  $\alpha^{q^r} \in \mathcal{O}_{\text{in}}^*$ . Since F is perfect, so is  $F_{\text{in}}$ . Therefore the unique  $q^r$ -th root of  $\alpha^{q^r}$ must already lie in  $F_{\text{in}}$ . That is,  $\alpha \in F_{\text{in}}$ . Since  $\nu(\alpha) = 0$  we conclude that  $\alpha \in \mathcal{O}_{\text{in}}^*$ . This shows  $F_{\text{sep}}^*/\mathcal{O}_{\text{in}}^*$  is torsion-free and finishes the proof.

<span id="page-21-2"></span>**Lemma 8.3.** Let  $A, B$  be Gal(F) groups for some field F such that B acts on A compatibly with the  $Gal(F)$ -action. If A is a uniquely divisible abelian group, then the natural map:

<span id="page-21-3"></span>(8.3) 
$$
H^1(\text{Gal}(F), B) \to H^1(\text{Gal}(F), A \rtimes B)
$$

is a bijection.

Proof. The map [\(8.3\)](#page-21-3) is injective because it is split by the map

<span id="page-21-4"></span>(8.4) 
$$
H^1(\text{Gal}(F), A \rtimes B) \to H^1(\text{Gal}(F), B)
$$

induced by the projection  $A \rtimes B \to B$ . Moreover,  $(8.3)$  is surjective because its left in-verse [\(8.4\)](#page-21-4) is injective. Indeed, the fibers of (8.4) over  $[b_{\sigma}] \in H^1(\text{Gal}(F), B)$  is in bijective correspondence with  $H^1(\text{Gal}(F), {}_b A)$  by [\[58,](#page-50-4) Page 52, Corollary 2] and  $H^1(\text{Gal}(F), {}_b A)$  is a singleton because A is uniquely divisible  $[28,$  Corollary 4.2.7. This shows  $(8.3)$  is a bijection.

We will need the following definition from valuation theory.

**Definition 8.4.** Let  $q = \text{char } F$  be non-zero. An extension of Henselian valued fields  $F \subset L$ with value groups  $\Gamma_F \subset \Gamma_L$  and residue fields  $k \subset l$  is called *purely wild* if the following conditions hold:

- (1) The group  $\Gamma_L/\Gamma_F$  is a q-group.
- (2) The field extension  $l/k$  is algebraic and purely inseparable.

<span id="page-22-0"></span>We will use the following proposition in the proof of part (1) of Theorem [1.2](#page-2-0) to avoid assuming char  $k$  is good for  $G$ .

**Proposition 8.5.** Assume  $G^{\circ}$  is reductive. For any G-torsor  $\gamma \in H^1(F, G)$  there exists a purely wild extension  $F \subset L$  of degree a power of char  $k = q$  such that  $\gamma_L$  is a loop torsor.

*Proof.* Let  $F \subset L$  to be a maximal algebraic purely wild extension of F; See [\[33,](#page-49-19) Section 4]. The field L satisfies

$$
F_{\text{tr}} \cdot L = F_{\text{alg}}, F_{\text{tr}} \cap L = F, L_{\text{tr}} = L_{\text{sep}} = L_{\text{alg}}.
$$

See [\[33,](#page-49-19) Theorem 4.3] and [33, Lemma 6.3] respectively. Moreover,  $L/F$  is a pro-q extension. Indeed, for any finite subextension  $F \subset L' \subset L$  with residue field extension  $l'/k$ , both  $[\Gamma_{L'} : \Gamma_F]$  and  $[l' : k]$  are powers of q because  $L'/F$  is purely wildly ramified [\[33,](#page-49-19) Section 4]. Therefore  $[L':F]$  is a power of q by Ostrowski's theorem.

Let  $T \subset G$  be a maximal torus defined over  $k_0$  and  $N = N_G(T)$  its normalizer. Note that N is smooth because T of multiplicative type and G is smooth  $[26, E$ xpose XI, Corollaire 2.4]. By Lemma [8.2,](#page-20-2) we have

$$
H^1_{\text{loop}}(L, N) = H^1(L, N).
$$

Since  $H^1(L, N) \to H^1(L, G)$  is surjective [\[29,](#page-48-16) Corollary 5.3], this gives

$$
H^1_{\text{loop}}(L, G) = H^1(L, G).
$$

Write L as a union  $L = \bigcup L_i$  of a chain  $L_1 \subset L_2 \subset \ldots$  of a chain of finite purely wild extensions of  $F \subset L_i$  of degree a power of q. We need to show  $\gamma_{L_i}$  is a loop torsor for some i. Since  $\gamma_L$  is a loop torsor, it is represented by a cocycle:

$$
c_{\sigma} : \mathrm{Gal}_{\mathrm{tr}}(L) \to G(\mathcal{O}_{L,\mathrm{in}}).
$$

Since  $c_{(\cdot)}$  is continuous it factors through  $Gal(E/L)$  for some finite Galois extension  $E/L$ . By Lemma [3.8,](#page-9-0) for all large enough i, E is a compositum  $E = E_i L$  for some tamely ramified Galois extension  $E_i/L_i$  such that the restriction map

$$
res_i: Gal(E/L) \to Gal(E_i/L_i)
$$

is an isomorphism. We have  $\mathcal{O}_{L,\text{in}} = \bigcup_i \mathcal{O}_{L_i,\text{in}}$ , which implies  $G(\mathcal{O}_{L,\text{in}}) = \bigcup_i G(\mathcal{O}_{L_i,\text{in}})$  by [\[30,](#page-49-16) Lemma 10.62. Choose an integer  $i$  large enough such that:

$$
\forall \sigma \in \mathrm{Gal}(E/L), \ c_{\sigma} \in G(\mathcal{O}_{L_i,\mathrm{in}}).
$$

Therefore  $c_{\sigma}$  is the inflation of the cocycle  $c_{\sigma}^{(i)}$ :  $Gal(E_i/L_i) \rightarrow G(\mathcal{O}_{L_i,\text{in}})$  given by:

$$
c_{\sigma}^{(i)} = c_{\text{res}_i^{-1}(\sigma)}.
$$

Set  $\eta = [c_{\sigma}^{(i)}] \in H_{\text{loop}}^1(L_i, G)$ . Since  $c_{\sigma}$  is the inflation of  $c_{\sigma}^{(i)}$  to L, we have

$$
\gamma_L = \eta_L.
$$

Since  $H^1(L, G) = \text{colim } H^1(L_i, G)$  by [\[40,](#page-49-20) Theorem 2.1], this implies

$$
\gamma_{L_j}=\eta_{L_j}
$$

is a loop torsor for large enough  $i \leq j$ .

We have seen that in the absence of wild ramification all torsors are loop torsors. This might lead one to guess that all tamely ramified torsors are loop torsors. We finish with an example showing this is not the case.

**Example 8.6.** Let k be a field of characteristic 2 equipped with a surjective homomorphism

$$
\varepsilon : \operatorname{Gal}(k) \to \operatorname{GL}_1(\mathbb{Z}) = \{\pm 1\}.
$$

The homomorphism  $\varepsilon$  defines a 1-dimensional torus  $T_{\varepsilon} := \varepsilon \mathbb{G}_m$ . The Gal(k)-action on an element  $\alpha \in T_{\varepsilon}(k_{\rm sep}) = k_{\rm sep}^*$  is given by:

$$
{}^{\sigma}\alpha = \alpha^{\varepsilon(\sigma)}.
$$

Let  $F = k((t))$  and consider the decomposition  $\Psi_t : \text{Gal}(k) \times \mathbb{Z}' \to \text{Gal}_{tr}(F)$  of Proposi-tion [3.4.](#page-7-1) We claim the following  $Gal<sub>tr</sub>(F)$ -cocycle represents a tamely ramified  $T<sub>\varepsilon</sub>$ -torsor which is not a loop torsor:

$$
c_{\Psi_t(\sigma,n)} = \begin{cases} t & \text{if } \varepsilon(\sigma) = -1 \\ 1 & \text{if } \varepsilon(\sigma) = 1 \end{cases}
$$

To see this, we assume that  $c_{\tau}$  is cohomologous to a cocycle taking values in  $T_{\varepsilon}(\mathcal{O}_{\rm in})$  and reach a contradiction. Let  $s \in T_{\varepsilon}(F_{tr}) = F_{tr}^*$  be such that for all  $\tau \in \text{Gal}_{tr}(F)$ :

(8.5) 
$$
s^{-1}c_{\tau}^{\tau}s \in T_{\varepsilon}(\mathcal{O}_{\text{in}}) = \mathcal{O}_{\text{in}}^*.
$$

We choose  $\sigma \in \text{Gal}(k)$  such that  $\varepsilon(\sigma) = -1$  and compute:

<span id="page-23-1"></span>
$$
s^{-1}c_{\Psi_t(\sigma,0)}\Psi_t(\sigma,0)}s = ts^{-1\sigma}s = ts^{-1+\varepsilon(\sigma)} = ts^{-2}.
$$

Let  $\nu$  be the Henselian valuation on  $F_{tr}$  extending the t-adic valuation on F. Equation [\(8.5\)](#page-23-1) gives  $\nu(ts^{-2}) = 0$  and therefore:

$$
2\nu(s) = \nu(t).
$$

<span id="page-23-0"></span>This contradicts the fact that  $F_{tr}/F$  is a tamely ramified extension and so  $\Gamma_{F_{tr}}/\Gamma_F$  contains no 2-torsion by definition  $[62,$  Appendix A.1...

#### 9. PROOF OF THEOREM [1.2:](#page-2-0) FIRST REDUCTION

In this section we reduce Theorem [1.2](#page-2-0) to the case where  $G^{\circ}$  is reductive. We will assume  $k_0$  is perfect throughout the section, because this is the only case in which  $G^{\circ}$  being reductive is an extra assumption. The following lemma is false over imperfect base fields; See [\[61\]](#page-50-5).

<span id="page-23-2"></span>**Lemma 9.1.** Let  $U \subset G$  be a normal smooth and connected unipotent subgroup. For any  $p \neq \text{char } k_0$ , we have:

$$
ed(G) = ed(G/U), ed(G; p) = ed(G/U; p).
$$

Proof. This follows from the fact that the induced map

$$
H^1(k, G) \to H^1(k, G/U)
$$

is a bijection for all fields  $k_0 \subset k$  [\[57,](#page-50-6) Lemma 1.13].

Let  $A \subset G$  be a finite split-diagonalizable subgroup. Denote the unipotent radical of G by  $R_u(G)$  and set  $\overline{G} := G/R_u(G)$ . Note that  $R_u(G)$  is normal in G because it is a characteristic subgroup of  $G^{\circ}$ . Let  $\pi: G \to \overline{G}$  be the natural quotient map. By [\[3,](#page-47-3) Proposition 9.6] and the remark following it, the restriction of  $\pi$  to  $C_G(A)$  gives a quotient map:

$$
\pi_{|C_G(A)}: C_G(A) \to C
$$

onto a smooth subgroup  $C \subset C_{\overline{G}}(\pi(A))$  with

(9.1) 
$$
\dim(C) = \dim(C_{\overline{G}}(\pi(A))).
$$

Let  $R_u(G)^A = R_u(G) \cap C_G(A)$  be the subgroup fixed by the conjugation action of A on  $R_u(G)$ . There is a short exact sequence:

<span id="page-24-3"></span><span id="page-24-2"></span><span id="page-24-0"></span>
$$
1 \to R_u(G)^A \to C_G(A) \stackrel{\pi}{\to} C \to 1.
$$

The unipotent group  $R_u(G)^A$  is smooth and connected by [\[46,](#page-49-11) Theorem 13.7] and [\[3,](#page-47-3) Proposition 9.4] respectively. Therefore Lemma [9.1](#page-23-2) gives:

(9.2) 
$$
ed(C_G(A)) = ed(C), ed(C_G(A); p) = ed(C; p).
$$

Since  $\dim(C) = \dim(C_{\overline{G}}(\pi(A))),$  [\[44,](#page-49-2) Proposition 3.15] and [\(9.2\)](#page-24-0) give:

(9.3) 
$$
\mathrm{ed}(C_{\overline{G}}(\pi(A))) \geq \mathrm{ed}(C) = \mathrm{ed}(C_G(A))
$$

Similarly, we obtain for any prime  $p \neq \text{char } k_0$ :

(9.4) 
$$
\mathrm{ed}(C_{\overline{G}}(\pi(A)); p) \geq \mathrm{ed}(C_G(A); p)
$$

<span id="page-24-1"></span>To finish the reduction to the reductive case we will need the following lemma.

**Lemma 9.2.** If  $C_G(A)$  admits anisotropic torsors over a field  $k_0 \,\subset k$ , then so does  $C_{\overline{G}}(\pi(A))$ .

*Proof.* Assume  $\gamma \in H^1(k, C_G(A))$  is anisotropic. The push-forward  $\pi_*(\gamma) \in H^1(k, C)$  is anisotropic by Lemma [4.5\(](#page-12-1)2). The push-forward of  $\pi_*(\gamma)$  to  $H^1(k, C_{\overline{G}}(\pi(A)))$  is anisotropic by Lemma [4.5\(](#page-12-1)1) because  $\dim(C) = \dim(C_{\overline{G}}(\pi(A))).$ 

<span id="page-24-4"></span>Proposition 9.3. To establish Theorem [1.2,](#page-2-0) it suffices to prove it under the additional assumption that  $G^{\circ}$  is reductive.

*Proof.* We assume that Theorem [1.2](#page-2-0) holds for  $G/R_u(G) = \overline{G}$  and show that it holds for G (note that  $\overline{G}^{\circ} = G^{\circ}/R_u(G)$  is reductive because  $k_0$  is perfect [\[46,](#page-49-11) Proposition 19.11]).

Let  $A \subset C_G(A)$  be a finite split-diagonalizable subgroup such that  $C_G(A)$  admits anisotropic torsors over a field  $k_0 \,\subset k$ . Then  $C_{\overline{G}}(\pi(A))$  admits anisotropic torsors over k as well by Lemma [9.2.](#page-24-1) We prove the two parts of Theorem [1.2](#page-2-0) separately.

(1) Let  $p \neq \text{char } k_0$  be a prime. If k is p-closed, and A is a p-group, then Theorem [1.2\(](#page-2-0)1) gives:

$$
\operatorname{ed}(\overline{G}; p) \ge \operatorname{ed}(C_{\overline{G}}(\pi(A)); p).
$$

Combining this with Lemma  $9.1$  and  $(9.4)$ , we get:

$$
\mathrm{ed}(G;p)=\mathrm{ed}(\overline{G};p)\geq \mathrm{ed}(C_{\overline{G}}(\pi(A));p)\geq \mathrm{ed}(C_G(A);p).
$$

Therefore the conclusion of Theorem  $1.2(1)$  $1.2(1)$  holds for  $G$ .

(2) If the characteristic of  $k_0$  is good for G, then it is good for  $\overline{G}$  as well by Definition [2.1.](#page-4-1) Therefore Theorem [1.2\(](#page-2-0)2) gives:

$$
\mathrm{ed}(\overline{G}) \ge \mathrm{ed}(C_{\overline{G}}(\pi(A))).
$$

By Lemma  $9.1$  and  $(9.3)$ , we have:

$$
ed(G) = ed(\overline{G}) \geq ed(C_{\overline{G}}(\pi(A))) \geq ed(C_G(A)).
$$

<span id="page-25-0"></span>This shows Theorem  $1.2(2)$  $1.2(2)$  holds for  $G$ .

#### 10. CONCLUSION OF THE PROOF OF THEOREM [1.2](#page-2-0)

In this section, F will be an iterated Laurent series field  $k((t_1)) \dots ((t_r))$  over k for some field  $k_0 \,\subset k$ . The valuation  $\nu$  on F is the  $(t_1, \ldots, t_r)$ -adic valuation. We can and shall assume that  $G^{\circ}$  is reductive by Proposition [9.3.](#page-24-4) We start the proof of Theorem [1.2](#page-2-0) with an elementary lemma.

<span id="page-25-1"></span>**Lemma 10.1.** Let  $x = (x_1, \ldots, x_d), y = (y_1, \ldots, y_d) \in G(k_{\text{sep}})^d$  be d-tuples of elements. Assume that  $x_1, \ldots, x_d$  generate a finite abelian group  $A \subset G(k_{\rm sep})$  such that  $|A|$  is prime to chark. Let G act on  $G^d$  by conjugation. If x, y are conjugate in  $G(l_{sep})^d$  for some field extension  $k \subset l$ , then  $x, y$  are conjugate in  $G(k_{\rm sep})^d$ .

*Proof.* Let  $X \subset G^d$  denote the G-orbit of x under the conjugation action on  $G^d$ . By assumption  $y \in X(l_{\rm sep})$ . Since  $y \in G^d(k_{\rm sep})$  and  $X \subset G^d$  is locally closed, we get

$$
y \in X(l_{\rm sep}) \cap G^d(k_{\rm sep}) = X(k_{\rm sep}).
$$

The stabilizer stab<sub>G</sub>(x) is  $C_G(A)$  and so the orbit map induces an isomorphism  $X \cong G/C_G(A)$ [\[46,](#page-49-11) Corollary 7.13] over  $k_{\rm sep}$ . The homomorphism

$$
G(k_{\rm sep}) \to G/C_G(A)(k_{\rm sep}), \ g \mapsto gxg^{-1}
$$

is surjective because  $C_G(A)$  is smooth [\[46,](#page-49-11) Theorem 13.9]. Therefore  $y \in X(k_{\rm sep})$  implies  $y = gxg^{-1}$  for some  $g \in G(k_{\rm sep})$ .

<span id="page-25-3"></span>The following descent lemma is the main ingredient of the proof of Theorem [1.2.](#page-2-0)

**Lemma 10.2.** Let  $\varphi : \Gamma_F^{\vee} \to A$  be a continuous surjection onto a split-diagonalizable subgroup  $A \subset G$  and  $[a_{\sigma}] \in H_{an}^1(k, C_G(A)(k_{\text{sep}}))$  an anisotropic torsor. Let  $F_1 \subset F$  be a Henselian subfield with  $\Gamma_{F_1} = \Gamma_F$  and choose a uniformizer  $\pi$  for  $F_{1,\text{tr}}$ . If  $[a_{\sigma}, \varphi]_{\pi} \in H^1(F, G)$ descends to a loop torsor  $\gamma_1 \in H_{\text{loop}}^1(F_1, G)$ , then  $[a_{\sigma}]$  descends to the residue field of  $F_1$ .

*Proof.* Let  $k_1$  denote the residue field of  $F_1$ . By assumption  $\gamma_1$  is a loop torsor and so we can write  $\gamma_1 = [a'_{\sigma}, \varphi']_{\tau}$  for some  $\varphi' : \Gamma_{F_1}^{\vee} \to G(\mathcal{O}_{F_1, \text{in}}), a'_{\sigma} \in G(\mathcal{O}_{F_1, \text{in}}).$  Since  $\Gamma_{F_1} = \Gamma_F$ , we have by Corollary [7.2:](#page-18-4)

<span id="page-25-2"></span>
$$
[\text{Inf}_{k/k_1}(a')_{\sigma}, \varphi']_{\pi} = (\gamma_1)_{F} = \gamma = [a_{\sigma}, \varphi]_{\pi}.
$$

Since  $[a_{\sigma}]$  is anisotropic, so is  $\gamma$  by [\[24,](#page-48-9) Proposition 4]. Therefore Theorem [6.1](#page-14-1) implies there exists  $s \in G(k_{\rm sep})$  such that:

(10.1) 
$$
s^{-1}a_{\sigma}{}^{\sigma}s = \overline{\ln f_{k/k_1}(a')_{\sigma}}, \quad s^{-1}\varphi(x)s = \overline{\varphi'(x)}.
$$

Let  $\mathcal{O}_{F_1,\text{in}}$  denote the inertial closure of the valuation ring of  $F_1$ . By Lemma [10.1,](#page-25-1) there exists  $s_1 \in G(k_{1,\text{sep}})$  such that

$$
s_1^{-1}\varphi(x)s_1 = \overline{\varphi'(x)}\\ 26}
$$

 $\Box$ 

Lift  $s_1$  to an element  $h \in G(\mathcal{O}_{F_1, \text{in}})$  using Hensel's lemma to get

$$
\overline{h}^{-1}\varphi(x)\overline{h} = \overline{\varphi'(x)}.
$$

We replace  $\langle a'_\sigma, \varphi' \rangle_\pi$  by the cohomologous cocycle  $\langle h^{-1} a'_\sigma a'_h, h^{-1} \varphi' h \rangle_\pi$  using Lemma [5.5](#page-14-2) to assume

<span id="page-26-0"></span>
$$
\overline{\varphi'(x)} = \varphi(x)
$$

without loss of generality. Then [\(10.1\)](#page-25-2) shows  $s \in C_G(A)(k_{\text{sep}})$  because  $A = \text{im } \varphi$ . Therefore (10.2)  $[\text{Inf}_{k/k_1} \overline{a'_{\sigma}}] = [a_{\sigma}] \in H^1(k, C_G(g)).$ 

Moreover,  $\overline{a'_{\sigma}} \in C_G(A)(k_{0,sep})$  for all  $\sigma \in Gal(k_1)$  by Lemma [5.4.](#page-14-3) Therefore [\(10.2\)](#page-26-0) proves  $[a_{\sigma}] \in H^{1}(k, C_{G}(A))$  descends to  $k_1$  as we wanted to show.

Without the assumption  $\Gamma_{F_1} = \Gamma_F$  in Lemma [10.2,](#page-25-3) we cannot say with certainty that  $[a_{\sigma}]$  descends to the residue field of  $F_1$ . However, we can still get a lower bound on the transcendence degree of  $F_1$  in terms of the essential dimension of  $[a_\sigma]$ .

<span id="page-26-1"></span>Corollary 10.3. Let  $\varphi : \Gamma_F^{\vee} \to A$  be a continuous surjection onto a split-diagonalizable subgroup  $A \subset G$  and  $\eta = [a_{\sigma}] \in H^1_{an}(k, C_G(A)(k_{\text{sep}}))$  be an anisotropic torsor. If  $[a_{\sigma}, \varphi]_{\pi} \in$  $H^1(F, G)$  descends to a loop torsor  $\gamma_1 \in H^1_{\text{loop}}(F_1, G)$  for some Henselian subfield  $F_1 \subset F$ (with respect to  $\nu_{|F_1}$ ), then  $\mathrm{trdeg}_{k_0}(F_1) \geq \mathrm{ed}(\eta)$ .

*Proof.* Set  $F_2 = F_1(\pi^{\gamma}; \gamma \in \Gamma_F)$  and let  $k_2$  denote the residue field of  $F_2$ . Since  $\Gamma_{F_2} = \Gamma_F$ , Lemma [10.2](#page-25-3) implies  $\eta$  descends to  $k_2$  and so trdeg<sub> $k_0$ </sub> $(k_2) \geq$  ed $(\eta)$ . Therefore:

$$
\operatorname{trdeg}_{k_0}(F_1) \ge \operatorname{trdeg}_{k_0}(F_2) - r \ge \operatorname{trdeg}_{k_0}(k_2) \ge \operatorname{ed}(\eta).
$$

Here the first inequality follows because  $F_2$  is generated by r elements over  $F_1$ . The second inequality from the fact that  $k_2$  is the residue field of a valuation of rank r on  $F_2$ ; see [\[64,](#page-50-7) Chapter VI, Theorem 3, Corollary 1.

We proceed to prove Theorem [1.2.](#page-2-0) Assume  $C_G(A)$  admits an anisotropic torsor  $[a_{\sigma}] = \eta \in$  $H^1_{an}(k, C_G(g))$  over k. We may assume that  $\eta$  is versal by Proposition [4.3](#page-11-1) and so:

(10.3) 
$$
\mathrm{ed}(\eta)=\mathrm{ed}(C_G(g))\ \ \mathrm{and}\ \ \mathrm{ed}(\eta;p)=\mathrm{ed}(C_G(g);p).
$$

Set  $r = \text{rank}(A)$ , let  $F = k((t_1)) \dots ((t_r))$  be a field of iterated Laurent series equipped with the  $(t_1,\ldots,t_r)$ -adic valuation. Since  $\Gamma_F = \mathbb{Z}^r$ , we have  $\Gamma_F^{\vee} = \text{Hom}(\Gamma_F, \hat{\mathbb{Z}}') = \hat{\mathbb{Z}}^{r_r}$ . Choose a surjection  $\varphi : \Gamma_F^{\vee} \to A$  and set

<span id="page-26-2"></span>
$$
\gamma = [a_{\sigma}, \varphi]_{\pi} \in H^1_{\text{loop}}(F, G).
$$

Note that  $[a_{\sigma}, \varphi]_{\pi}$  is well-defined by Example [5.3](#page-13-2) and anisotropic by [\[24,](#page-48-9) Proposition 4]. We prove the two parts of Theorem [1.2](#page-2-0) separately. Starting from Part (2) because it is simpler.

*Proof of Theorem [1.2\(](#page-2-0)2).* Assume  $\gamma$  descends to a field  $k \subset F_1 \subset F$  with:

<span id="page-26-3"></span>
$$
\operatorname{trdeg}_{k_0}(F_1) = \operatorname{ed}(\gamma).
$$

We may replace  $F_1$  by its Henselization inside of F to assume  $F_1$  is Henselian; See [\[62,](#page-50-1) Appendix A.3. Under the characteristic assumptions in Part  $(2)$ ,  $\gamma$  descends to a loop torsor over  $F_1$  by Lemma [8.1.](#page-20-1) Therefore Corollary [10.3](#page-26-1) and [\(10.3\)](#page-26-2) give

(10.4) 
$$
\mathrm{ed}(\gamma)=\mathrm{trdeg}_{k_0}(F_1)\geq \mathrm{ed}(\eta)=\mathrm{ed}(C_G(g)).
$$

This finishes the proof because  $\text{ed}(G) \geq \text{ed}(\gamma)$  by definition.

*Proof of Theorem [1.2\(](#page-2-0)1).* Assume A is a p-group and k is p-closed. Let  $L/F$  be a prime-to-p extension and  $k_0 \subset L_1 \subset L$  a field such that  $\gamma_L$  descends to  $\gamma_1 \in H^1(L_1, G)$  and:

(10.5) 
$$
\operatorname{trdeg}_{k_0}(L_1) = \operatorname{ed}(\gamma;p).
$$

We replace  $L_1$  by its Henselization inside of L to assume it is Henselian. By Proposition [8.5,](#page-22-0) there exists a prime-to-p extension  $L'_1/L_1$  such that  $(\gamma_1)_{L'_1}$  is a loop torsor. Note that  $L'_1$ is contained in a prime-to-p extension  $L \subset L'$  [\[41,](#page-49-21) Lemma 6.1]. The residue field of L' is k because k is p-closed by Ostrowski's theorem. By Corollary [7.4,](#page-18-3) there exists a uniformizer  $\tau$ for  $L'_{tr}$  such that:

<span id="page-27-0"></span>
$$
\gamma_{L'} = [a_{\sigma}, \varphi_{|L'}]_{\tau}
$$

Note that  $\gamma_{L'}$  is anisotropic and im  $\varphi_{L'} = A$  by Lemma [7.5.](#page-19-0) The field L' is isomorphic (as a valued field) to an iterated Laurent series field because it is a finite extension of  $F$ ; See the first paragraph of [\[27,](#page-48-3) Page 199]. Since  $\gamma_{L'}$  descends to a loop torsor over  $L'_1$ , Corollary [10.3](#page-26-1) implies

$$
\operatorname{trdeg}_{k_0}(L'_1) \ge \operatorname{ed}(\eta) \ge \operatorname{ed}(\eta; p).
$$

Since  $L_1 \subset L'_1$  is an algebraic extension we get from [\(10.5\)](#page-27-0):

$$
ed(\gamma; p) = \operatorname{trdeg}_{k_0}(L_1) = \operatorname{trdeg}_{k_0}(L'_1) \geq ed(\eta; p) = ed(C_G(A); p)
$$

This finishes the proof because  $\operatorname{ed}(G; p) \geq \operatorname{ed}(\gamma; p)$  by definition.

We end this section with an example showing why the assumption that  $C_G(A)$  admits anisotropic torsors is required for our approach to work.

**Example 10.4.** Let  $G = \text{PGL}(V)$  be the projective linear group associated to the vector space  $V = \mathbb{C}^4 \otimes \mathbb{C}^2$ . Let  $A \subset G$  be the subgroup generated by the equivalence class of the matrix

$$
a=\mathrm{id}_{\mathbb{C}^4}\otimes d
$$

where  $d \in GL_2(\mathbb{C})$  is the diagonal matrix:

$$
d = \begin{pmatrix} 1 & 0 \\ 0 & \zeta_3 \end{pmatrix}.
$$

We will prove that for any loop torsor  $[a_{\sigma}] \in H^1(k, C_G(A))$  and any surjection  $\varphi : \Gamma_F^{\vee} \to A$ , [\(10.4\)](#page-26-3) fails for the loop torsor  $\gamma = [a_{\sigma}, \varphi]_{\pi}$ . That is, we will prove:

$$
\mathrm{ed}(\gamma) \leq \mathrm{ed}(C_G(A)).
$$

The failure of [\(10.4\)](#page-26-3) for all  $C_G(A)$ -torsors is explained by the fact that, as we shall see,  $C_G(A)$  does not admits anisotropic torsors.

A choice of a basis  $b_1, b_2 \in \mathbb{C}^2$  allows us to view matrices  $g \in GL(V)$  as block matrices:

$$
g = \begin{pmatrix} g_{11} & g_{12} \\ g_{21} & g_{22} \end{pmatrix},
$$

where  $g_{ij} \in M_4(\mathbb{C})$  are four by four matrices. The action of g on  $u \otimes b_j$  is given by

$$
gu \otimes b_j = g_{1j}u \otimes b_1 + g_{2j}u \otimes b_2.
$$

The corresponding block decomposition of  $a = id_{\mathbb{C}^4} \otimes d$  is

$$
a = \begin{pmatrix} I_4 & 0 \\ 0 & \zeta_3 I_4 \end{pmatrix}.
$$

Here  $I_4 \in M_4(\mathbb{C})$  is the identity matrix. Using this, one see computes the centralizer of  $A = \langle a \rangle$ :

$$
C_G(A) = \left\{ \begin{bmatrix} g_{11} & 0 \\ 0 & g_{22} \end{bmatrix} \middle| g_{11}, g_{22} \in GL_4(\mathbb{C}) \right\}.
$$

We identify the right hand side with  $GL_4 \times GL_4/\lambda(\mathbb{G}_m)$ , where  $\lambda : \mathbb{G}_m \to GL_4 \times GL_4$  is the embedding  $\lambda(s) = (sI_4, sI_4)$ . Consider the diagonal embedding:

$$
\Delta: \mathrm{PGL}_4 \to C_G(A), \ \ [g] \mapsto [g \otimes I_2] = \left[ \begin{pmatrix} g & 0 \\ 0 & g \end{pmatrix} \right].
$$

Let  $\mathbb{C} \subset F$  be a field. By [\[11,](#page-48-17) Theorem A.1], the quotient map  $\pi : C_G(A) \to \mathrm{PGL}_4 \times \mathrm{PGL}_4$ induces an embedding

$$
\pi_*: H^1(F, C_G(A)) \hookrightarrow H^1(F, \mathrm{PGL}_4)^{\times 2}
$$

onto the diagonal subset consisting of all pairs  $(x, x)$  with  $x \in H^1(F, \text{PGL}_4)$ . This subset is precisely the image of  $\pi_* \circ \Delta_*$ , where  $\Delta_* : H^1(F, \text{PGL}_4) \to H^1(F, C_G(A))$  is the map induced by  $\Delta$ . We conclude that  $\Delta_{*}$  must be an isomorphism. In particular, we get:

$$
ed(C_G(A)) = ed(PGL_4) = 5
$$

by [\[42\]](#page-49-22). Let  $\gamma \in H^1(F, G)$  be induced from a  $C_G(A)$ -torsor. Since  $\Delta_*$  is an isomorphism,  $\gamma$  is represented by a cocycle of the form  $\Delta(c_{\sigma})$  for some cocycle  $c_{\sigma} \in \text{PGL}_4$ . Recall that  $PGL_n$ -torsors classify central simple algebras of degree n up to isomorphism; See for example [\[28,](#page-48-15) Chapter 2]. If the cocycle  $c_{\sigma}$  corresponds to some central simple algebra B of degree 4 over F, then the cocycle  $\Delta(c_{\sigma})$  corresponds to the matrix algebra  $M_2(B) = B \otimes M_2(\mathbb{C})$  over B because  $\Delta(c_{\sigma}) = c_{\sigma} \otimes I_2$ . Both the algebra  $M_2(B)$  and  $\gamma$  descend to a field  $F_0 \subset F$  with  $trdeg_{\mathbb{C}}(F_0) \leq 4$  by [\[35,](#page-49-23) Corollary 1.4]. This gives the upper bound

 $\text{ed}(\gamma) \leq 4.$ 

In particular, for any loop torsor  $\gamma = [a_{\sigma}, \varphi]_{\pi}$  with im  $\varphi = A$  over any Henselian field  $\mathbb{C} \subset F$ the essential dimension of  $\gamma$  is strictly smaller than ed( $C_G(A)$ ):

$$
\mathrm{ed}(\gamma) \le 4 < 5 = \mathrm{ed}(C_G(A)).
$$

This shows the assumption that  $\gamma$  is anisotropic is necessary for our proof of Theorem [1.2](#page-2-0) to work. Note that in this example any  $C_G(A)$ -torsor  $\eta = [a_{\sigma}]$  is isotropic because  $Z(C_G(A)) \cong$  $\mathbb{G}_m$  embeds into the twisted group  $_{a_{\sigma}}C_G(A)$ .

#### 11. Reductive subgroups of maximal rank

<span id="page-28-1"></span><span id="page-28-0"></span>Some of the inequalities in Theorem [1.5](#page-3-0) follow from the next useful corollary of Theorem [1.2](#page-2-0) whose proof relies on Borel–de Siebenthal theory.

**Corollary 11.1.** Let G be a group over a field  $k_0$  with  $G^{\circ}$  reductive and assume char  $k_0 \neq 2, 3$ . Let  $H \subset G$  be a (connected) reductive subgroup of maximal rank. Assume that  $Z(H)$  is finite and split-diagonalizable.

(1) Let  $p \neq$  char  $k_0$  be a prime. If  $Z(H)$  is a p-group and H admits an anisotropic torsor over some p-closed field  $k_0 \subset k$ , then we have:

$$
\operatorname{ed}(G;p) \ge \operatorname{ed}(H;p).
$$

(2) Assume char  $k_0$  is good for G (see Definition [2.1\)](#page-4-1). If H admits an anisotropic torsor over some field  $k_0 \subset k$ , then we have:

$$
ed(G) \ge ed(H).
$$

Proof. By Borel-de Siebenthal's theorem, we have

$$
C_G(Z(H))^{\circ} = C_{G^{\circ}}(Z(H))^{\circ} = H.
$$

See  $[4]$  for the characteristic zero case and  $[50]$  for the general case. Therefore

$$
\dim(C_G(Z(H))) = \dim H.
$$

By  $[7, \text{Lemma } 2.2]$ , we get:

$$
ed(C_G(Z(H))) \geq ed(H), \text{ ed}(C_G(Z(H)); p) \geq ed(H;p).
$$

Now the corollary follows from Theorem [1.2.](#page-2-0)

<span id="page-29-0"></span>Corollary [11.1](#page-28-1) allows us to prove the following parts of Theorem [1.5.](#page-3-0)

**Proposition 11.2.** Assume char  $k_0 \neq 2, 3$ .

 $(1)$  ed $(E_8; 2) =$ ed $(HSpin_{16}; 2)$  $(2)$  ed( $E_8$ ; 3)  $\geq 13$ (3)  $ed(E_7^{ad}; 2) \ge 19$ 

*Proof.* (1) There exists an embedding  $HSpin_{16} \subset E_8$  [\[21,](#page-48-19) Example 4.3] and an anisotropic HSpin<sub>16</sub>-torsor over a 2-closed field  $k_0 \,\subset k$  by Lemma [16.2.](#page-42-0) Therefore by Corollary [11.1](#page-28-1)

 $ed(E_8; 2) > ed(HSpin_{16}; 2).$ 

The inequality

$$
ed(E_8; 2) \leq ed(HSpin_{16}; 2)
$$

follows from the fact that any  $E_8$ -torsor admits reduction of structure to  $\text{HSpin}_{16}$  after an odd degree extension; See the first paragraph of the proof of  $[21,$  Theorem 16.2.

(2) By [\[21,](#page-48-19) Example 4.4],  $SL_9/\mu_3$  embeds into  $E_8$  and we have  $ed(SL_9/\mu_3; 3) = 13$  by results of S. Baek-Merkurjev [\[2\]](#page-47-4) and Chernosouv-Merkurjev [\[13,](#page-48-7) Theorem 1.1]. Therefore Corollary [11.1](#page-28-1) will give:

$$
ed(E_8; 3) \geq ed(SL_9/\mu_3; 3) = 13,
$$

once we show  $SL_9/\mu_3$  admits anisotropic torsors over a 3-closed field. This is simple to see using the theory of central simple algebras. The reader is referred to [\[28\]](#page-48-15) for the relevant definitions. There exists a central division algebra D over a field  $k_0 \subset k$  of period 3 and index 9  $[48, \text{Lemma } 4.8]$ . One can take k to be 3-closed because the index and period of D are unaffected by passing to prime-to-3 extensions of  $k$  [\[56,](#page-50-8) Theorem 3.15]. The algebra D corresponds to an anisotropic PGL<sub>9</sub>-torsor  $\gamma \in H^1(k, \text{PGL}_9)$  by [\[48,](#page-49-9) Lemma 5.4]. Let  $\pi_*$ :  $H^1(k, \mathrm{SL}_9/\mu_3) \to H^1(k, \mathrm{PGL}_9)$  be the pushforward map. There exists  $\eta \in H^1(k, \mathrm{SL}_9/\mu_3)$ such that  $\pi_*(\eta) = \gamma$  by [\[48,](#page-49-9) Lemma 5.3] and  $\eta$  is anisotropic by [48, Corollary 3.4].

(3) There exists an embedding  $SL_8/\mu_4 \subset E_8$ ; See [\[15,](#page-48-20) Corollary 4.2] or [\[17,](#page-48-21) Table 4]. We have ed( $SL_8(\mu_4; 2) = 19$  by [\[13,](#page-48-7) Corollary 1.2]. To deduce the inequality

$$
ed(E_7^{ad}; 2) \geq ed(SL_8/\mu_4; 2) = 19
$$

from Corollary [10.3,](#page-26-1) one needs to prove the existence of an anisotropic  $SL_8/\mu_4$ -torsor over some 2-closed field. As in Part (2), this is done by first constructing a central division algebra

D of index 8 and period 4 over some 2-closed field  $k_0 \subset k$ , and then lifting the anisotropic PGL<sub>8</sub>-torsor corresponding to D to an anisotropic  $SL_8/\mu_4$ -torsor using [\[48,](#page-49-9) Lemma 5.3].

 $\Box$ 

**Remark 11.3.** In [\[48\]](#page-49-9), a torsor  $\gamma \in H^1(k, G)$  is defined to be isotropic if there exists a proper parabolic subgroup  $P \subset G$  such that  $\gamma$  lies in the image of the induced map  $H^1(k, P) \to H^1(k, G)$ . In the proof of Proposition [11.2](#page-29-0) above, we used the fact that this alternative definition of isotropy is equivalent to our notion of isotropy when  $G$  is a quasisplit semisimple group. To prove this fact, assume that  $G$  is quasi-split and semisimple. Then  $\gamma$  is isotropic in the sense of [\[48\]](#page-49-9) if and only if  $_{\gamma}G$  admits proper parabolic subgroups [\[48,](#page-49-9) Lemma 2.2]. Since  $Z(\gamma G)$  is finite,  $\gamma G$  admits proper parabolic subgroups if and only if it contains non-trivial split tori [\[5,](#page-47-5) Corollary 4.17]. Therefore  $\gamma$  is isotropic in the sense of [\[48\]](#page-49-9) if and only if it is isotropic according to our definition.

## <span id="page-30-0"></span>12. Abelian subgroups arising from gradings on the character lattice

In this section we assume  $G^{\circ}$  is a split reductive group. Let  $T \subset G$  be a split maximal torus defined over  $k_0$ . We will give a systematic root-theoretic approach to choosing splitdiagonalizable *p*-subgroups  $A \subset T$  such that:

- (1) The connected centralizer  $C_G(A)^\circ$  is T.
- (2) The group A satisfies the conditions of Theorem  $1.2(1)$  $1.2(1)$ .

These conditions allow us to bound  $ed(C_G(A); p)$  from below using [\[37\]](#page-49-8) and to upgrade our lower bound on  $ed(C_G(A); p)$  to a lower bound on  $ed(G; p)$  using Theorem [1.2.](#page-2-0) We will obtain a combinatorial formula for lower bounds on  $ed(G; p)$  in terms of gradings on the character lattice  $X(T)$ .

**Definition 12.1.** Fix a prime  $p \neq \text{char } k_0$  and an abstract abelian p-group V. A V-grading on  $X(T)$  is a surjective homomorphism

<span id="page-30-4"></span><span id="page-30-3"></span>
$$
\varepsilon: X(T) \to \mathcal{V}.
$$

Let  $X(V) = \text{Hom}(V, k^*_{0, \text{sep}})$  be the group of characters of V. The group  $X(V)$  is splitdiagonalizable of order |V|. Any V-grading induces an embedding  $\varepsilon^* : X(\mathcal{V}) \to T(k_{0, \text{sep}})$  by the anti-equivalence between finitely generated abelian groups and quasi-tori; See e.g. [\[5,](#page-47-5) Corollary 8.3]. We will denote the image of this embedding by

(12.1) 
$$
A_{\varepsilon} := \varepsilon^*(X(\mathcal{V})).
$$

<span id="page-30-2"></span>The following lemma gives us a way to verify the condition  $C_G(A_\varepsilon)^\circ = T$  holds.

**Lemma 12.2.** Let  $\Phi \subset X(T)$  be the root system associated to  $T \subset G$ . We have  $C_G(A_\varepsilon)^\circ = T$ if and only if

(12.2) 
$$
\forall \alpha \in \Phi, \ \varepsilon(\alpha) \neq 0.
$$

*Proof.* The inclusion  $T \subset C_G(A_\varepsilon)^\circ$  holds because  $A_\varepsilon \subset T$ . Therefore the equality  $C_G(A_\varepsilon)^\circ =$ T is equivalent to:

<span id="page-30-1"></span>(12.3) 
$$
\dim T = \dim C_G(A_\varepsilon).
$$

To check this equality of dimensions we can base change to  $k_{0,alg}$  to assume that  $k_0$  is algebraically closed. Moreover, we may assume  $G$  is connected by replacing  $G$  with  $G^{\circ}$  because this does not affect  $(12.3)$ . The proof is is a variation of [\[31,](#page-49-25) Theorem 2.2]. We include the details for completeness. Let  $H \subset G$  be the subgroup generated by  $N_G(T) \cap$  $C_G(A_\varepsilon)$  and all root subgroup  $U_\alpha$  with  $\varepsilon(\alpha) = 0$ . It will suffice to prove

$$
H=C_G(A_\varepsilon).
$$

To prove the inclusion  $H \subset C_G(A_\varepsilon)$  it suffices to prove  $U_\alpha \subset C_G(A_\varepsilon)$  for any  $\alpha \in \Phi$  such that

$$
\varepsilon(\alpha)=0.
$$

The group  $A_{\varepsilon}$  commutes with  $U_{\alpha}$  if and only if

<span id="page-31-0"></span>
$$
\alpha(\varepsilon^*(\mathcal{V})) = 1.
$$

Now note that  $\alpha \circ \varepsilon^*$  is dual to the homomorphism  $\varepsilon \circ \alpha^* : X(\mathbb{G}_m) \to \mathcal{V}$ . Therefore:

(12.4) 
$$
\alpha(\varepsilon^*(A_{\varepsilon})) = 1 \iff \varepsilon(\alpha) = 0 \iff U_{\alpha} \subset C_G(A_{\varepsilon}).
$$

To prove  $H \supset C_G(A_\varepsilon)$ , choose representatives  $n_w \in N_{G\circ}(T)$  for Weyl group elements  $w \in$  $W = N_G(T)/T$ . Choose an ordering of the roots  $\Phi$  and let  $U_+, U_- \subset G^{\circ}$  be the corresponding unipotent groups generated by the positive and negative root groups respectively. An element  $x \in C_G(A_\varepsilon)(k_0)$  has a unique Bruhat decomposition:

$$
x=un_wtv,
$$

where  $v \in U_+$ ,  $t \in T$ ,  $u \in U_+ \cap n_w U_- n_w^{-1}$ . The uniqueness of the decomposition forces  $u, n_w, v \in C_G(A_\varepsilon)$  because  $A_\varepsilon$  normalizes  $U, N_G(T), U_-\$ . Therefore we can assume  $x = u$  or  $x = v$ . The two cases are similar, we will focus on the case  $x = u \in U_+$ . Up to an ordering  $\alpha_1, \ldots, \alpha_d$  of the positive roots, u can be written uniquely as a product:

$$
u=p_{\alpha_1}(t_1)\ldots p_{\alpha_d}(t_d),
$$

where  $p_{\alpha}$  is a parameterization of  $U_{\alpha}$  and  $t_i \in k_0$ . For any  $v \in V$ ,  $u \in C_G(A_{\varepsilon})$  implies:

$$
p_{\alpha_1}(t_1)\dots p_{\alpha_d}(t_d)=\varepsilon^*(a)u\varepsilon^*(a)^{-1}=p_{\alpha_1}(\alpha_1(\varepsilon^*(a))t_1)\dots p_{\alpha_d}(\alpha_d(\varepsilon^*(a))t_d).
$$

By uniqueness of the decomposition, we get for all  $1 \leq i \leq d$ :

$$
\alpha_i(\varepsilon^*(a))t_i = t_i.
$$

If  $t_i \neq 0$ , then  $\alpha_i(\varepsilon^*(A_{\varepsilon})) = 1$  and  $(12.4)$  implies  $\varepsilon(\alpha) = 0$ . Therefore  $p_{\alpha_i}(t_i) \in H$  for all  $1 \leq i \leq d$  and  $u \in H$ , as we wanted to show.

Let  $\varepsilon$  be a V-grading such that  $\varepsilon(\alpha) \neq 0$  for any  $\alpha \in \Phi$ . We denote the component group by

$$
W(\varepsilon) := C_G(A_{\varepsilon})/C_G(A_{\varepsilon})^{\circ}.
$$

Note that  $W(\varepsilon)$  acts naturally on  $C_G(A_{\varepsilon})^{\circ}$  by conjugation. We have

$$
C_G(A_\varepsilon)^\circ = T
$$

by Lemma [12.2,](#page-30-2) so  $C_G(A_\varepsilon)$  acts on T and on the root lattice  $X(T)$ . Therefore we can consider the natural inclusion into the Weyl group:

(12.5) 
$$
W(\varepsilon) \subset W = N_G(T)/T.
$$

Denote the natural action of W on  $\chi \in X(T)$  by  $w.\chi$ . The subgroup  $W(\varepsilon) \subset W$  can be explicitly described as the subgroup of W preserving  $\varepsilon$ :

(12.6) 
$$
W(\varepsilon) = \{ w \in W \mid \varepsilon(w.\chi) = \varepsilon(\chi), \text{ for any } \chi \in X(T) \}.
$$

Indeed, we have  $C_G(A_\varepsilon) \subset N_G(T)$  and therefore by definition:

$$
C_G(A_{\varepsilon}) = \{ n \in N_G(T) \mid \mathrm{Ad}(n) \circ \varepsilon^* = \varepsilon^* \}.
$$

Here  $\text{Ad}(n) \in \text{Aut}(N_G(T))$  denotes conjugation by n. Since the functor  $A \mapsto X(A)$  is an anti-equivalence between the category finitely generated abelian groups and the category of split-diagonalizable groups [\[5,](#page-47-5) Corollary 8.3],  $\text{inn}(n) \circ \varepsilon^* = \varepsilon^*$  if and only if  $nT \in W$  preserves ε.

To give a lower bound on the essential dimension of  $C_G(A_\varepsilon)$ , it is useful to introduce the following definition:

**Definition 12.3.** [\[39\]](#page-49-10) Let S be a finite group acting on a finitely generated abelian group U. A subset  $\Gamma \subset U$  is called *p-generating* if the subgroup generated by  $\Gamma$  is of finite and prime to p index in U. Choose a Sylow p-subgroup  $S_p \subset S$ . The *symmetric p-rank* of the S-action on  $\mathcal U$  is the following integer:

Rank $(S, \mathcal{U}; p) = \min\{|\Gamma| \mid \Gamma \subset \mathcal{U}$  is  $S_p$ -invariant and p-generating.

<span id="page-32-0"></span>The notion of p-symmetric rank is related to essential dimension at  $p$  by work of M. Macdonald-R. Lötscher-A. Meyer-Reichstein.

**Proposition 12.4.** [\[39,](#page-49-10) Theorem 1.10] Let N be a smooth linear algebraic group over  $k_0$ such that  $N^{\circ} = T$  is a split torus. We have:

$$
ed(N; p) \geq Rank(N/T, X(T); p) - \dim T.
$$

Here the N/T action on  $X(T)$  is induced from the conjugation action of N/T on T.

Note that while  $N$  is assumed to be the normalizer of a split maximal torus in a simple algebraic group in [\[39,](#page-49-10) Theorem 1.10], this assumption is not used in the proof of the lower bound ed(N; p)  $\geq$  Rank(N/T, X(T); p). Setting  $C_G(A_\varepsilon) = N$  in Proposition [12.4,](#page-32-0) we obtain:

<span id="page-32-3"></span>Corollary 12.5. If  $\varepsilon$  satisfies [\(12.2\)](#page-30-3), then

<span id="page-32-4"></span><span id="page-32-1"></span>
$$
ed(C_G(A_\varepsilon); p) \geq Rank(W(\varepsilon), X(T); p) - \dim T.
$$

<span id="page-32-2"></span>Next we formulate a condition on  $\varepsilon$  that ensures the hypotheses of Theorem [1.2\(](#page-2-0)1) hold for  $A_{\varepsilon}$  and  $G$ .

**Lemma 12.6.** Assume  $\varepsilon$  satisfies [\(12.2\)](#page-30-3) and choose a Sylow p-subgroup  $W(\varepsilon)_p \subset W(\varepsilon)$ . If we have

$$
(12.7) \t\t X(T)^{W(\varepsilon)_p} = \{0\},
$$

then  $C_G(A_\varepsilon)$  admits anisotropic torsors over some p-closed field  $k_0 \subset k$ .

Proof. Let  $\pi: C_G(A_\varepsilon) \to W(\varepsilon) = C_G(A_\varepsilon)/C_G(A_\varepsilon)^\circ$  be the natural projection. There exists a finite p-group  $P \subset C_G(A_\varepsilon)$  such that  $\pi(P) = W(\varepsilon)_p$  by [\[37,](#page-49-8) Lemma 5.3]. By assumption we have:

(12.8) 
$$
X(T)^P = \{0\}.
$$

Replace  $k_0$  by its algebraic closure  $k_{0,alg}$  to assume P is a constant group without loss of generality. There exists a p-closed field k containing  $k_0$  and a surjection  $\phi : Gal(k) \to P$ . For example, if  $k_1 = k_{0, \text{alg}}(t)$  and  $k_1 \subset k$  is a p-closure of  $k_1$ , then Gal(k) is a Sylow psubgroup of  $Gal(k_1)$  [\[19,](#page-48-12) Proposition 101.16]. Therefore  $Gal(k)$  is a free p-group of infinite rank because its cohomological dimension is one; See [\[58,](#page-50-4) Page 80] and [\[58,](#page-50-4) Page 30]. In particular, there exists a surjection  $\phi : Gal(k) \to P$ . This surjection defines a  $C_G(A_\varepsilon)$ torsor  $[\phi] \in H^1(k, C_G(A_\varepsilon))$  because P is constant. The connected component of the twisted group  ${}_{\phi}C_G(A_{\varepsilon})$  is the torus  ${}_{\phi}T$  whose character Gal(k)-module is  $X(T)$  equipped with the  $Gal(k)$ -action given by:

$$
\sigma.\chi = \phi(\sigma)(\chi).
$$

To show  $[\phi] \in H^1(k, C_G(A_\varepsilon))$  is anisotropic we assume there exists an embedding  $f : \mathbb{G}_m \to$  $\phi T$  and reach a contradiction. The embedding f corresponds by duality to a P-invariant surjection:

$$
f^*: X(T) \to X(\mathbb{G}_m).
$$

See [\[5,](#page-47-5) Corollary 8.3]. Let  $\chi \in X(T)$  be such that  $f^*(\chi) \neq 0$ . By the *P*-invariance of  $f^*$ :

$$
f^*(\sum_{p \in P} p.\chi) = |P|f^*(\chi) \neq 0.
$$

In particular,  $\sum_{p \in P} p \cdot \chi \in X(T)^P$  is non-zero, contradicting [\(12.8\)](#page-32-1).

<span id="page-33-2"></span>Lemma [12.6](#page-32-2) allows us to apply Corollary [12.5](#page-32-3) and Theorem [1.2](#page-2-0) to get a lower bound on  $ed(G; p).$ 

**Proposition 12.7.** Let  $G, T$  and  $\varepsilon : X(T) \to V$  be as above. If  $\varepsilon$  satisfies [\(12.2\)](#page-30-3) and [\(12.7\)](#page-32-4), then

(12.9)  $\text{ed}(G; p) \ge \text{Rank}(W(\varepsilon), X(T); p) - \dim T.$ 

<span id="page-33-0"></span>*Proof.* Lemma [12.6](#page-32-2) and Theorem [1.2\(](#page-2-0)1) imply  $ed(G; p) \geq ed(C_G(A_\varepsilon); p)$ . Therefore [\(12.9\)](#page-33-1) follows from Corollary [12.5.](#page-32-3)

### <span id="page-33-1"></span>13. Proof of Theorem 1.5: The overall strategy

13.1. Setup for the next sections. In the next four sections we prove all parts of Theorem [1.5](#page-3-0) except for Parts (2) and (4) which are included in Proposition [11.2.](#page-29-0) We also reprove Merkurjev's lower bound [\(1.1\)](#page-2-1). In each section we focus on the essential dimension of a group G at a prime p. We choose a grading  $\varepsilon$  on the character lattice  $X(T)$  of a maximal split torus  $T \subset G$ . We check that  $\varepsilon$  satisfies [\(12.2\)](#page-30-3) and  $W(\varepsilon)$  satisfies [\(12.7\)](#page-32-4). Applying Proposition [12.7](#page-33-2) then gives:

 $ed(G; p) \geq Rank(W(\varepsilon), X(T); p) - dim T.$ 

Finally, we prove a lower bound on  $\text{Rank}(W(\varepsilon), X(T); p)$  using Lemma [13.1.](#page-34-1) This last step involves proving lower bounds on the size of  $W(\varepsilon)$ -orbits. We will use the following notation:

- For any set I and ring R,  $R^I$  is the free R-module with standard basis  $\{e_i | i \in I\}$ . The coordinates of an element  $x \in R^I$  in the standard basis are denoted  $(x_i)_{i \in I}$ .
- The group of permutations of a set X is denoted  $Sym(X)$ . If a finite group S acts on X, we denote the S-orbit of  $x \in X$  by Sx and its stabilizer by  $\text{Stab}_S(x)$ .
- If G is an adjoint simple group of type  $\Delta$ , we identify the character lattice  $X(T)$  with the root lattice  $Q(\Delta)$ . We use the description of the roots  $\Phi \subset Q(\Delta)$  and the Weyl group W action given in [\[16\]](#page-48-22).
- We always assume char  $k_0 \neq p$ .

<span id="page-34-2"></span>We also note that for any subgroup  $S \subset W(\varepsilon)$  we have:

(13.1) Rank $(W(\varepsilon), X(T); p) \geq \text{Rank}(S, X(T); p).$ 

To see this, choose Sylow p-subgroup  $S_p$ ,  $W(\varepsilon)_p$  of S and  $W(\varepsilon)$  such that  $S_p \subset W(\varepsilon)_p$ . Then [\(13.1\)](#page-34-2) follows from the fact that p-generating  $W(\varepsilon)$ -invariant subset  $\Gamma \subset X(T)$  is S-invariant.

13.2. A lower bound on the symmetric rank. Let p be a prime and S a finite p-group. Assume S acts on a finitely generated abelian group  $\mathcal U$ . The next elementary lemma gives a useful lower bound on  $\text{Rank}(S, \mathcal{U}; p)$ .

<span id="page-34-1"></span>**Lemma 13.1.** Let  $\varepsilon : \mathcal{U} \to \mathbb{F}_p^d$  be an S-invariant surjective homomorphism and assume we are given a direct sum decomposition  $L_1 \oplus L_2 = \mathbb{F}_p^d$ . Let  $\varepsilon_i : U \to L_i$  be the composition of  $\varepsilon$ with the projection  $\pi_i: U \to L_i$  for  $i = 1, 2$ . If  $|Su| \geq C_i$  whenever  $\varepsilon_i(u) \neq 0$ , then:

$$
Rank(S, \mathcal{U}; p) \ge C_1 \dim_{\mathbb{F}_p} L_1 + C_2 \dim_{\mathbb{F}_p} L_2.
$$

*Proof.* Denote  $d_1 = \dim_{\mathbb{F}_p} L_1$  and  $d_2 = \dim_{\mathbb{F}_p} L_2$ . Let  $\Gamma \subset \mathcal{U}$  be an S-invariant, p-generating subset such that

$$
|\Gamma| = \text{Rank}(S, \mathcal{U}; p).
$$

The image  $\varepsilon(\Gamma)$  is p-generating in  $\mathbb{F}_p^d$  because  $\varepsilon$  is surjective. Because  $\mathbb{F}_p^d$  contains no proper subgroups of index prime to p,  $\varepsilon(\Gamma)$  has to generate  $\mathbb{F}_p^d$ . Therefore  $\Gamma$  contains elements  $\gamma_1,\ldots,\gamma_d$  such that  $\varepsilon(\gamma_1),\ldots,\varepsilon(\gamma_d)$  is a basis for  $\mathbb{F}_p^d$ . We denote  $b_i = \varepsilon(\gamma_i)$  for all  $1 \leq i \leq d$ .

An elementary linear algebra argument shows that, up to relabeling, we can assume that the projections  $\pi_1(b_1),\ldots,\pi_1(b_{d_1})$  to  $L_1$  are a basis for  $L_1$ , and the projections  $\pi_2(b_{d_1+1}),\ldots,\pi_2(b_{d_2})$ are a basis for  $L_2$ . We have for all  $1 \leq i \leq d_1$ :

$$
\varepsilon_1(\gamma_i) = \pi_1 \varepsilon(\gamma_i) = \pi_1(b_i) \neq 0.
$$

By our assumptions, this implies  $|S\gamma_i| \geq C_1$ . Similarly, we have

$$
|S\gamma_i| \ge C_2 \text{ for all } d_1 < i \le d_2.
$$

Since  $\varepsilon$  is S-invariant, the orbits  $S_{\gamma_1}, \ldots, S_{\gamma_d}$  are disjoint. We conclude:

$$
Rank(S, \mathcal{U}; p) = |\Gamma| \geq |S\gamma_1| + \cdots + |S\gamma_d| \geq C_1d_1 + C_2d_2.
$$

 $\Box$ 

## <span id="page-34-3"></span>14. ESSENTIAL DIMENSION OF  $PGL_{p^n}$  AT p

<span id="page-34-0"></span>Our first application of Proposition [12.7](#page-33-2) is to give a new proof of Merkurjev's lower bound on ed( $PGL_{p^n}$ ; p) [\(1.1\)](#page-2-1). By Proposition [12.7,](#page-33-2) it suffices to prove:

**Proposition 14.1.** Let  $p \neq \text{char } k_0$  be a prime and  $n \geq 1$ . Let  $T \subset \text{PGL}_{p^n}$  be a maximal split torus with corresponding Weyl group W. There exists an  $\mathbb{F}_p^n$ -grading  $\varepsilon : X(T) \to \mathbb{F}_p^n$ satisfying [\(12.2\)](#page-30-3) and [\(12.7\)](#page-32-4) such that

(14.1) 
$$
Rank(W(\varepsilon), X(T); p) \ge np^{n}.
$$

**Definition of**  $\varepsilon$ . We start by introducing the notation needed to define  $\varepsilon$ . We set  $\mathcal{V} = \mathbb{F}_p^n$ and identify the character lattice  $X(T)$  of the diagonal torus  $T \subset \mathrm{PGL}_{p^n}$  with the following sublattice of  $\mathbb{Z}^{\mathcal{V}}$  as in [\[16,](#page-48-22) Section 6]:

$$
\mathbb{Z}_0^{\mathcal{V}} = \{ x \in \mathbb{Z}^{\mathcal{V}} \mid \sum_{v \in \mathcal{V}} x_v = 0 \}
$$

Define  $\varepsilon : \mathbb{Z}_0^{\mathcal{V}} \to \mathcal{V}$  to be the surjective homomorphism given by:

$$
\varepsilon(x) = \sum_{v \in \mathcal{V}} x_v v.
$$

**Verification of Condition** [\(12.2\)](#page-30-3). The roots of  $\Phi \subset \mathbb{Z}_0^{\mathcal{V}}$  are the vectors  $e_u - e_v \in \mathbb{Z}_0^{\mathcal{V}}$  with  $u \neq v$ . For any such root we have:

$$
\varepsilon(e_u - e_v) = u - v \neq 0.
$$

Therefore  $\varepsilon$  satisfies  $(12.2)$ 

**Description of the Weyl group.** The Weyl group W is the symmetric group  $Sym(V)$ acting on  $\mathbb{Z}_0^{\mathcal{V}}$  by permuting the standard basis vectors. For any  $u \in \mathcal{V}$ , let  $\lambda_u \in \text{Sym}(\mathcal{V})$ be the translation by u. The subgroup  $W(\varepsilon) \subset \text{Sym}(V)$  of permutations preserving  $\varepsilon$  is the subgroup consisting of all such translations. Indeed, if  $u \in V$  and  $x \in \mathbb{Z}_{0}^{V}$  then  $\lambda_{u} \in W(\varepsilon)$ because:

$$
\varepsilon(\lambda_u(x)) = \sum_{v \in \mathcal{V}} x_v(u+v) = \sum_{v \in \mathcal{V}} x_v v + (\sum_{v \in \mathcal{V}} x_v)u = \sum_{v \in \mathcal{V}} x_v v = \varepsilon(x).
$$

Here the second to last equality follows from the fact that  $\sum_{v \in V} x_v = 0$ . Conversely, if  $\sigma \in \text{Sym}(\mathcal{V})$  preserves  $\varepsilon$ , then for all  $v \in \mathcal{V}$ :

$$
v = \varepsilon (e_0 - e_v) = \varepsilon (e_{\sigma(0)} - e_{\sigma(v)}) = \sigma(0) - \sigma(v).
$$

Therefore  $\sigma(v) = v + \sigma(0)$ . That is,  $\sigma = \lambda_{\sigma(0)}$ . This proves:

$$
W(\varepsilon) = \{\lambda_u \mid u \in \mathcal{V}\}.
$$

We will identify  $W(\varepsilon)$  with V using the isomorphism  $\mathcal{V}\tilde{\rightarrow}W(\varepsilon), u \mapsto \lambda_u$ .

**Verification of Condition** [\(12.7\)](#page-32-4). Note that  $V$  is its own Sylow p-subgroup. If an element  $x \in \mathbb{Z}_0^{\mathcal{V}}$  is fixed by  $\mathcal{V}$ , then for any  $u, v \in \mathcal{V}$  we have:

$$
(14.2) \t\t x_v = x_{u+v}.
$$

Since  $x \in \mathbb{Z}_0^{\mathcal{V}}$  this implies

<span id="page-35-0"></span>
$$
0 = \sum_{v \in \mathcal{V}} x_v = |\mathcal{V}| x_0.
$$

Therefore  $x_v = x_0 = 0$  for all  $v \in V$  by [\(14.2\)](#page-35-0). We conclude that  $W(\varepsilon) = V$  satisfies [\(12.7\)](#page-32-4).

**Proof of the lower bound on** Rank( $W(\varepsilon)$ ,  $X(T)$ ; p). To finish the proof of [\(14.1\)](#page-34-3) we will show that for any element  $x \in \mathbb{Z}_{0}^{\mathcal{V}}$  with  $\varepsilon(v) \neq 0$  we have

(14.3)  $|\mathcal{V}x| = p^n$ .

Once we do so, Lemma [13.1](#page-34-1) will give:

$$
Rank(W(\varepsilon), X(T); p) \ge \dim_{\mathbb{F}_p} \mathcal{V} \cdot p^n = np^n.
$$

By the stabilizer-orbit formula, [\(14.3\)](#page-36-0) is equivalent to

<span id="page-36-0"></span>
$$
Stab_{\mathcal{V}}(x) = \{0\}.
$$

Therefore we need to prove that  $\text{Stab}_{\mathcal{V}}(x) = \{0\}$  for all  $x \in \mathbb{Z}_{0}^{\mathcal{V}}$  with  $\varepsilon(x) \neq 0$ . We prove a slightly more general lemma which will be used again in Section ??.

**Lemma 14.2.** Let  $x \in \mathbb{Z}^{\mathcal{V}}$ . Assume either p is odd, or  $\sum_{v \in \mathcal{V}} x_v$  is divisible by four. If  $Stab_{\mathcal{V}}(x) \neq \{0\}, \text{ then}$ 

$$
\sum_{v \in \mathcal{V}} x_v v = 0.
$$

In particular, if  $\varepsilon(x) \neq 0$  for some  $x \in \mathbb{Z}_{0}^{V}$ , then  $\text{Stab}_{V}(x) = \{0\}.$ 

*Proof.* Assume that x is fixed by  $0 \neq u \in V$  and let  $\mathcal{C} \subset V$  be a set of coset representatives for the quotient  $\mathcal{V}/\langle u \rangle$ . Since x is fixed by u, we have for all  $v \in \mathcal{V}$ :

$$
x_v = x_{u+v}.
$$

Using this, we compute:

$$
\sum_{v \in \mathcal{V}} x_v v = \sum_{c \in \mathcal{C}} \sum_{i=0,1,\dots,p-1} x_{c+iu}(c+iu)
$$

$$
= \sum_{c \in \mathcal{C}} x_c \sum_{i=0,1,\dots,p-1} (c+iu)
$$

$$
= \sum_{c \in \mathcal{C}} x_c (pc + {p \choose 2}u)
$$

$$
= (\sum_{c \in \mathcal{C}} x_c) {p \choose 2} u.
$$

Here in the last equality we used the fact that  $pc = 0$  in V. If p is odd, then it divides  $\binom{p}{2}$  $\binom{p}{2}$ and we get

$$
\sum_{v \in \mathcal{V}} x_v v = \left(\sum_{c \in \mathcal{C}} x_c\right) \binom{p}{2} u = 0.
$$

Otherwise  $p = 2$  and  $4 | \sum_{v \in \mathcal{V}} x_v$ . We have:

$$
\sum_{v \in \mathcal{V}} x_v = \sum_{c \in \mathcal{C}} x_c + x_{c+b} = 2 \sum_{c \in \mathcal{C}} x_c.
$$

Since  $\sum_{v \in \mathcal{V}} x_v$  is divisible by four, this implies  $\sum_{c \in \mathcal{C}} x_c$  is even. Therefore in  $\mathcal{V} = \mathbb{F}_2^n$ :

$$
\sum_{v \in \mathcal{V}} x_v v = \left(\sum_{c \in C} x_c\right) \binom{p}{2} u = 0.
$$

# 15. ESSENTIAL DIMENSION OF  $\text{PGO}_{2n}^+$  at 2

<span id="page-37-0"></span>In this section we prove Parts  $(5)$  and  $(6)$  of Theorem [1.5.](#page-3-0) By Proposition [12.7,](#page-33-2) it suffices to prove:

**Proposition 15.1.** Let  $n = 2^r m \ge 4$  be an integer with  $r \ge 0$  and m odd. Let  $T \subset \text{PGO}_{2n}^+$  be a split maximal torus with corresponding Weyl group W. There exists a grading  $\varepsilon : X(T) \to Y$  $\mathbb{F}_2^{r+m-1}$  satisfying [\(12.2\)](#page-30-3) and [\(12.7\)](#page-32-4) such that

(15.1) 
$$
Rank(W(\varepsilon), X(T); 2) \ge \begin{cases} (r+m-1)2^{r+1} & \text{if } r \ge 1\\ 4(m-1) & \text{if } r = 0. \end{cases}
$$

**Definition of**  $\varepsilon$ . We set notation in order to describe  $X(T)$  and define  $\varepsilon$ . Define  $\mathcal{V} = \mathbb{F}_2^r$ ,  $K = \mathcal{V} \times \{1, \ldots, m\}$  and

<span id="page-37-1"></span>
$$
(\mathbb{F}_2^m)_0 = \{ x \in \mathbb{F}_2^m \mid \sum_{i=1,\dots,m} x_i = 0 \}.
$$

Since  $PGO_{2n}^+$  is the split adjoint group of type  $D_n$ , we can choose a split maximal torus  $T \subset \text{PGO}_{2n}^+$  such that the character lattice  $X(T) = Q(D_n)$  is identified with the following sublattice of  $\mathbb{Z}^K$  [\[16,](#page-48-22) Section 7.1]:

$$
Q(D_n) = \{ x \in \mathbb{Z}^K \mid \sum_{k \in K} x_k \text{ is even} \}.
$$

Pick a basis  $\{b_1, \ldots, b_m\}$  for  $\mathbb{F}_2^m$  and let  $\varepsilon : Q(D_n) \to V \oplus (\mathbb{F}_2^m)_0$  be the grading given by

$$
\varepsilon(x) = \sum_{(v,i)\in K} x_{v,i}(v+b_i) \in \mathcal{V} \oplus (\mathbb{F}_2^m)_0.
$$

Note that  $\varepsilon$  is surjective because for any  $i \neq j$  and  $v \in V$  we have:

$$
\varepsilon(e_{0,i} + e_{0,j}) = b_i + b_j, \quad \varepsilon(e_{0,1} + e_{v,1}) = (v, 0).
$$

**Verification of Condition** [\(12.2\)](#page-30-3). The roots  $\Phi \subset Q(D_n)$  are given by:

 $\Phi = \{\pm e_k \pm e_s \mid k, s \in K, k \neq s\}.$ 

For any root  $\alpha = \pm e_{(v,i)} \pm e_{(v',j)}$  we have:

$$
\varepsilon(\alpha) = v + v' + b_i + b_j \neq 0.
$$

Therefore  $\varepsilon$  satisfies [\(12.2\)](#page-30-3).

**Description of the Weyl group.** The Weyl group of G is  $\text{Sym}(K) \ltimes (\mathbb{F}_2^K)_0$ , where  $\text{Sym}(K)$ is the group of permutations of K and  $(\mathbb{F}_2^K)_0$  is the group:

<span id="page-37-2"></span>
$$
(\mathbb{F}_2^K)_0 = \{ \delta \in \mathbb{F}_2^K \mid \sum_k \delta_k = 0 \}.
$$

The action of  $(\sigma, \delta) \in \text{Sym}(K) \ltimes (\mathbb{F}_2^K)_0$  on  $Q(D_n)$  is the restriction of the action on  $\mathbb{Z}^K$ defined by:

(15.2) 
$$
(\sigma, \delta)e_k = (-1)^{\delta_k} e_{\sigma(k)}
$$

<span id="page-37-3"></span>for all  $k \in K$ .

**Lemma 15.2.** Identify V with the subgroup of  $Sym(K)$  consisting of all translations  $\lambda_u$ :  $K \to K$  by an element  $u \in \mathcal{V}$ :

$$
\lambda_u(v, i) = (u + v, i).
$$

We have  $W(\varepsilon) = \mathcal{V} \ltimes (\mathbb{F}_2^K)_0$ .

*Proof.* The inclusion  $(\mathbb{F}_2^K)_0 \subset W(\varepsilon)$  is easy to see. The inclusion  $\mathcal{V} \subset W(\varepsilon)$  follows from the computation:

$$
\varepsilon(\lambda_u(x)) = \sum x_{v,i}(u+v+b_i)
$$
  
= 
$$
\sum x_{v,i}(v+b_i) + \sum x_{v,i}u
$$
  
= 
$$
\varepsilon(x) + \sum x_{v,i}u = \varepsilon(v).
$$

Here in the last equality we used the fact that  $\sum x_{v,i}$  is even. To verify the inclusion  $W(\varepsilon) \subset \mathcal{V} \ltimes (\mathbb{F}_2^K)_0$ , we assume  $w = (\sigma, \delta) \in W(\varepsilon)$  and show  $\sigma = \lambda_u$  for some  $u \in \mathcal{V}$ . Since  $(\mathbb{F}_2^K)_0 \subset W(\varepsilon)$ , we can assume  $w = \sigma$  without loss of generality. We start by denoting for any  $(v, i) \in K$ :

$$
\sigma(v, i) = (f(v, i), g(v, i)) \in K.
$$

For any  $(v, i), (v', j) \in K$  we have:

<span id="page-38-0"></span>(15.3) 
$$
f(v, i) + f(v', j) + b_{g(v, i)} + b_{g(v', j)} = \varepsilon (\sigma(e_{v, i} + e_{v', j})) = \varepsilon (e_{v, i} + e_{v', j}) = v + v' + b_i + b_j.
$$

Assume  $i \neq j$ . Then if  $g(v, i) \neq i$ , [\(15.3\)](#page-38-0) implies

$$
g(v,i) = j.
$$

Since m is odd if  $i \neq j$  then  $m \geq 3$ . Therefore repeating the same argument with  $1 \leq j' \leq m$ different from i and j gives the contradiction  $g(v, i) = j'$ . Therefore we see that

 $q(v, i) = i$ 

for all  $(v, i) \in K$ . Setting  $v' = 0, j = 1$  in [\(15.3\)](#page-38-0), we get for all  $(v, i) \in K$ :

$$
f(v, i) - v = f(0, 1).
$$

Therefore  $\sigma = \lambda_{f(0,1)}$  is the translation by  $f(0,1)$ . This finishes the proof.

**Verification of Condition** [\(12.7\)](#page-32-4). Let  $x \in Q(D_n)^{W(\varepsilon)}$  be fixed by  $W(\varepsilon)$  and let  $k \in K$  be some element. Since  $|K| = n \geq 3$  there exists  $\delta \in (\mathbb{F}_2^K)_0$  such that  $\delta_k = 1$ . The equation

$$
\delta x = x
$$

implies  $x_k = 0$  because  $\delta$  flips the sign of the k-th coordinate. Since  $k \in K$  was arbitrary, we conclude that  $x = 0$ . Therefore

$$
Q(D_n)^{W(\varepsilon)} = \{0\}.
$$

Since  $W(\varepsilon)$  is its own Sylow 2-subgroup, [\(12.7\)](#page-32-4) follows.

<span id="page-39-3"></span>**Proof of the lower bound on** Rank $(W(\varepsilon), X(T); 2)$ . For the proof we will need the following elementary lemma.

**Lemma 15.3.** Let  $Y \subset K$  be a non-empty finite subset of  $K = \mathcal{V} \times \{1, \ldots, m\}$ . For any  $u \in V$  denote:

<span id="page-39-0"></span>
$$
u + Y = \{ (u + v, i) \mid (v, i) \in Y \}.
$$

There are at most |Y| elements  $u \in V$  such that:

 $u + Y = Y.$ 

*Proof.* Replace Y with  $u + Y$  for some  $u \in V$  such that  $(-u, i) \in Y$  to assume that  $(0, i) \in Y$ without loss of generality. Then  $u + Y = Y$  implies  $(u, i) \in Y$  and so there are at most |Y| elements satisfying  $(15.4)$ .

*Proof of* [\(15.1\)](#page-37-1). Note that  $W(\varepsilon)$  is its own Sylow 2-subgroup. By Lemma [13.1,](#page-34-1) it suffices to prove that for any  $x \in Q(D_n)$  with  $\varepsilon(x) \neq 0$ , we have

$$
|W(\varepsilon)x| \ge \begin{cases} 2^{r+1} & \text{if } r \ge 1 \\ 4 & \text{if } r = 0. \end{cases}
$$

Let  $x \in Q(D_n)$  be such that  $\varepsilon(x) \neq 0$ . We define

$$
Y_x = \{(v, i) \in K \mid x_{a,i} \neq 0\},\
$$

and split into cases.

**Assume**  $r = 0$ . We have  $|Y_x| \geq 2$ . Otherwise,  $x = x_k e_k$  for some  $k \in K, x_k \in 2\mathbb{Z}$  and so  $\varepsilon(v) = 0$ . Write:

$$
x = x_{k_1}e_{k_1} + x_{k_2}e_{k_2} + \sum_{k \neq k_1, k_2} x_k e_k,
$$

for some  $x_{k_1}, x_{k_2} \neq 0$ . Since  $|K| = n \geq 3$ , for any  $(\delta_1, \delta_2) \in \mathbb{F}_2^2$  there exists  $\delta \in (\mathbb{F}_2^K)_0$  such that  $\delta_{k_1} = \delta_{k_2} = 1$ . Therefore the orbit  $W(\varepsilon)x$  contains elements of the form:

$$
(-1)^{\delta_1}x_{k_1}e_{k_1}+(-1)^{\delta_2}x_{k_2}e_{k_2}+\sum_{k\neq k_1,k_2}x'_ke_k,
$$

for some  $x'_k \in \mathbb{Z}$ . We conclude that  $|W(\varepsilon)x| \geq 4$ .

Assume  $r \geq 1$ . The stabilizer-orbit formula gives:

$$
|W(\varepsilon)x| = |W(\varepsilon)|/|\operatorname{Stab}_{W(\varepsilon)}(x)| = 2^{r+n-1}/|\operatorname{Stab}_{W(\varepsilon)}(x)|.
$$

Therefore our goal is to show:

(15.5)  $|\text{Stab}_{W(\varepsilon)}(x)| \le 2^{n-2}$ 

If  $w = (u, \delta) \in W(\varepsilon)$  fixes x, then:

<span id="page-39-2"></span>
$$
u + Y_x = Y_x.
$$

Therefore the projection onto the first component gives a short exact sequence:

<span id="page-39-1"></span>
$$
0 \to \mathrm{Stab}_{(\mathbb{F}_2^K)_0}(x) \to \mathrm{Stab}_{W(\varepsilon)}(x) \to \mathrm{Stab}_\mathcal{V}(Y_x).
$$

Here  $\text{Stab}_{\mathcal{V}}(Y_x)$  is the stabilizer of  $Y_x$  with respect to the action of V on subsets of K by translation. Thus we get an inequality:

(15.6) 
$$
|\operatorname{Stab}_{W(\varepsilon)}(x)| \leq |\operatorname{Stab}_{(\mathbb{F}_2^K)_0}(x)| |\operatorname{Stab}_{V}(Y_x)|.
$$

By [\(15.2\)](#page-37-2), the stabilizer  $\text{Stab}_{(\mathbb{F}_2^K)_0}(x)$  is the following subspace of  $(\mathbb{F}_2^K)_0$ :

(15.7) 
$$
(\mathbb{F}_2^{K \setminus Y_x})_0 := \{ \delta \in (\mathbb{F}_2^K)_0 \mid \forall k \in Y_x : \delta_k = 0 \}.
$$

If  $Y_x = K$  then  $(\mathbb{F}_2^{K \setminus Y_x})$  $\binom{R \ Y}{2}$ <sub>0</sub> = {0} and [\(15.6\)](#page-39-1) gives:

<span id="page-40-2"></span>
$$
|\operatorname{Stab}_{W(\varepsilon)}(x)| \leq |\operatorname{Stab}_{\mathcal{V}}(Y_x)| = |\mathcal{V}| = 2^r.
$$

One can check that  $2^r \leq 2^{n-2}$  using  $n \geq 3$  (recall that  $n = 2^r m$ ). Therefore [\(15.5\)](#page-39-2) holds if  $Y_x = K$ . Assume  $Y_x \neq K$ . We have:

$$
|(\mathbb{F}_2^{K\setminus Y_x})_0|=|\mathbb{F}_2^{|K\setminus Y_x|-1}|=2^{n-|Y_x|-1}.
$$

Since  $x \neq 0$ ,  $Y_x$  is non-empty. Applying Lemma [15.3](#page-39-3) gives:

$$
|\operatorname{Stab}_{\mathcal{V}}(Y_x)| \le |Y_x|.
$$

Plugging this inequality into [\(15.6\)](#page-39-1) we get:

$$
|\operatorname{Stab}_{W(\varepsilon)}(x)| \le 2^{n-|Y_x|-1}|Y_x| \le 2^{n-|Y_x|-1}2^{|Y_x|-1} = 2^{n-2}.
$$

Here the last inequality follows from the inequality  $n \leq 2^{n-1}$  which holds for all natural numbers *n*. This shows  $(15.5)$  holds and finishes the proof.

 $\Box$ 

**Remark 15.4.** Assume  $r \geq 1$  and let  $v_1, \ldots, v_r$  be a basis for V. One can check that the following is a  $W(\varepsilon)$ -invariant generating set of size  $(r+m-1)2^{r+1}$ :

$$
\Gamma = \bigsqcup_{i=1,\ldots,r} W(\varepsilon)(e_{0,1}+e_{v_i,1}) \cup \bigsqcup_{j=2,\ldots,m} W(\varepsilon)(e_{0,1}+e_{v_1,j}).
$$

<span id="page-40-0"></span>Therefore [\(15.1\)](#page-37-1) is in fact an equality if  $r \geq 1$ .

## 16. ESSENTIAL DIMENSION OF  $HSpin_{16}$  AT 2

In this section we prove Part (1) of Theorem [1.5.](#page-3-0) We have already proven

<span id="page-40-1"></span>
$$
ed(E_8; 2) = ed(HSpin_{16}; 2)
$$

in Proposition [11.2\(](#page-29-0)1). It remains to show  $ed(HSpin_{16}; 2) \geq 56$ . By Proposition [12.7](#page-33-2) it suffices to prove:

**Proposition 16.1.** Let  $T \subset \text{HSpin}_{16}$  be a split maximal torus with corresponding Weyl group W. There exists a grading  $\varepsilon$ :  $X(T) \to \mathbb{F}_2^4$  satisfying [\(12.2\)](#page-30-3) and [\(12.7\)](#page-32-4) such that

(16.1) 
$$
Rank(W(\varepsilon), X(T); 2) \ge 2^6.
$$

**Definition of ε.** We start by introducing the notation needed to define **ε**. We set:

$$
\mathcal{V} = \mathbb{F}_2^3 \text{ and } \nu = \frac{1}{2} \sum_{v \in V} e_v \in \mathbb{Q}^{\mathcal{V}}.
$$

There exists a split maximal torus  $T \subset \text{HSpin}_{16}$  such that  $X(T)$  is the following sublattice of  $\mathbb{Q}^{\mathcal{V}}$  [\[39,](#page-49-10) Section 3]:

(16.2) 
$$
X(T) = Q(D_8) \cup (\nu + Q(D_8))
$$

(16.3) 
$$
= \left\{ x \in \mathbb{Q}^{\mathcal{V}} \mid \text{For all } v \in \mathcal{V}, x_v \text{ is even.} \atop \text{for all } v \in \mathcal{V}, x_v \in \mathbb{Z} \text{ or } \atop x_v > 1/2 + \mathbb{Z} \right\}
$$

Here, as in the previous section,  $Q(D_8) \subset \mathbb{Z}^{\mathcal{V}}$  is the sublattice:

$$
Q(D_8) = \{ x \in \mathbb{Z}^{\mathcal{V}} \mid \sum_{v \in \mathcal{V}} x_v \text{ is even} \}.
$$

Let  $\varepsilon_0$ :  $Q(D_8) \to V$  be the grading from the previous section given by:

<span id="page-41-0"></span>
$$
\varepsilon_0(y) = \sum_{v \in \mathcal{V}} y_v v.
$$

Any vector  $x \in X(T)$  can be written uniquely as  $x = d\nu + y$ , where  $d \in \{0, 1\}$  and  $y \in Q(D_8)$ . We define  $\varepsilon : X(T) \to \mathcal{V} \oplus \mathbb{F}_2$  by

(16.4) 
$$
\varepsilon(x) = \varepsilon(d\nu + y) = (\varepsilon_0(y), d) \in \mathcal{V} \oplus \mathbb{F}_2.
$$

One can check that  $\varepsilon$  is a surjective homomorphism.

**Verification of Condition** [\(12.2\)](#page-30-3). Any root  $\alpha \in X(T)$  lies in  $Q(D_8) \subset X(T)$  because it is a root of PGO<sup>+</sup><sub>16</sub>. We have  $\varepsilon_0(\alpha) \neq 0$  because we have shown  $\varepsilon_0$  satisfies [\(12.2\)](#page-30-3) in the previous section. Therefore:

$$
\varepsilon(\alpha) = (\varepsilon_0(\alpha), 0) \neq 0.
$$

**Description of the Weyl group.** As in the previous section, the Weyl group of  $\text{HSpin}_{16}$ is the group

<span id="page-41-1"></span>
$$
W = \text{Sym}(\mathcal{V}) \ltimes (\mathbb{F}_2^{\mathcal{V}})_0.
$$

The action of  $(\sigma, \delta) \in \text{Sym}(V) \ltimes (\mathbb{F}_2^{\mathcal{V}})_0$  on  $X(T)$  is the restriction of the action on  $\mathbb{Q}^{\mathcal{V}}$  defined by:

(16.5) 
$$
(\sigma, \delta)e_v = (-1)^{\delta_v} e_{\sigma(v)}
$$

for all  $v \in V$ . Since  $\varepsilon_0 : Q(D_8) \to V$  is the grading we considered in the previous section, Lemma [15.2](#page-37-3) implies that the subgroup of W preserving  $\varepsilon_0$  is the 2-group:

$$
W(\varepsilon_0) = \mathcal{V} \ltimes (\mathbb{F}_2^{\mathcal{V}})_0.
$$

Note that  $W(\varepsilon) \subset W(\varepsilon_0)$  by the definition of  $\varepsilon$ . Since  $\varepsilon$  is a homomorphism, an automorphism  $w \in W(\varepsilon_0)$  is in  $W(\varepsilon)$  if and only if it satisfies:

$$
\varepsilon(w\nu) = \varepsilon(\nu) = (0,1).
$$

Let  $w = (u, \delta) \in W(\varepsilon_0)$ . We compute:

$$
\varepsilon(w\nu) = \varepsilon(\nu - \sum_{\delta_v=1}^{\infty} e_v) = (\sum_{\delta_v=1}^{\infty} v, 1).
$$

Therefore  $w \in W(\varepsilon)$  if and only if  $\delta \in (\mathbb{F}_2^{\mathcal{V}})_1$ , where:

$$
(\mathbb{F}_2^{\mathcal{V}})_1 = \{ \delta \in (\mathbb{F}_2^{\mathcal{V}})_0 \mid \sum_{\delta_v=1} v = 0 \}.
$$

Clearly,  $(\mathbb{F}_2^{\mathcal{V}})_1$  is the kernel of the surjective homomorphism:

$$
(\mathbb{F}_2^{\mathcal{V}})_0 \to \mathcal{V}, \quad \delta \mapsto \sum_{v \in \mathcal{V}} \delta_v v.
$$

Therefore we have  $\dim_{\mathbb{F}_2}(\mathbb{F}_2^{\mathcal{V}})_1 = \dim_{\mathbb{F}_2}(\mathbb{F}_2^{\mathcal{V}})_0 - \dim_{\mathbb{F}_2} \mathcal{V} = 7 - 3 = 4$  and:

$$
|W(\varepsilon)| = |\mathcal{V} \ltimes (\mathbb{F}_2^{\mathcal{V}})_1| = 2^{3+4} = 2^7.
$$

**Verification of Condition** [\(12.7\)](#page-32-4). The element  $(1,\ldots,1) \in (\mathbb{F}_2^A)_1 \subset W(\varepsilon)$  acts on  $X(T)$ by multiplication with  $-1$ . Therefore  $X(T)^{W(\varepsilon)} = \{0\}$  and  $(12.7)$  is satisfied. We note that this implies a fact we used earlier in the proof of Proposition [11.2\(](#page-29-0)1).

<span id="page-42-0"></span>**Lemma 16.2.** There exists an anisotropic  $\text{HSpin}_{16}$ -torsor over some 2-closed field k containing  $k_0$ .

*Proof.* Let  $A_{\varepsilon} \subset \text{HSpin}_{16}$  be the finite split-diagonalizable 2-subgroup defined by  $\varepsilon$  as in [\(12.1\)](#page-30-4). By Lemma [12.6,](#page-32-2)  $C_G(A_\varepsilon)$  admits anisotropic torsors over some 2-closed field  $k_0 \subset k$ because  $\varepsilon$  satisfies [\(12.7\)](#page-32-4). Therefore the result follows from Corollary [7.6.](#page-20-3)

## **Proof of the lower bound on**  $Rank(W(\varepsilon), X(T); 2)$ .

*Proof of*  $(16.1)$ . By Lemma [13.1,](#page-34-1) in order to prove  $(16.1)$  it suffices to prove that for any  $x \in X(T)$  with  $\varepsilon(x) = (v, d) \neq 0$ , we have

$$
|W(\varepsilon)x| \ge 2^4.
$$

We handle the cases  $d \neq 0$  and  $v \neq 0$  separately.

**Assume**  $d \neq 0$ . Then [\(16.4\)](#page-41-0) implies

$$
x = \nu + y
$$

for some  $y \in Q(D_8)$ . In particular, the coordinates  $x_v = \frac{1}{2} + y_v$  are all half-integers and therefore non-zero. We conclude that  $(\mathbb{F}_2^{\mathcal{V}})_1$  acts freely on x by sign changes and we have:

$$
|W(\varepsilon)x| \ge |(\mathbb{F}_2^{\mathcal{V}})_1x| = 2^4.
$$

**Assume**  $v \neq 0$ . If  $d \neq 0$ , then  $|W(\varepsilon)x| \geq 2^4$  by the previous case. Therefore we can assume  $d = 0$ , which implies  $x \in Q(D_8)$  by [\(16.4\)](#page-41-0). We set:

<span id="page-42-2"></span>
$$
Y_x = \{ v \in \mathcal{V} \mid x_v \neq 0 \}.
$$

The stabilizer-orbit formula gives:

<span id="page-42-1"></span>
$$
|W(\varepsilon)x| = |W(\varepsilon)|/|\operatorname{Stab}_{W(\varepsilon)}(x)| = 2^7/|\operatorname{Stab}_{W(\varepsilon)}(x)|.
$$

Therefore to show  $|W(\varepsilon)x| \geq 2^4$  it suffices to prove:

(16.6)  $|\text{Stab}_{W(\varepsilon)}(x)| \leq 2^3$ .

A similar argument to the proof of [\(15.6\)](#page-39-1) shows:

(16.7)  $|\operatorname{Stab}_{W(\varepsilon)}(x)| \leq |\operatorname{Stab}_{(\mathbb{F}_2^V)_1}(x)| |\operatorname{Stab}_{V}(Y_x)|.$ 43

By [\(16.5\)](#page-41-1), the stabilizer  $\text{Stab}_{(\mathbb{F}_2^{\mathcal{V}})_1}(x)$  is the following subspace of  $(\mathbb{F}_2^{\mathcal{V}})_1$ :

<span id="page-43-0"></span>
$$
(\mathbb{F}_2^{\mathcal{V}\setminus Y_x})_1 = \left\{ \delta \in (\mathbb{F}_2^{\mathcal{V}})_1 \middle| \forall v \in Y_x : \delta_v = 0 \right\}.
$$

The set  $(\mathbb{F}_2^{\mathcal{V}\setminus Y_x})$  $\binom{V(Y_x)}{2}$  can also be described as the set of all relations satisfied by an even number of elements of  $V \setminus Y_x$  in V. This gives the explicit formula:

(16.8) 
$$
|(\mathbb{F}_2^{\mathcal{V}\setminus Y_x})_1| = \left| \left\{ \mathcal{C} \subset \mathcal{V} \setminus Y_x \middle| \sum_{c \in \mathcal{C}} c = 0 \right\} \right|
$$

We divide further into three cases based on  $|\mathcal{V} \setminus Y_x|$ . If  $|\mathcal{V} \setminus Y_x| \leq 3$ , then  $(\mathbb{F}_2^{\mathcal{V} \setminus Y_x})$  $\binom{V}{2}^x_1 = \{0\}.$ Indeed, if  $(\mathbb{F}_2^{\mathcal{V}\setminus Y_x})$  $\mathbb{Z}_2^{V(Y_x)}$ <sub>1</sub>  $\neq$  {0}, then [\(16.8\)](#page-43-0) implies there exists a pair  $\{u, v\} \subset V \setminus Y_x$  such that

$$
u+v=0.
$$

This is impossible because in characteristic two, the above equation implies  $u = v$ . Plugging  $(\mathbb{F}_2^{\mathcal{V}\setminus Y_x}$  $\binom{V}{2}^{x} = \{0\}$  into [\(16.7\)](#page-42-1) gives:

$$
|\operatorname{Stab}_{W(\varepsilon)}(x)| \leq |\operatorname{Stab}_{\mathcal{V}}(Y_x)| \leq |\mathcal{V}| = 2^3.
$$

Therefore [\(16.6\)](#page-42-2) holds if  $|\mathcal{V} \setminus Y_x| \leq 3$ .

If  $|\mathcal{V} \setminus Y_x| = 4$ , then by the previous case, the only non-empty subset of  $\mathcal{V} \setminus Y_x$  that might show up in the set [\(16.8\)](#page-43-0) is  $\mathcal{V} \setminus Y_x$  itself. Therefore (16.8) gives:

$$
|(\mathbb{F}_2^{\mathcal{V}\setminus Y_x})_1| \leq 2.
$$

Together with [\(16.7\)](#page-42-1) this implies:

$$
|\operatorname{Stab}_{W(\varepsilon)}(x)| \le |(\mathbb{F}_2^{\mathcal{V}\setminus Y_x})_1| |\operatorname{Stab}_{\mathcal{V}}(Y_x)| \le 2 |\operatorname{Stab}_{\mathcal{V}}(Y_x)|.
$$

Apply Lemma [15.3](#page-39-3) to get:

$$
|\operatorname{Stab}_{W(\varepsilon)}(x)| \le 2|\operatorname{Stab}_\mathcal{V}(Y_x)| \le 2|Y_x|.
$$

This implies [\(16.6\)](#page-42-2) because  $|Y_x| = |\mathcal{V}| - |\mathcal{V} \setminus Y_x| = 4$ .

If  $|\mathcal{V} \setminus Y_x| \geq 5$ , then  $\mathcal{V} \setminus Y_x$  contains an  $\mathbb{F}_2$ -basis  $v_1, v_2, v_3$  for  $\mathcal{V}$  and two other vectors. Therefore  $\mathcal{V} \setminus Y_x$  contains either 0 or  $v_i + v_j$  for some  $i \neq j$ . Either way, the homomorphism

$$
f: (\mathbb{F}_2^{\mathcal{V}\setminus Y_x})_0 \to \mathcal{V}, \ \delta \mapsto \sum_{v \in V} \delta_v v
$$

is easily seen to be surjective in this case (here  $(\mathbb{F}_2^{\mathcal{V}\setminus Y_x})$  $2^{V(Y_x)}$ <sub>0</sub> is defined as in [\(15.7\)](#page-40-2)). Since  $(\mathbb{F}_2^{\mathcal{V} \setminus Y_x}$  $\binom{V}{2}$ <sub>1</sub> = ker f, this gives:

$$
\dim(\mathbb{F}_2^{\mathcal{V}\setminus Y_x})_1 = \dim(\mathbb{F}_2^{\mathcal{V}\setminus Y_x})_0 - \dim \mathcal{V} = |\mathcal{V}\setminus Y_x| - 4.
$$

In particular, we have

$$
|(\mathbb{F}_2^{\mathcal{V}\setminus Y_x})_1|=2^{|\mathcal{V}\setminus Y_x|-4}.
$$

Plugging this into [\(16.7\)](#page-42-1) and applying Lemma [15.3](#page-39-3) we get:

$$
|\operatorname{Stab}_{W(\varepsilon)}(x)| \le |(\mathbb{F}_2^{\mathcal{V}\setminus Y_x})_1| |\operatorname{Stab}_{\mathcal{V}}(Y_x)| \le 2^{|\mathcal{V}\setminus Y_x|-4}|Y_x|.
$$

Note that we can apply Lemma [15.3](#page-39-3) because  $x \neq 0$  implies  $Y_x \neq \emptyset$ . Using the inequality  $|Y_x| \leq 2^{|Y_x|-1}$  we find:

$$
|\text{Stab}_{W(\varepsilon)}(x)| \le 2^{|\mathcal{V}\setminus Y_x|-4} 2^{|Y_x|-1} = 2^{|\mathcal{V}|-5} = 2^3.
$$

<span id="page-44-0"></span>Therefore  $(16.6)$  holds in all cases and  $(16.1)$  follows.

17. ESSENTIAL DIMENSION OF  $E_6$  AT 3

In this section we prove Part (3) of Theorem [1.5.](#page-3-0) By Proposition [12.7](#page-33-2) it suffices to prove:

**Proposition 17.1.** Let  $T \text{ }\subset E_6^{ad}$  be a split maximal torus with corresponding Weyl group W. There exists a grading  $\varepsilon$ :  $X(T) \to \mathbb{F}_3^2$  satisfying [\(12.2\)](#page-30-3) and [\(12.7\)](#page-32-4) such that

(17.1) Rank(W(ε), X(T); 3) ≥ 12.

**Definition of ε.** We start by introducing the notation needed in order to define  $\varepsilon$ . We identify the character lattice  $X(T)$  of a split maximal torus  $T \subset E_6^{ad}$  with the root lattice  $Q(E_6)$ . We will use the following labeling of the extended Dynkin diagram of  $E_6$ :

<span id="page-44-2"></span>

Here  $-\beta_{11}$  is the highest root given by

$$
-\beta_{11} = 3\alpha + \beta_{12} + 2\beta_{21} + \beta_{22} + 2\beta_{31} + \beta_{32}.
$$

Since the simple roots form a basis for  $Q(E_6)$ , any element in  $x \in Q(E_6)$  can be written uniquely as

(17.2) 
$$
x = d\alpha + \sum_{i,j} a_{ij} \beta_{ij},
$$

for some  $d \in \{0, 1, 2\}$  and integers  $a_{ij} \in \mathbb{Z}$ . We define a grading  $\varepsilon : Q(E_6) \to \mathbb{F}_3^2$  by:

<span id="page-44-1"></span>
$$
\varepsilon(x) = \varepsilon(d\alpha + \sum_{i,j} a_{ij} \beta_{ij}) = (\sum_{i,j} a_{ij}, d).
$$

Note that  $\varepsilon$  is surjective.

**Verification of Condition** [\(12.2\)](#page-30-3). Let  $\beta \in \Phi$  be a root and express it as above:

$$
\beta = d\alpha + \sum_{i,j} a_{ij} \beta_{ij},
$$

for some  $d \in \{0, 1, 2\}$  and integers  $a_{ij} \in \mathbb{Z}$ . If  $d \neq 0$ , then clearly

$$
\varepsilon(\beta) = (\sum_{\substack{i,j\\45}} a_{ij}, d) \neq 0.
$$

 $\Box$ 

If  $d = 0$ , then  $\beta$  lies in the closed subsystem  $A_2^{\times 3} \subset E_6$  generated by the  $\beta_{ij}$ 's; See [\[12,](#page-48-23) Section 4]. Therefore there exists  $1 \leq i \leq 3$  such that:

$$
\pm \beta \in \{\beta_{i1}, \beta_{i2}, \beta_{i1} + \beta_{i2}\}_{i=1,2,3}.
$$

This implies  $\varepsilon(\beta) \neq 0$  and so  $\varepsilon$  satisfies [\(12.2\)](#page-30-3).

**Description of the Weyl group.** For any root  $\beta \in \Phi \subset Q(E_6)$ , let  $r_\beta \in W$  be the corresponding reflection. Set  $\sigma_i = r_{\beta_{i1}} r_{\beta_{i2}}$  for  $1 \leq i \leq 3$  and define:

<span id="page-45-0"></span>
$$
\sigma:=\sigma_1\sigma_2\sigma_3.
$$

By [\[12,](#page-48-23) Section 4], there exists an element  $\tau \in W$  whose action on the set of roots  $\Phi$  is determined by a  $2\pi/3$ -rotation of the extended Dynkin diagram above. Explicitly, we have  $\tau(\alpha) = \alpha$  and for any  $1 \leq i \leq 3, 1 \leq j \leq 2$  we have:

$$
\tau(\beta_{ij}) = \beta_{i+1,j},
$$

where we set  $\beta_{4,j} := \beta_{1,j}$ .

**Lemma 17.2.** The elements  $\sigma$ ,  $\tau$  generate a subgroup  $\langle \sigma, \tau \rangle \subset W(\varepsilon)$  isomorphic to  $\mathbb{Z}/3\mathbb{Z} \times$  $\mathbb{Z}/3\mathbb{Z}$ .

Proof. Since  $\tau$  fixes  $\alpha$  and satisfies [\(17.3\)](#page-45-0),  $\tau$  commutes with  $\sigma$  and preserves  $\varepsilon$ . To check that  $\sigma$  preserves  $\varepsilon$ , one first computes:

$$
\sigma_i(\alpha) = r_{\beta_{i1}} r_{\beta_{i2}}(\alpha) = r_{\beta_{i1}}(\alpha + \beta_{i2}) = \alpha + \beta_{i1} + \beta_{i2}.
$$

Since  $\sigma_i(\beta_{jk}) = \beta_{jk}$  for any  $j \neq i$ , this gives:

(17.4) 
$$
\sigma(\alpha) = \sigma_1 \sigma_2 \sigma_3(\alpha) = \alpha + \sum_{i,j} \beta_{ij}.
$$

Here we are summing over all  $1 \leq i \leq 3, 1 \leq j \leq 2$ . Therefore in  $\mathbb{F}_3^2$ :

(17.5) 
$$
\varepsilon(\sigma(\alpha)) = (6, 1) = (0, 1) = \varepsilon(\alpha).
$$

Any vector  $x \in Q(E_6)$  is of the form:

<span id="page-45-2"></span><span id="page-45-1"></span>
$$
x = d\alpha + \sum_{i,j} a_{ij} \beta_{ij},
$$

as in [\(17.2\)](#page-44-1). By [\(17.5\)](#page-45-1), to prove  $\varepsilon(\sigma(x)) = \varepsilon(x)$  it suffices to check:

(17.6) 
$$
\varepsilon(\sigma(\sum_{i,j} a_{ij} \beta_{ij})) = \varepsilon(\sum_{i,j} a_{ij} \beta_{ij}) = (\sum_{i,j} a_{ij}, 0).
$$

A computation shows for all  $1 \leq i \leq 3$ :

(17.7)  $\sigma(\beta_{i1}) = \beta_{i2}, \ \sigma(\beta_{i2}) = -\beta_{i1} - \beta_{i2}, \ \sigma(-\beta_{i1} - \beta_{i2}) = \beta_{i1}.$ 

Therefore we have:

<span id="page-45-3"></span>
$$
\sigma(\sum_{i,j} a_{ij} \beta_{ij}) = \sum_{i,j} a_{ij} \sigma(\beta_{ij})
$$
  
= 
$$
\sum_{i} -a_{i2} \beta_{i1} + (a_{i1} - a_{i2}) \beta_{i2}.
$$

Now [\(17.6\)](#page-45-2) follows from the following computation in  $\mathbb{F}_3^2$ :

$$
\varepsilon(\sigma(\sum_{i,j} a_{ij} \beta_{ij})) = (\sum_i a_{i1} - 2a_{i2}, 0) = (\sum_i a_{i1} + a_{i2}, 0) = (\sum_{i,j} a_{ij}, 0)
$$

**Remark 17.3.** One can show that  $\langle \sigma, \tau \rangle$  is a Sylow 3-subgroup of  $W(\varepsilon)$ . We do not include this computation because it is not required for the proof of Theorem [1.5.](#page-3-0)

**Verification of Condition** [\(12.7\)](#page-32-4). Using [\(17.7\)](#page-45-3) one sees that for any  $1 \le i \le 3, 1 \le j \le 2$ :

<span id="page-46-0"></span>
$$
\beta_{ij} + \sigma(\beta_{ij}) + \sigma^2(\beta_{ij}) = 0.
$$

Since the  $\beta_{ij}$ 's generate a subgroup of finite index in  $Q(E_6)$ , it follows that:

(17.8) 
$$
id_{Q(E_6)} + \sigma + \sigma^2 = 0.
$$

If  $\sigma(x) = x$  for some  $x \in Q(E_6)$ , then [\(17.8\)](#page-46-0) implies  $x = 0$  because

$$
0 = (\mathrm{id}_{Q(E_6)} + \sigma + \sigma^2)x = 3x.
$$

Therefore  $Q(E_6)^{W(\varepsilon)_3} = \{0\}$  for any Sylow 3-subgroup  $W(\varepsilon)_3 \subset W(\varepsilon)$  containing  $\sigma$ .

<span id="page-46-2"></span>**Proof of the lower bound on** Rank( $W(\varepsilon)$ ,  $X(T)$ ; 3). We start with two lemmas that help us understand the  $\langle \sigma, \tau \rangle$ -stabilizers of elements of  $Q(E_6)$ .

**Lemma 17.4.** Let  $x \in Q(E_6)$  be an element and set  $\varepsilon(x) = (u, v) \in \mathbb{F}_3^2$ .

- (1) If  $\sigma(x) = x$  then  $x = 0$ .
- (2) If  $\sigma(x) \in \{x, \tau(x), \tau^2(x)\}\)$  then  $u = 0$ .

(3) If 
$$
\tau(x) = x
$$
 then  $u = 0$ .

Proof. We proved Part (1) during the verification of Condition [\(12.7\)](#page-32-4) above. To prove Part (2), we can assume  $\sigma(x) = \tau^k(x)$  for  $k = 1$  or  $k = 2$  by Part (1). Apply [\(17.8\)](#page-46-0) to get:

<span id="page-46-1"></span>(17.9) 
$$
0 = (\mathrm{id}_{Q(E_6)} + \sigma + \sigma^2)x = x + \tau^k(x) + \tau^{2k}(x) = x + \tau(x) + \tau^2(x).
$$

Here in the last equality we used that  $\tau^3 = 1$ . Express x as a sum

$$
x = d\alpha + \sum_{i,j} a_{ij} \beta_{ij}.
$$

for some  $d \in \{0, 1, 2\}$  and integers  $a_{ij} \in \mathbb{Z}$ . Comparing coefficients in [\(17.9\)](#page-46-1) we see that for all  $1 \leq j \leq 2$ :

$$
a_{1j} + a_{2j} + a_{3j} = 0.
$$

Therefore:

$$
(u, v) = \varepsilon(x) = (\sum_j a_{1j} + a_{2j} + a_{3j}, d) = (0, d).
$$

To prove Part (3), we assume:

$$
\tau(x) = x.
$$

Comparing coefficients of both sides we get for all  $1 \leq j \leq 2$ :

$$
a_{1j} = a_{2j} = a_{3j}.
$$

This gives:

$$
(u, v) = \varepsilon(x) = (\sum_j a_{1j} + a_{2j} + a_{3j}, d) = (\sum_j 3a_{1j}, d) = (0, d).
$$

<span id="page-47-6"></span>**Lemma 17.5.** Let X be a set equipped with an  $V \times C$ -action for some abelian group V and cyclic group  $C = \langle \sigma \rangle$  of prime order p. If  $\text{Stab}_{\mathcal{V}}(x) = \{0\}$  and  $\sigma.x \notin \mathcal{V}x$  for some  $x \in X$ , then  $\text{Stab}_{V\times C}(x) = \{0\}.$ 

*Proof.* Let  $(v, \sigma^n) \in \mathcal{V} \times C$  be such that

$$
v\sigma^n(x) = x.
$$

It suffices to prove *n* is divisible by *p* because then  $\sigma^n = 1$  and  $v \in \text{Stab}_{\mathcal{V}}(x) = \{0\}$ . If *n* is prime to p, then there exists m prime to p such that  $mn = 1$  modulo p. By associativity of the action of  $\mathcal{V} \times C$  on X:

$$
\sigma(x) = \sigma^{mn}(x) = v^{-m}(x) \in \mathcal{V}x
$$

<span id="page-47-7"></span>This contradicts our assumption  $\sigma(x) \notin \mathcal{V}x$ , and so *n* is divisible by *p*.

**Corollary 17.6.** Let  $x \in Q(E_6)$  be an element and denote  $\varepsilon(x) = (u, v)$  for some  $u, v \in \mathbb{F}_3$ . We note:

(1) If 
$$
u \neq 0
$$
, then  $|\langle \sigma, \tau \rangle x| = 9$ .

(2) If  $v \neq 0$ , then  $|\langle \sigma, \tau \rangle x| > 3$ .

*Proof.* If  $u \neq 0$ , then  $\tau(x) \neq x$  and  $\sigma(x) \notin \{x, \tau(x), \tau^2(x)\}$  by Lemma [17.4.](#page-46-2) Applying Lemma [17.5](#page-47-6) gives  $\text{Stab}_{\langle \sigma,\tau \rangle}(x) = \{1\}.$  Therefore  $\langle \sigma, \tau \rangle$  acts freely on x and we have:

$$
|\langle \sigma, \tau \rangle x| = 9.
$$

If  $v \neq 0$ , then  $\sigma(x) \neq x$  by Lemma [17.4\(](#page-46-2)1). Therefore

$$
|\langle \sigma, \tau \rangle x| \ge |\langle \sigma \rangle x| = 3.
$$

 $\Box$ 

Combining Corollary [17.6](#page-47-7) and Lemma [13.1](#page-34-1) gives

$$
Rank(\langle \sigma, \tau \rangle, X(T); 3) \ge 9 + 3 = 12.
$$

This proves  $(17.1)$  because by  $(13.1)$  we have

$$
Rank(W(\varepsilon), X(T); 3) \geq Rank(\langle \sigma, \tau \rangle, X(T); 3).
$$

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