Search for Small-Mass Black Hole Dark Matter with Space-Based Gravitational Wave Detectors

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The high sensitivity of upcoming space-based gravitational wave detectors suggests the possibility that if halo dark matter were composed of primordial black holes (PBHs) with mass between 10^{16} g and 10^{20} g, the gravitational interaction with detector test masses will lead to a detectable pulse-like signal during the fly-by. For an improved version of the Laser Interferometer Space Antenna with a reduced acceleration noise at the low-end of its frequency spectrum, we find an event rate, with signal-to-noise ratios greater than 5, of ~ a few per decade involving black holes of mass ~ 10^{17} g. The detection rate improves significantly for second generation space based interferometers that are currently envisioned, though these events must be distinguished from those involving perturbations due to near-Earth asteroids. While the presence of primordial black holes below a mass of ~ 10^{16} g is now constrained based on the radiation released during their evaporation, the gravitational wave detectors will extend the study of PBHs to a several orders of magnitude higher masses.

Based on observed rotational velocity measurements of the Milky Way disk, the presence of a substantial dark matter component in the Milky Way halo is now well established [1]. With no unique guidance as to the nature of dark matter, a large number of candidates based on both astronomical arguments, such as baryonic dark matter involving substellar mass remnants [2], and particle physics expectations [3] are now routinely considered to explain the missing mass. An interesting possibility is that the halo dark matter is composed of primordial black holes (PBHs) [4]. While PBHs are expected over a rather wide range of masses [5], the population below $\sim 5 \times 10^{14}$ g is expected to have evaporated by the Hawking radiation over the age of the universe [6], while holes with a mass slightly above this limit are still emitting high energy particles today [7]. With observations related to the galactic Gamma-ray background, the evaporating black hole contribution to the dark matter density in the Universe, in terms of the critical density, is at the level roughly below 10^{-8} [7].

From the high mass end, constraints on the possibility that dark matter is composed of PBHs come from dynamical arguments such as the potential disruption of galactic clusters and similar bound structures [8]. These constraints generally limit the primordial black hole mass to be roughly below few solar mass. Similar constraints come from galactic microlensing observations, which limits primordial black hole masses to be below roughly few tenths solar masses [9]. In combination, at least over a 10^{16} decade in primordial black hole mass, between evaporating holes with mass below 10^{17} g and $\sim 0.1 M_{\odot}$, remains yet to be studied and techniques to understand their presence are limited (see also [10]). Though the galactic gamma-ray background and its weak anisotropy suggests a evaporating PBH density of roughly 10^{10} pc⁻³. PBHs can explain the total halo dark matter if the PBH mass spectrum enhances the abundance above 10^{17} g [11]. A promising possibility of probing small-mass PBHs involves femtolensing of gamma-ray bursts (GRBs) both in terms of an interference pattern in the frequency spectrum [12] or relative flux differences in Astronomical Unit (AU)-scale separated light curves [13]. The existing data weakly constrain the mass density fraction, relative to the critical density, of compact objects with mass between 10^{17} g and 10^{20} g to be below 0.2, if the average redshift of GRBs is unity [14]. This technique only constrain the extragalactic density, though, it would be interesting to see if the prediction [11] related to the galactic dark matter can directly be tested.

The PBHs, especially at the small-mass end, are not expected to interact or be captured by other massive bodies such as the Sun; they can be considered as another weakly interacting massive particle (WIMPs). In contrast to active PBHs with masses $\leq 10^{15}$ g, the physical nature of PBHs with $\gtrsim 10^{17}$ g is characterized only by their masses and extremely small size. In reality, they can be detected only through the gravitational interaction. But, as their masses are much larger than standard WIMPs predicted by particle physics, the expected flux of PBHs would be very small and we need detectors with a large effective area for their search.

In this *Letter*, we suggest that PBHs around a mass of 10^{17} g could in fact be detected directly with planned space-based gravitational wave detectors that have large cross sections to moving massive bodies. These missions include the Laser Interferometer Space Antenna (LISA) [15] and several vision missions of future that are now routinely discussed in the long term planning by various space agencies: Gravitational Echos Across Time (GREAT; [16]), the Big Bang Observer (BBO) of NASA's Structure and Evolution of the Universe Roadmap, and a similar mission concept named Decihertz Interferometer Gravitational Wave Observatory (DECIGO; [17]) (see also [18, 19]). The direct detection simply involves the gravitational interaction between the fly-by PBHs and detector test masses such that with high sensitivity even a small gravitational perturbation can eventually be seen above the detector noise. As an example, the LISA mission is expected to probe the frequency range between 10^{-5} Hz and 10^{-1} Hz and detect perturbations above $h \sim \delta L/L \sim 10^{-21}$ at ~ 1 mHz with detectors separated by arm lengths $L \sim 5 \times 10^{6}$ km.

The interaction of a PBH and a gravitational-wave observatory test mass can be considered either as a direct interaction, when the fly-by separation is smaller than the arm length, or as a tidal interaction, in the case where arm length is smaller than the fly-by distance. We first consider the former situation and take the scenario with a PBH mass M_{\bullet} passing by one of the test masses of the interferometer with a relative velocity V with the closest approach distance R. The time dependent acceleration a(t) of the spacecraft test mass towards the direction of the closest approach has a single pulse-like structure given by

$$a(t) = \frac{GM_{\bullet}R}{[R^2 + (Vt)^2]^{3/2}},$$
(1)

where we have set the origin of the time coordinate, t = 0, to be at the closest approach. Note that the fly-by PBH can also perturb other test masses of the interferometer configuration since at least 3 or more spacecrafts are generally utilized in future gravitational wave observatories. When making an order-of-magnitude estimate we neglect additional information related to such perturbations and ignore aspects that involve the relative configuration of interferometer-body system related to the perturbing PBH.

The Fourier component a(f) of eq. (1) is given in terms of the 1st order modified Bessel function K_1 as

$$a(f) = \int_{-\infty}^{\infty} dt e^{2\pi i f t} a(t) = 2 \frac{GM_{\bullet}}{RV} K_1[2\pi f R/V].$$
(2)

For the direct detection, the signal-to-noise ratio (SNR) of the pulse a(t) is formally written as $SNR^2 = 2\int_0^\infty a(f)^2/a_n(f)^2 df$ with the noise spectrum $a_n(f)$ of the detector. In the case of space-based interferometers, the noise has two main contributions involving the proofmass noise and the optical path noise with the former dominating the low frequency regime. As we will soon discuss, the typical signal a(f) due to the fly-by PBH of mass around $M_{\bullet} \gtrsim 10^{17}$ g has support in the SNR integral when $f \lesssim 10^{-4}$ Hz. This is in the the low frequency regime of all the proposed future interferometers, including the LISA mission. The proof-mass noise of LISA is estimated to be constant down to $\sim 10^{-4}$ Hz; It might become a factor of two larger at 3×10^{-5} Hz and more at lower frequencies [15], however, the low frequency behavior of the noise curve, around 10^{-5} Hz, is yet to be determined precisely. In order to estimate the SNR, we simply extrapolate the constant proof-mass noise down to f = 0, and set $a_n(f) = 2a = const$, where a is the proof-mass noise for a single inertial sensor in various interferometers. While frequency dependence of noise below 10^{-4} Hz is ignored, we do pay attention to the frequency dependence of the fly-by pulse event so as to

understand requirements on the low frequency behavior of the proof-mass noise.

After some straight forward algebra, we obtain the SNR as

$$SNR^2 = \frac{3\pi}{32} \frac{(GM_{\bullet})^2}{VR^3 a^2} \quad (R < L).$$
 (3)

Note that this relation corresponds to the second formula of eq. (4.35) in Ref. [15], but our result differs by $1/13 \sim 8\%$ due to the exact use of a limit associated with the Bessel function, instead of an approximation used there, and after taking in to account a difference in the definition related to the proof-mass noise.

Since the above expression (3) is for the case where the closest approach distance R to a single spacecraft is smaller than the arm-length L of the interferometer, namely R < L, we now consider the opposite case with R > L. Now, the tidal deformation of the interferometer is a measurable effect, but this involves an additional suppression factor $\sim n(L/R)$ in eq. (1), where we have ignored the numerical prefactor n as we do not consider detailed geometry of these events, though we note that this factor could be greater than unity (up to 2) in certain favorable configurations of the interferometer with respect the trajectory of the PBH fly-by. In this tidal limit, we find the SNR to be

$$SNR^{2} = \frac{3\pi}{32} \frac{(GM_{\bullet}L)^{2}}{VR^{5}a^{2}} \quad (R > L).$$
(4)

This is the relevant expression for LISA and BBO/DECIGO in the interesting mass range $M_{\bullet} \gtrsim 10^{17}$ g, though, at the low mass end (10¹⁶ g), the former expression applies for LISA.

Using eq. (4) we can now estimate the maximum length of the closest approach R_{max} for a given SNR threshold

$$R_{\text{max}} = 5.3 \times 10^{11} \left(\frac{M_{\bullet}}{10^{17} \text{g}}\right)^{2/5} \left(\frac{V}{350 \text{km/s}}\right)^{-1/5} \\ \times \left(\frac{SNR}{5}\right)^{-2/5} \left(\frac{a}{a_0}\right)^{-2/5} \left(\frac{L}{L_0}\right)^{2/5} \text{cm (5)}$$

where $a_0 = 3 \times 10^{-15} \text{m/s}^2 \sqrt{\text{Hz}}$ and $L_0 = 5 \times 10^{11} \text{cm}$ are the reference fiducial parameters for LISA in its current design [15] (at least the proof-mass noise around $f \sim 10^{-4} \text{Hz}$). We have taken the typical velocity dispersion $V = 220 \sqrt{5/2} = 350 \text{ km/s}$ of the halo dark matter particles relative to the solar system using the Galactic rotation velocity of 220 km/s and the Galactic radius to the Solar system of $r_g \sim 8$ kpc following Ref. [8]. The gravitational perturbation involves a pulse like signal in the data streams with a characteristic frequency given by

$$V/R_{\rm max} \sim 6.4 \times 10^{-5} \left(\frac{M_{\bullet}}{10^{17} {\rm g}}\right)^{-2/5} \left(\frac{V}{350 {\rm km/s}}\right)^{6/5} \\ \times \left(\frac{SNR}{5}\right)^{2/5} \left(\frac{a}{a_0}\right)^{2/5} \left(\frac{L}{L_0}\right)^{-2/5} {\rm Hz} (6)$$

As mentioned already, for most planned space interferometer such as LISA, such a low frequency is in the regime where the detection is limited by the proof-mass noise.

When we assume that the halo dark matter with density $\rho_{DM} \sim 0.011 M_{\odot}/\text{pc}^3$ [21] around the Sun is made with PBHs of mass M_{\bullet} , their flux, $F = \rho_{DM} V M_{\bullet}^{-1}$, is

$$F = 9.0 \times 10^{-27} \left(\frac{\rho_{DM}}{0.011 M_{\odot} \text{pc}^{-3}} \right) \left(\frac{V}{350 \text{km/s}} \right) \\ \times \left(\frac{M_{\bullet}}{10^{17} \text{g}} \right)^{-1} \text{cm}^{-2} \text{yr}^{-1}, \qquad (7)$$

and the fly-by event rate, $\dot{\eta} = \pi F R_{\max}^2$, above a certain SNR is

$$\dot{\eta} = 0.01 \left(\frac{M_{\bullet}}{10^{17} \text{g}}\right)^{-1/5} \left(\frac{SNR}{5}\right)^{-4/5} \left(\frac{V}{350 \text{km/s}}\right)^{3/5} \times \left(\frac{\rho_{DM}}{0.011 \text{M}_{\odot} \text{pc}^{-3}}\right) \left(\frac{a}{a_0}\right)^{-4/5} \left(\frac{L}{L_0}\right)^{4/5} \left(\frac{N}{1}\right) \text{yr}^{-1},$$
(8)

where N represents the effective number of interferometers with sufficient relative distances. While LISA involves a single set of interferometers, some planned missions, *e.g.* BBO, plans to use multiple interferometer arrays with large separation to improve the localization of binaries sources such that $N \ge 2$. If the signal is to be extracted using a correlation analysis, especially for low signal-to-noise events, of two arrays, there is no further increase in the event rate and one should continue to use N = 1.

For the reference parameters of LISA, assuming a useful SNR threshold of 5, the detection rate is ~ 0.1 per ten years as shown in eq. (8). In the tidal limit, the event rate weakly depends on mass M_{\bullet} by $\dot{\eta} \propto M_{\bullet}^{-1/5}$. The combination (aL^{-1}) that appears in all of the above expressions determines the low frequency sensitivity of the interferometers to the gravitational wave amplitude h. Therefore, once the threshold distances R_{\max} are confirmed to be larger than the arm-length L, we can easily compare the event rate for various interferometers by studying their noise curves at the low frequency regime. In the case of LISA, when the mass M_{\bullet} falls below 10^{17} g, the maximum fly-by distance approaches that of the arm length ($\sim 5 \times 10^{11}$ cm). In this case, we repeat the event rate using the SNR involving the direct perturbation (eq 3); in this limit, for reference, the event rate depends on the mass M_{\bullet} by $\dot{\eta} \propto M_{\bullet}^{1/3}$.

In figure 1, we show the potential detectability of fly-by events involving PBHs with mass M_{\bullet} using various planned space-based interferometers. Instead of the event rate, our results are presented in terms of the local halo density of PBHs required for a detection, with SNR greater than 5, of one event per decade. For reference, to put these detections in the context of the galactic dark matter density, we also show current observational constraints for the PBH density from the γ -ray background



FIG. 1: The detection thresholds for PBH fly-by with various upcoming space-based gravitational wave observatories (in solid lines from top to bottom: LISA, GREAT intermediatefrequency-mission, BBO/DECIGO, and GREAT very-lowfrequency-mission). The thick solid line shows the expected density of halo dark matter in the form of black holes; at $M_{\bullet} \simeq 10^{15}$ g, the density is constrained by γ -ray background observations such that the particle density is below $\sim 10^{10}$ ${\rm pc}^{-3},$ while no similar constraints exist above $M_{\bullet}\gtrsim 10^{17}$ g and we take the whole halo to be formed of black holes with the mass given in the horizontal axis (with a density $0.011 \ M_{\odot} \ pc^{-3}$). The detection limits assume an event rate of a single detection per decade; if no events are detected, these curves would roughly correspond to the constraint one can put on the black hole contribution to the halo dark matter density. In each of the instruments considered, we label the following three parameters: (arm-length/proof-mass noise/total number of detector arrays) relative to those reference values of LISA (arm length: 5×10^6 km, proof-mass noise: $3\times 10^{-15} {\rm m/s^2/\sqrt{Hz}}).$ The symbols on each of the curves represent the average frequency of the gravitational perturbation produced on the interferometer by a black hole of corresponding mass with triangle, diamond, circle and square representing frequencies of 10^{-7} , 10^{-6} , 10^{-5} and 10^{-4} Hz, respectively. In the case of LISA, the threshold distance R equals to the arm length L at $M_{\bullet} = 0.87 \times 10^{17}$ g where the slope of the curve changes.

 $(M_{\bullet} \lesssim 10^{17} \text{ g})$ [11] and the expected density of dark matter based on a Galactic model $(M_{\bullet} \gtrsim 10^{17} \text{g})$ where the density is now determined to be $(0.011 \pm 0.005) \text{ M}_{\odot} \text{ pc}^{-3}$ [21]. In the case of LISA, the transition (R = L) between eqs. (3) and (4) occurs at $M_{\bullet} = 0.87 \times 10^{17} \text{g}$, but for all other interferometers, we find R > L in the mass range considered in figure 1.

In figure 1, next to the name of each of these interferometers, we also denote three basic numbers that characterize an interferometer (arm-length/proof-mass noise/number of detector arrays) normalized by the reference values for LISA. The GREAT-intermediate mission [16] has a marginal possibility for a PBH detection at $M_{\bullet} \sim 10^{17}$ g while The GREAT-very low frequency mission with parameters $(50/4 \times 10^{-4}/1)$ would detect them even if less than a few percent of the halo dark matter is made with PBHs with mass between 10^{17} g and 10^{20} g. Incidently, the low-frequency end of the LISA noise has not been finalized and there are strong scientific reasons to improve it beyond what was originally suggested [20]. If we take the most improved low-frequency noise from Ref. [20] with scaled parameters (5/0.1/1), we find a detection level similar to that shown for the GREATintermediate mission suggesting that even with an improved version of LISA, a detection with an event rate of few per decade, at mass of $M_{\bullet} \sim 10^{17}$ g, may be within the reach of first-generation interferometers. We note here that if the event rate is too high with $\gtrsim 100 \text{ yr}^{-1}$, as would be the case for GREAT-low frequency mission [16], the signals will be overlapped in frequency space due to the low frequency nature. This will complicate the extraction and would involve a detailed analysis similar to the ones proposed to extract individual gravitational waves in their confusion limit. In this figure, we also note the characteristic frequency of the fly-by event. This is done to emphasize the very low frequency aspect of the detection, especially with respect to the noise requirements associated with proof-mass, or acceleration noise.

In the search for fly-by PBHs, it is important to distinguish their signals from other signals in the interferometer. The primary targets of a space-based interferometer are low frequency gravitational waves. In general, their measurement is limited to some extent by the astrophysical confusion noise, depending on the frequency. For example, the LISA detector noise is expected to be dominated by the Galactic white dwarf binary confusion noise in the frequency band between 0.1 mHz $\lesssim f \lesssim 3$ mHz [19]. At a lower frequency than this range, gravitational waves from merging massive black hole binaries might form a confusion noise [19]. When we measure the local acceleration related to PBH fly-by events, however, we can largely reduce the relative contribution of the low frequency gravitational waves (with wave-length $\lambda_{GW} > L$) in the data streams by taking a certain combination of the data stream now knowns as the Sagnac [22]. This data combination nearly cancels gravitational waves using the fact that they propagate with speed of light, though it would still be affected by fly-by perturbations. Therefore, the separation of PBH signals with other gravitational wave signals is not as severe as the confusion noise problem for gravitational wave observations alone.

There is one source of confusion, however. This involves similar pulses produced during the passage of near-Earth asteroids close to detectors (see, section 4.2.5 of [15]). Given the expected flux of minor bodies in the solar system, as determined by various observational data, the asteroid perturbations are dominated by those at the high mass end between 10^{13} g to 10^{15} g, or sizes around a km or slightly less, with an event rate of the order 0.05 yr^{-1} (the rate for smaller mass events are substantially less and can be ignored). Unfortunately, though the relative velocity of asteroid events are smaller (~ 30 $km sec^{-1}$) than those involving PBHs, these events could have similar frequencies (as PBHs) due to differences in the maximum distance to which they can be detected. On the positive side, orbital parameters of roughly 10% of such near-Earth asteroids are already known while this fraction is soon expected to grow substantially with dedicated near-Earth asteroid search programs [23] such that during the operation of these gravitational wave observatories, expected perturbations from asteroids can be a priori determined. Moreover, we expect optical followup searches of all candidate events to further refine the sample and to confirm the compactness of perturbers. Detection of a fly-by pulse with no optical counterpart would be a minimum criteria for the selection of a PBH event. For this perpose, we need a systematic optical survey around the interferometric area above some magnitude threshold. With increasing sensitivity, such as the case with GREAT-low frequency mission, event rate of asteroids increases such that they might become the primary confusion noise; Removal of this confusion noise requires independent estimates of the asteroid mass at the few percent level in addition to the orbit.

While with gravitational wave detectors the presence of PBHs can be established, it is not easy to determine masses of individual events from a single pulse signal that is characterized mainly by two numbers: the amplitude, M/R^3 , and the time scale, R/V, made from three variales M, R and V. This is due to the fact that there is an unknown associated with the fly-by distance or the PBH trajectory. If multiple detectors are perturbed, one can establish the trajectory and then used that information to determine mass.

While there is some possibility for PBH studies with LISA, the possibilities are greater with second generation space-based missions for which concept studies have already begun. For example, BBO is designed to detect inflationary generated gravitational wave background with amplitude $\Omega_{GW} \lesssim 10^{-16}$ around 1 Hz where the white dwarf binary confusion noise is absent. The relevant frequency for PBH search ($f \lesssim 10^{-5}$ Hz) is largely different from 1 Hz. However, if the mission design is such that the proof-mass noise can be controlled well below ~ 10^{-5} Hz, such a mission would become a powerful tool for PBH search.

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