# Ledoux-convection in protoneutron stars – a clue to supernova nucleosynthesis ?

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#### ABSTRACT

Two-dimensional (2D) hydrodynamical simulations of the deleptonization of a newly formed neutron star (NS) were performed. Driven by negative lepton fraction and entropy gradients, convection starts near the neutrinosphere about 20–30 ms after core bounce, but moves deeper into the protoneutron star (PNS), and after about one second the whole PNS is convective. The deleptonization of the star proceeds much faster than in the corresponding spherically symmetrical model because the lepton flux and the neutrino  $(\nu)$  luminosities increase by up to a factor of two. The convection below the neutrinosphere raises the neutrinospheric temperatures and mean energies of the emitted  $\nu$ 's by 10–20%. This can have important implications for the supernova (SN) explosion mechanism and changes the detectable  $\nu$  signal from the Kelvin-Helmholtz cooling of the PNS. In particular, the enhanced  $\nu_e$  flux relative to the  $\bar{\nu}_e$  flux during the early post-bounce evolution might solve the overproduction problem of certain elements in the neutrino-heated ejecta in models of type-II SN explosions.

Subject headings: supernovae: general – stars: neutron – elementary particles: neutrinos – turbulence – convection – hydrodynamics

#### 1 Introduction

Convection in the newly formed NS might play an important role to explain the explosion of a massive star in a type-II SN. Epstein (1979) pointed out that not only entropy, S, inversions but also zones in the post-collapse core where the lepton fraction, Yl , decreases with increasing radius tend to be unstable against Ledoux convection. Negative S and/or  $Y_l$  gradients in the neutrinospheric region and in the layers between the nascent NS and the weakening prompt shock front were realized in a variety of post-bounce SN models by Burrows & Lattimer (1988), and after shock stagnation in computations by Hillebrandt (1987) and more recently by Bruenn (1993), Bruenn & Mezzacappa (1994), and Bruenn et al. (1995). Despite different equations of states (EOS),  $\nu$  opacities, and  $\nu$  transport methods, the development of negative  $Y_l$  and  $S$ gradients is common in these simulations and can also be found in PNS cooling models of Burrows & Lattimer (1987), Keil & Janka (1995), and Sumiyoshi et al. (1995).

Convection above the neutrinosphere but below the neutrino-heated region can hardly be a direct help for the explosion (Bethe et al. 1987, Bruenn et al. 1995), whereas convectively enhanced lepton number and energy transport inside the neutrinosphere raise the  $\nu$  luminosities and can definitely support neutrino-energized SN explosions (Bethe et al. 1987). In this context, Burrows (1987) and Burrows & Lattimer (1988) have discussed entropy-driven convection in the PNS on the basis of 1D, general relativistic (GR) simulations of the first second of the evolution of a hot,  $1.4 M_{\odot}$  PNS. Their calculations were done with a Henyey-like code using a mixing-length scheme for convective energy and lepton transport. Recent 2D models (Herant et al. 1994, Burrows et al. 1995, Janka & Müller 1996 and references therein) confirmed the possibility that convective processes can occur in the surface region of the PNS immediately after shock stagnation ("prompt convection") for a period of at least several 10 ms. These models, however, have been evolved only over rather short times or with insufficient numerical resolution in the PNS or with a spherically symmetrical description of the core of the PNS that was in some cases even replaced by an inner boundary condition.

Mayle & Wilson (1988) and Wilson & Mayle (1988, 1993) demonstrated that convection in the nascent NS can be a crucial ingredient that leads to successful delayed explosions. With the high-density EOS and treatment of the  $\nu$  transport used by the Livermore group, however, negative gradients of  $Y_l$  tend to be stabilized by positive S gradients (see, e.g., Wilson & Mayle 1989). Therefore they claim doubly diffusive neutron finger convection to be more important than Ledoux convection. Doubts about the presence of doubly diffusive instabilities, on the other hand, were recently raised by Bruenn & Dineva (1996). Bruenn & Mezzacappa (1994) and Bruenn et al. (1995) also come to a negative conclusion about the relevance of prompt convection in the neutrinospheric region. Although their post-bounce models show unstable  $S$  and  $Y_l$ stratifications, the mixing-length approach in their 1D simulations predicts convective activity inside and around the neutrinosphere to be present only for 10–30 ms after bounce and to have no significant impact on the  $\nu$  fluxes and spectra when an elaborate multi-group flux-limited diffusion method is used for the  $\nu$  transfer. Such conclusions seem to be supported by recent 2D simulations of Mezzacappa et al. (1996). These 2D models, however, still suffer from the use of an inner boundary condition at a fixed radius of 20–30 km, a simplified treatment of neutrino-matter interactions, and imposed neutrino fluxes and spectra from spherically symmetrical models.

From these differing and partly contradictory results it is evident that the question whether, where, when, and how long convection occurs below the neutrinosphere seems to be a matter of the EOS, of the core structure of the progenitor star, of the shock

properties and propagation, and of the  $\nu$  opacities and the  $\nu$  transport description. In this Letter we compare 1D simulations with the first self-consistent 2D models that follow the evolution of the newly formed NS for more than a second, taking into account the GR gravitational potential and making use of a flux-limited equilibrium diffusion scheme that describes the transport of  $\nu_e$ ,  $\bar{\nu}_e$ , and  $\nu_x$  (sum of  $\nu_\mu$ ,  $\bar{\nu}_\mu$ ,  $\nu_\tau$ , and  $\bar{\nu}_\tau$ ) and is very good at high optical depths but only approximative near the PNS surface (Keil & Janka 1995). Our simulations demonstrate that Ledoux convection may continue in the PNS for a long time and can involve the whole star after about one second.

#### 2 Numerical implementation

The simulations were performed with the explicit Eulerian hydrodynamics code *Prometheus* (Fryxell, M¨uller, & Arnett 1989) that employs a Riemann-solver and is based on the Piecewise Parabolic Method (PPM) of Colella & Woodward (1984). A moving grid with 100 nonequidistant radial zones (initial outer radius  $\sim 60 \text{ km}$ , final radius  $\sim 20 \text{ km}$ ) and with up to 60 angular zones was used, corresponding to a radial resolution of a few 100 m ( $\lesssim$  1 km near the center) and a maximum angular resolution of 1.5°. In the angular direction, periodic boundary conditions were imposed at  $\pm 45^{\circ}$  above and below the equatorial plane. The stellar surface was treated as an open boundary where the velocity was calculated from the velocity in the outermost grid zone, the density profile was extrapolated according to a time-variable power law, and the corresponding pressure was determined from the condition of hydrostatic equilibrium. The Prometheus code was extended for the use of different time steps and angular resolutions in different regions of the star. Due to the extremely restrictive Courant-Friedrichs-Lewy (CFL) condition for the hydrodynamics, the implicit  $\nu$  transport was computed typically with 10 times larger time steps than the smallest hydrodynamics time step on the grid  $(\sim 10^{-7} s)$  (Keil 1996).

Our simulations were started with the  $\sim 1.1 M_{\odot}$  (baryonic mass) central, dense

part ( $\rho \gtrsim 10^{11} \text{ g/cm}^3$ ) of the collapsed core of a  $15 M_{\odot}$  progenitor star (Woosley et al. 1988) that was computed to a time of about 25 ms after core bounce (i.e., a few ms after the stagnation of the prompt shock) by Bruenn (1993). Accretion was not considered but additional matter could be advected onto the grid through the open outer boundary. In the 2D run, Newtonian asphericity corrections were added to the spherically symmetrical GR gravitational potential:  $\Phi_{2D} \equiv \Phi_{1D}^{GR} + (\Phi_{2D}^{N} - \Phi_{1D}^{N})$ . This should be a sufficiently good approximation because convective motions produce only local and minor deviations of the mass distribution from spherical symmetry. Using the GR potential ensured that transients due to the mapping of Bruenn's (1993) relativistic 1D results to our code were very small. When starting our 2D simulation, the radial velocity (under conservation of the local specific total energy) was randomly perturbed in the whole PNS with an amplitude of 0.1%. The thermodynamics of the NS medium was described by the EOS of Lattimer & Swesty (1991) which yields a physically reasonable description of nuclear matter below about twice nuclear density and is thus suitable to describe the interior of the considered low-mass NS ( $M_\mathrm{ns} \lesssim 1.2\,M_\odot$ ).

The  $\nu$  transport was carried out in radial direction for every angular zone of the finest angular grid. Angular transport of neutrinos was neglected. This underestimates the ability of moving buoyant fluid elements to exchange lepton number and energy with their surroundings and is only correct if radial radiative and convective transport are faster. Moreover,  $\nu$  shear viscosity was disregarded. For  $\nu$ -n, p scattering and 3 flavors of nondegenerate  $\nu$  and  $\bar{\nu}$  in local thermal equilibrium with the matter one estimates at low densities  $(\rho \lesssim 10^{14} \text{ g/cm}^3)$  a dynamic shear viscosity of  $\eta_{\nu}^{\text{nd}} \sim 1.2$ .  $10^{22}T_{10}^2/\rho_{14}$  g cm<sup>-1</sup>s<sup>-1</sup> (van den Horn & van Weert 1981), and in degenerate nuclear matter  $\eta_{\nu}^d \sim 6.7 \cdot 10^{22} f(Y_p) T_{10} / \rho_{14}^{1/3}$  g cm<sup>-1</sup>s<sup>-1</sup> (Thompson & Duncan 1993) when  $T_{10} \equiv$  $T/(10 \text{ MeV})$  and  $\rho_{14} \equiv \rho/(10^{14} \text{ g/cm}^3)$ . The expression  $f(Y_p) \approx 0.63 ... 1$  is a function of the proton fraction  $Y_p$ . With convective velocities  $v_c \sim 10^8 \text{ cm/s}$  and length scales of convective mixing  $l_c \sim 10^5$  cm, one obtains Reynolds numbers  $\mathcal{R}_{\nu} = v_c l_c \rho / \eta_{\nu} \sim$  $10<sup>4</sup>... 10<sup>5</sup>$  for typical temperatures and densities in the PNS, and corresponding viscous

<span id="page-5-0"></span>damping timescales of convective motions of the order of  $t_{\nu} \sim \frac{1}{2}$  $\frac{1}{2} l_{\rm c}^2 \rho / \eta_{\nu} \approx 5\text{--}50 \text{ s}.$ Neutrino viscosity is not extremely important on timescales of ∼ 1 s and should not be able to suppress turbulent convection (Thompson & Duncan 1993, Burrows & Lattimer 1988).

The effective numerical viscosity of the PPM scheme is estimated from Eq. (3.6) in Porter & Woodward (1994) to be  $\eta_{\rm n}/\rho \sim v_{\rm c}l_{\rm c}10^{y}/(2\pi)^{2}$ , which depends on the grid resolution via the parameter y defined as the ordinate in Fig. 1 of Porter  $\&$ Woodward (1994). For structures of size  $l_c/(10^5 \text{ cm}) \equiv l_{c,5} \gtrsim 1$  that are typically resolved by about 10 zones, and for flows with advective Courant numbers  $C_a \gtrsim 0.08$ , Fig. 1 of Porter & Woodward (1994) leads to a dynamic shear viscosity of  $\eta_n \lesssim$  $5 \cdot 10^{23} \rho_{14} l_{c,5} v_{c,8}$  g cm<sup>-1</sup>s<sup>-1</sup> when  $v_{c,8} \equiv v_c/(10^8 \text{ cm/s})$ . The corresponding Reynolds numbers are  $\mathcal{R}_n \sim (2\pi)^2 10^{-y} \gtrsim 2000$ , and Eq. (3.5) of Porter & Woodward (1994) yields for the viscous damping timescale  $t_n \sim \frac{1}{2}$  $\frac{1}{2} \cdot 10^{-y} l_c/v_c \gtrsim 25 l_{c,5}/v_{c,8} \,\text{ms}.$  This means that small structures represented by only a few grid cells will be affected by the numerical viscosity, but damping timescales for fluid motions on scales  $l_c \gtrsim 10^5$  cm are still a factor  $\frac{1}{2} \cdot 10^{-y} \gtrsim 25$  longer than the overturn timescales  $t_o \sim l_c/v_c \sim \text{few ms.}$ 

#### 3 Results

Convection can be driven by a radial gradient of the entropy per nucleon S and/or by a gradient of the lepton number per baryon  $Y_l$  (Epstein 1979) where  $Y_l$  includes contributions from  $e^-$  and  $e^+$  and from  $\nu_e$  and  $\bar{\nu}_e$  if the latter are in equilibrium with the matter. Convective instability in the Ledoux approximation sets in when

$$
\mathcal{C}_{\mathcal{L}}(r) \equiv \left(\frac{\partial \rho}{\partial S}\right)_{P,Y_l} \frac{\mathrm{d}S}{\mathrm{d}r} + \left(\frac{\partial \rho}{\partial Y_l}\right)_{P,S} \frac{\mathrm{d}Y_l}{\mathrm{d}r} > 0 \tag{1}
$$

Initially, this criterion is fulfilled between  $\sim 0.7 M_{\odot}$  and  $\sim 1.1 M_{\odot}$  $\sim 1.1 M_{\odot}$  $\sim 1.1 M_{\odot}$  (black area in Fig. 1; see also Bruenn et al. 1995) and convective activity develops within ∼ 10 ms after the start of the 2D simulation. About 30 ms later the outer layers become convectively stable which is in agreement with Bruenn & Mezzacappa (1994). In our 2D simulation, however, the convectively unstable region retreats to mass shells  $\leq 0.9 M_{\odot}$  and its inner edge moves deeper into the neutrino-opaque interior of the star, following a steeply negative lepton gradient that is advanced towards the stellar center by the convectively enhanced deleptonization of the outer layers (Figs. [1](#page-12-0) and [2\)](#page-13-0). Note that the black area in Fig. [1](#page-12-0) and the thick solid lines in Fig. [2](#page-13-0) mark not only those regions in the star which are convectively unstable but also those *which are only marginally stable* according to the Ledoux criterion of Eq. [\(1](#page-5-0)) for angle-averaged S and  $Y_l$ , i.e., regions where  $\mathcal{C}_L(r) \geq$  $a \cdot \max_r(|\mathcal{C}_{L}(r)|)$  with  $a = 0.05$  holds. For  $a \le 0.1$  the accepted region varies only little with a and is always embedded by the grey-shaded area where  $|v_{\theta}| > 10^7 \text{ cm/s}$ . Yet, only sporadically and randomly appearing patches in the convective layer fulfill Eq. [\(1](#page-5-0)) rigorously. Figure [2](#page-13-0) shows that the black region in Fig. [1](#page-12-0) coincides with the layers where convective mixing flattens the  $S$  and  $Y_l$  gradients.

The convective pattern is extremely non-stationary and has most activity on large scales with radial coherence lengths of several km up to  $\sim$  10 km and convective "cells" of 20◦–30◦ angular diameter, at some times even 45◦ (Fig. [3](#page-14-0)). Significant over- and undershooting takes place (grey regions in Fig. [1](#page-12-0)) and the convective mass motions create pressure waves and perturbations in the convectively stable NS interior and in the surface layers. The maximum convective velocities are usually  $\sim 4 \cdot 10^8 \text{ cm/s}$ , but peak values of  $\sim 10^9 \,\mathrm{cm/s}$  can be reached. These velocities are typically 5–10% of the average sound speed in the star. The kinetic energy of the convection is several  $10^{49}$  erg at  $t \le 1$  s and climbs to ~ 2 ·  $10^{50}$  erg when the PNS is fully convective. Relative deviations of  $Y_l$  from the angular mean can be several  $10\%$  (even  $100\%$ ) in rising or sinking buoyant elements, and for S can reach 5% or more. Rising flows always have larger  $Y_l$  and  $S$  than their surroundings. Corresponding temperature and density fluctuations are only  $\sim 1-3\%$ . Due to these properties and the problems in applying the Ledoux criterion with angle-averaged  $S$  and  $Y_l$  straightforwardly, we suspect that it is hardly possible to describe the convective activity with a mixing-length treatment in a 1D simulation.

Our 2D simulation shows that convection in the PNS can encompass the whole star within  $\sim$  1s and can continue for at least as long as the deleptonization takes place, possibly even longer. A deleptonization "wave" associated with the convectively enhanced transport moves towards the center of the PNS. This reduces the timescale for the electron fraction  $Y_e$  to approach its minimum central value of about 0.1 from  $\sim$  10 s in the 1D case, where the lepton loss proceeds much more gradually and coherently, to only ∼ 1.2 s in 2D. With convection the entropy and temperature near the center rise correspondingly faster despite a similar contraction of the star in 1D and 2D (Fig. [4\)](#page-15-0). Convection increases the total lepton number flux and the  $\nu$  luminosities by up to a factor of 2 (Fig. [5\)](#page-16-0) and therefore the emitted lepton number  $N_l$  and energy  $E_\nu$  rise much more rapidly (Fig. [4\)](#page-15-0). The convective energy (enthalpy plus kinetic energy) flux dominates the diffusive  $\nu$  energy flux in the convective mantle after  $t \ge 250$  ms and becomes more than twice as large later. Since convection takes place somewhat below the surface,  $\nu$ 's take over the energy transport exterior to ~ 0.9  $M_{\odot}$ . Thus the surface  $\nu$  flux shows relative anisotropies of only 3–4%, in peaks up to  $\sim 10\%$ , on angular scales of  $10^{\circ}-40^{\circ}$ . Averaged over all directions, the neutrinospheric temperatures and mean energies  $\langle \epsilon_{\nu_i} \rangle$  of the emitted  $\nu_e$  and  $\bar{\nu}_e$  are higher by 10–20% (Fig. [5](#page-16-0)).

### 4 Consequences and conclusions

The increase of the  $\nu_e$ -luminosity relative to the  $\bar{\nu}_e$ -luminosity during  $t \lesssim 0.4$  s (Fig. [5\)](#page-16-0) will raise  $Y_e^{\text{ej}}$  in the neutrino-heated SN ejecta. If weak equilibrium is established,  $\alpha$  particles are absent, and  $e^{\pm}$  captures can be ignored, captures of  $\nu_e$  on n and  $\bar{\nu}_e$ on p determine  $Y_e^{\text{ej}} \approx 1/[1 + (L_{\bar{\nu}_e} \langle \epsilon_{\bar{\nu}_e} \rangle)/(L_{\nu_e} \langle \epsilon_{\nu_e} \rangle)]$  (Qian & Woosley 1996). The luminosity ratio enters crucially, since  $\langle \epsilon_{\bar{\nu}_e} \rangle / \langle \epsilon_{\nu_e} \rangle \approx T_{\bar{\nu}_e}/T_{\nu_e}$  does not change much due to convection (compare Fig. [5\)](#page-16-0). The latter fact can be understood by an analytical neutrino Eddington atmosphere model (Schinder & Shapiro 1982) which yields for the temperatures  $T_{\nu_i}$  of the  $\nu_i$  energy spheres as functions of the effective temperature

 $T_{\rm eff}: T_{\nu_i} = \sqrt[4]{\xi_{\nu_i}/2} T_{\rm eff} \approx 4.6 \sqrt[4]{\xi_{\nu_i} \mathcal{L}_{52}/r_6^2} \; {\rm MeV}, \text{ where } \mathcal{L}_{52} \equiv (L_{\nu_e} + L_{\bar{\nu}_e})/(10^{52} \, {\rm erg/s}),$  $r_6 \equiv r/(10^6 \text{ cm})$ . The expression  $\xi_{\nu_i}$  depends on the p and n abundance fractions,  $Y_p$  and  $Y_n \approx 1 - Y_p$ , in the NS atmosphere. For  $\nu_e$  one gets  $\xi_{\nu_e} \approx 1 + 1/(Y_n\sqrt{1 + 0.182Y_p/Y_n})$ and for  $\bar{\nu}_e$  one finds  $\xi_{\bar{\nu}_e} \approx 1 + 1/(Y_p \sqrt{1 + 0.210 Y_n/Y_p})$ . The ratio  $T_{\bar{\nu}_e}/T_{\nu_e} = \sqrt[4]{\xi_{\bar{\nu}_e}}/\xi_{\nu_e}$ varies only weakly with the atmospheric composition. Moreover, one can derive that  $Y_e^{\text{ej}}$  increases if  $f_{\text{n}} > f_{\text{e}}^{3/4}$  for  $f_{\text{e}} \equiv \mathcal{L}_{2\text{D}}/\mathcal{L}_{1\text{D}}$  and  $f_{\text{n}} \equiv \mathcal{N}_{2\text{D}}/\mathcal{N}_{1\text{D}}$  with  $\mathcal{N} \equiv L_{\nu_e}/\langle \epsilon_{\nu_e} \rangle L_{\bar{\nu}_e}/\langle \epsilon_{\bar{\nu}_e} \rangle$ . This is fulfilled at times  $t \lesssim 0.4$  s. The expected increase of  $Y_e^{\text{ej}}$  might help to avoid the overproduction of  $N = 50$  nuclei in current SN models (see Hoffman et al. 1996, McLaughlin et al. 1996). At times  $t \geq 1$  s the accelerated neutronization of the PNS will lead to a more rapid increase of  $\langle \epsilon_{\bar{\nu}_e} \rangle$  relative to  $\langle \epsilon_{\nu_e} \rangle$  than in 1D models. This will favor a faster drop of  $Y_e^{\text{ej}}$  and thus the *n*-rich conditions required for a possible r-processing in the neutrino-driven wind.

Future simulations of Ledoux convection in the PNS that include the progenitor star outside the nascent NS will have to reveal the effects on the SN explosion mechanism. PNS models with (baryonic) masses  $M_{\text{ns}} \approx 1.5 ... 1.65 M_{\odot}$  must be considered to investigate implications for the  $\nu$  signal detected from SN 1987A. A more elaborate description of the  $\nu$  transport and the use of different EOSs are also required. Convection in the PNS influences the structure of NS magnetic fields (Thompson & Duncan 1993), produces gravitational wave emission, and can cause NS recoils by anisotropic  $\nu$  emission (Thompson & Duncan 1993). For non-stationary convection with typical coherence lengths  $l_c \sim 10^5$  cm and overturn timescales  $t_o \lesssim R_{ns}/v_c \lesssim 10$  ms, one estimates a stochastic anisotropy of  $\alpha \sim (l_c/R_{ns})\sqrt{t_o/t_{ns}} \lesssim 10^{-2}$   $(t_{ns}:$  NS cooling timescale) which leads to kick velocities  $v_{\rm ns} \approx \alpha E_{\nu}/(M_{\rm ns}c)$  of a few 100 km/s, dependent on the energy  $E_{\nu} \lesssim \frac{3}{5}GM_{\rm ns}^2/R_{\rm ns}$  emitted anisotropically in neutrinos.

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# Figure captions:

FIG. 1. Convective (baryon) mass region inside the PNS vs. time for the 2D simulation. Black indicates regions which are Ledoux unstable or only marginally stable, grey denotes over- and undershooting regions where  $|v_{\theta}| > 10^7 \text{ cm/s}.$ 

FIG. 2. Angle-averaged S and  $Y_l$  profiles in the PNS. Thick solid lines indicate regions that are unstable or only marginally stable against Ledoux convection, crosses mark boundaries of over- and undershooting regions where  $|v_\theta| > 10^7$  cm/s.

FIG. 3. Panels a and b show the absolute values of the velocity for the 2D simulation at times  $t = 0.525$  s and  $t = 1.047$  s, respectively, color-coded in units of  $10^8$  cm/s. The computation was performed in an angular wedge of  $90°$  between  $+45°$  and  $-45°$ around the equatorial plane. The PNS has contracted to a radius of about 21 km at the given times. Panels **c** and **d** display the relative deviations of the electron fraction  $Y_e$ from the angular means  $\langle Y_e \rangle$  at each radius for the same two instants. The maximum deviations are of the order of 30%. Lepton-rich matter rises while deleptonized material sinks in. Comparison of both times shows that the inner edge of the convective layer moves inward from about 8.5 km at  $t = 0.525$  s to less than 2 km at  $t = 1.047$  s.

FIG. 4. Radius of the  $M = 1 M_{\odot}$  mass shell and total lepton number  $N_1$  and energy  $E_{\nu}$  radiated away by  $\nu$ 's vs. time for the 2D (solid) and 1D (dotted) simulations.

FIG. 5.  $\nu_e$  and  $\bar{\nu}_e$  luminosities and mean energies vs. time for the 2D simulation (solid) compared with the 1D run (dotted).

<span id="page-12-0"></span>

Figure 1:

<span id="page-13-0"></span>

Figure 2:

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Figure 3:

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Figure 4:

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Figure 5: