

# Inclusive electroproduction of light hadrons with large $p_T$ at next-to-leading order

Bernd A. Kniehl

*II. Institut für Theoretische Physik, Universität Hamburg, Luruper Chaussee 149, 22761 Hamburg, Germany*

**Abstract.** We review recent results on the inclusive electroproduction of light hadrons at next-to-leading order in the parton model of quantum chromodynamics implemented with fragmentation functions and present updated predictions for HERA experiments based on the new AKK set.

**Keywords:** Quantum chromodynamics, parton model, radiative corrections, inclusive hadron production, deep-inelastic scattering

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## 1. INTRODUCTION

In the framework of the parton model of quantum chromodynamics (QCD), the inclusive production of single hadrons is described by means of fragmentation functions (FFs)  $D_a^h(x, \mu)$ . At lowest order (LO), the value of  $D_a^h(x, \mu)$  corresponds to the probability for the parton  $a$  produced at short distance  $1/\mu$  to form a jet that includes the hadron  $h$  carrying the fraction  $x$  of the longitudinal momentum of  $a$ . Analogously, incoming hadrons and resolved photons are represented by (non-perturbative) parton density functions (PDFs)  $F_{a/h}(x, \mu)$ . Unfortunately, it is not yet possible to calculate the FFs from first principles, in particular for hadrons with masses smaller than or comparable to the asymptotic scale parameter  $\Lambda$ . However, given their  $x$  dependence at some energy scale  $\mu$ , the evolution with  $\mu$  may be computed perturbatively in QCD using the timelike Dokshitzer-Gribov-Lipatov-Altarelli-Parisi (DGLAP) equations. Moreover, the factorization theorem guarantees that the  $D_a^h(x, \mu)$  functions are independent of the process in which they have been determined and represent a universal property of  $h$ . This entitles us to transfer information on how  $a$  hadronizes to  $h$  in a well-defined quantitative way from  $e^+e^-$  annihilation, where the measurements are usually most precise, to other kinds of experiments, such as photo-, lepto-, and hadroproduction. Recently, FFs for light charged hadrons with complete quark flavour separation were determined through a global fit to  $e^+e^-$  data from LEP, PEP, and SLC [1] thereby improving a previous analysis [2].

The QCD-improved parton model should be particularly well applicable to the inclusive production of light hadrons carrying large transverse momenta ( $p_T$ ) in deep-inelastic lepton-hadron scattering (DIS) with large photon virtuality ( $Q^2$ ) due to the presence of two hard mass scales, with  $Q^2, p_T^2 \gg \Lambda^2$ . In Fig. 1, this process is represented in the parton-model picture. The hard-scattering (HS) cross sections, which include colored quarks and/or gluons in the initial and final states, are computed in perturbative QCD. They were evaluated at LO more than 25 years ago [3]. Recently, the next-to-

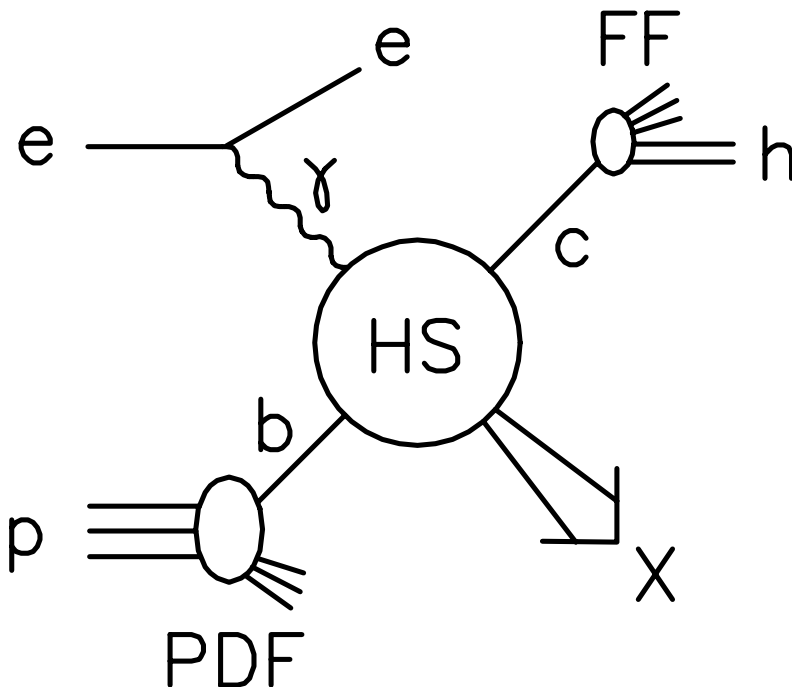


FIGURE 1. Parton-model representation of  $ep \rightarrow eh + X$ .

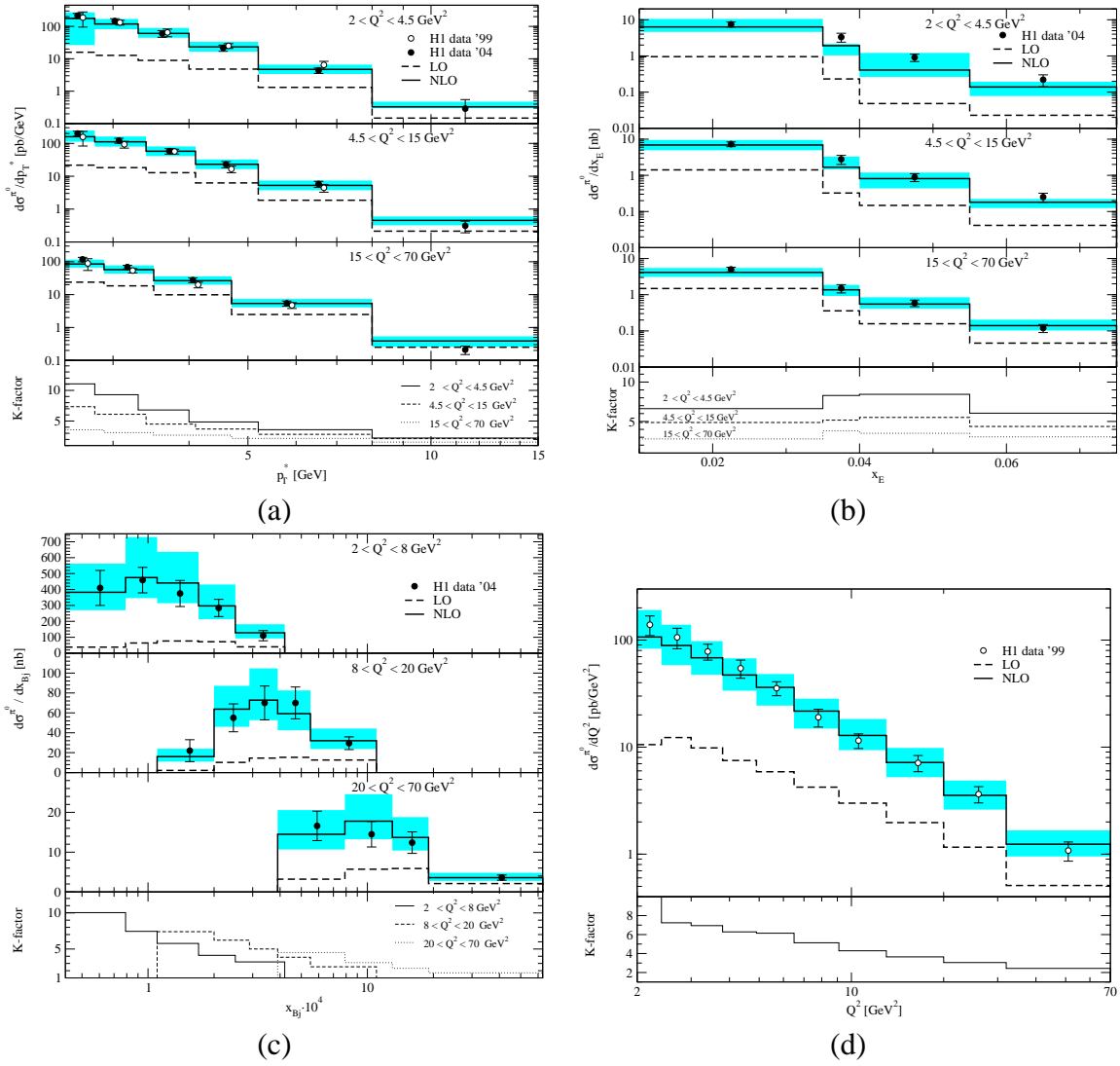
leading-order (NLO) analysis was performed independently by three groups [4, 5, 6]. A comparison between Refs. [5, 6] using identical input yielded agreement within the numerical accuracy.

The cross section of  $e^+p \rightarrow e^+\pi^0 + X$  in DIS was measured in various distributions with high precision by the H1 Collaboration at HERA in the forward region, close to the proton remnant [7, 8]. This measurement reaches down to rather low values of Bjorken's variable  $x_B = Q^2/(2P \cdot q)$ , where  $P$  and  $q$  are the proton and virtual-photon four-momenta, respectively, and  $Q^2 = -q^2$ , so that the validity of the DGLAP evolution might be challenged by Balitsky-Fadin-Kuraev-Lipatov (BFKL) dynamics.

In Ref. [5], the H1 data [7, 8] were compared with NLO predictions evaluated with the KKP FFs [2]. In Section 2, we present an update of this comparison based on the new AKK FFs [1]. Our conclusions are summarized in Section 3.

## 2. COMPARISON WITH H1 DATA

We work in the modified minimal-subtraction ( $\overline{\text{MS}}$ ) renormalization and factorization scheme with  $n_f = 5$  massless quark flavors and identify the renormalization and factorization scales by choosing  $\mu^2 = \xi[Q^2 + (p_T^*)^2]/2$ , where the asterisk labels quantities in the  $\gamma^*p$  center-of-mass (c.m.) frame and  $\xi$  is varied between 1/2 and 2 about the default value 1 to estimate the theoretical uncertainty. At NLO (LO), we employ set CTEQ6M (CTEQ6L1) of proton PDFs [9], the NLO (LO) set of AKK FFs [1], and the two-loop (one-loop) formula for the strong-coupling constant  $\alpha_s^{(n_f)}(\mu)$  with  $\Lambda^{(5)} = 226$  MeV



**FIGURE 2.** H1 data on (a)  $d\sigma/dp_T^*$ , (b)  $d\sigma/dx_E$ , and (c)  $d\sigma/dx_B$  for  $2 < Q^2 < 4.5 \text{ GeV}^2$ ,  $4.5 < Q^2 < 15 \text{ GeV}^2$ , or  $15 < Q^2 < 70 \text{ GeV}^2$ , and on (d)  $d\sigma/dQ^2$  from Refs. [7] (open circles) and [8] (solid circles) are compared with our default LO (dashed histograms) and NLO (solid histograms) predictions including theoretical uncertainties (shaded bands). The QCD-correction ( $K$ ) factors are also shown.

(165 MeV) [9].

The H1 data [7, 8] were taken in DIS of positrons with energy  $E_e = 27.6 \text{ GeV}$  on protons with energy  $E_p = 820 \text{ GeV}$  in the laboratory frame, yielding a c.m. energy of  $\sqrt{S} = 2\sqrt{E_e E_p} = 301 \text{ GeV}$ . The DIS phase space was restricted to  $0.1 < y < 0.6$  and  $2 < Q^2 < 70 \text{ GeV}^2$ , where  $y = Q^2/(x_B S)$ . The  $\pi^0$  mesons were detected within the acceptance cuts  $p_T^* > 2.5 \text{ GeV}$ ,  $5^\circ < \theta < 25^\circ$ , and  $x_E > 0.01$ , where  $\theta$  is their angle with respect to the proton flight direction and  $E = x_E E_p$  is their energy in the laboratory frame. The comparisons with our updated LO and NLO predictions are displayed in Figs. 2(a)–(d).

### 3. CONCLUSIONS

We calculated the cross section of  $ep \rightarrow e\pi^0 + X$  in DIS for finite values of  $p_T^*$  at LO and NLO in the parton model of QCD [5] using the new AKK FFs [1] and compared it with a precise measurement by the H1 Collaboration at HERA [7, 8].

We found that our LO predictions always significantly fell short of the H1 data and often exhibited deviating shapes. However, the situation dramatically improved as we proceeded to NLO, where our default predictions, endowed with theoretical uncertainties estimated by moderate unphysical-scale variations, led to a satisfactory description of the H1 data in the preponderant part of the accessed phase space. In other words, we encountered  $K$  factors much in excess of unity, except towards the regime of asymptotic freedom characterized by large values of  $p_T^*$  and/or  $Q^2$ . This was unavoidably accompanied by considerable theoretical uncertainties. Both features suggest that a reliable interpretation of the H1 data within the QCD-improved parton model ultimately necessitates a full next-to-next-to-leading-order analysis, which is presently out of reach, however. For the time being, we conclude that the successful comparison of the H1 data with our NLO predictions provides a useful test of the universality and the scaling violations of the FFs, which are guaranteed by the factorization theorem and are ruled by the DGLAP evolution equations, respectively.

Significant deviations between the H1 data and our NLO predictions only occurred in certain corners of phase space, namely in the photoproduction limit  $Q^2 \rightarrow 0$ , where resolved virtual photons are expected to contribute, and in the limit  $\eta \rightarrow \infty$  of the pseudorapidity  $\eta = -\ln[\tan(\theta/2)]$ , where fracture functions are supposed to enter the stage. Both refinements were not included in our analysis. Interestingly, distinctive deviations could not be observed towards the lowest  $x_B$  values probed, which indicates that the realm of BFKL dynamics has not actually been accessed yet.

### ACKNOWLEDGMENTS

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